1	Structure, environmental patterns and impact of expected climate change
2	in natural beech-dominated forests in the Cantabrian Range (NW
3	Spain)
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18 Abstract

19 The European beech (Fagus sylvatica L.) occurs in the Cantabrian Range (NW Spain), at the 20 southwestern limit of the wide distribution area of the species in Europe, forming relatively 21 unmanaged forests of high biodiversity value. In this study, we measured three-dimensional positions, 22 diameter at breast height and height of all the trees present in 112 inventory plots established in beech-23 dominated forests in the north-western Cantabrian Range, in which hemispherical photographs were 24 taken and a detailed floristic inventory was carried out. In addition, we measured 56 spatially 25 continuous environmental variables in each plot to enable examination of environmental patterns in 26 structural features and prediction of the effects of climate change. Forest structure was analyzed by 27 using indices that evaluated spatial tree distribution, plant richness and tree species diversity, diversity 28 of tree dimensions and vertical structure, stand density and average tree size, standing deadwood, 29 canopy geometry and light regime. The stands exhibited a moderate clustered spatial arrangement at 30 young stages, becoming more regular as they matured. The stands are generally monospecific, with 31 low plant richness, never monostratified, with very close canopies, greater variation in diameter than 32 in height and are usually overstocked. Only 25% of the stands included some standing dead trees. 33 Random Forest models were used to describe structural features as a function of environmental 34 variables. Although some of the models were complex and included many predictor variables, they 35 revealed some interesting patterns. Thus, we found that spatial tree distribution was only related to 36 lithostratigraphy, and tree species richness and vertical structure were related to isothermality. Shrub 37 and herbaceous richness were related to soil pH and several thermal variables, while intermingling of 38 tree species was mainly explained by soil-related variables. Climatic variables explained differences in 39 tree diameter, whereas edaphic variables were more important for predicting differences in tree height. 40 Stocking level was mainly related to soil variables, while dominant height was related to thermal 41 variables and standing dead wood to climatic variables. Projections under the moderate RCP 4.5 and 42 pessimistic RCP 8.5 climate change scenarios predict a shift in beech forests towards increased shrub 43 and plant richness and species diversity, but also increased stocking level and standing deadwood 44 basal area. These findings appear to confirm a drastic reduction in the suitable habitat for beech in the

45 region (deterioration of future growth conditions), which could anticipate a loss of competitive
46 advantage over other species and indicate a shift in this beech-dominated forest to more resilient
47 mixed stands.

50 Keywords

Fagus sylvatica L.; spatial patterns; species diversity; tree dimensions; stand density; deadwood.

52 **1. Introduction**

53 Forests are dynamic ecosystems in which trees grow, propagate, compete for essential resources and 54 die. None of these processes are independent from the structural composition of the forest (Gadow et 55 al., 2011) and they interact in a complicated way (both act as causes and effects), making it difficult to 56 disentangle them (Pommerening et al., 2011). Forest structure determines the distribution of micro-57 climatic conditions (e.g. temperature, vapour concentration and radiation regime), the availability of 58 resources, energy and nutrient fluxes, primary productivity and the formation of habitat niches, and it 59 thus directly or indirectly determines the biological diversity, health and ecological stability of the 60 forest community (Pommerening, 2002; Gadow et al., 2011). Short-term processes, in turn, modify the 61 structure in the long term (Pommerening, 2007).

62 Forest structure usually refers to the way in which the main tree attributes are expressed within a forest 63 ecosystem. More specifically, according to Gadow (1999), forest structure can be defined by the 64 spatial distribution of the tree positions (both horizontally and vertically), by the spatial mixing of the 65 different tree species and by the spatial arrangement of the tree dimensions. In addition, an important 66 stand attribute such as density may also be considered a structural feature from the broad scale 67 analysis of the forest (Pretzsch, 2009), because it refers to a quantitative measure of the level of site 68 utilization and is closely related to stand growth and yield (Burkhart and Tomé, 2012). Moreover, 69 other parameters such as the presence and size of canopy gaps, the canopy architecture, the presence 70 and abundance of understory vegetation or standing deadwood and woody debris are also important 71 elements of the structure (e.g. Harmon et al., 1986; Montgomery and Chazdon, 2001).

Forest structure is thus both a product of and a factor involved in ecosystem processes and biological diversity. Information about forest structure can thus help with the following: i) understanding the history, function and future of the forest ecosystem; ii) comparison of managed and unmanaged stands; and iii) establishing a basis for the analysis of forest ecosystem disturbance (e.g. by fire, wind or snow damage), including silvicultural options (Pretzsch, 1997; 1998; Gadow et al., 2011). This type of information is very important for implementing sustainable forest management plans or for biodiversity conservation purposes, under uncertain future management and climate scenarios. Until now, various techniques have been used to explore some features of forest structure as a function of environmental variables (e.g. Silva-Flores et al., 2014; Vilanova et al., 2018). However, in recent decades, the exponential increase in available data (big data) and the use of sophisticated statistical tools such as "machine learning" and "deep learning" techniques have enabled hidden patterns to be uncovered (e.g. Liu et al., 2018; Choudhury et al., 2021).

84 Common beech (Fagus sylvatica L.) is the most widely distributed of all Fagus species and the most 85 abundant broadleaved forest tree in Europe (Fang and Lechowicz, 2006). As a result of the abundance 86 of beech forests, their structure has been widely investigated, but the spatial and temporal variation 87 due to underlying environmental patterns and expected climate change have scarcely been considered. 88 Thus, previous studies have analyzed tree position, species diversity and tree dimension diversity (e.g. 89 Pommerening, 2002; von Oheimb et al., 2005), the spatial distribution of dead trees (e.g. Vasile et al., 90 2017), canopy geometry and light regime (e.g. Collet et al., 2001), and some have even differentiated 91 between managed and unmanaged stands (e.g. Bílek et al., 2011; Lombardi et al., 2012) and also pure 92 and mixed stands (e.g. Petritan et al., 2012). However, no previous studies have analyzed all of these 93 structural elements together or how they could be affected by climate change.

94 Beech is considered a climax species in the study area (the Cantabrian Range, NW Spain), where it is 95 restricted to slopes of elevation higher than 600 m above sea level. These forests form part of the 96 habitats of endangered and emblematic species such as the Cantabrian capercaillie and the brown bear, 97 leading to their inclusion in protected areas relatively unaffected by human influence. As result of 98 climate change, these areas have undergone a gradual increase in temperature and potential 99 evapotranspiration, together with a decrease in precipitation in recent decades (Rubio-Cuadrado et al., 100 2018). In addition, more frequent and severe drought events are expected in the future (e.g. IPCC, 101 2013). Several studies have already demonstrated the impact of climate change on the current 102 distribution and productivity of beech forests in Europe (e.g. Kramer et al., 2010; Falk and 103 Hempelmann, 2013), but the foreseeable effects on stand structure remain unclear.

Occurrence, abundance, site productivity and stand structure – and the temporal and spatial variations
 in these – are of major interest for the purposes of biodiversity conservation for particular tree species.
 Some of our previous research has focused on species occurrence and site quality in the area (Castaño-

107 Santamaría et al., 2019), but not on abundance and structure. In addition to describing the structure of 108 beech forest, the underlying hypothesis for this research was that we would be able to detect and 109 model patterns in environmental variables and structural features in order to forecast the effects of 110 climate change. Thus, the overall aims of the present study were to characterize the current structure of 111 natural beech-dominated forests in the Cantabrian Range and to analyze environmental patterns to 112 enable prediction of spatial variations in structure and its foreseeable future evolution due to climate 113 change. The following specific objectives were necessary to achieve the overall goals: i) to analyze the 114 current structure by means of quantitative indices and to determine the correlations between indices to 115 explore the possibility of predicting more difficult-to-determine indices from others and also to 116 enhance interpretation of structural features; *ii*) to identify the strongest patterns in structural features 117 for building predictive models to relate these to environmental variables; and *iii*) to project these 118 models in space and time under different forecasted climate change scenarios.

