

Carbon and ecological footprints as tools for evaluating the environmental impact of coal mine ventilation air

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1 **ABSTRACT**

2 Coal mines ventilation gases are an important source of methane emissions. Common
3 ventilation systems are designed to ensure safe working conditions in the shafts, leading to
4 huge ventilation gas flow rates. Traditionally, low attention has been paid to such emissions
5 because of their low methane concentration. However, it is necessary to take into account
6 that although the concentration of methane is very low (typically < 1 %), the volume of air
7 that ventilation systems move is large, and therefore these emissions constitute the largest
8 source of greenhouse gases from underground coal mines.

9 This work proposes the use of ecological and carbon footprints approaches as a tool for
10 determining the relative importance of these emissions in comparison to the other direct
11 and indirect environmental impacts from the coal mining activity. The study has been
12 performed in the main ventilations shafts of the mining company HUNOSA, located at NW
13 Spain (bituminous coal). Results indicate that ventilation air methane is a key fraction of
14 the total emissions of greenhouse gases releases in this activity (60-70 %).

15

16 **Keywords:** coal mining, ventilation air, carbon footprint, ecological footprint, methane

17

18 1. INTRODUCTION

19 Although Western Europe's coal industry has been declining since the 1950s, as prices for
20 imported coal have decreased and local extraction costs have increased, the worldwide
21 situation is markedly different. In 2007, coal accounted for 27 % of world energy
22 consumption (International Energy Outlook, 2010), and about 64 % of this coal was
23 shipped to electricity producers and 33 % to industrial consumers. According to the
24 IEO2010 Reference case (International Energy Outlook, 2010), the provisions of world coal
25 consumption will grow an average of 1.1 % per year from 2007 to 2020, and 2.0 % per year
26 from 2020 to 2035. Therefore, the production of primary energy, in general, and of coal, in
27 particular, is expected to largely increase in the future. These forecasts contrast with the
28 more exigent environmental regulations. In United States, coal mining is one of the most
29 extensively regulated industries. Since the first comprehensive national surface mining law
30 in the late 1970s, the Surface Mining Control and Reclamation Act (SMCRA), many other
31 regulations have been developed. In the European Union (EU), a set of environmental
32 directives -that have had a significant effect on the mining industries of member nations-
33 have been developed.

34 Although the large environmental impact of coal mining from the point of view of water
35 and soil pollution is well-accepted, much less attention has been paid to gaseous emissions.
36 At this point, ventilation emissions (needed in order to ensure safe concentrations of
37 methane within the shaft) were traditionally considered as non-pollutant emissions.
38 However, these emissions contain significant amounts of methane (0.1-1%) which is a
39 powerful greenhouse gas (GHG), with Global Warming Potential (GWP) more than twenty
40 times higher than the corresponding to CO₂. Furthermore, emissions from coal mining

41 account for 22 % of emissions from energy sector, which is the second largest contributor
42 to anthropogenic methane emissions (about 30 %) (Karakurt et al., 2011). Due to this
43 reason, a comprehensive work is needed on both inventorying and developing alternatives
44 for these emissions (Su et al., 2005).

45 To the best of our knowledge, systematic studies about the relative weight of these
46 emissions in comparison to the other direct and indirect impacts of the coal mining activity
47 have not been reported. In the present work, we use two tools for doing this study, the
48 ecological footprint (EF) and the carbon footprint (CF). The so-called “carbon footprint”, a
49 term used by different organisms, such as the British Standards Institution and the
50 International Organization for Standardization (ISO), is focused on describing the GHG
51 emissions attributable to providing a specific product or service. The main purpose of
52 estimating CFs is to provide information for policy-making, for supply chain management,
53 and to facilitate a shift by retailers and consumers toward low carbon products. By contrast,
54 EFs is defined as the amount of life-supporting natural capital, expressed in biologically
55 productive area, which is necessary to meet the resource demand and waste absorption
56 requirements of a given activity. Therefore, in the calculation of ecological footprint, data
57 on carbon dioxide emissions are translated into the area, in global hectares, required to
58 absorb these carbon emissions. But, add to these emissions, other considerations such as the
59 use of water and land, the emissions of no global warming gases are also considered in the
60 evaluation of ecological footprint (Monfreda et al., 2004). It is remarkable that nowadays,
61 there are international standards for measuring and certificating the carbon footprint in
62 processes and organizations, as GHG Protocol and ISO 14064-1.

