

Lyophilised nanovesicles loaded with vitamin B₁₂

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ABSTRACT

The use of nanomaterials in recent years has shown many advantages for the production of pharmaceuticals, cosmetics, food, and food packaging. Nanovesicles are emerging as carriers of bio-compounds and drugs at a wide variety of applications due to the hydrophilic and lipophilic character of the structure: an aqueous core surrounded by a lipid layer. In this work, vitamin B₁₂ (cobalamin) was encapsulated, due to its synergic activity with antibiotics. Moreover, this bio compound is present in several food products and pharmaceuticals products. A freeze-drying process is proposed for nanocarriers developments, due to its advantages for storage and transportation. Three types of vesicles formulations were tested: liposomes, niosomes and positively charged niosomes. Maltodextrin at 20% concentration (w/w) was added to the formulation to protect the vesicles during the lyophilisation process. The nanovesicles particle size and morphology was characterized by Dynamic Light Scattering and Transmission Electron Microscopy, and the encapsulation efficiency by HPLC with UV-Vis's detection. The lyophilised powder was resuspended in three aqueous phases: pure water, glycerol and PEG400 aqueous solutions. An increase in the mean vesicle size was observed as a general trend. It was also studied the effect of adding vitamin B₁₂ before or after the lyophilisation step on mean vesicles size, results indicated that vesicles were less affected in size when vitamin B₁₂ was added before the lyophilisation step. Moreover, encapsulation efficiency (EE) of vitamin B₁₂ in lyophilised vesicles arise values up to 70% while the loading capacity (LC) of these systems were 100 mg/g, values obtained for liposomes when PEG400 solution was used as hydrating media, showing that the method developed for loaded lyophilised nanocarriers do not alter EE and LC.

Keywords: nanovesicles, lyophilisation, encapsulation, vitamin B₁₂, hydration media

INTRODUCTION

Vitamin B₁₂ (cobalamin) is an organometallic molecule with essential roles in prokaryotes and eukaryotes. A large variety of enzymes uses the major biological forms of vitamin B₁₂ as cofactor, such as methyltransferases, dehydratases, deaminases, and ribonucleotide reductases [1]. Vitamin B₁₂ cannot be synthesized, and it needs to be incorporated in the diet, thus making it a good candidate as a drug carrier. In addition, the vitamin B₁₂ uptake mechanisms in bacteria may be used to improve the efficacy of antibiotics and to design novel carrier systems for industrial or biomedical applications. Some works reported a synergistic antimicrobial activity of vitamin B₁₂ with antibiotic in resistant bacterial cells [2], and the good efficiency of vitamin B₁₂-antibiotic conjugates[3]. Thus,

42 this biomolecule, together with alternative strategies to overcome antimicrobial resistance, showed
43 great potential as a delivery vehicle [4] . Moreover, the use of antisense oligomers-vitamin B₁₂
44 conjugates may be used to target undesirable biofilms and inhibit bacterial growth, and their
45 internalization by bacterial cells may increase by improving B₁₂ bioavailability [5]. In this sense, the
46 encapsulation of vitamin B₁₂ in vesicles and its slow release enhances the absorption and
47 bioavailability, in addition to protecting the vitamin from degradation induced by heat, light, air and
48 bad storage.

49
50 Vesicles are nanocarriers able to encapsulate both hydrophilic and hydrophobic drugs since these
51 colloidal systems have an aqueous core surrounded by a lipid layer. Vesicles can be used for
52 controlled drug release, in pharmaceutical, cosmetics and food applications. Liposomes are vesicles
53 formed by phospholipids that show several disadvantages such as instability due to oxidation or lipid
54 hydrolysis, drug leakage and aggregation or fusion of vesicles. Niosomes are vesicles whose
55 membrane bilayer is formed by non-ionic surfactants showing some advantages respect traditional
56 liposomes. Lower cost and higher biodisponibility due to its easier functionalization are among the
57 most interesting advantages [6]. However, niosomes have also stability problems such as
58 sedimentation, and aggregation as in the case of liposomes [7].

59
60 Lyophilisation is one of the possible strategies to ensure the colloidal stability of the nanovesicles and
61 extend the useful life avoiding problems in their storage and transportation. It is a complex process
62 requiring four stages: freezing, sublimation, desorption and storage in which the resulting material is
63 a dried powder. Thus, it is important to choose excipients to protect the membrane integrity from the
64 stresses during freeze-drying to reduce drug leakage [8].

65
66 The excipients commonly used are sugars, especially disaccharides like maltodextrin or dextran.
67 Several authors have tried to explain the protection mechanism of these type of molecules, however,
68 at present there is not a clear established mechanism [9,10]. Authors suggest the mechanism is based
69 on interactions (hydrogen bonds) between phospholipids and sugars. In fact, it was demonstrated that
70 sugars interact not only with the phospholipids phosphate groups but also with the hydrophobic part
71 of the molecule. [11]. In any case, during the freezing stage sugars form an amorphous matrix with
72 high viscosity and low mobility, in this way vesicles are enabled to keep their distance.

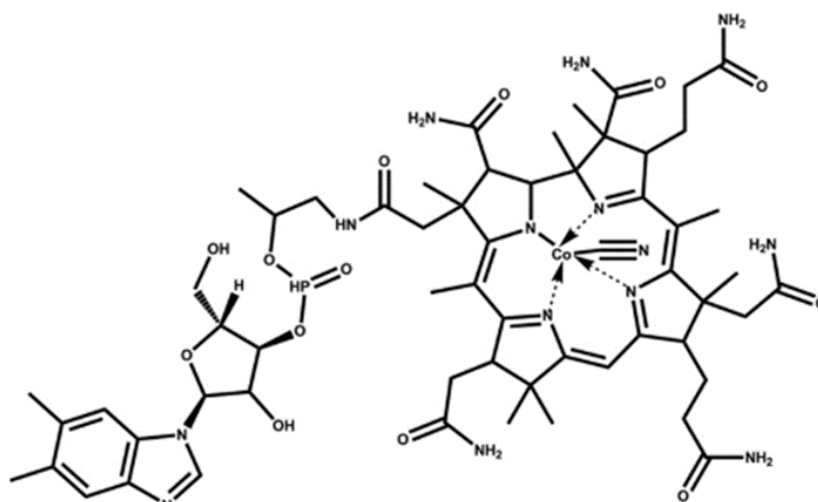
73
74 Proniosomes are vesicles-based formulation that reduce fusion, leak and aggregation during storage.
75 Proniosomes are dried formulations of the surfactant which are rehydrated by short stirring in hot
76 water at a temperature higher than the surfactant mean transition phase temperature (T_c) [12]. They
77 can be synthesized using methods such as the slurry method, the slow spray drying coating method
78 [13] or lyophilisation after dissolving first the membrane components in organic solvent and
79 evaporating the solvent later [14]. In case that those proniosomes were required to be used as a
80 nanocarriers the bio compound was added on the hydration step, making frequently necessary the
81 storage of the hydration solution containing the bio compound in specific conditions.