120 **2. Materials and methods**

121 **2.1. Study area**

122 The Cantabrian Range represents the western limit of the European Mountain System; it is a 123 transitional zone between the Eurosiberian and Mediterranean regions in the Iberian Peninsula and 124 exhibits considerable asymmetry between the northern and southern sides (Díaz and Fernández, 1987). 125 Originated from Alpine orogeny, ancient Paleozoic rocks predominate in the central axis, flanked by 126 Mesozoic and Tertiary rocks in the lower mountains of the eastern zone (IGME, 2015a). In the context 127 of European biogeography, the Cantabrian Range forms part of the Atlantic climate region, with an 128 annual average temperature of ca. 9 °C and an average precipitation of ca. 1200 mm, distributed 129 uniformly throughout the year. Beech (Fagus sylvatica L.) stands are the dominant forest in terms of 130 surface area on the northern side, followed by birch (Betula spp.) and oak forests (Quercus petraea 131 (Matt.) Liebl. and Quercus robur L.) (García et al., 2005).

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133 **2.2. Data collection**

Six different types of data were considered in this study: *i*) tree size measurements, *ii*) tree positions in a three-dimension system, which together with previous data were used to study structure, *iii*) hemispherical photographs, used to study canopy structure and gap light transmission indices, *iv*) floristic inventory of the accessory vegetation present in the forests, *v*) data on current spatial environmental variables, used to analyze the relationship with structural features and to map them, and *vi*) future climatic data projections under different emission scenarios, used to predict the impact of climate change on structural features.

141 **2.2.1. Field sampling**

A total of 112 permanent sample plots were established in natural beech-dominated forests throughout the north-western Cantabrian Range (NW Spain) in 2010 and 2011 (Figure 1), to cover the existing range of stand structures, stand densities and site qualities. The plots ranged in size from 400 to 3600 m², depending on stand density, in order to achieve a minimum of 30 trees per plot. Management input in the sampled stands has been minimal (i.e. unlogged for at least 50 years) because these forests are
located in environmentally protected areas. As a result, inter-tree interactions are relatively unmodified
by human intervention. These plots were used as the sources of data types *i*), *ii*), *iii*) and *iv*) outlined
above.

Detailed analysis of forest structure requires expansion of measurements traditionally made in forest inventories. Thus, in each plot, diameter at breast height, total height and other descriptive variables of each tree (e.g. species, if they were alive or dead, etc.) were recorded. All of the trees were mapped in three dimensions using an electronic theodolite. A floristic inventory of the accessory vegetation was also carried out, identifying the species and their abundance and average height.

155 Finally, hemispherical photography was used to assess canopy structure, leaf area index and light 156 conditions, because of the complexity of measuring canopy characteristics directly (Hale and Edwards, 157 2002; Jonckheere et al., 2004). Three hemispherical photographs were taken in the centre and in the 158 northeast and southwest corners of the plot. Images were acquired using a Nikon FC-E9 fish-eye lens 159 attached to a Nikon P7000 digital camera (Nikon Inc., Tokyo, Japan). The camera body was located 160 approximately 0.5 m above the ground (to simulate the understory vegetation lighting conditions 161 without interference of that vegetation). It was pointed upwards using a double bubble level located in 162 the tripod, and it was orientated to magnetic north. Photographs were taken under uniform sky 163 conditions in the absence of direct sun radiation, because of the low scattering coefficients of leaves 164 under these conditions and even with illumination of the sky (Rich, 1990).

165 **2.2.2. Collection of spatial environmental variables**

166 Three types of environmental parameters were considered for analyzing the environmental patterns 167 and for spatial modelling: terrain, climate and soil variables. A total of 56 variables were available for 168 analysis (Table 1).

Terrain variables (seven topographic, one hydrographic and three potential incoming solar radiation) were extracted from the 5 m resolution digital elevation model (DEM) provided by the Spanish National Plan for Aerial Orthophotography (PNOA; <u>www.pnoa.ign.es</u>). Gridded data were obtained for all climate variables with a 30 arc-second resolution (approximately 800 m) from WorldClim

173 (Hijmans et al., 2005). A total of 19 climatic variables were considered. Sixteen soil variables were 174 compiled from LUCAS (Ballabio et al., 2019) and SoilGrids250m (Hengl et al., 2017), which provide 175 a collection of updatable soil properties and world classification maps at 500 m and 250 m spatial 176 resolution, respectively. Soil type and group were compiled from the European soil database (ESDB) 177 v2.0. Lithostratigraphic type and permeability were obtained from the Spanish Stratigraphic Map 178 (SSM) scale 1:200,000, and Geology from the Spanish Geological Map (SGM) scale 1:1,000,000 179 (IGME, 2015a; 2015b). All climate, soil and topography variable raster grids were resampled at 250 m 180 resolution.

181 To predict the effect of different climate change scenarios on the structural features of beech forest, we 182 used the Global Climate Models (GCMs) for 2050 and 2070 based on the CMIP5 model of the IPCC 183 5th Assessment Report (http://www.worldclim.org/CMIP5). Bioclimatic predictions for two opposing 184 scenarios of representative concentration pathways (RCP) were considered. The first, "moderate 185 scenario" (RCP 4.5) assumes a CO₂ concentration of 650 ppm and an increase of $1.0-2.6^{\circ}$ C by 2100 (Thomson et al., 2011), whereas the second, "pessimistic scenario" (RCP 8.5) considers a CO₂ 186 187 concentration of 1,350 ppm (Riahi et al., 2011) and a temperature increase of 2.6-4.8°C by 2100 188 (IPCC, 2013; Harris et al., 2014).

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190 **2.3. Forest structural features and indices analyzed**

In this study, six structure features were analyzed: *i*) spatial tree distribution, *ii*) plant richness and tree species diversity, *iii*) diversity of tree dimensions and vertical structure, *iv*) stand density and average tree size, *v*) standing deadwood and *vi*) canopy geometry and light regime. For this purpose, we used stand-based and tree-based indices. Stand-based indices provide a unique value for each plot, whereas tree-based indices yield an index value for each tree of the stand based on information from neighbouring trees and the subject itself, so that study of the distribution is more precise than with the arithmetic mean values (Pommerening, 2006).

For analysis of the three first structural features mentioned above, a total of 22 indices (13 stand-based and 9 tree-based indices) were considered (see Table 2). These indices were estimated by taking into account the edge-correction proposed by Pommerening and Stoyan (2006). Neighbour selection may result in trees outside plot boundaries being identified as neighbours. Edge correction was therefore required for unbiased estimation of spatial variables. This consists of fixing a strip of variable width in each plot, so that those trees closest to the sides of the plot are located in this strip and are taken into account in calculating the distance-dependent indices of the rest of the trees, but for which these indices are not calculated (Pommerening and Stoyan, 2006).

In addition, 9 indices were used to characterize standing deadwood (2 indices) (Table 2) and canopygeometry and light regime (7 indices).

208 **2.3.1. Spatial tree distribution**

209 The horizontal tree distribution patterns were defined from the distances between trees to determine 210 whether the pattern of tree locations is clumped or is described by a regular, random or Poisson 211 distribution (with areas of lower or higher density), or some combination of these. One stand-based 212 structure index (Aggregation Index, R) and two tree-based indices (Uniform Angle index (W) and 213 Mean Directional index (MDI)) were used for this purpose. The Aggregation index developed by 214 Clark and Evans (1954) compares the observed average distance of a tree to its nearest neighbour and 215 the expected average distance between trees in a completely spatially random tree distribution. This 216 index can provide a first general impression of the structure of a forest, but it cannot be used to 217 describe the large variety of spatial arrangements (Zenner and Hibbs, 2000). As a single-tree based 218 alternative to the Aggregation index, Gadow et al. (1998) developed the Contagion index to define the 219 degree of regularity of the spatial distribution of the four trees nearest to a reference tree *i*. The index 220 is based on classification of the angles between these four neighbours. As a reference, the standard 221 angle α_0 , which is expected in a regular point distribution, was fixed at 72° according to Hui and 222 Gadow (2002). The mean directional index (Corral-Rivas, 2006) is defined as the sum of the unit 223 vectors from the reference tree i to its n nearest neighbours and represents the spatial arrangement of 224 trees. In this study, n = 4 nearest neighbours.