63 This work is focused on the calculation of carbon footprint and ecological footprint to the
64 coal mines situated in Asturias (North of Spain), which belong to the Spanish mining
65 company (HUNOSA). The final scopes of these calculations were to determine the relative
66 importance of ventilation mine air emissions on the overall mining activity emissions, as
67 well as to quantify the effect of the treatment of these emissions on their environmental
68 performance. The studied mines are representative of the small-sized bituminous coal
69 mines of Western Europe. Although there are previous studies dealing with the
70 environmental effect of VAM, this work is, to the best of our knowledge, the first
71 quantitative study performed (using ecological indicators) for determining the relative
72 importance of these emissions in the overall environmental impact of coal mining.

73

74 **2. DESCRIPTION AND RESULTS OF CARBON FOOTPRINT**

75 The extraction of bituminous coal in Asturian mines is performed in small-sized (if
76 compared to common US or Asian shafts) underground mines. The production of the shafts
77 used for this study is summarised in Table 1, whereas the location of the shaft is sketched
78 in Fig.1. The low capacity of these shafts, the location of the deposits that in most cases
79 present difficult accesses, as well as the depth of each deposit, determines the selected
80 method for extraction. Underground mining requires more energy than surface mining due
81 to larger requirements for hauling, ventilation, and water pumping, among other
82 considerations. These requirements lead to more important environmental impacts, which
83 must be also taken into account in the evaluation of CF and EF.

84 Coal mining is associated with significant social and environmental impacts. Depending on
85 the limits or boundaries of the system under study, the relative importance of various
86 activities could vary notably. In this work, the study was limited to the extraction of coal.
87 The boundaries of the system under study are shown in Fig. 2. The major contributions of
88 this system to carbon footprint include:

89 - Gaseous emissions released in the generation of electricity: most of the operations
90 carried out in the shaft are developed by electricity-powered machinery. Among
91 these activities, the drilling, blasting, ventilation, dewatering, are quantitatively
92 considered as the most relevant. The drilling is the process of making a cylindrical
93 hole with a tool for exploration, blasting preparation or tunnelling. Blasting is the
94 removal of mined material by fracturing the rock with explosives, although this
95 process is also accomplished by electrical devices. Ventilations fans, needed for
96 ensuring safe conditions within the shaft are another important electrical
97 consumption. The last electrical consumption to be considered is the needed for
98 pumping infiltration water out of the shaft (in order to avoid shaft flooding).

99 In order to quantify the environmental impact of the electricity generation, it is
100 necessary to take into account the relative importance of the different power sources
101 (thermal energy, hydraulic, nuclear, wind power, etc.), these percentages being
102 provided by the electrical company supplier. The following distribution of power
103 sources in the generation of the electricity was considered: thermal energy (43 %),
104 cogeneration (23 %), nuclear energy (8 %), hydraulic energy (5 %), wind energy
105 (18 %) and biomass and wastes (3 %). In this way, the power (kWh) of electricity
106 obtained by each source is obtained. It is considered that the primary energy

107 corresponding to 1 kWh of electricity is typically above 3.6 MJ (Annual Energy
108 Review 1995, 1996). Actual generation efficiencies, limited by the Second Law of
109 Thermodynamics and design practicalities, fall short of this. In Table 2, the average
110 heat input per kWh of net generation, and the thermal conversion efficiency is
111 summarized for the power sources used. In the generation of electricity, add to CO₂
112 emissions, also other GHGs are emitted, although in minor proportion (mainly, CH₄
113 and N₂O). Non-CO₂ emissions are converted into units of carbon dioxide equivalent
114 (CO₂-eq) using Global Warming Potentials (GWP) of 21 for CH₄ and 310 for N₂O.
115 Emissions factors –that is, the CO₂-eq generated per GJ of generated electricity- for
116 the different power sources (IPCC Guidelines for National Greenhouse Gas
117 Inventories, 2006) are also summarized in Table 2.