82
83 Maltodextrin is a hydrophilic and biocompatible molecule used especially for the formulation of
84 proniosomes, in fact it attaches to the niosomes surface and increases their stability and bioavailability
85 [15].

86

87 In this work, the encapsulation of vitamin B₁₂ in lyophilised nanovesicles has been studied. This is a
88 compound with high hydrophilicity, and its affinity for the external phase enhances its release during
89 synthesis and storage but makes its encapsulation more difficult. Moreover, its high molecular weight
90 (1355.38 g/mol) and sterically hindering offers additional difficulties for encapsulation (Figure 1).
91

92 Three formulations were tested: Liposomes, niosomes formed by Span 60 and cholesterol, and
93 positive charged niosomes with Span 60, Cholesterol and CTAB (cetyltrimethylammonium bromide),
94 due to the positive effect of positivity charged particles on biofilms treatment. In order to obtain large
95 multilamellar vesicles the thin film hydration method was the preparation method selected (frequently
96 used for hydrophilic molecules encapsulation in vesicles) [16]. All the formulations were lyophilised
97 after the addition of maltodextrin and restored using three different aqueous media: pure water,
98 glycerol and PEG400 water solutions. In a previous study [16], glycerol and PEG400 solutions
99 seemed good hydration media obtaining large stable vesicles. The effect of adding the encapsulated
100 bio compound (vitamin B₁₂) before lyophilisation was studied in terms of vesicles size, encapsulation
101 efficiency (EE) and loading capacity (LC).
102



103
104 **Figure 1.** Chemical Structure of vitamin B₁₂

105

106 MATERIALS

107 Liposomes were formulated using phosphatidylcholine (PC), from soybean (Phospholipon 90G)
108 purchased from Lipoid GmbH (Ludwigshafen am Rhein, Germany). For niosomes preparation,
109 cholesterol, Span 60 and CTAB were purchased from Sigma Aldrich (USA). Vitamin B₁₂, purity \geq
110 98.5 % (B₁₂, MW 1355.38 g/mol) was also purchased from Sigma Aldrich. The hydration phases
111 used to restore vesicles after freeze-drying were prepared using polyethylene glycol 400 (PEG-400,
112 MW 380–420 g/mol, density 1.128 g/cm³, VWR International LLC, BDH PROLABO), glycerol
113 bidistilled 99.5 % (GLY, MW 92.09 g/mol, density 1.261 g/cm³, VWR International LLC, BDH
114 PROLABO) and ultrapure water (MQ). Maltodextrin (lot no.219425, MD, Pral, Barcelona) was
115 added as cryoprotectant, before the lyophilisation process.

116 METHODS

117 *Preparation of vesicles*

118 Thin film hydration method was carried out dissolving the surfactants that will form the membrane
119 in a round flask containing the organic phase (Absolute Ethanol). The solvent was then removed
120 under vacuum using a rotary evaporator until a thin film was formed on the wall of the flask. The
121 vitamin B₁₂ (hydrophilic) was dissolved in an aqueous phase and added to the flask. The suspension
122 was then incubated at constant temperature above the surfactant transition temperature [17].

123 A 20 mL solution of 50g/L of surfactants and lipophilic compounds to form the membrane was
124 prepared in absolute ethanol (as organic solvent). Solvent was removed under vacuum in a rotary
125 evaporator (Buchi Labortechnik AG, Flawil, Switzerland) at a bath temperature of 45°C and 150 rpm
126 of rotation speed to form a thin film around the wall of the flask. The dry film was hydrated adding
127 50 mL of an aqueous solution 0.2 mM of vitamin B₁₂. The hydration was performed for 2 h at 150
128 rpm and a bath temperature of 60°C. The vesicles suspension was homogenized by sonication
129 (Branson Ultrasonics Sonifier SFX150, Tamaulipas, Mexico) for 15 min using an amplitude of 55%
130 continuously, 500 W power and 20kHz frequency [18]. Thin film hydration procedures for loaded
131 vesicles after and before lyophilisation with vitamin B₁₂ are summarised in Figure 2. The three
132 different vesicle formulations (membranes) tested are reported in Table 1.

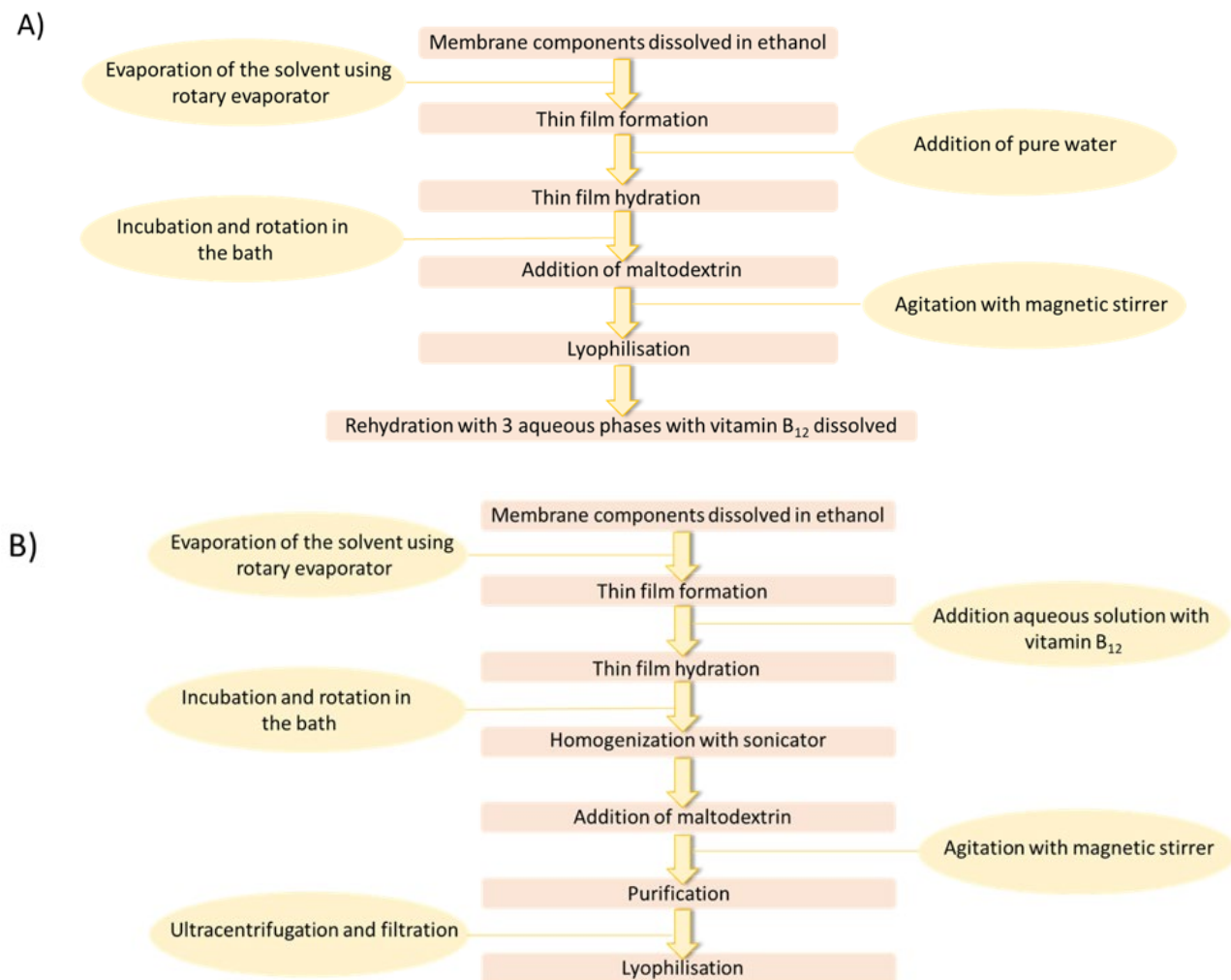
133 **Table 1.** Composition of the vesicles synthesized