225 **2.3.2.** Plant richness and tree species diversity

226 To evaluate plant diversity, three different features were considered: species richness (shrubs, 227 herbaceous plants and trees), tree species diversity and tree species intermingling. Species richness 228 refers to the number of species present in the stand. By contrast, species diversity also considers the 229 number of species and their frequency, and the stand can be described as pure or as a two-species or 230 multiple-species mixture. Intermingled tree species define the degree of spatial segregation of the tree 231 species mixture in a stand (mixture of individual tree species or a mixture by groups, clusters, rows or 232 patches). In addition to the tree, shrub and herbaceous plant richness, three stand-related indices 233 (Segregation, Shannon and Simpson indices) and one tree-related index (Mingling index) were used to 234 characterize this structural feature.

235 The Segregation index developed by Pielou (1977) (S) provides a spatially explicit measure for tree 236 species diversity which considers the ratio of the observed probability that the reference tree and its 237 nearest neighbour belong to different species, along with the same probability for completely 238 randomly distributed or independent species attributes. The Shannon (H') and Simpson (D) indices are 239 both spatially inexplicit measures of forest species diversity (Shannon and Weaver, 1949; Simpson, 240 1949). The Shannon index is defined as the probability that a randomly selected tree belongs to the 241 species i, while the Simpson index is interpreted as the probability that two individual trees selected at 242 random belong to different species. However, the Mingling index (M_i) is defined as the proportion of 243 the four nearest neighbours that differ from the reference tree in terms of tree species (Gadow, 1993).

244 **2.3.3.** Diversity of tree dimensions and vertical structure

The diversity of tree dimensions considers the spatial arrangement or size mingling of any tree dimensional variable. Differentiation indices (TD_i , TH_i) give the difference in size (diameter or height) of neighbouring trees on a continuous scale and describe the spatial distribution of tree sizes (Füldner, 1995), enabling interpretation of the relationship between the reference tree and its neighbouring trees in relation to competition (Ruprecht et al., 2010). In addition, for calculation of the diameter or height differentiation for a whole forest stand (TDM_i , THM_i), the tree values are summed and divided by the number of trees (Pommerening, 2002). On the other hand, the dominance was proposed as a tree attribute by Hui et al. (1998) to relate the relative dominance of a given tree species to the immediate neighbourhood. It is defined as the proportion of the n nearest neighbours of a given reference tree which are smaller than the reference tree. For height dominance (Uh_i), the elevation at which each tree is growing was included in order to take into account the effect of topography on the vertical stratification of the crowns, which Davies and Pommerening (2008) consider is very significant in this index. The slope of the plot was assumed to be constant, and the elevation at which each tree is growing was determined by triangulation.

The following two indices take the presence of trees species in different height zones into account, as an estimate of the vertical structure of the stand features. The Shannon vertical index (H'_v) (Pretzsch, 1996) considers species proportions separately for tree height zones. According to Pretzsch (1998) these zones range from 0 to 50%, 50 to 80% and 80 to 100% of maximum stand height. On the other hand, the Shannon Stratified index (H'_{str}) (Weber, 2000) enables quantification of the variability in canopy strata in the forest.

265 2.3.4. Stand density and average tree size

266 Three widely used stand density indices (number of trees per hectare, basal area and Hart-Becking 267 index (Hart, 1928; Becking, 1953) were considered. In order to qualify stand density values according 268 to some target value (stocking), we used the maximum size-density relationship proposed for the 269 species by Condés et al. (2017) and parametrized for our study region to obtain the maximum density 270 (N_{max}) . From this equation, maximum basal area (G_{max}) can also be immediately determined. Beyond 271 this stand density level (maximum density), competition-induced mortality occurs at high rates. The 272 stocking level (StDeg), originally developed by Reineke (1933) as the stand density index and defined 273 as the number of trees per hectare of stand (N) divided by N_{max} , provides an estimate of the level of 274 competition within the stand. The stand is considered fully stocked if *StDeg* is between 35% and 60% 275 of N_{max} , overstocked if StDeg is greater than 60% of N_{max} and understocked if StDeg is lower than 35% 276 of N_{max} , according to the general ranges established by Long (1985). In addition, three stand 277 dimensional indicators (mean height, dominant height and dominant diameter) were also used in this 278 study.

279 2.3.5. Standing deadwood

280 Deadwood, a basic component of forest structure, has an important impact on the stability and 281 continuity of forest ecosystems because it plays a fundamental role in the nutrient cycles in forest 282 systems, maintains moisture during dry periods and provides a habitat for numerous organisms 283 (Harmon et al., 1986). In the present study only standing deadwood was assessed though the following 284 two indices: the number of standing dead trees per hectare (to indicate the potential hollow bearing 285 resource) (Franklin et al., 1981), and the basal area of standing dead trees (to indicate the approximate 286 volume of standing dead wood, on the assumption that dead trees were of a similar height) (Tyrrell 287 and Crow, 1994).

288 **2.3.6.** Canopy geometry and light regime

289 Forest light conditions are also closely related to forest structure, influencing tree regeneration, plant 290 growth and plant survival, thus affecting forest understory vegetation patterns and habitat conditions 291 for wildlife (Montgomery and Chazdon, 2001). The hemispherical photographs were analyzed using 292 Gap Light Analyser 2.0 software (GLA) (Frazer et al., 1999), and adjustments were made according to 293 the lens used, the date they were taken and the slope of the plot (see Mason et al., 2012), thus 294 providing advantages over other software. To start the image processing, a threshold level was 295 selected for each photograph to distinguish between visible sky and foliage. In order to minimize the 296 effect of variation in threshold selection, all photographs were analyzed twice by the same person, 297 several days apart, and an average of both analyses was used for all outputs, as recommended by Hale 298 and Edwards (2002).

For each photograph, seven descriptors were calculated. Three of these were related to the canopy geometry: *LAI 4* (effective leaf area index integrated over the zenith angles 0 to 60°), *LAI 5* (effective leaf area index integrated over the zenith angles 0 to 75°) and the site openness (percentage of open sky seen from beneath the forest canopy). The other four descriptors were related to the light regime: direct light (below, direct), diffuse light (below, diffuse) and both types of solar radiation transmitted by the canopy and topographic mask (below, total and as a percentage).

306 **2.4. Data analysis and modelling**

307 Two types of statistical analysis were carried out. First, we determined the correlations between 308 structural indices, to enable i) exploration of the possibility of predicting more difficult-to-determine 309 indices from others and *ii*) enhancement of the explanation and interpretation of the structural features 310 analyzed. On the other hand, as the relationship between structural indices and environmental 311 variables may be driven by more complex nonlinear functions, the non-parametric Random Forest 312 approach was also used to model these indices as a function of environmental variables, thus also 313 enabling identification of hidden non-linear patterns. Moreover, this method also enables these indices 314 to be mapped on the territory and forecast of the spatial and temporal variation if they are related to 315 climatic variables. RF analysis was carried out in two steps: i) in a preliminary analysis, all structural 316 indices were fitted with RF, and *ii*) the best RF model (or most parsimonious when fitting was similar) 317 within each structural class was selected for a more in-depth analysis.