118 - Ventilation air: ventilation is a process of entering fresh air in the working area of
119 the shaft in order to dilute the methane up to safe limits. The extracted air is
120 removed to the outlet, operation carried out by the fans. This exhaust air contains,
121 greenhouse gases, mainly CH₄ and CO₂. Depending on the characteristics of the
122 shaft, SO₂ or H₂S could also be in important concentrations, but this is not the case
123 of HUNOSA shafts. The quantity of gas emitted depends on the coal rank and depth
124 of seam. High-rank coals, such as anthracite, have the highest GHG emissions,
125 whereas peat or lignite have the lowest (Karakurt et al., 2011). Asturian coal is
126 mainly bituminous, thus intermediate emissions will be emitted. The importance of
127 the depth is related to the pressure over the coal, increasing the concentration of
128 methane in exhausted gases with the depth. Infrared measurements of both inlet and
129 outlet gases, determined that the average increase in CO₂ concentration in the six

130 shafts under study is about 0.2 %, whereas CH₄ concentrations vary between 0.05
131 and 0.4 % (Table 3). Concentrations of NO_x, as well as sulphur gases as H₂S or SO₂
132 were negligible in all cases. Due to the methane GWP, methane has its most
133 important effect in global warming.

134 - Soil gases absorption: the mining here described is an underground process, thus,
135 the surface may be only slightly altered, and in fact, can act as a CO₂-eq drain.
136 Table 3 summarized also the surface of each shaft. It is considered that the
137 assimilation factor depends on the land uses (IPPC, 2001), varying if it is a forest
138 (3.67 t CO₂-eq·ha⁻¹·year⁻¹), cultivable surface (1.98 t CO₂-eq·ha⁻¹·year⁻¹), pasture
139 (0.84 t CO₂-eq·ha⁻¹·year⁻¹), built-up land (1.98 t CO₂-eq·ha⁻¹·year⁻¹), sea (0.24 t CO₂-
140 eq·ha⁻¹·year⁻¹) or continental water (0.24 t CO₂-eq·ha⁻¹·year⁻¹). In this work, it was
141 considered an emissions-to-land (assimilation) factor of 3.67 t CO₂-eq·ha⁻¹·year⁻¹
142 (IPPC, 2001).

143 Fig. 3 shows the t_{CO₂-eq} emitted by ton of extracted coal in each shaft, existing differences
144 until 0.97 t_{CO₂-eq}/t coal among them. It is remarkable that in Fig. 3 only two contributions
145 (generation of electricity and ventilation gases) appear, whereas no mention is made to soil
146 absorption. This is due to the drain contribution of the soil, that is, instead of emitting CO₂,
147 the soil traps CO₂-eq, with values of t_{CO₂-eq} retained nearly negligible (about 20 t<sub>CO₂-
148 eq/year·shaft</sub>) in comparison to the emissions of the other two contributions. If the analysis
149 is made based on the specific contributions to carbon footprint here enounced, it is
150 observed a notorious relevance of ventilation gases to the total footprint (77-94 %).
151 Likewise, a deeper insight in the contribution to carbon footprint of ventilation emissions
152 reveals that those shafts with the highest carbon footprint are those with both the highest

153 concentration of methane emissions (Sotón and María Luisa) and highest flow rate of
154 ventilation gases (Candín). The reason is the high effect on the global warming of CH₄ (21
155 times the CO₂). As it is showed in Table 3, there are three shafts with the highest methane
156 concentration (0.4 %): Maria Luisa, San Nicolás and Sotón. However, the flow rate of San
157 Nicolás shaft is considerable reduced in comparison with the others. On the other hand,
158 although Candín exhibits lower CH₄ concentration, the flow rate is considerably higher
159 than the other shafts. At this point it is convenient to consider that the low explosive limit
160 of methane is 5 % at ambient temperature, and considering a wide safety factor, the
161 flowrate of each shaft is fitted in order be always below 1 % (or even lower).

