

1 **Animal functional traits: towards a trait-based ecology for whole ecosystems**

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28 **Abstract**

29 1. Functional traits and associated trait-based concepts have driven rapid innovation in ecology over
30 recent years, with most progress based on insights from plants. However, plants are almost entirely
31 restricted to a single trophic level, an over-reliance on plant traits, thus, neglects the complexity of biotic
32 interactions across trophic levels and their importance for community assembly and ecosystem
33 functioning.

34 2. The need to expand the focus of trait-based ecology across trophic levels has led to an upsurge in
35 attention on animal functional traits and the emergence of new concepts relevant to community
36 ecology, macroecology and ecosystem science. Recent progress in the compilation of global trait
37 datasets for some taxa has opened up new possibilities for testing macroecological theory.

38 3. In this Special Focus, we explore how trait-based ecology can contribute to expand insights from
39 single to multiple trophic levels, how these insights can be used to upscale understanding from local
40 communities to biogeographic patterns, and how this can ultimately help to predict the impacts of
41 global change on ecosystem functions. To address these key questions, we showcase studies on diverse
42 animal taxa ranging in size from springtails to crocodiles and spanning multiple trophic levels from
43 primary consumers to apex predators.

44 4. This collection of studies shows how precise measurements of morphological or physiological traits
45 can increase mechanistic understanding of community assembly across trophic levels. In particular, it is
46 demonstrated how trait-based analyses help to identify mechanisms that underpin large-scale
47 biodiversity patterns. Further, a clearer picture is emerging of systematic animal responses to
48 environmental change that shape the trait composition of ecological communities and affect ecosystem
49 functioning.

50 5. The articles in this volume highlight the need to move trait-based ecology beyond the limits of
51 taxonomic boundaries. The integration of trait data and concepts across trophic levels opens up new
52 possibilities for identifying general ecological mechanisms that shape patterns and processes operating
53 at different scales. The identification of key functional traits and their interplay across trophic levels can
54 underpin the development of a trait-based ecology for whole ecosystems, which could eventually
55 enable predictions of the ecosystem-level consequences of biodiversity loss.

56

57 **Keywords**

58 Community assembly, ecological networks, ecosystem functioning, functional biogeography,
59 macroecology, species coexistence, trait-based concepts, trophic interactions.

60

61 **Introduction**

62 Ecological research centres on the question of how organisms interact with their environment and with
63 other organisms (McGill et al., 2006). The identification of processes underpinning these interactions is
64 key to move from the description of ecological processes towards mechanistic understanding and
65 prediction (Funk et al., 2017). One of the most promising ways to gain such a mechanistic understanding
66 are trait-based concepts (McGill et al., 2006; Violle et al., 2007). Over the last decades, trait-based
67 ecology has been dominated by plant-based concepts and data (Kattge et al., 2020; Suding et al., 2008)
68 and, thus, focused on processes operating within trophic levels (Kraft et al., 2008; Mason et al., 2011).
69 However, these advances often neglect the key role of interactions across trophic levels for
70 understanding and predicting patterns and processes at the level of whole ecosystems (Schmitz et al.,
71 2015; Seibold et al., 2018). The need to expand trait-based ecology from single to multiple trophic levels
72 has promoted recent development of comprehensive datasets of animal functional traits (Herberstein et
73 al., 2022; Tobias et al., 2022).

74 Functional traits are the measurable properties of organisms that influence organismal performance via
75 their effects on individual growth, survival and reproduction (Violle et al., 2007) and thereby determine
76 how organisms respond to their abiotic and biotic environment and contribute to ecological processes
77 and ecosystem functions (Suding et al., 2008). Using functional traits to generalize ecological
78 understanding from single taxa to entire communities has had a big impact on ecological research over
79 the last two decades (Funk et al., 2017; Lavorel & Garnier, 2002) and led to the identification of core
80 principles in community ecology and ecosystem science. For instance, plant-centred studies have shown
81 that trait divergence determines competitive interactions between species and shapes processes of
82 community assembly and species coexistence (Kraft et al., 2008; Mason et al., 2011). Moreover, trait-
83 based trade-offs determine strategies of resource acquisition and processing (Reich, 2014) and structure
84 variation in plant form and function at the global scale (Díaz et al., 2016). Trait-based concepts have also
85 been put into the context of ecosystem science. A key concept based on the distinction between
86 functional response and effect traits states that both the responses of species to environmental
87 variation and their effects on ecological processes determine the relationship between biodiversity and