We used SAS/STAT software (SAS Institute Inc., 2004) to calculate descriptive statistics and to determine correlations between all of the previously calculated structural indices. For this purpose, we used the non-parametric Spearman's correlation coefficient. However, multiple statistical tests were run simultaneously in this analysis, thus increasing the chance of obtaining false positive results (Type I error). In order to solve this problem, the Bonferroni correction was applied (Bonferroni, 1936).

323 The Random Forest (RF) non-parametric classification and regression approach consists of building an 324 ensemble of decision trees from randomized subsets of predicted and predictor variables (Breiman, 325 2001). WEKA open source software (Hall et al., 2009) was used to fit the RF algorithm by 326 implementing a wrapper methodology to select the subsample of variables, which usually produces the 327 best results (Zhiwei and Xinghua, 2010). This method selects the subsample of variables by using a 328 learning algorithm as part of the evaluation function. The final fitted models were applied to 329 environmental spatial variables resampled at a 250m x 250m resolution to generate spatially 330 continuous maps. The 10-fold cross-validation approach was used to test the accuracy of the 331 algorithms. This process consists of the following four steps: i) splitting the data set into 10 random 332 subsets of roughly the same size; *ii*) fitting the model 10 times, sequentially omitting one subset each 333 time; and *iii*) using each of the fitted models to produce pseudo-independent predictions on the

334 omitted subset, as a good indicator of how well the classifier will perform on unseen data. The pseudo-335 coefficient of determination (R^2) (Ryan, 1997) and the root mean squared error (*RMSE*) were used to 336 assess the model performance. For implementation of machine learning algorithms, WEKA has an 337 embedded feature ranking technique called the variable importance measure (VIM), which was used to 338 guide selection of predictors for the final model. To ensure that values of variable importance were 339 expressed on comparable scales, the VIM values were normalized so that they summed to a unit value 340 (normalized importance, VIM_N). After observing that the model performed well, we faced the 341 challenge of correct interpretation. Examining VIM_N is a reasonable first step for interpreting RF 342 models, but it is not sufficient. However, it can be complemented very well with marginal response 343 plots (Choudhury et al., 2021). Constructing such plots enabled us to explore the relationships between 344 the response and the most important predictor variables. These plots represent the predicted outcome 345 of the model (y-axis) as a function of a single environmental variable (x-axis), and all other 346 explanatory variables are held constant at their mean values.

348 **3. Results**

The findings are presented for each structural feature, by first describing the characterization and linear correlation with other indices and then by reporting the results of the environmental pattern analysis with RF. To help in the model interpretation, we constructed marginal response curves for variables with the highest VIM_N until reaching an accumulated VIM_N value of at least 75% (curves shown in Figures S1). We generated raster maps (Figure S2) in order to visualize the spatial and temporal variation in the structural features predicted by the RF models.

355

356 **3.1. Spatial tree distribution**

357 **3.1.1.** Characterization and linear correlation between indices

358 According to the aggregation index, approximately two thirds of the plots were characterized by a 359 clustered spatial arrangement of trees. In the remaining third, the trees were regularly distributed, and 360 random distribution was very scarce. Nevertheless, in almost all plots the values of regularity and 361 clustering were moderate (Figure 2). The mean directional index partly corroborates these results, 362 showing a vast majority of plots with a clustered distribution of trees (86%). By contrast, the 363 distribution predicted by the contagion index shows that most plots have random distributions of trees 364 (97%) and only 3% have a clustered distribution. Comparison of these results with the observed 365 values, shows that the contagion index did not perform well for the study plots.

The correlation analysis (Table 4) revealed that regular tree positions appear in forests with the smallest numbers of trees per hectare (-0.3891 for *N*) and taller trees (0.4163 for H_0 and 0.5535 for *H_m*) with a spatial separation of species (0.8972 for *S*), i.e. almost monospecific stands. By contrast, plots with a clustered spatial arrangement have a significantly greater number of trees per hectare than the regular ones, with shorter trees.

371 **3.1.2. Environmental patterns**

As the aggregation index produced the most accurate (realistic) results, the best predictive RF model for spatial tree distribution was produced with this index (Table 5) ($R^2=0.16$), and the diversity of tree positions was related to some soil properties (lithostratigraphy and texture) (Table 6). Although it did not provide a good fit for predictive purposes, it was valuable for visualization of spatial and temporal variations. The highest values of this index are associated with igneous and metamorphic rocks (granites, slates, quartzites), whereas the lowest values correspond to sedimentary rocks (dolomites, limestones or marls) (Figures S1).

379

380 **3.2. Plant richness and tree species diversity**

381 **3.2.1.** Characterization and linear correlation between indices

Regarding plant species richness, a total of 9 tree and 22 shrub and herbaceous species were identified in the study plots. Nevertheless, a maximum of only 4 tree species and up to 12 species of shrubs and herbaceous plants were present in the same plot, although the most common stand type was monospecific (only beech trees) with very low richness of shrubs and herbaceous species (4 or 5 species in the plot).

387 The segregation index adopted a value higher than zero in all plots, indicating clear spatial separation 388 of species in space. On the other hand, the distance-independent indices (Shannon and Simpson) 389 indicate a clear majority of monospecific stands. In addition, the mingling index revealed a vast 390 majority of monospecific stands. For example, the mode of the mingling index was equal to 0.00 in 391 105 out of the 112 plots (no mingling) and to 0.25 (weak mingling) in 6 plots. A high modal value of 392 0.75 of the index, which indicates a high degree of mingling, was only reached in one plot. The 393 proportion of beech basal area relative to the stand basal area was between 46.20% (in the plot with 394 the highest degree of mingling) and 100%, with a mean value of 96.72% (standard deviation = 9.25%). 395 Similar to regular tree positions, the segregation index may indicate that a lower number (-0.3589 for 396 N) of thick, tall trees per hectare (0.3879 for H_0 and 0.4955 for H_m) leads to greater spatial separation of more diverse tree species, with greater height differentiation (Table 4). Moreover, the results
indicate that higher tree species richness and species mingling were related to higher strata diversity
(0.7501 for TSR and 0.6032 for the mingling index), with greater diameter (0.3701) and height
differentiation (0.3554) (which were also correlated with the shrub and herbaceous species richness
(0.3877 for *TD*, 0.3740 for *TDM* and 0.3711 for *THM*)).

402 **3.2.2. Environmental patterns**

403 As a result of the feature selection process, three RF models were selected for assessing tree richness, 404 shrub and herbaceous richness and tree species diversity (Tables 5 and 6). RF only retained isothermality as an independent variable for predicting tree species richness ($R^2 = 0.25$), indicating 405 406 that higher isothermality values are associated with higher species diversity (see Figure S1). On the other hand, shrub and herbaceous species richness ($R^2 = 0.38$) is driven by several variables, the most 407 408 important of which is soil pH, with higher diversity associated with higher pH. In addition, the 409 temperature of the coldest quarter and annual mean temperature accounted for 76% of the variable 410 importance measure (VIM_N) indicating that higher diversity is associated with higher values of both 411 variables (see Figures S1). Finally, RF retained 7 variables for the Shannon diversity index (R^2 = 412 0.32), but only 5 of those variables already contributed 75% or more of the VIM_N (sand percentage, 413 potassium content, potential incoming radiation in winter solstice, nitrogen content and wetness 414 index). Higher values of predictor variables are associated with higher levels of species intermingling 415 except for wetness index, for which the opposite was found (Figures S1).