	Membrane components	Molar ratio
<i>I</i>	Span 60, Cholesterol	2:1
<i>II</i>	Phospholipon 90	1:0
<i>III</i>	Span 60, Cholesterol, CTAB	1:1:1

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139 **Figure 2.** Steps used in the Thin film hydration: A) Loaded vesicles after lyophilisation B) Loaded
140 vesicles before lyophilisation

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142 *Vesicles purification*

143 Purification was carried out to remove the non-encapsulated material by ultracentrifugation using
144 ultra 0.5 mL centrifugal filter devices in a microcentrifuge (Espresso centrifuge, Thermo electron
145 corporation) for 15 min at a 14,500 rpm. The supernatant was filtered using 0.22 µm pore diameter
146 PES syringe filters for further analysis by Reverse phase High Performance Liquid Chromatography
147 (RP-HPLC).

148 *Preparation of lyophilised vesicles*

149 Experiments using procedures described in Figure 2 were carried out for nanovesicles lyophilisation.
150 For the loaded vesicles after lyophilisation procedure each suspension was maintained at -80°C for
151 24 hours. Lyophilisation was performed under vacuum conditions (0,1 mbar) using a Telstar Cryodos
152 Lyophiliser (Terrassa, Spain) Each powder was rehydrated using a 0.2 mM of vitamin B₁₂ aqueous
153 solution. The concentration used was chosen **consindering** that this amount it is enough to have a
154 synergistic antimicrobial activity [3,4] Three different aqueous phases were used: (i) MilliQ water,

155 (ii) a (60:40) MilliQ water and glycerol solution and (iii) a (55.7:44.3) MilliQ water and PEG400
156 solution respectively.

157

158 For the loaded vesicles before lyophilisation procedure each suspension was maintained at -80°C for
159 24 hours. Lyophilisation was carried out using the same instrument described for method A but in
160 this case the vitamin B₁₂ was added before lyophilisation. The powders obtained for each formulation
161 were resuspended in the three different aqueous solutions aforementioned using the sonicator
162 (Branson Ultrasonics Sonifier SFX150, Tamaulipas, Mexico) with an amplitude of 55% continuously
163 for 10 min, 500 W power and 20kHz frequency.

164

165 CHARACTERIZATION

166 *Determination of size distribution and morphology*

167 Vesicles size were determined using Dynamic Light Scattering on a Zetasizer NanoZS series
168 (Malvern Instruments Ltd., Malvern, UK. The number-based size distribution was used for vesicles
169 characterization. The analysis was made for vesicles not lyophilised before and after the addition of
170 maltodextrin, and for vesicles restored with the three different aqueous media after lyophilisation.

171 Morphology was studied using negative staining transmission electron microscopy (NS-TEM), with
172 a JEOL-2000 Ex II transmission electron microscope (Tokyo, Japan). A drop of the sample was
173 placed into the carbon-coated copper grill and a 2% (w/w) phosphotungstic acid solution was added
174 to the grill as dye to have a negative staining of the samples.

175 ENCAPSULATION EFFICIENCY (EE%) AND LOADING CAPACITY (LC)

176 In order to evaluate the encapsulation efficiency and loading capacity, purified and non-purified
177 vesicles were treated using methanol 1:10 (v/v). This step breaks the membrane bilayer and releases
178 the encapsulated vitamin B₁₂. RP-HPLC (HP series 1100 chromatograph, Hewlett Packard, Agilent
179 Technologies), using a Zorbax Eclipse Plus C18 column (4.6 mm x 150 mm, 5 µm, Agilent
180 Technologies, Santa Clara, California, USA) was used for the quantification of vitamin B₁₂. The
181 chromatographic method used included a linear gradient with MQ (mobile phase A) and methanol
182 (mobile phase B). The gradient started with 20 % of B, obtaining 100 % of B at 5 min and kept
183 constant for 10 min. The flow rate was 0.8 mL/min. Retention time for Vitamin B₁₂ was 4.35 min at
184 λ =361 nm. [16]

185 Encapsulation efficiency (EE) was calculated according to equation 1:

$$186 \quad EE\% = \frac{[\text{Concentration of vitamin B}_{12} \text{ in purified vesicles after methanol treatment}]}{[\text{Concentration of vitamin B}_{12} \text{ at no purified vesicles after methanol treatment}]} \times 100$$

187 (1)

188 Calibration curves for each hydration phase were performed in the range of 0.03-0.2mM of vitamin
189 B₁₂. In order to simulate the vesicles samples measured all samples used for the calibration were
190 diluted 1:10 with water: methanol. A linear regression was obtained for the three aqueous phases and
191 from the equation the concentration of vitamin B₁₂ presents in the samples was calculated (Table 4).

192 In addition to the EE of the system, it is important to quantify the mass of Vitamin B₁₂ present, this
193 will indicate the load capacity of the vesicles [19]. An indirect method was used to determine the
194 amount of bio compound in the vesicles based on the ratio between the total mass of the initial vitamin

195 added and the mass of the membrane components forming the membrane bilayer plus the grams of
196 vitamin obtained from the calculation of the concentration through the calibration curves.