88 ecosystem functioning (Díaz et al., 2013; Suding et al., 2008). So far, these insights into community
89 assembly and ecosystem functioning have mostly been derived from studies of plant-plant interactions,
90 plant responses to abiotic factors, and plant effects on biomass accumulation and ecosystem
91 productivity (Enquist et al., 2020; Funk et al., 2017). The complexity of ecosystems, however, can only be
92 uncovered if trait-based concepts contribute to a mechanistic understanding of the biotic
93 interrelationships and dependencies across trophic levels (Fig. 1).

94 Trait-based approaches can indeed aid the identification of interaction rules between species located at
95 different trophic levels (Fig. 1). For instance, studies of mutualistic networks have demonstrated that the
96 shape of a flower determines which animal species are able to access its nectar (Dalsgaard et al., 2021;
97 Maglianesi et al., 2014), while body size defines the vulnerability of an organism to a predator species in
98 food webs (Stouffer et al., 2011; Brose et al., 2017). Importantly, trait relationships across trophic levels
99 do not only determine the flux of energy from lower to upper trophic levels, but also have important
100 feedback effects onto lower trophic levels through ecosystem functions such as pollination, seed
101 dispersal and decomposition (Fig. 1). Trait-matching frameworks have mostly been derived from the
102 analysis of ecological networks (Bartomeus et al., 2016; Schleuning et al., 2015) and complemented
103 seminal concepts that were primarily derived from the plants' perspective (Lavorel et al., 2013).

104 We are currently entering a new era of open science providing access to trait data with rapidly
105 increasing coverage within and across taxonomic groups (Gallagher et al., 2020). Given this upsurge of
106 data, trait-based approaches have the potential to be a game-changer in ecological research and could
107 lead to new mechanistic insights into organismal form-function relationships structuring whole
108 ecosystems. However, these advances cannot be taken for granted, in particular if there is a gap
109 between data availability and the concurrent conceptual and methodological advances in trait-based
110 ecology. So far, trait-based studies of animals mostly rely on easily measurable traits, such as organismal
111 size, or widely available *soft* traits, such as the ecological preferences of species (Jones et al., 2009;
112 Wilman et al., 2014), both of which may only have weak and indirect effects on the ecological processes
113 under study (Funk et al., 2017). Moreover, trait-based ecology has been biased towards species-poor
114 ecosystems (Etard et al., 2020) and to taxonomic groups in which the relationship between organismal
115 form and function may be particularly tight, such as plants (Díaz et al., 2016) and birds (Pigot et al.,
116 2020). The capacity of trait-based approaches to generalize ecological understanding across trophic
117 levels and spatial scales therefore remains to be tested for most animal taxa and ecosystems.

118

119 **Key questions of the Special Focus**

120 With this Special Focus, we gauge the state of the art in research on animal functional traits and
121 associated concepts. We aim to cover the broadest possible range of animal taxa in order to address
122 three key questions of trait-based ecology. (Q1) Do functional traits allow us to generalize insights from
123 single to multiple trophic levels? (Q2) Can we use trait-based approaches to upscale understanding from
124 local communities to biogeographic patterns? (Q3) How can trait-based approaches contribute to better
125 predictions of global-change impacts on biodiversity and ecosystem functioning? In the following, we
126 discuss recent scientific progress around these three key questions, with a slight bias towards the topics
127 and taxa covered in this Special Focus.

128

129 **Question 1. Expanding insights from single to multiple trophic levels**

130 In recent years, trait-based approaches have been applied to taxa from across the tree of life making
131 this a truly universal approach in ecological research (Capdevila et al., 2020; Carmona et al., 2021). The
132 studies presented in this Special Focus cover animal taxa with a body mass ranging from about 0.1 mg to
133 1000 kg and inhabiting aquatic and terrestrial ecosystems. The featured animal taxa are located at
134 different trophic levels including primary consumers, secondary consumers, apex predators and
135 detritivores (Fig. 1). The diversity of studies across trophic levels demonstrates the great potential of
136 trait-based approaches for gaining a multi-trophic understanding of the assembly of ecological
137 communities (Seibold et al., 2018). Moreover, conceptual progress in trait-based ecology provides
138 means to compare the functional roles of species from different trophic levels (Dehling & Stouffer, 2018)
139 and to identify the key processes shaping trophic interactions (Wootton et al., 2023).