162

163 **3. DESCRIPTION AND RESULTS OF ECOLOGICAL FOOTPRINT**

164 The Ecological Footprint measures the amount of surface required to produce all the
165 resources that consume an activity, considering also the absorption of residual materials
166 (wastes, emissions, etc.) it generates. In the calculation of the ecological footprint of the
167 coal mining, add to the contributions previously described for the carbon footprint which
168 contributes to the ecological footprint by the CO₂-eq emissions –that is, global warming
169 gases-, other factors that have also different environmental impacts should be considered:

- 170 - No global warming gases generated in the electricity production (non GHG
171 emissions): the machinery used in the mining activity works by electricity, whose
172 production, add to the global warming gases previously mentioned, could also
173 generate other compounds that can affect negatively the environment. In fact, there
174 is a notorious contribution to the ecological footprint by the SO₂ generated in the

175 electric power production. The SO_2 contributes to acidification, thus its effect on the
176 ecological footprint can be taken into account considering the area necessary to
177 absorb the SO_2 generated. About 70 percent of the total area in Europe has an
178 assimilation capacity of less than $20 \cdot 10^{-3} \text{ H}^+\text{eq} \cdot \text{m}^{-2} \cdot \text{year}^{-1}$; the rest of the area has a
179 critical load ranging from 20 to $50 \cdot 10^{-3} \text{ H}^+\text{eq} \cdot \text{m}^{-2} \cdot \text{year}^{-1}$ (Holmberg et al., 1999).
180 Considering in this work an assimilation factor of $20 \cdot 10^{-3} \text{ H}^+\text{eq} \cdot \text{m}^{-2} \cdot \text{year}^{-1}$ (the worst
181 and most conservative scenario), and converting t_{SO_2} in H^+eq , the area needed to
182 absorb a ton SO_2 is 155 ha.

183 - Water consumption: in order to take into account the water used in the coal mining
184 extraction, the water used in a process should be defined. In this way, two
185 components of the water can be distinguished (Allan, 1997): green water, referred to
186 the volume of rainwater consumed during the process; or, blue water, water
187 withdrawn from rivers, lakes, or underground used in the extraction process. In the
188 case of HUNOSA shafts, no rivers, lakes or underground waters are affected in any
189 of them, thus the blue water has no application in our case. On the other hand, as it
190 was previously mentioned, important amounts of water are extracted from the shafts
191 in the dewatering operation, mainly due to infiltrations from the surface. Thus, we
192 can consider that the water extracted during the process corresponds to green water.
193 For the calculation of the contribution of this green water to the ecological footprint,
194 it was used the average rain in Asturias corresponding to 2009, $5790 \text{ m}^3 \cdot \text{ha}^{-1} \cdot \text{year}^{-1}$
195 (Instituto Nacional de Meteorología, 2011). Considering as infiltration the volume
196 of water extracted from the shafts, the surface where rain water reached this volume
197 is 3275 ha. It should be taken into account that there is not water acidification

198 because of the geochemical properties of the soil (high limestone concentration and
199 low sulphur content of the coal). Furthermore, studied shafts are located in a very
200 rainy region, leading to high infiltration rates and allowing low residence times of
201 the water inside the shafts.

202 Furthermore, in the calculation of the ecological footprint, $t_{\text{CO}_2\text{-eq}}$ calculated for the carbon
203 footprint should be converted in surface (ha) necessary to absorb these gases. In this way,
204 the carbon assimilation factors associated to land use previously described in the soil
205 absorption point are employed. Concretely, in this work, it was supposed the factor
206 corresponding to forests, that is $3.67 \text{ t}_{\text{CO}_2\text{-eq}} \cdot \text{ha}^{-1} \cdot \text{year}^{-1}$.