416

417 **3.3. Diversity of tree dimensions and vertical structure**

418 **3.3.1.** Characterization and correlation between indices

In the analysis of the vertical structure, the Shannon stratified index revealed the existence of various strata in the forest canopy in all plots. Only nine of the plots had two strata with equal relative proportions, which indicates the existence of the dominant and dominated strata. In addition, the Shannon vertical index showed that there were at least two canopy strata in all plots. 423 The diametric dominance index showed a certain degree of variability. Thus, representing the most 424 frequent values (mode) in each plot (Figure 3) revealed that the number of stands with a larger 425 differentiated dominant group of trees was greater than the number of stands in which these dominant 426 trees are scarcer. However, regarding height dominance, most plots were characterized by mainly co-427 dominant and moderately dominant and moderately suppressed trees. In other words, significant 428 differences between dominant and dominated strata were only found in only a few plots, corroborating 429 the results obtained with the Shannon stratified index. The differentiation indices provided the same 430 results, indicating very little differentiation in either diameter or height in the vast majority of stands 431 (Table 3, Figure 3).

However, the correlation analysis revealed positive correlations between diameter and height
differentiation and dominance (see Table 4). Height differentiation (0.4325) was greater in stands with
higher stocking levels. The other results have already reported in the previous sections.

435 **3.3.2. Environmental patterns**

436 The best RF results were obtained for diameter differentiation ($R^2=0.27$) as a function of 437 environmental variables (Table 6), followed by height differentiation ($R^2=0.26$) and then by the 438 Shannon vertical index (R^2 =0.22). Climatic variables showed greater relative importance in diameter 439 differentiation (potential incoming solar radiation in winter solstice, annual temperature range, 440 isothermality, followed by depth to bedrock...) and the Shannon vertical index (only isothermality). 441 On other hand, edaphic variables showed greater relative importance in height differentiation (cation-442 exchange capacity, pH, silt content, followed by annual temperature range, isothermality etc.). See 443 Figure S1 for the marginal effect of each variable on the predicted outcomes.

444

445 **3.4. Density and average tree size indicators**

446 **3.4.1.** Characterization and correlation between indices

A high level of variability was observed in terms of density and tree size in the study plots. The plotswere located throughout the area of distribution of this species in the region and were subjectively

449 selected to represent the existing range of altitude, slope, orientation, etc., resulting in a wide variety 450 of stand densities and site qualities. For example, the number of trees per hectare ranged from 94 to 451 4200, the basal area ranged from 15.35 to 178.70 m² ha⁻¹, the dominant height from 7.15 to 35.90 m 452 and the dominant diameter from 15.30 to 100.12 cm (see Table 2). In other words, although it may be 453 possible to detect certain patterns or trends relative to other structural indices, the same does not apply 454 to these variables. Different results were only obtained for the stocking level, showing that a vast 455 majority of the plots were overstocked (95.91%) and the remaining plots were fully stocked (4.09%). 456 None of the plots were classified as understocked.

457 The correlation analysis revealed that the number of trees per hectare was not correlated with basal 458 area, indicating that stands may have high basal area due to the presence of many small trees or a few 459 large trees (Table 4). However, as a result of stand development and competition, the number of trees 460 was negatively correlated with mean and dominant height (-0.4827 and -0.5457, respectively), 461 whereas basal area was positively correlated with the same (0.4316 for H_0 and 0.3977 for H_m). Greater 462 density (-0.3973) and tree height indicate relatively higher density (lower Hart-Becking index). 463 Moreover, the stocking level was higher in forests in which a high mingling index value (0.9965) was 464 recorded.

465 **3.4.2. Environmental patterns**

466 Dominant height was the stand dimension variable most strongly related to the environmental 467 variables, which is consistent with the fact that this variable is used to define forest site quality. In the 468 RF model for dominant height ($R^2 = 0.509$), climate variables contributed most to the model 469 (temperature seasonality, mean temperature of warmest month, mean diurnal range and precipitation 470 seasonality), followed by terrain (slope) and then soil variables.

471 Among the stand density variables, stocking level is a much more informative variable for stand 472 condition than the simpler number of trees per hectare. The RF model for stocking level yielded a 473 moderate fit to the data ($R^2 = 0.22$) indicating that this variable is influenced by numerous interrelated 474 variables (16 environmental variables), of which 9 were necessary to yield more than 75% of the 475 accumulated relative importance (Table 6). Edaphic variables (e.g. bulk density, phosphorus and 476 nitrogen content, carbon-nitrogen ratio and cation-exchange capacity) were relatively more important

477 than climatic and terrain variables (e.g. annual mean temperature, plan curvature and wetness index).

478 See Figure S1 for visualization of the marginal effect of each variable on the predicted outcomes.

479

480 **3.5. Standing deadwood**

481 **3.5.1.** Characterization and correlation between indices

482 The amount of standing deadwood observed was very low. Thus, most of the study plots (74.11%) did 483 not have any standing dead trees, 15.18% contained fewer than 50 standing dead trees per hectare, 484 8.03% had between 50 and 100, and only 3 plots (2.68%) had more than 100 standing dead trees per 485 hectare. The maximum proportion of dead trees relative to the total number of trees per hectare was 486 16.6%, while the average proportion was around 5%. Regarding the basal area of standing dead trees, 487 in 53.57% of the plots these trees constituted less than 1% of the total basal area of the plots with 488 standing dead trees, while there were between 1 and 5% in 42.86% of the plots and only 3.57% of 489 them exceed 5%, with a maximum of 6.38% of the total basal area.

490 Correlations between structural indices (Table 4) showed that forests in which density is excessive 491 were richer in standing dead wood (0.3942 between stocking level and basal area of standing dead 492 trees).

493 **3.5.2. Environmental patterns**

The RF deadwood model (for the basal area of the standing dead trees ($R^2 = 0.385$)) only includes two climatic variables (with 100% relative importance for mean diurnal range) (Table 6). The marginal response curve indicates that greater amounts of standing dead wood are associated with higher values of mean diurnal range until reaching a peak (see Figure S1).

499 **3.6.** Canopy geometry and light regime

500 **3.6.1.** Characterization and correlation between indices

As previously stated, forest canopy architecture determines the amount and distribution of light in the plots. Slightly higher values can be seen in LAI 4 than in LAI 5 (Table 2), as the latter takes into account trees that are not immediately surrounding the site and which are found outside of the plot footprint (Sánchez-Azofeifa et al., 2017). Below-canopy radiation, i.e. direct, diffuse and total radiation, ranged from 1.14 to 3.58 MJ m⁻² d⁻¹, from 1.79 to 3.38 MJ m⁻² d⁻¹ and from 2.72 to 6.12 MJ m⁻² d⁻¹, respectively. Finally, the percentage of total radiation transmitted by the canopy and which reaches the ground (taking the topography into account) ranged from 8.28 to 17.13%.

None of the correlations considered in this part of the study were significant after application of the Bonferroni correction. However, when this correction was not taken into account, the results shown in Table 4 indicate more direct and total radiation below the canopy at lower tree density and that existing trees under these conditions are regularly spatially distributed. In addition, more dead trees imply more gaps (greater canopy openness) and therefore more diffuse radiation below the canopy. Nevertheless, these results should be considered preliminary and must be confirmed.

514 **3.6.2.** Environmental patterns

515 For this type of indices, none of the RF models yielded significant fits.

516

517 **3.7.** Forecasting the effects of climate change on beech forest structure

Although differing in the intensity of change, all of the predicted scenarios coincide in an increase in temperature and a reduction in precipitation in the study area over the next few decades (see Table S1). For example, the annual mean temperature is expected to increase by respectively 17.22% and 20.41% under RCP 4.5 for 2050 and 2070, and by respectively 24.66% and 34.40% under RCP 8.5 for 2050 and 2070. Annual precipitation is expected to decrease by respectively 7.61% and 8.51% under RCP 4.5 for 2050 and 2070, and by respectively 9.84% and 11.73% under RCP 8.5 for 2050 and 2070. The changes in climate conditions are expected to have significant impacts on the structural features of the beech stands under study. RF models that retained climatic variables as predictors are sensitive to climate change and were used to generate spatially and temporally explicit maps. These maps (Figure S2) enabled us to visualize the expected degree of change in the values of the structural indices under two climate change scenarios (moderate scenario-RCP 4.5 and pessimistic scenario-RCP 8.5) and for two temporal horizons (2050 and 2070). By way of example, the spatially and temporally explicit map of the variation in the standing deadwood basal area is shown in Figure 4.