140 So far, the specific functional traits underpinning ecological processes and ecosystem functions are
141 unknown for most taxa. Moreover, different functional traits may shape species interactions within and
142 across trophic levels (Walter et al., 2023), demonstrating that multiple traits jointly structure multi-
143 trophic communities and ecosystem functions (Gravel et al., 2016). In particular, morphological traits
144 alone may not always be sufficient for predicting trophic interactions and their associated ecosystem
145 functions (Bartomeus et al., 2016). In the Special Focus, it is shown that trophic interactions between
146 plants and animals are not only structured by morphological trait matching, but also depend on the
147 relationship between the energetic demands of animals and the energetic provisions of plants (Neu et
148 al., 2023). The importance of physiological traits in shaping community composition is also shown for
149 dung beetles communities (Williamson et al., 2022). Measurements and analyses of specific functional

150 traits, however, do not necessarily outperform the predictive power of *soft* ecological traits. For
151 instance, the morphological traits of wood-inhabiting beetles were less informative to derive trait-
152 environment associations compared to ecological categorizations of species (Drag et al., 2023). This
153 demonstrates that we as functional ecologists need to continue the quest for the most informative
154 traits. By identifying more and more of these traits, we will eventually be able to fully exploit the
155 possibilities of trait-based ecology and analyse how trait-based processes that operate within and across
156 across trophic levels structure ecological communities.

157

158 **Question 2. Upscaling understanding from small to large spatial scales**

159 Ecologists strive to generalize understanding from one ecosystem to another and from small to large
160 spatial scales by using functional traits. The identification of such generalities and the foundation of
161 functional biogeography hinges on the availability of global trait datasets (Violle et al., 2014). Recent
162 progress in trait-based ecology demonstrates that the field is rapidly advancing in that direction and that
163 macroecology and functional ecology have started to address similar questions. Many of the studies
164 included in this Special Focus worked on large spatial scales across elevational (Drag et al., 2023) and
165 latitudinal gradients (Ferrín et al., 2023; Ibarra-Isassi et al., 2023; Srivastava et al., 2023), or even
166 covered the entire globe (Ali et al., 2023; Crouch & Jablonski, 2023; Pincheira-Donoso et al., 2023).
167 Importantly, these large-scale analyses are no longer restricted to vertebrates (Etard et al., 2020), but
168 are now also possible for many invertebrate groups including ants, beetles, springtails and aquatic
169 macroinvertebrates.

170 Based on the insights from such studies, we identify two main benefits derived from trait-based analyses
171 at large spatial scales. First, large-scale studies provide broad environmental gradients and help to
172 detect previously unknown associations between functional traits and environmental conditions. For
173 instance, this has enabled the identification of functional traits mediating springtail responses to aridity
174 and drought (Ferrín et al., 2023) or mechanisms of species sorting according to ant functional traits
175 across forest biomes (Ibarra-Isassi et al., 2023). Second, and even more important, large-scale analyses
176 of trait diversity can be used to test macroecological theory (Lamanna et al., 2014) and assembly rules of
177 ecological communities and networks (Marjakangas et al., 2022). As expected, the findings of such
178 empirical studies are not as straightforward as theory would predict and show that patterns in trait
179 diversity and trait matching across trophic levels are contingent on the biogeographic context (Dalsgaard
180 et al., 2021; Srivastava et al., 2023). As a consequence of such contingencies, macroecological trends

181 and small-scale community responses to changing environmental conditions can be disconnected (Ferrín
182 et al., 2023). Nevertheless, adding functional traits to large-scale analyses of ecological networks
183 generally outperforms the predictive power of analyses merely based on taxonomic entities (Dehling et
184 al., 2021). At a global scale, trait-based approaches can help to detect mechanisms underpinning the
185 latitudinal diversity gradient and explain why tropical ecosystems contain so many more species than
186 ecosystems distant from the equator (Lamanna et al., 2014). For instance, trait-based analyses can be
187 used to infer the intensity of competitive interactions between species and test whether trait
188 divergence differs across ecological communities globally (Crouch & Jablonski, 2023). These first steps
189 on the new ground of functional biogeography are most promising and call for intensified efforts in the
190 compilation of global trait data for many more taxonomic groups (Gallagher et al., 2020). It will be
191 exciting to see how these upcoming efforts will further advance understanding of the mechanisms
192 underlying global biodiversity patterns.