207 Fig. 4 shows the total ecological footprint of each HUNOSA shaft. It is observed that there
208 are three main contributions: electric consumption, which includes the $\text{CO}_2\text{-eq}$ emitted and
209 the non GHG emissions, the ventilation gases, and the water contribution. As in the case of
210 carbon footprint, no soil contribution appears in the plot, since it acts as drainage of gases.
211 In the same way, the main ecological footprint is due to either the electric consumption or
212 the ventilation gases, being the last one less relevant in percentage (17-60 %), due to the
213 important contribution of the non GHG emissions to the ecological footprint. Considering
214 the overall coal production and the seven shafts, the contribution of ventilation emissions to
215 the ecological footprint is of 47 %.

216 If the carbon and ecological footprints are compared, it is observed that the main
217 differences between different shafts are caused by the different amount of methane released
218 in the ventilation gases. The amount of methane released depends on different parameters,
219 such as the design of the ventilation system (flow rate), the number of, and the fraction of

220 stopes that are under operation at a given moment stopes (which is continuously changing)
221 and the gassy nature of the extracted coal. Within the reported shafts, there are many
222 different situations. For example, the shaft with lower methane emissions (Carrio) has coal
223 stems with low gas content and the ventilation system was designed for working with
224 tenths of stopes, but nowadays only one stope is really working. By contrast, in Candín
225 shaft most of the stopes are working and the coal is more gassy. In the case of Sotón and
226 Maria Luisa shafts, the ventilation system has been designed to working parameters similar
227 to the ones currently used, therefore no extra dilution of methane is observed.

228

229 **4. TECHNOLOGIES TO MITIGATE CARBON AND ECOLOGICAL** 230 **FOOTPRINT OF COAL MINING EXTRACTION**

231 From both Fig. 3 and 4, it is deduced that the most important contribution to environmental
232 impact of the coal mining extraction corresponds to the ventilation of gases generated in the
233 shafts. Methane, due to its high global warming potential, represents the most relevant
234 impact of these gases, thus any action for reducing methane emissions in the ventilation
235 gases will present important benefits in the carbon (until 70 %) and ecological (until 40 %)
236 footprints.

237 In order to use in the industry the methane extracted from the ventilation, the concentration
238 should be increased. Since both flow rate and methane concentration are given by safety
239 considerations (ensure methane concentration in the shaft largely below the explosive limit
240 of these mixtures), end-of-pipe concentration technologies are the only alternative for this

241 purpose. Effective technology to increase methane concentration is yet not available at
242 large scale (Su et al., 1997).

243 Other alternative for this purpose is the direct combustion of these emissions, since GWP of
244 methane is about twenty time the corresponding to CO₂. Because of the low concentration
245 of methane, classical combustion strategies are not economical. However, non-conventional
246 combustion technologies, such as catalytic reverse flow reactors (Fissore et al., 2005),
247 catalytic gas turbines (Su et al., 2003) or heat-recirculating combustion method
248 (Budzianowsky and Miller, 2009) can allow the combustion of gas streams with very low
249 methane concentrations, being even possible to benefit the energy content (combustion is
250 an exothermic reaction) of these emissions for low-temperature applications (sanitary
251 water, etc.).

252 At this point, reverse flow reactors (RFRs), especially in their catalytic operation; have
253 been proposed for harnessing low concentrations of methane contained in the up-cast air of
254 coal mines. The RFR operates under forced unsteady-state conditions, created by
255 periodically reversing the feed flow direction. The heat released during the exothermic
256 reaction is trapped inside the reactor bed between consecutive flow reversals and is used to
257 preheat the cold feed up to the reaction temperature. The RFR is thus an integrated device
258 where both reaction and heat exchange takes place with high thermal efficiency. As the
259 methane is oxidised, effectively it is removed from coal mine ventilating air, even when
260 CH₄ concentrations are below 1000 ppm, and this is done without an external source of
261 energy. Heat recovered during these exothermic reactions can, for example, be used to raise
262 steam and drive a steam turbine, or be used directly where significant thermal loads are
263 present (drying processes, warming of intake ventilating air in cold regions), which in turn

264 displaces other sources of primary energy currently utilised and presents even greater
265 benefits in terms of CO₂ emissions (Marin et al., 2009).