197 Equation 2 was used to calculate the loading capacity (LC):

$$198 \quad LC = \frac{W_b}{W_T} \quad (2)$$

199 Where W_b corresponds to the weight of the bio compound and W_T corresponds to the total weight of
200 bio compounds and vesicle membrane compounds used.

201 Statistical analysis

202 All data were expressed as the mean \pm SD (standard deviation) of three independent experiments, and
203 statistical analysis of the data was carried out (ANOVA). Fisher's test ($p < 0.05$) was used to calculate
204 the least significance difference (LSD) using statistical software Microsoft Excel.

205

206 **RESULTS AND DISCUSSION**

207 The results obtained in the present work will be divided in two sections. Those obtained with method
208 A, when vitamin B₁₂ were added on the hydration step, procedure similar to the one followed in the
209 literature for proniosomes preparation [20] and method B, in which vitamin B₁₂ was added before the
210 lyophilisation step.

211 *Method A. Bio compound addition on the hydration step*

212 The effect of maltodextrin concentration on the resulting loaded vesicles after lyophilisation size and
213 size distribution was studied. Maltodextrin was added in the range 10-40% w/w. The size of vesicles
214 prepared was not affected up to 20% w/w of maltodextrin concentration, with no significant
215 difference on the mean particle size. Results of size and PDI (polydispersity index) values for the
216 three formulations used before and after the addition of maltodextrin 20% are summarized in Table
217 2. However, higher maltodextrin concentration lead to lower size reproducibility results due to the
218 large agglomerates formation, most likely due to the excessive addition of maltodextrin which
219 saturates the solution. The addition of a sugar as cryoprotectant is important to improve the stability
220 of the formulations and protect them during the lyophilisation process, this is confirmed by the water
221 replacement theory in which sugar molecules replace the hydrogen bonds of water [21] and interacting
222 with phospholipids or surfactant maintaining the space between the phospholipid head and reducing
223 the van der Waals forces of the tails. In this way the contact among membranes in proximity is less
224 and prevents the aggregation and damage of liposomes caused by ice crystals during the freezing
225 process [22].

226 The results obtained from DLS before lyophilisation process and after restored with different
227 hydration media in which vitamin B₁₂ was added are also shown in table 2. Particle size distributions
228 obtained are presented in Figure 3.

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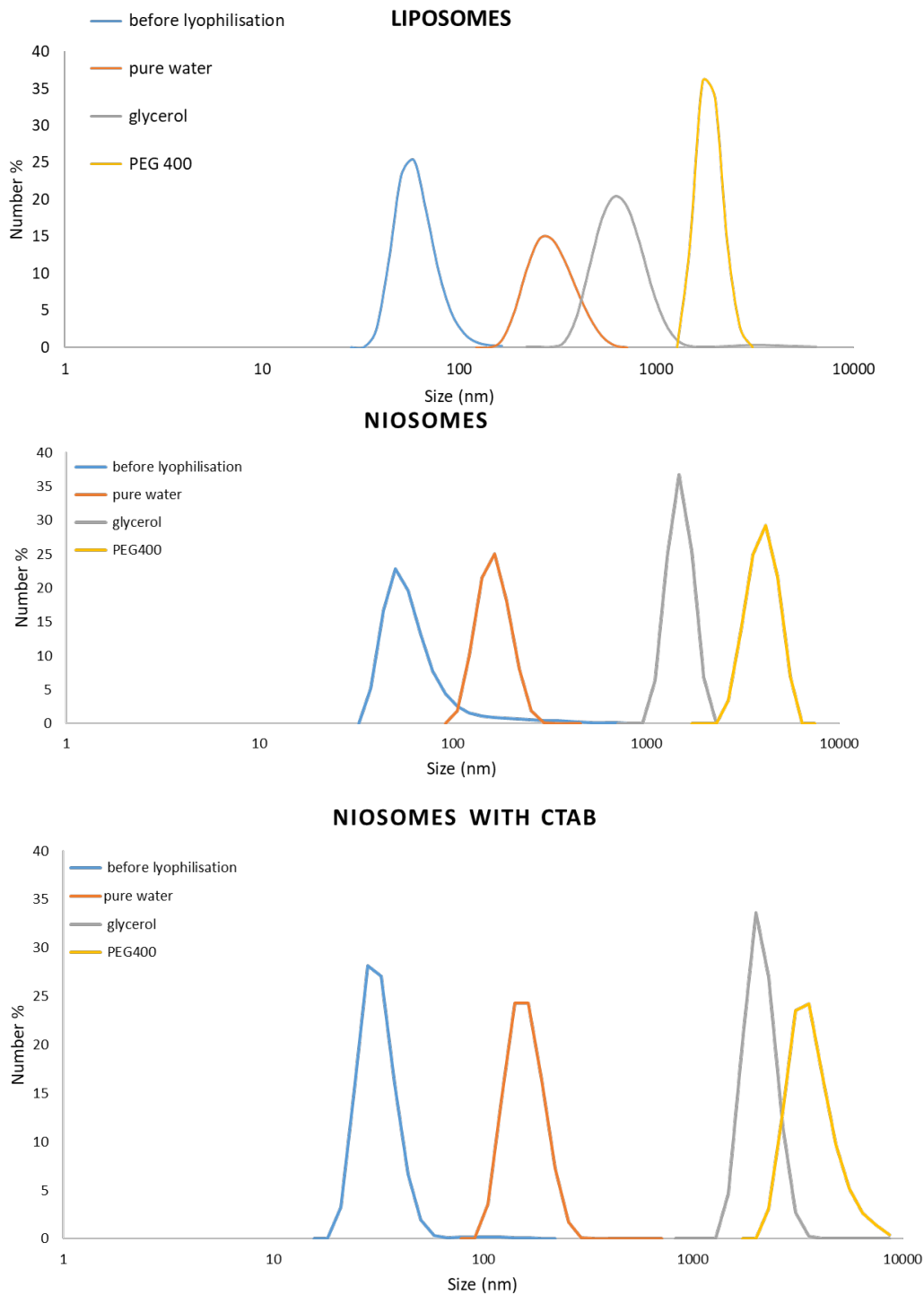
235 **Table 2.** Vesicles size and PDI before and after the lyophilisation process adding vitamin B₁₂ on the
 236 rehydration step.