193

194 **Question 3. Predicting the ecosystem-level consequences of biodiversity loss**

195 One of the biggest promises of trait-based ecology is to generalize ecological understanding from a
196 species-specific perspective towards an ecosystem-level understanding. As a prime example, trait-based
197 ecology has gathered ample evidence that the downsizing of ecological communities by the selective
198 extinction of the largest organisms and their functional traits reduces ecosystem functioning (Dirzo et
199 al., 2014; Enquist et al., 2020; Fricke et al., 2022). The studies in this Special Focus demonstrate that the
200 step from the species to the ecosystem level can now be principally taken for many types of ecosystem
201 functions, such as litter and wood decomposition by springtails and beetles (Drag et al., 2023; Ferrín et
202 al., 2023), pollination and seed predation by birds and insects (Neu et al., 2023), or avian seed dispersal
203 and arthropod predation (Peña et al., 2023). Taking this step by means of trait-based analyses enables
204 ecologists to predict global-change impacts on ecosystem functioning and contributes key knowledge to
205 ecosystem and conservation management.

206 An important consensus across many previous studies has been that global change leads to systematic
207 losses of species with particular functional traits (Carmona et al., 2021; Clavel et al., 2011; Dirzo et al.,
208 2014). Using trait-based approaches, the studies compiled in this Special Focus infer systematic species
209 responses to global change for very different taxonomic groups of animals. For instance, the thermal
210 sensitivity of dung beetles mediates community responses to temperature increase following
211 deforestation (Williamson et al., 2022), whereas dispersal capacity helps explain occurrence patterns of

212 bats in tropical forest fragments (Colombo et al., 2023). Globally, species extinctions are projected to
213 lead to systematic reductions in the trait space of birds (Ali et al., 2023) and crocodiles (Griffith et al.,
214 2023). In particular, these studies show how species extinctions result in shifts in size-independent trait
215 dimensions such as those related to climatic tolerance, foraging and movement (Ali et al., 2023; Griffith
216 et al., 2023). In amphibians, extinction risk was related to a large body size across amphibian taxa, but
217 was also associated with taxon-specific drivers, such as UV-B radiation increasing the extinction risk for
218 salamanders (Pincheira-Donoso et al., 2023). Systematic changes in community composition are likely to
219 trigger feedback effects on other trophic levels in ecological networks (Bascompte et al., 2019;
220 Schleuning et al., 2016) and on ecosystem functions dependent on trophic interactions (Gravel et al.,
221 2016). However, only few studies have empirically tested how this affects ecosystem functioning in
222 multi-trophic communities (Eisenhauer et al., 2019). This is primarily due to the difficulty of measuring
223 ecosystem functions mediated by interactions across trophic levels. In a study based on empirical
224 measures of avian ecosystem functions, trait-based analyses were most powerful for those functions
225 that are constrained by the trait matching between consumer and resource species (Peña et al., 2023).
226 Further cross-function analyses will be needed to identify the mechanisms by which trait diversity and
227 ecosystem functioning are related across trophic levels (Gagic et al., 2015; Peña et al., 2023), which will
228 be the basis for predicting the consequences of biodiversity loss for whole ecosystems.

229

230 **Towards the integration of trait data, concepts and knowledge**

231 We have identified three key questions of trait-based ecology and showed how recent work has
232 contributed to start answering these questions. The biggest potential of trait-based ecology emerges
233 from its possibility to synthesize scientific insight from across different branches of the tree of life, for
234 instance by projecting a snail, a beetle and a fox into the same functional trait space (Junker et al.,
235 2023). This potential for generalization can open up unprecedented opportunities for testing ecological
236 theory (Violle et al., 2014) and for applying the gained knowledge to ecosystem and conservation
237 science (Laughlin, 2014). From a multi-trophic perspective, we have only started to move towards these
238 goals and, despite decades of trait-based ecology, only have a fragmentary knowledge biased towards
239 specific taxa and biogeographic regions (Etard et al., 2020). We therefore argue that the future of trait-
240 based ecology will lie in the integration of data, concepts and knowledge.