266

267 **5. CONCLUSION**

268 This work reports, by calculation of carbon and ecological footprint, the environmental
269 impact of the coal mines, in order to determine the relative importance of ventilation mine
270 air emissions on the overall mining activity emissions, as well as to quantify the effect of
271 the treatment of these emissions on their environmental performance. For doing this, all the
272 coal mines belonged to the public mining company of the North of Spain (HUNOSA) were
273 taken into consideration. These mines are considered representative of the small-sized
274 bituminous coal mines of Western Europe.

275 From reported work, it is deduced that the most important contribution to environmental
276 impact of the coal mining extraction corresponds to the ventilation of gases generated in the
277 shafts. Methane, due to its high global warming potential, represents the most relevant
278 impact of these gases, thus any action for reducing methane emissions in the ventilation
279 gases will present important benefits in the carbon (until 70 %) and ecological (until 40 %)
280 footprints. Therefore, the implementation of commercial technologies for the
281 treatment/valorisation of these emissions will lead to significant decreases in the carbon
282 footprint (up to 70 %).

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289

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- 325

326 **Table 1.** Annual productions of coal from the six shafts under study

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Shaft	Coal production (kt/year)
Candín	106
Maria Luisa	187
Monsacro	201
San Nicolás	226
Carrío	121
Sotón	141
Santiago	334

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331 **Table 2.** Thermal efficiency (net) and the average heat input per kWh of net generation

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Power source	Thermal efficiency (net) (%)¹	Average heat input per kWh of net generation (GJ/kWh)²	Emission factor (tCO₂-eq/GJ)¹
Solar energy	30	0.012	-
Thermal energy (coal and fuel)	40	0.009	0.097
Cogeneration	40	0.009	0.056
Nuclear	35	0.010	-
Hydraulic	33	0.011	-
Wind energy	35	0.010	-
Biomass	22	0.008	0.112
Wastes	22	0.008	0.100

333 ¹ Suggested in reference (6)

334 ² Calculated as primary energy (conversion from heat to electricity at 100 % efficiency) divided by the net
335 thermal efficiency

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337 **Table 3.** CH₄ concentrations of exhaust air ventilation and surface of the shafts

338

Shaft	CH₄ concentration (%)	Surface (ha)
Candín	0.18	8.1
Maria Luisa	0.40	4.4
Monsacro	0.20	5.9
San Nicolás	0.40	16
Carrio	0.05	4.4
Sotón	0.40	9.4
Santiago	0.20	6.8

339

340 **FIGURE CAPTIONS:**

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343 **Figure 1.** Geographical situations of the shafts considered in this study.

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345 **Figure 2.** System boundaries for the mining activity used in the measurement of Carbon

346 Footprint and Ecological Footprint in this work.

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348 **Figure 3.** Contributions to carbon footprint, tCO₂-eq per t of extracted coal, of the gases

349 emitted in electricity generation (white) and as a consequence of the ventilation emissions

350 (red)

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352 **Figure 4.** Contributions to ecological footprint (ha) per t of extracted coal of the gases

353 emitted in the generation of electricity (white), gases emitted in the ventilation (red), and

354 water infiltrations*10 (black)