237

FORMULATION	BEFORE MALTODEXTRIN		BEFORE LYOPHILISATION		AFTER LYOPHILISATION		
	Size (nm)	PDI	Size (nm)	PDI	Hydration media	Size (nm)	PDI
Liposomes	72±35 ^a	0.25±0.01	77±65 ^{a,w}	0.45±0.01	MQ water	679±73 ^x	0.43±0.04
					MQ:Glycerol	718±105 ^x	0.62±0.03
					MQ:PEG400	1888±530 ^y	0.83±0.30
Niosomes	89±29 ^a	0.35±0.03	96±72 ^{a,w}	0.50±0.09	MQ water	243±135 ^w	0.60±0.03
					MQ:Glycerol	2522±185 ^x	0.37±0.15
					MQ:PEG400	8023±389 ^y	1.01±0.05
Niosomes+CTAB	57±18 ^a	0.32±0.01	43±13 ^{a,w}	0.41±0.01	MQ water	216±118 ^x	0.91±0.06
					MQ:Glycerol	2131±12 ^y	0.36±0.10
					MQ:PEG400	9000±357 ^z	0.61±0.27

238 Letter a indicates no significant differences between samples before lyophilisation with and without
 239 maltodextrin, letters (w- z) indicate significant differences between samples before and after lyophilisation.

240



241

242 **Figure 3.** Size distribution curves of the vesicles before and after the lyophilisation process and
 243 rehydration with 0.2 mM of vitamin B₁₂ dissolved in the three aqueous phases.

244 For the three formulations prepared **no significant** differences on mean particle size was observed.
 245 For these three formulations used it was observed that the hydration media used has a large significant
 246 influence on the final vesicle size. All vesicles increases in size after resuspension, being the pure
 247 water the hydration media that allows to obtain smaller vesicles. Moreover, the use of pure water on
 248 liposomes resuspensions offered larger size increase compared to the resuspension of niosomes,
 249 **especially** for those without CTAB on its formulation.

250 However, the use of glycerol and PEG400 solutions as hydration media presented larger influence on
 251 niosomes than in liposomes. For all formulations tested, larger values were obtained with those
 252 hydration media, being the effect even larger in the case of PEG400 solutions. As a general trend, the
 253 PDI value of all vesicles increased after lyophilisation and resuspension processes for all formulations
 254 and hydration media used. Similar values were obtained by other authors when glycerol was used for
 255 vesicles formulation [23] what was found to make vesicle membrane more fluid enhancing molecules
 256 penetration.

257 *Method B. Bio compound addition before lyophilisation step*

258 *Size and morphology*

259 Loaded vitamin B₁₂ vesicles were analyzed with the same criteria evaluating the effect of
 260 maltodextrin and the lyophilisation process on the mean sizes and PDI values shown in table 3.
 261 Maltodextrin did not have large influence on vesicle size. A slight increase was observed for
 262 liposomes increasing from 31 to 42 nm while not significant differences were observed for any type
 263 of niosomes tested. Figure 4 presents TEM images of liposomes, niosomes and niosomes with CTAB
 264 after the maltodextrin addition. It can corroborate the small size of liposomes compared the two
 265 niosomal systems prepared.

266 **Table 3.** Size and PDI values before and after maltodextrin addition, after lyophilisation process and
 267 the further rehydration with different hydration medias, with addition of the bio compound before
 268 lyophilisation step.

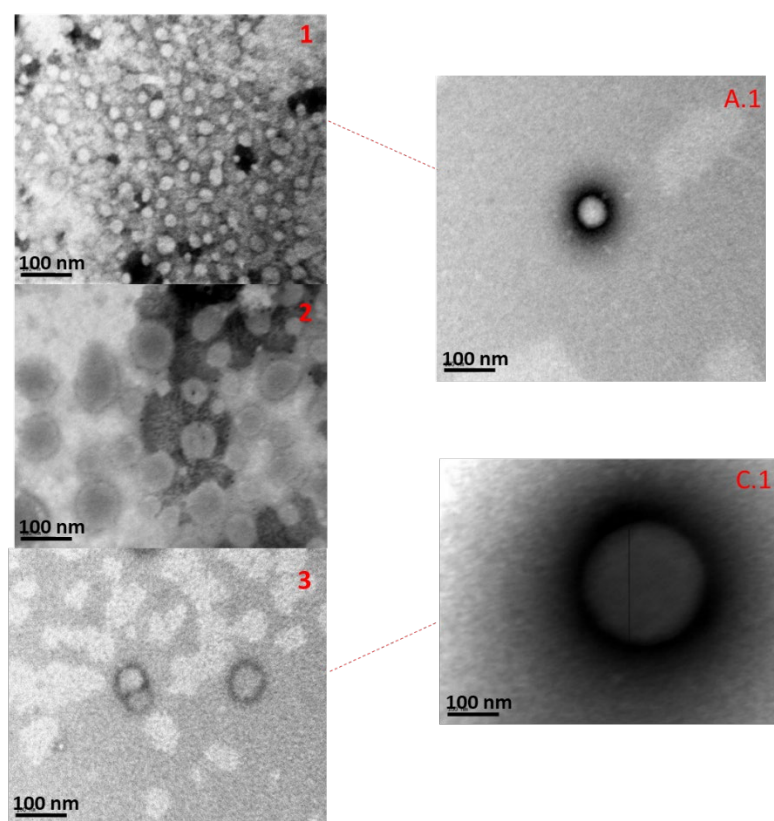
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	BEFORE		AFTER		AFTER LYOPHILISATION		
	MALTODEXTRIN		MALTODEXTRIN		Hydration media	Size	PDI
	Size (nm)	PDI	Size (nm)	PDI		(nm)	
Liposomes	31± 3 ^a	0.31±0.02	42±5 ^{b, x}	0.32±0.06	MQ water	123±28 ^y	0.47±0.03
					MQ:Glycerol	225±99 ^y	0.58±0.10
					MQ:PEG400	4326±208 ^z	0.46±0.05
Niosomes	125±6 ^c	0.32±0.12	112±17 ^{c, x}	0.45±0.05	MQ water	268±64 ^y	0.94±0.11
					MQ:Glycerol	468±71 ^z	0.62±0.18
					MQ:PEG400	504±85 ^z	0.76±0.16
Niosomes+ CTAB	123±26 ^c	0.96±0.04	110±29 ^{c, x}	0.95±0.04	MQ water	105±26 ^x	0.82±0.04
					MQ:Glycerol	121±15 ^x	0.90±2.03
					MQ:PEG400	1412±139 ^y	0.40±0310

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272 Letters (a-c) indicate significant differences between samples before lyophilisation with and without
 273 maltodextrin, letters (x- z) indicate significant differences between samples before and after lyophilisation.



274

275 **Figure 4.** A) Liposomes formulated after maltodextrin addition-A.1) magnification of liposomes. B)
 276 Niosomes with maltodextrin. C) Niosomes+ CTAB with maltodextrin-C.1) magnification of
 277 niosomes+CTAB.