532 Climate change is not expected to affect the structural feature "diversity of tree position", as the RF533 model did not include any climatic variable as a predictor.

Regarding "plant richness and species diversity", tree richness would be slightly higher under the RCP 4.5 scenario (moderate scenario) and much lower under the RCP 8.5 scenario (pessimistic scenario). However, as a consequence of less favourable environmental conditions for beech, the richness of shrubs and herbaceous plants would increase and would be higher under RCP 4.5. On the other hand, under RCP 4.5, the Shannon index increased slightly, while under RCP 8.5 the index was lower at lower altitudes and remained more or less stable at higher altitudes.

The Shannon vertical index and the Shannon index produced similar predictions for "diversity of tree dimensions", i.e. the diversity of tree dimensions would increase slightly under RCP 4.5, but would decrease at lower altitudes and remain more or less stable at higher altitudes under RCP 8.5. However, considering the other two variables, the diameter differentiation would increase for the higher areas under RCP 4.5 while it would remain stable under RCP 8.5, and would decrease at the other elevations. On the other hand, there would be a general increase in height differentiation at higher elevations, with less differentiation at lower elevations.

547 Regarding "stand density and average tree size", dominant height would increase in the same way in 548 both scenarios. Similarly, stocking level would also increase in both scenarios and would be higher 549 under RCP 8.5. Finally, the basal area of standing deadwood would remain the same under RCP 4.5, 550 increasing at low elevations under RCP 8.5 (Figure 4).

552 **4. Discussion**

553 **4.1. Structure of the stands**

554 According to our results, the beech forests analyzed in this study were mainly monospecific, with very 555 low richness of accompanying vegetation and a clear spatial separation of tree species. Around two 556 thirds of the plots had a clustered spatial arrangement of trees, while the remaining third had a regular 557 distribution, with random distribution occurring in a minority of cases. The stand variability was 558 generally high in terms of density and tree size, but there was very little variability in either diameter 559 or height in the vast majority of plots (higher for diameter). All plots were classified as fully-stocked 560 or overstocked, which has resulted in low levels of light below-canopy, because there were at least two 561 canopy strata in all plots. Finally, standing deadwood was observed in only a quarter of the plots.

562 In the words of Meyer et al. (2003), mixed stands are not a "natural feature" of beech forests, and until 563 now, most studies have considered these forests to be monospecific (e.g. Pommerening, 2002; Bílek et 564 al., 2011; Lombardi et al., 2012; Petritan et al., 2012). Beech forests possess several characteristics 565 that discourage the presence of other species, including *i*) very low availability of understory light as a 566 consequence of the crown distribution and the spatial arrangement of beech leaves (which together 567 suppress the occurrence of light-demanding understory species, restricting them to canopy gaps) (e.g. 568 Collet et al., 2001; Schröter et al., 2012; Hrivnák et al., 2014), and *ii*) the accumulation of a thick leaf 569 litter layer on the soil surface (which forms a physical barrier inhibiting germination and emergence) 570 (e.g. Mölder et al., 2008). Hence, the few plant species that withstand these particular conditions are 571 concentrated in the gaps (e.g. Degen et al., 2005), as indicated by the values of the segregation index 572 and shrub and herbaceous species richness.

Regarding the spatial tree distribution, clustered arrangements have been related to the possible origin of coppice stands (e.g. Campetella et al., 2016), the effect of former cattle grazing (Vera, 2000) and the typical spatial pattern of beech regeneration under parent trees or in canopy openings (e.g. Nagel et al., 2006). On the other hand, regular spacing is often the result of competition between neighbouring trees and is associated with more advanced forest states (Gadow et al., 2011). Studies using the aggregation index have reported similar results for unmanaged beech forests (e.g. Bílek et al., 2011). However, a predominantly random distribution has been identified in almost all stands in studies using the contagion index (e.g. von Oheimb et al., 2005; Lombardi et al., 2012; Petritan et al., 2012). Several authors have demonstrated differences between the values of the aggregation and contagion indices for the same stand due to the different algorithms used (e.g. Neumann and Starlinger, 2001; Pommerening, 2002), and some authors prefer to use the aggregation index (e.g. Gleichmar and Gerold, 1998). Although this issue is beyond the scope of this paper, we found that the aggregation index provided more accurate information about the spatial distribution of trees.

586 Diameter and height differentiation processes are theoretically driven exclusively by natural 587 competition and age-related dieback of mature individuals (e.g. Gadow et al., 2011). According to 588 Bílek et al. (2011), higher heterogeneity is typical of young forests. Our results showed that the 589 dimensions were relatively homogeneous, indicating the relative maturity of the trees. However, the 590 absence of monostratum beech forests has been reported in other studies (e.g. Paffetti et al., 2012), 591 demonstrating the typical bearing of beech trees growing in environments where there is competition 592 for light (e.g. Bílek et al., 2011). Our results for the average leaf area index and luminosity are within 593 the range of values reported in other studies (e.g. Bartelink, 1997; Meier and Leuschner, 2008).

Finally, similar results have been obtained for standing deadwood in other unmanaged beech forests (e.g. Heiri et al., 2009). However, our inventory of deadwood only considered standing dead trees, and we did not include any information about fallen trees (logs) or woody debris. We recognize this as a weakness of our study as it precludes comparison with other studies in which logs were measured, because logs contribute more to the total deadwood than standing dead trees in unmanaged forests (Christensen et al., 2005).

The results obtained in terms of the correlation between indices showed that regular stands are less dense than clustered stands and have taller and thicker trees, which may indicate that the stands are older (Wijdeven, 2004). A shift from an aggregated distribution of new recruits through a random to a regular distribution in large trees is a natural trend derived from direct density-dependent competition between neighbouring individuals, i.e. young beech forests start off being clumped and gradually become more uniform (Wolf, 2005). On the other hand, a higher stocking level indicates unmanaged forests (Schütz et al., 2016), which implies more competition and consequently higher mortality (e.g. Neumann and Starlinger, 2001; Condés et al., 2013). The presence of other tree species increases the
vertical distribution and canopy heterogeneity in beech stands (Petritan et al., 2012; Hrivnák et al.,
2014), which favours light transmission to the understory (Barbier et al., 2008) and therefore increases
the understory species richness (e.g. Mölder et al., 2008).

611

612 **4.2. Environmental patterns**

Regarding "spatial tree distribution" indices, the aggregation index was related to lithostratigraphy and texture (see Table 6). According to the lithostratigraphy, the regular tree distribution is associated with igneous and metamorphic rocks. However, clustered arrangements do occur in sedimentary soils. Even after conducting a thorough literature review, we could not clearly establish the reasons for the previous relationship.

618 Regarding "plant richness and species diversity", RF only retained isothermality as an independent 619 variable for tree richness, while for shrub and herbaceous richness and the Shannon index, a set of 620 edaphic and thermal variables proved significant (see Table 6). In terms of thermal variables, our 621 findings show that higher temperatures (mean, maximum of the warmest month, etc.) and less variable 622 temperatures (seasonality and annual range) are associated with greater tree and understory species 623 diversity (Figures S1). These results are consistent with the fact that mixed stands occur naturally in 624 sites where the combination of drought and warmth restricts the competitiveness of beech (e.g. 625 Pretzsch et al., 2013) and that the greater diversity in the tree stratum affects the accompanying 626 vegetation (e.g. Mölder et al., 2008). Indeed, beech is more resistant to drought in mixed stands than in 627 monospecific stands (Pardos et al., 2021).