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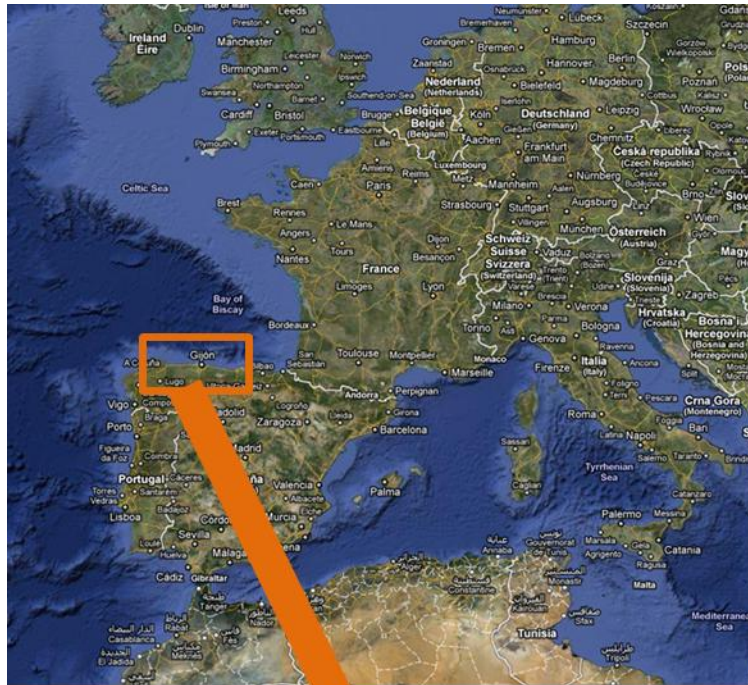
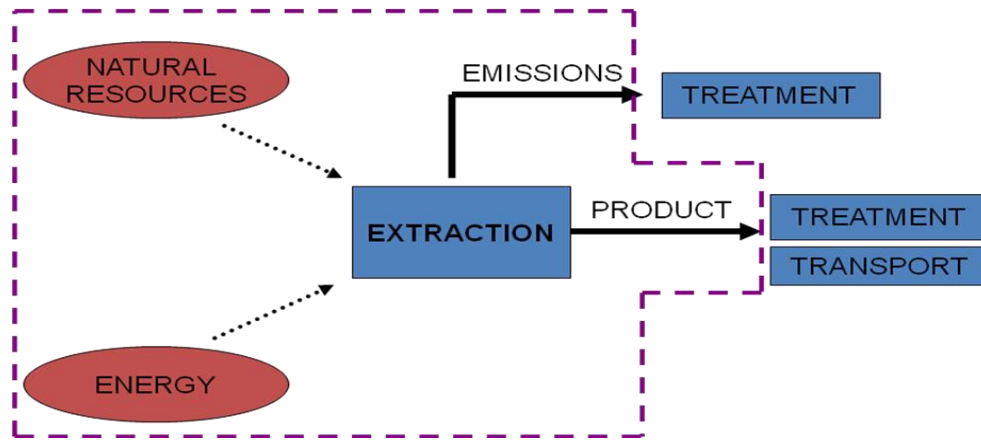