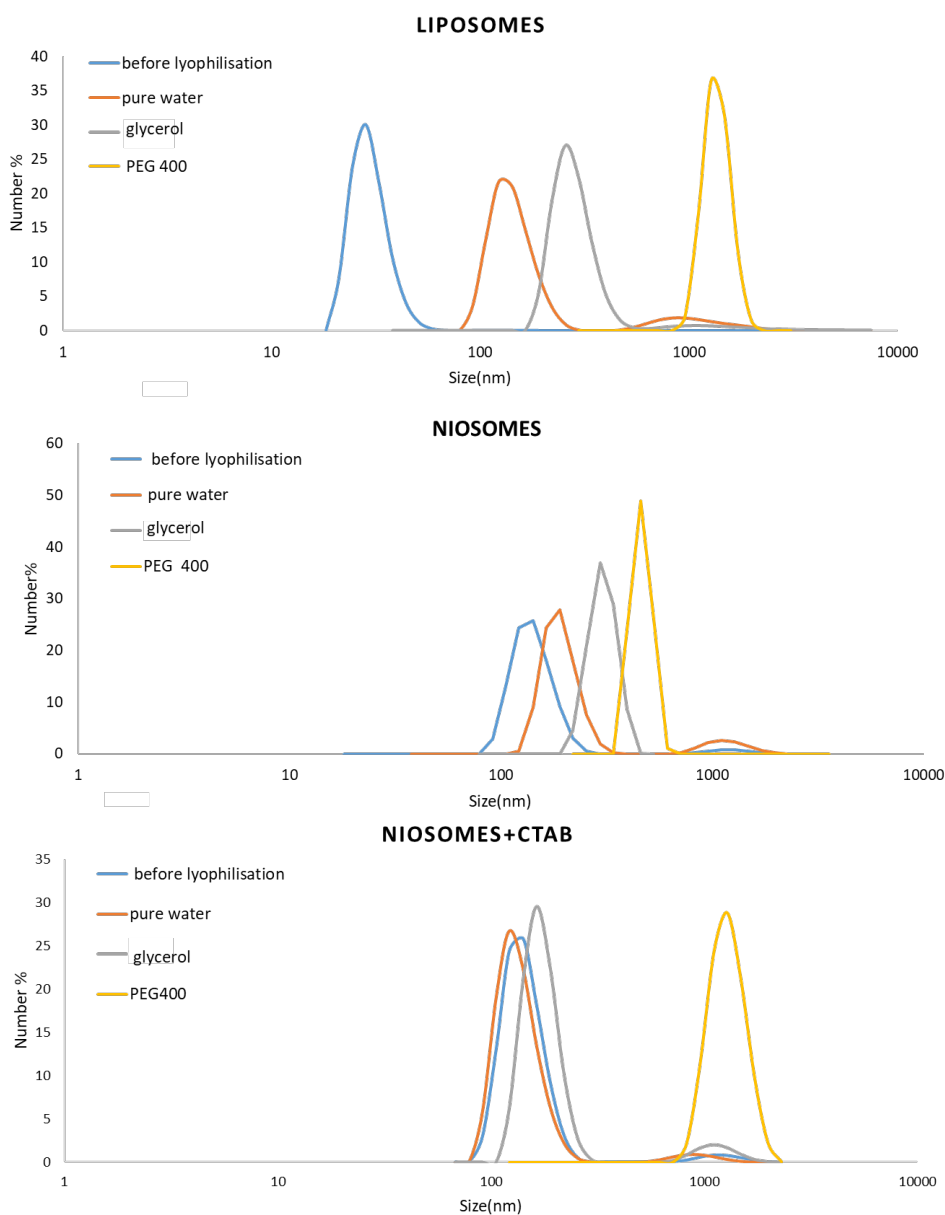
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279 After the lyophilisation and further powders hydration processes, it was observed a common trend in
 280 size increase for all formulations passing from water, glycerol and PEG400 as it was also observed
 281 in vesicles were vitamin B₁₂ was added at the hydration step. This behaviour is common, not only for
 282 the size but there is also an increase of PDI according to the amount of cryoprotectant and polymer
 283 used in the hydration step[24].The liposomes before lyophilisation presented a small size (40 nm)
 284 followed by niosomes with a mean size around 110 nm with a PDI between 0.4 and 0.9 that indicates
 285 a relatively broad size distribution.

286 Liposomes are found to be more affected by the hydration step than niosomes, even it can be observed
 287 that cationic niosomes size were not significantly affected by the hydration media of pure water and
 288 glycerol solution. However, a ten-fold increase in size was observed when the hydration of cationic
 289 niosomes was made by PEG400 solution. The low effect produced by glycerol solutions could be
 290 attributed to the presence of CTAB due to charge interaction between the surfactant CTAB and
 291 glycerol that forms a more compact system of vesicles [25].

292 After lyophilisation, differences can be appreciated between both methods, a comparative Table can
 293 be seen on supplementary material Table S1. As a general trend, it can be observed that the use of
 294 glycerol as hydration media produced larger size when vitamin B₁₂ was added after the lyophilisation
 295 process but in case of use of PEG400 solution as hydration medium different behaviour was observed
 296 depending on the type of vesicles used, higher size increase was produced when vitamin B₁₂ was
 297 added to liposomes before the lyophilisation step, while in the case of niosomes the higher increase

298 in size was observed when vitamin B₁₂ was added after the lyophilisation step. However, it is
299 important to point out the large vesicle size obtained in all cases when this PEG400 solution was
300 used, sizes values between 500 and 9000 nm were registered with PDI values between 0.4-1.0.



301

302 **Figure 5-** Distribution curves in comparison between vesicles containing 0.2mM of vitamin B₁₂
303 before lyophilisation and restored vesicles after lyophilisation with the three aqueous phases.

304 From the DLS and TEM analyses (Figure 5 and Figure 6) it can be seen the influence of the hydration
305 media used to restore the suspension in each formulation. The size increased using glycerol and
306 PEG400, in liposomes and niosomes, to values up to 500-1500 nm.

307

308 In recent years, glycerol has been used as hydration media cosolvent with water, they called vesicles
309 containing glycerol glycerosomes [26]. Glycerol increases the layer membrane thickness, increasing
310 the particles radius as glycerol concentration increases[16] .

311 Glycerol has been used also as cryoprotectants increasing the fluidity of the lipid layer after the
312 hydration and as stabilizer during the process of freezing and drying, providing more integrity of the
313 membrane and reducing the leakage of the compound encapsulated[27].

314 In TEM picture, figure 6, for each formulation rehydrated by glycerol is significant the presence of
315 large vesicle with a well-defined shape, similar to a sphere, and multilamellar character. Moreover,
316 individual vesicles are distinguished without any aggregation phenomenon detected as in previous
317 studies with similar systems [28].