241 The asymmetric advances in trait data collection have led to an uneven availability of data across taxa.
242 Plant ecologists have early on identified the big potential of trait-based concepts (Lavorel & Garnier,

243 2002) and the need for coordinated global efforts of trait data compilation (Kattge et al., 2020). Animal
244 ecology can learn from this experience and start integrating currently disparate data into global trait
245 databases with a high coverage within and across taxa (Gallagher et al., 2020). The parallel integration of
246 trait-based concepts across taxa can be facilitated by recent advances in life-history and metabolic
247 theory (Brown et al., 2018; Healy et al., 2019). Putting these theoretical advances into a trait-based
248 perspective enables new insights into the functional principles structuring plant and animal diversity
249 (Capdevila et al., 2020; Junker et al., 2023). A big strength of such approaches is that they provide a
250 nexus between classic theory (Grime, 1988; Pianka, 1970; Stearns, 1976) and modern tools of trait-
251 based analyses and models (Enquist et al., 2020; Villéger et al., 2008; Wootton et al., 2023). This
252 conceptual integration should not stop at the borders of the plant and animal kingdom, but actually
253 provides ready-to-use pathways for comparative analyses based on universal functional traits applicable
254 to both plants and animals (Carmona et al., 2021; Gibb et al., 2023). Putting plants and animals side by
255 side can yield many unexpected and surprisingly obvious analogies, for instance between the ecological
256 strategies of plants and eusocial insects such as ants (Gibb et al., 2023).

257 Trait-based ecology has so far focussed on traits that are relatively easy to measure and vary mostly at
258 species rather than at individual level (Herberstein et al., 2022; Tobias et al., 2022). Traits related to
259 animal behaviour that define responses of individual animals to global change (Carlson et al., 2021) are
260 yet underrepresented in global trait datasets, despite the increasing availability of such data, e.g., on
261 animal movements (Kays et al., 2022). Trait-based ecology therefore needs to develop unifying
262 frameworks that are able to integrate trait data describing the phenology, life history, morphology,
263 physiology and behaviour of organisms from across taxonomic groups (Kissling et al., 2018). Such an
264 integration will provide many new opportunities for cross-taxon analyses and increase the capacity to
265 disentangle trait variation and organismal responses to environmental change within and across species
266 (Ibarra-Isassi et al., 2023). Given these big opportunities, the research community is very much aware of
267 the need for trait data integration, the necessity to develop interoperable methods and data protocols
268 (Palacio et al., 2022; Schneider et al., 2019), as well as the synergies emerging from an adherence to
269 open science principles (Gallagher et al., 2020).

270 The collection of articles in this Special Focus highlights the need to move trait-based ecology beyond
271 the description of body size distributions. The simple reason for this is that the complexity of ecological
272 communities is governed by multiple trait dimensions and by the interplay of traits across trophic levels
273 (Fig. 1). Indeed, we can refine trait-based approaches by the identification, measurement and

274 compilation of a new generation of animal functional traits, e.g., based on morphological, physiological
275 or behavioural measurements. At first glance, this may stand in contrast to our call for identifying
276 universal traits and general trait-based principles across the tree of life. It is undoubtedly true that a part
277 of the future endeavour of trait-based ecology will require us to delve deep into the analysis of form-
278 function relationships of specific groups of organisms. However, the knowledge gained by these
279 analyses should provide insights and stimulation for the parallel efforts in many different animal taxa
280 and ecosystems. If the promise of trait-based ecology is to provide the necessary means to generalize
281 from one taxa to another and from one ecosystem type to another, we as functional ecologists must be
282 ready to learn from the diversity of approaches in trait-based ecology. We hope that this Special Focus
283 provides exactly this integrative perspective on recent trends in the analysis of animal functional traits
284 and stimulates scientific progress towards a trait-based ecology for whole ecosystems.