From an edaphological perspective, the soil pH may be explained by the monospecificity of the stands under study. Soil pH is lower in pure beech stands than in mixed stands as beech litter is more acidic than the other species identified in the study plots (e.g. Guckland et al., 2009), and litter pH affects soil pH (Marcos et al., 2010). Therefore, a higher pH implies higher tree richness, which favours light transmission to the understory and increases the understory species richness, as previously stated. On the other hand, the forest overstory composition affects the chemical, physical and biological 634 characteristics of soil (Augusto et al., 2002), because it involves differences in soil development (e.g. 635 Kooch et al., 2012). A higher sand content is associated with better soil aeration (Brandl et al., 2014), 636 and several authors have used this parameter to predict the presence of tree species such as beech (e.g. 637 Piedallu et al., 2016). However, we have not found any study that has determined the reason for the 638 relationship between stand diversity and sand content of the soil. Finally, our findings show that 639 higher contents of nitrogen and potassium in soil are associated with more diverse stands (Figures S1). 640 According to Talkner et al. (2009) and (2010), both of these elements occur at higher concentrations in 641 mixed stands than in beech-dominated stands due to deposition and canopy exchange.

Regarding "diversity of tree dimensions and vertical structure", climatic variables were relatively more important in diameter differentiation and the Shannon vertical index, while edaphic variables were more important in relation to height differentiation. Precipitation and temperature are known to be closely related to radial growth in beech forests (e.g. Maxime and Hendrik, 2011; Van der Maaten, 2012) and soil parent material and soil water holding capacity mainly affect height growth (e.g. Hill et al., 1948; Carmean, 1954). However, the direct relationships between climate and soil and diameter and height differentiations have not yet been addressed.

649 Regarding "stand density and average tree size", stocking level was mainly determined by edaphic 650 variables followed by climatic and terrain variables), while dominant height was mainly related to 651 climatic variables (terrain and soil variables) (see Table 6). According to Seynave et al. (2008), soil 652 parameters explain approximately 30% of the variation in potential beech forest growth. For instance, 653 bulk density, a physical soil property intrinsically related to other physical and chemical variables, is a 654 proxy for sand content, soil organic matter and nutrient availability (e.g. Sakin, 2012; Chaudhari et al., 655 2013). Bulk density therefore affects soil aeration, solute transport and storage as well as the outcome 656 of soil C stocks (Nemes et al., 2010). Fresh, well-aerated fertile soils, with good water retention 657 capacity, favour the development and growth of beech forests (e.g. Brandl et al., 2014), as does a 658 higher organic matter content, which implies higher concentrations of phosphorus, nitrogen and 659 carbon (Talkner et al., 2009; 2010). Nitrogen and phosphorus are the most frequently limiting 660 macronutrients for primary production in beech forests (Vitousek et al., 2010). In terms of climatic 661 variables, temperature is again more important than precipitation, as a result of the conditions of humidity to which these forests are subjected in the study area. On the other hand, the wetness index
shows that beech is very sensitive to excess water, as previously stated. The other significant variables
have already been discussed.

665 Regarding dominant height, several studies have shown that low winter temperatures and high summer 666 temperatures negatively affect height growth in beech (e.g. Scharnweber et al., 2011; Hacket-Pain et 667 al., 2016). Our findings are consistent with previous findings suggesting that beech grows optimally 668 within a certain temperature range, so that growth of the trees is negatively affected by extreme 669 temperatures outside of that range. Topographic position, exposure and slope also significantly affect 670 forests. Our findings show that a steeper slope implies higher dominant height (Table 6 and Figures 671 S1). This is because dominant trees consume many more of the available resources than their smaller 672 neighbours on steep slopes, assuming higher growth rates of dominant trees (Pretzsch and Dieler, 673 2011).

Finally, regarding "standing deadwood", the mean diurnal range of temperatures significantly affected the basal area of the standing dead trees (Table 6), indicating that greater standing dead wood is associated with greater mean diurnal range (Figure S1). This may be due to the fact that high daily maximum temperature and the vapour pressure deficit induce stress during the warmest and driest time of the day, limiting growth and potentially resulting in death of the trees (Thom et al., 2020).

679

680 **4.3.** Forecasting the effects of climate change on the beech forest structure

Understanding how vegetation dynamics are impacted by climate is a key challenge in a world undergoing anthropogenic climate change. Our findings indicate that climate change will affect most structural features of forests, except the diversity of tree positions, which is mainly driven by soil factors. The intensity of the effects depends on the particular feature and the climate change scenario considered.

Previous studies have predicted a drastic reduction in suitable habitat area for beech forests in the Cantabrian Range (e.g. Kramer et al., 2010; Castaño-Santamaría et al., 2019), which would result in a deterioration of growth conditions, as a consequence of climate change. In particular, a latitudinal shift towards the north and an upwards elevational shift are foreseen. Our predictions clearly show the 690 effect of elevation on temperatures and precipitation, with effects related to a worsening of suitable 691 conditions for beech at lower altitudes. However, at higher elevations beech forests are less sensitive 692 to drought and heat stress (see Psidova et al., 2018). Nonetheless, the less favourable conditions may 693 indicate that beech would lose its fundamental competitive advantage over other species, which could 694 result in a loss of dominance, higher mortality or lower regeneration (Leuschner, 1998; Allen et al., 695 2010; Silva et al., 2012). As a consequence, the appearance of other species would reduce the 696 monospecificity of the stands and increase their dimensional diversity (e.g. Pretzsch et al., 2013). In 697 fact, beech is currently being replaced in NE Spain by species that are better adapted to cope with the 698 warmer and drier conditions (e.g. holm oak and European holly) (see Peñuelas et al., 2007), which 699 implies an increase in tree richness relative to pure beech forests. Thus, the present findings appear to 700 be consistent with all of these previous findings.

701 Nevertheless, according to Gray and Hamann (2013), projections regarding climate change should not 702 be interpreted literally as predicted species demographics, and negative projections do not necessarily 703 entail the removal of current populations (Hampe, 2004). For instance, the tallest and thickest beech 704 trees will probably persist (e.g. Charru et al., 2017), and the microclimatic buffering capacity of beech 705 forest canopies may partly offset the impact of global climate change on subcanopy processes (Thom 706 et al., 2020). In fact, our findings suggest that the tallest and thickest beech trees would persist, which 707 would lead to an increase in the basal area and the dominant and average heights of beech trees. 708 However, there is also no clear pattern in these increases (see Albert and Schmidt, 2010; Brandl et al., 709 2018 or Nothdurft et al., 2012 as examples). It is evident that, although information about the 710 responses of forest ecosystems to climate change has increasingly been reported in recent years, some 711 uncertainties remain.

713 **5. Conclusions**

Beech-dominated forests in the Cantabrian Range are mostly monospecific, overstocked and never monostratified, with very closed canopies and low levels of light below the canopy. These forests exhibit a moderately clustered spatial arrangement when young becoming more regular as they mature, with a clear spatial separation of tree species and high overall variability in density and tree size. Nevertheless, there is a scarce diameter and height differentiation in the vast majority of plots (greater for diameter), and only one quarter of the stands have standing deadwood.

720 Although the findings must be considered with caution, as the predictors retained by models are to 721 some extent determined by the algorithm used, we found that tree spatial distribution is only driven by 722 soil factors, whereas tree species richness, vertical structure and basal area of standing dead trees are 723 driven exclusively by climatic variables, and they are therefore very sensitive to climate change. The 724 remaining structural features are driven by a mixture of types of factors. Shrub and herbaceous species 725 richness and tree diameter differentiation are explained in similar ways by soil and climatic variables, 726 while dominant height is mainly driven by climatic variables and, by contrast, tree species 727 intermingling, tree height differentiation and stocking level are mainly driven by soil-related variables. 728 The climatic conditions forecast for the study area will lead to deterioration of suitable conditions for 729 beech (mainly at lower altitudes), implying a reduction in tree richness and diversity of tree 730 dimensions but an increase in stocking level and standing deadwood (more canopy gaps) and 731 consequently increased richness of shrubs and herbaceous species. Changes in climatic conditions will 732 be less marked at higher elevations, coinciding with the upwards elevational shift predicted as a 733 consequence of global warming. In this zone, tree species diversity would be slightly higher under the 734 moderate climate change scenario, but would remain more or less stable under the pessimistic 735 scenario.