318 Largest vesicles have been obtained with PEG400 solution. PEG interacts with bilayer components
319 since it is hydrophilic and can adsorb to the out layer of vesicles and increase steric hindrance which
320 prevents from aggregation and flocculation.

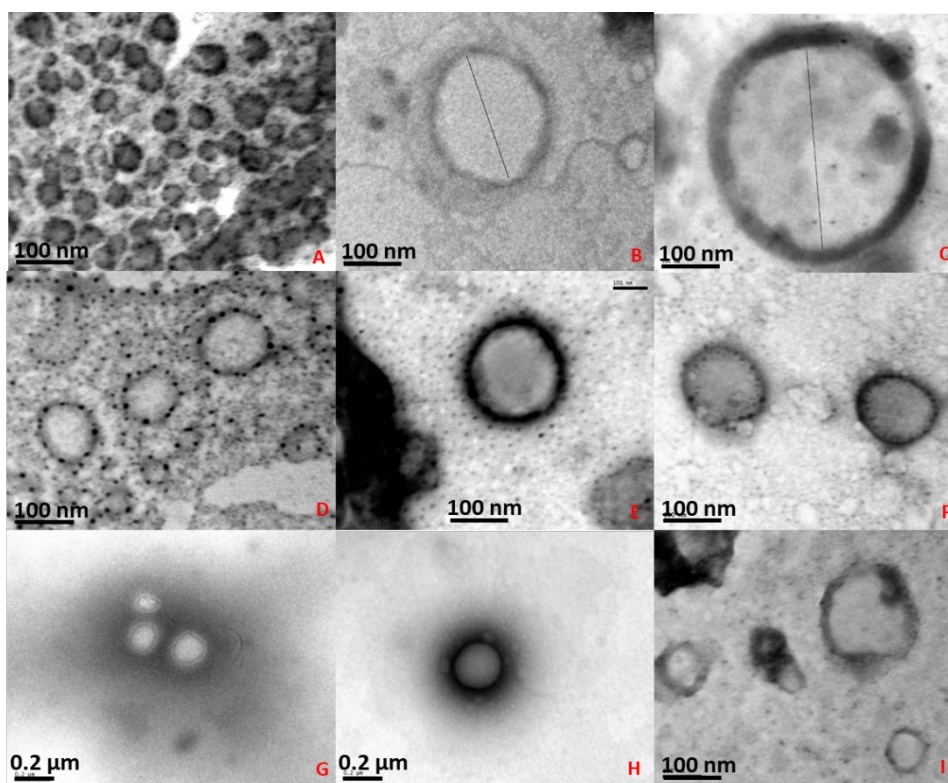
321 Large vesicle size was attributed also for the use of the two stabilizers: cholesterol, PEG400 and span
322 60 and CTAB[29] have longer saturated alkyl chain.

323 As it was reported in previous works, another aspect to have into consideration is the drug to be
324 encapsulated: vitamin B₁₂ interacts with glycerol and PEG400 in the membrane layer with
325 interactions that affect the vesicle size as it was reported in previous studies in which vesicles were
326 prepared using PEG400 and glycerol as hydration media[16].

327 Nevertheless it is important reconstruct the formulation with an appropriate solvent to guarantee not
328 only the stability but also the homogeneity even if lyophilisation influences size, morphology and
329 bilayer structure of liposomes and encapsulation efficiency[30].

330
331 From TEM images (Figure 6) it was corroborated that the size measured by DLS corresponds to
332 vesicles and no aggregation was observed in any system being in good agreement with the DLS size
333 distributions measured which reported low values of PDI for most formulations, normally it is
334 observed when thin film hydration is used as a synthesis method, however after lyophilisation the
335 PDI values, depending on the formulation and aqueous phase used, are higher.

336



337

338 **Figure 6.** A) Liposomes restored with water MQ. B) Liposomes restored with glycerol. C) Liposomes
339 restored with PEG400. D) Niosomes restored with water MQ. E) Niosomes restored with glycerol.
340 F) Niosomes restored with PEG400. G) Niosomes with CTAB restored with water MQ. H) Niosomes
341 with CTAB restored with glycerol. I) Niosomes with CTAB restored with PEG400.

342 *Encapsulation efficiency and loading capacity*

343 In order to study the effect of the lyophilisation process to the encapsulation of vitamin B₁₂ and
344 vesicles LC a liquid chromatography method was developed.

345 Table 4 shows the concentration of vitamin added (total vesicles) and the encapsulated vitamin B₁₂
346 (purified vesicles). Moreover, the calculated EE was registered for each colloidal system before and
347 after lyophilisation process. The LC of the final systems were also calculated.

348 Before lyophilisation the EE for the three formulations was less than 50%, the three systems tested
349 presented EE values between 35-45% without significant difference between them.

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370 **Table 4.** Encapsulation efficiency (EE%) and loading capacity (LC) in liposomes, niosomes and
 371 niosomes with CTAB before and after lyophilisation in which the powder was restored with three
 372 aqueous media.

373

FORMULATION	C(mM)	EE(%)	LC (mg/g)
		BEFORE LYOPHILISATION	
Total liposomes	0.23 ±0.01	44±6 ^a	70±9 ^x
Purified liposomes	0.11 ±0.01		
Total niosomes	0.23 ±0.02	35±8 ^a	50±11 ^x
Purified niosomes	0.08 ±0.01		
Total niosomes+CTAB	0.23 ±0.01	39±4 ^a	60±6 ^x
Purified niosomes+CTAB	0.09 ±0.01		
		AFTER LYOPHILISATION hydration with MQ	
Total liposomes	0.23±0.07	42±1 ^a	70±10 ^x
Purified liposomes	0.11±0.01		
Total niosomes	0.23±0.02	18±2 ^b	30±3 ^y
Purified niosomes	0.04±0.01		
Total niosomes+CTAB	0.23±0.01	32±7 ^a	50±10 ^z
Purified niosomes+CTAB	0.08±0.04		
		AFTER LYOPHILISATION hydration with MQ:Glycerol	
Total liposomes	0.23±0.01	36±5 ^a	60±8 ^x
Purified liposomes	0.09±0.01		
Total niosomes	0.23±0.01	38±4 ^a	60±7 ^x
Purified niosomes	0.09±0.01		
Total niosomes+ CTAB	0.19±0.01	75±14 ^c	90±16 ^z
Purified niosomes+CTAB	0.14±0.06		
		AFTER LYOPHILISATION hydration with MQ:PEG400	
Total liposomes	0.23±0.02	70±9 ^c	100±12 ^z
Purified liposomes	0.17±0.05		
Total niosomes	0.22±0.01	34±4 ^a	50±6 ^x
Purified niosomes	0.07±0.01		
Total niosomes+CTAB	0.18±0.01	48±6 ^d	50±7 ^x
Purified niosomes+CTAB	0.09±0.01		

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375 Letters (a-d) indicate significant differences EE values, letters (x- z) indicate significant differences between
 376 LC values.