285

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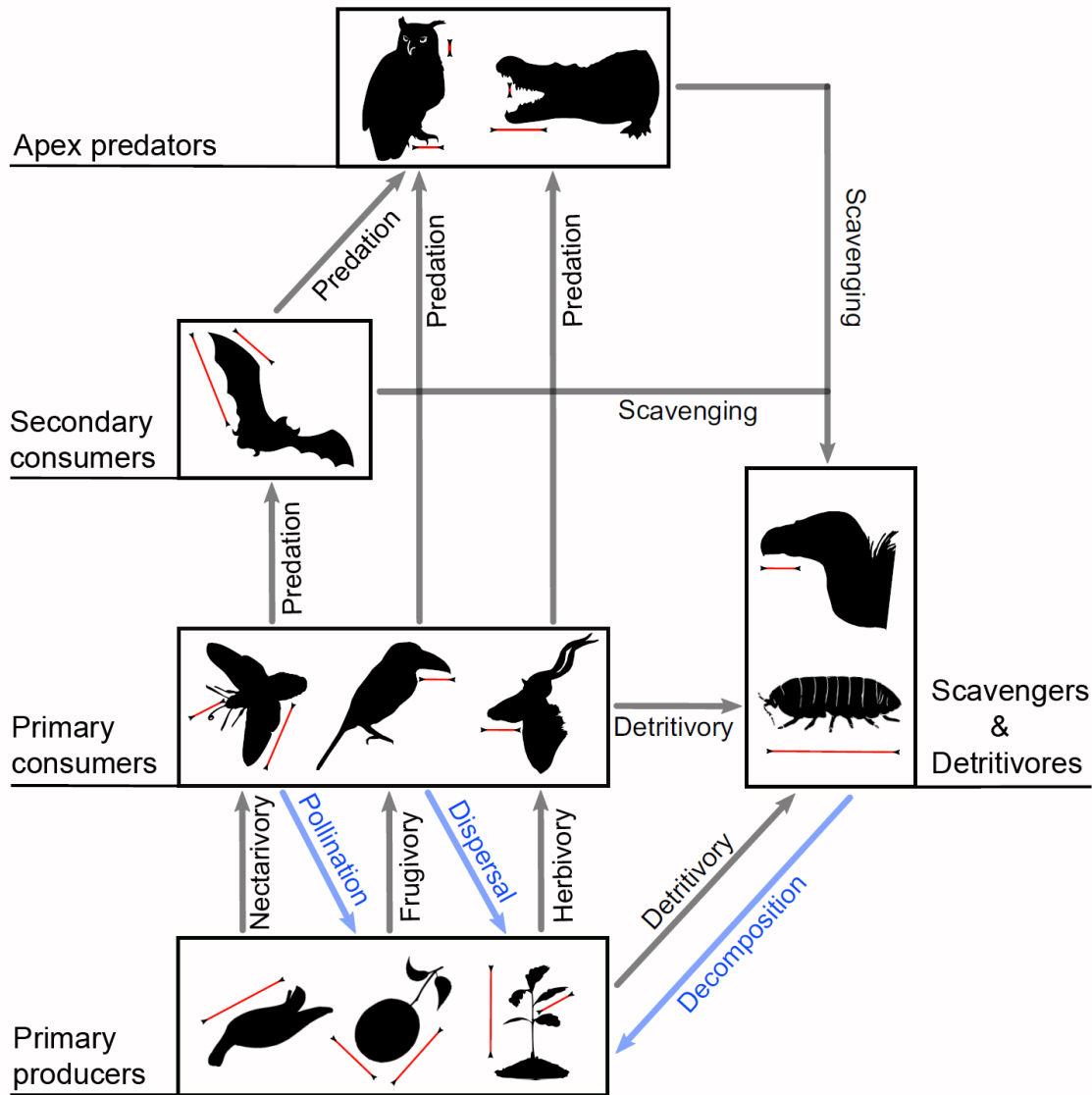
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499 **Figure 1. Functional traits across trophic levels.** A conceptual diagram showing a simplified ecosystem
 500 with plants (primary producers) at the lowest trophic level and animals occupying higher trophic levels.
 501 Selected functional traits are indicated by red measurement bars, and the links between traits across
 502 trophic levels are shown by grey arrows. These traits primarily mediate trophic interactions between
 503 organisms located at different trophic levels, e.g., via trait matching between flowers and fruits and
 504 their interacting animal partners. The same traits also influence interactions within trophic levels, e.g.,
 505 via competitive interactions between nectarivores or frugivores feeding on the same type of plant
 506 resources. The shown traits further mediate feedback effects onto lower trophic levels and shape
 507 important ecosystem functions such as pollination and seed dispersal (blue arrows and font).