Fig. 1

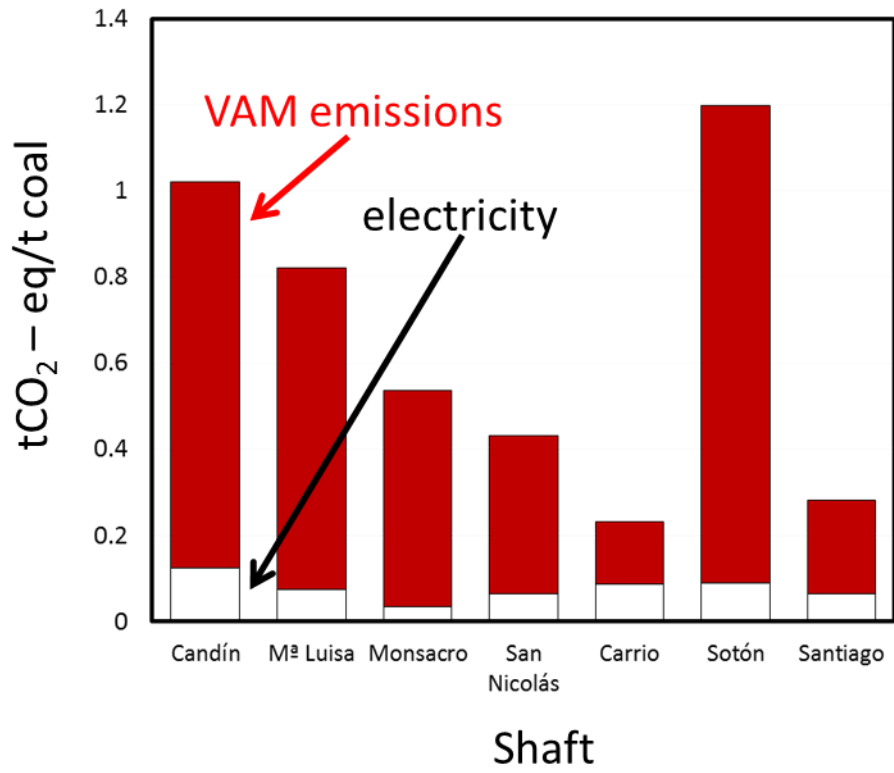
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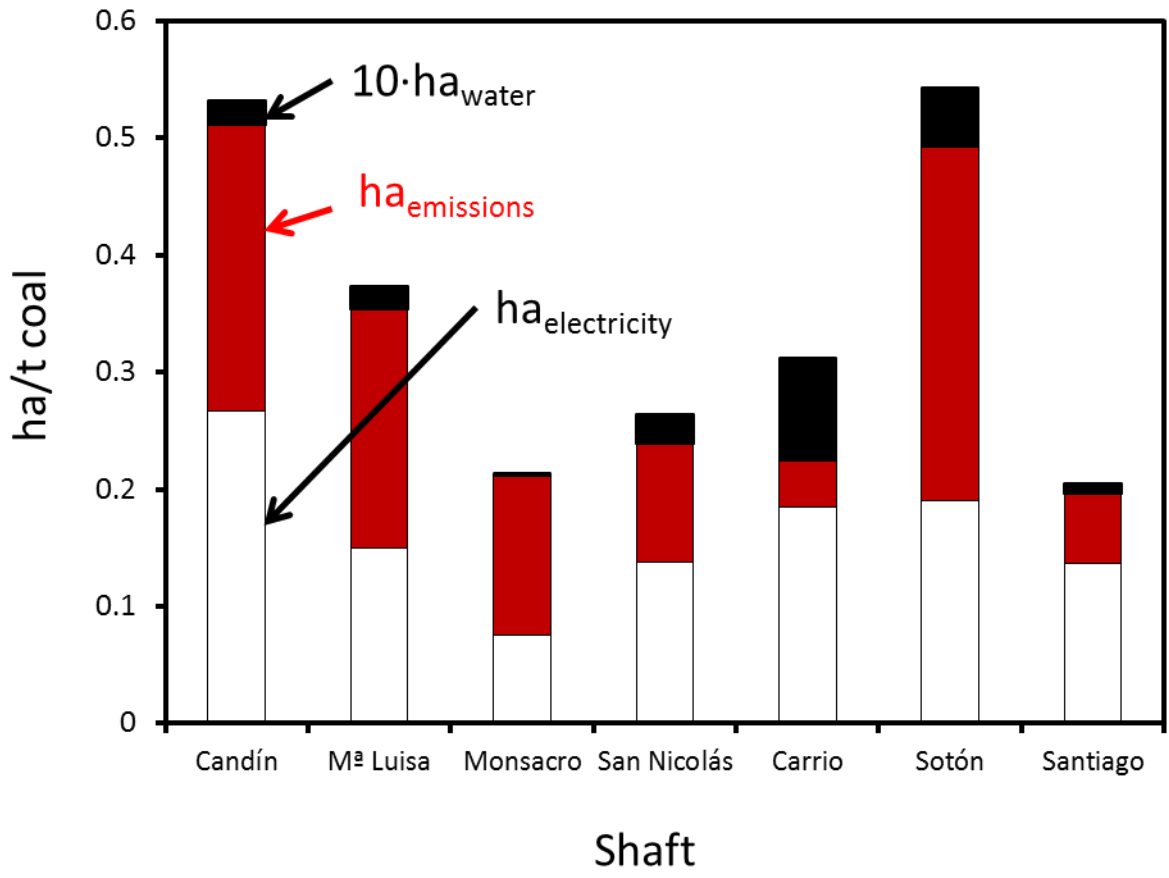
Fig. 2

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Fig. 3



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Fig. 4