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379 It was observed that after lyophilisation and re-hydration of the powders the EE registered highly
 380 depended on the type of hydration media used.

381 Liposomes were highly affected by the lyophilisation process; EE do not present significant
382 differences when the rehydration process was made with glycerol or pure water, but it increased up
383 to close to double when powder was hydrated with a mixture of water and PEG400.
384 For the niosomes formulations tested without CTAB a significant decrease of EE was visible when
385 rehydration was made with pure water without being affected by the rehydration of glycerol and
386 PEG400. However, niosomes in the presence of CTAB increase its EE up to close to double values
387 when they were hydrated with glycerol.
388 The high EE values obtained after lyophilisation in some systems are probably due to the use of
389 glycerol and PEG in vesicles. As confirmed in the previous work for molecules with high molecular
390 weight the interaction with different hydration phases can be a key element to arise large EE.
391 In previous works [31,32] where PEG solutions were used as hydration media high EE values were
392 observed for hydrophobic drugs, e.g. paclitaxel, an anticancer drug [31] and quercetin a phytosterol
393 [32], since PEG400 increased their solubility in the aqueous core of the vesicles.
394 In other studies, it was also observed how the use of glycerol improved EE[24] but the increase of
395 glycerol up to 45% produced a EE reduction of hydrophobic biomolecules such as rifampicin, an
396 antibiotic used for lungs disease[26] and lacidipine used for hypertension and atherosclerosis[24].
397 During the lyophilisation process the vesicles can also undergo variations, such as rupture of the lipid
398 membrane, with consequent loss of the content, or other aggregation phenomena that could occur
399 during the rehydration step since vesicles can aggregate. Consequently, vitamin B₁₂ LC of all systems
400 were evaluated in terms of concentration using a calibration curve performed by RP-HPLC and the
401 individual measurements of all samples.
402 All data were analysed and calculated for total and purified vesicles in order to calculate the total and
403 the vitamin B₁₂ encapsulated concentration in each system.
404 It can be appreciated that the evaluation system did not present any considerable retention of the
405 vitamin B₁₂ since values of non-purified systems were around 0.22±0.3 mM.
406 Lower vitamin B₁₂ concentration were registered for non-purified systems when CTAB was used as
407 one of the vesicle membrane compounds. Therefore the behaviour of this surfactant in presence of
408 organic solvents should be taken into account, CTAB is a surfactant that forms micelles in water and
409 non-ionic solvents that normally stop the micellization process [33] in the presence of alcohols like
410 methanol, isopropanol or ethanol. Shan and coworkers stated that the micellization process depends
411 on the nature and concentration of medium alcohol-water used. The critical micelle concentration
412 (CMC) of CTAB increases with methanol and ethanol content but in mixture of water and ethanol
413 there is a decrease of CMC for this surfactant. Probably it is due to penetration of alcohol molecule
414 at the core of the micelles[34]. In conclusion more factors can influence the behaviour of niosomes
415 with CTAB due to the nature of this surfactant, the nature of hydration media and the interactions
416 between both elements.
417 It can be observed that the vitamin B₁₂ concentration of the systems increased for liposomes when
418 they were hydrated with PEG solutions, and niosomes with CTAB when they were hydrated with
419 glycerol solutions. While in the case of niosomes hydrated with pure water the vitamin B₁₂
420 concentration was reduced from 0.08 to 0.04 mM.
421 On the other hand considering the LC, the values for each type of formulation remained between 30-
422 100 mg/g, presenting larger LC liposomes hydrated with PEG400 solutions and niosomes with CTAB
423 hydrated with glycerol. The LC of those systems were not only not affected by the lyophilisation
424 process but also an increase of 30mg/g was observed in both cases. This behaviour indicates that in
425 some particular situations the lyophilisation process and subsequent rehydration could help to
426 encapsulate part of the bio compound that was initially non-encapsulated increasing hence its EE and

427 LC. Moreover, in any case a significantly reduction of LC was observed as a consequence of the
428 lyophilisation process.

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432 **CONCLUSIONS**

433 In this work the lyophilisation process for different formulations of vesicles encapsulating vitamin
434 B₁₂ was evaluated since it is known that freeze-drying is an excellent process to give chemical-
435 physical stability, long storage time of the vesicles and easier use of powdered vesicles. It was
436 demonstrated the possibility to obtain a good EE for bio compounds with high molecular weight. The
437 characteristics of different vesicle formulations, before and after lyophilisation, the influence of a
438 cryoprotectant, such as maltodextrin, was compared. Moreover the effect of the bio compound loaded,
439 before and after lyophilisation step, on vesicle size was studied.

440 Results indicated that an additon of 20% w/w of maltodextrin did not alter the vesicles structure, nor
441 the addition of the bio compound in the mixture of aqueous phases after lyophilisation. The ability of
442 glycerol and PEG400 to produce large vesicles was confirmed in comparison with vesicles formed
443 by just MQ water as aqueous phase.

444 In contrast, with the traditional methods to produce proniosomes, vesicles containing vitamin B₁₂ were
445 formed before the lyophilisation process through thin film hydration method, being restored after its
446 lyophilisation. The results shown how its morphology and EE were not affected by the lyophilisation
447 process being, in some particular cases, even improved.

448 The importance of the hydration media used to restore the vesicles was established, being the
449 hydroalcoholic mixtures a more appropriate media than pure water with larger EE and LC values.
450 Glycerol and PEG400 allowed to obtain larger vesicles and a higher EE especially in liposomes and
451 in cation niosomes.

452 Results indicated the suitability to prepare lyophilised cationic niosomes with high potential in some
453 food, cosmetic, pharmaceutical applications incorporating encapsulated vitamin B₁₂, which could be
454 consequently rehydrated to obtain homogeneous systems with high EE. The LC of the lyophilised
455 systems prepared ranged between 30-100 mg/g of bio compound.

456 [Recent scientific advances have demonstrated the beneficial character of vitamins on the transport
457 and penetration of antimicrobial biocompounds in biofilms. For the applicability of the proposed
458 nanoformulations it will probably be necessary to start from a higher initial concentration of the
459 vitamin in order to have the necessary quantity inside the vesicle after purification and lyophilisation
460 and in addition consider the appropriate aqueous phase.](#)

461 Hence, these systems resuspended in the water and glycerol mixture, considering the known properties
462 of glycerol as an antimicrobial, can be potentially used as carriers of antimicrobial compounds and
463 improve the efficiency of the delivery vehicles.

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