In summary, our findings indicate that beech will lose its fundamental competitive advantage over other species, which may result in a shift to more resilient mixed stands. These predictions may be useful for helping decision-makers to develop plans for protecting biodiversity, forest management and species re-habitation plans to prevent or mitigate the impact of climate change on beech forests.

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8. Tables

Type/ Source	Code	Description	Mean	Min.	Max.	Std. Dev
	SLP	Slope based on a digital elevation model (%)	22.95	4.03	42.04	7.85
	ASP	Aspect based on a digital elevation model (°)	172.18	2.85	355.08	110.42
	CU	Curvature	-0.15	-5.24	7.06	1.32
	PLC	Plan curvature	-0.11	-3.96	4.22	0.87
	PRC	Profile curvature	0.03	-2.84	1.94	0.62
T	TSI	Terrain shape index	0.00	-0.24	0.18	0.05
I errain/	WI	Wetness index	9.57	7.24	16.43	1.92
Lidar	SR_SS	Potential incoming solar radiation in summer solstice (kJ m ² year ⁻¹)	5994.34	4996.97	6806.93	344.71
	SR_EQ	Potential incoming solar radiation in equinox (kJ m ² year ⁻¹)	3153.88	2081.49	4440.32	517.70
	SR_WS	Potential incoming solar radiation in winter solstice $(kI m^2 vear^{-1})$	633.48	314.96	1530.76	288.35
	DHN	Euclidean distance to hydrographic network	1415.66	0.00	3676.96	887.35
	BIO01	Annual mean temperature (°C)	8.67	5.90	10.90	1.11
	DIO02	Mean diurnal range	10.03	9.20	10.60	0.30
	BIO02	(Mean of monthly (max temp - min temp)) (°C)				
	BIO03	Isothermality (BIO02/ BIO07) (*100) (°C)	40.33	39.00	42.00	0.67
	BIO04	Temperature seasonality (std. Dev. *100) (°C)	499.23	456.70	524.10	15.21
	BIO05	Max temperature of warmest month (°C)	22.86	20.80	24.80	0.87
	BIO06	Min temperature of coldest month (°C)	-1.70	-4.50	0.90	1.14
	BIO07	Temperature annual range (BIO05- BIO06) (°C)	24.57	22.60	25.70	0.70
	BIO08	Mean temperature of wettest quarter ($^{\circ}$ C)	6.01	2.70	8.70	1.23
Climate/	BIO09	Mean temperature of driest quarter ($^{\circ}$ C)	15.25	12.90	17.10	0.93
World	BIO10	Mean temperature of warmest quarter (°C)	15.31	12.90	17.20	0.95
Clim	BIO11	Mean temperature of coldest quarter ($^{\circ}C$)	2 65	-0.30	5 30	1 19
	BIO12	Annual precipitation (mm)	900.09	775.00	1062.00	63 27
	BIO12 BIO13	Precipitation of wettest month (mm)	113 72	102.00	132.00	675
	BIO14	Precipitation of driest month (mm)	113.72	37.00	52.00	3.46
	PIO15	Precipitation of direct month (min)	25.05	22.00	22.00	1.07
	DIO15	Precipitation seasonality (Coef. of Valiation) (%)	205.04	25.00	260.00	1.97
	DI010	Precipitation of weitest quarter (min)	150.04	270.00	194.00	20.42
	DIO17	Precipitation of unest quarter (mm)	159.62	126.00	184.00	10.57
	BIO18 DIO10	Precipitation of warmest quarter (mm)	103.37	130.00	185.00	10.57
	BIO19	Precipitation of coldest quarter (mm)	250.75	206.00	520.00	27.15
	Ph_CaCl ₂	Soli pH in CaCl ₂ 0.01 M solution (cmol+ kg ⁻¹) Soli pH in U O solution (cmol+ kg ⁻¹)	5.46	0.00	6.09	0.62
	Ph_H_2O	Soil pH in H_2O solution ((cmol+ kg ⁻)	5.96	0.00	0.50	0.68
	$\Gamma_1 = \Pi_2 \cup U_2 \cup U_2$	Cation avalance and prin CaCl ₂ 0.01M solution	0.51	0.00	1.03	0.10
		Cation-exchange capacity (cinoi+ kg ⁻) Caloium carbonate (CaCO) ($\sim 1e^{-1}$)	13.34	0.00	20.45	4./3
Soil/		C:N ratio (%)	54.91 14 20	10.29	103.32	0 82
LUCAS	U/IN N	$\frac{1}{100} \frac{1}{100} \frac{1}$	14.39	0.00	6.49	1.21
opsoil	D	Phosphorus (P) (mg kg ⁻¹)	5.10 17 22	3 21	0.4ð 36.04	1.21
chemical	ĸ	Potassium (K) (mg kg ⁻¹)	1/.22	0.00	210.24	38.85
and physical	ACW	Available water canacity (%)	0 12	0.00	0.14	0.05
properties	RD	Bulk density (Mg m^{-3})	1.03	0.09	1 1 2	0.01
	CLAY	Clay content (%)	22.62	13.60	30.08	3.26
	COFG	Coarse fragments (%)	25.31	13.80	35.00	4 84
	SAND	Sand content (%)	41.62	24.29	64 71	7.23
	SILT	Silt content (%)	36.16	21.69	51 55	5.62
	USDA ¹	USDA soil textural classes	-	-	-	-
	SC	Soil organic carbon content (Mg/ha)	86.04	58.00	129.00	14 59
Soil/	DB	Absolute deep to bed rock (cm)	1405 70	933.00	1881.00	203.23
SoilGrid	DB200	Depth of bedrock (R horizon) to 200 cm (cm)	194.62	169.00	200.00	6.75
	R	Probability occurrence of R horizon (%)	30.21	14.00	41.00	5.36
Soil/	Geo unit ¹	Geological units	-	-	-	-
SGM	Geo lit unit ¹	Lithological units	-	-	-	-
Soil/	LIT dco ¹	Lithostratigraphy	-	-	-	-
SSM	LIT per ¹	Lithostratigraphy	-	-	-	-
10101	LII_PCI	Full soil code of the Soil typological units from the	-	-	-	-
Soil/ESDB	WRB-Full ¹	World Reference Base (WRB) for Soil Resources	-	-	-	-
	WRB-LEV ¹	World Reference Base (WRB) for Soil Resources	-	-	-	-

Table 1. Basic statistics of the environmental variables in the 112 experimental plots

1105

¹ qualitative variable.

Class	Index (reference)	Formula	Explanation	Interpretation
	Aggregation index ¹ (Clark and Evans, 1954)	$R = \frac{\overline{r}_{observed}}{E(r)}; \text{ where } E(r) = 1/2\sqrt{N/A}; R \in [0, 2.149]$	$\vec{r}_{observea}$ is the mean of the distances from the trees to their nearest neighbours, <i>N</i> is the number of trees of the stand, <i>A</i> is the area of the forest stand	R > 1 indicates regularity; R < 1 indicates clustering; R = 1 indicates random tree positions
Spatial tree distrib.	Contagion index ² (Hui and Gadow, 2002)	$W_i = \frac{1}{n} \cdot \sum_{j=1}^{n} w_{ij} \text{ ; where } W_{ij} = \begin{cases} 1 \text{ if } \alpha_{ij} < \alpha_0 \text{ ; } W_i \in [0, 1] \\ 0 \text{ if } \alpha_{ij} \ge \alpha_0 \end{cases}$	α_{ij} is the angle between trees i and j and a reference direction, $\alpha_0=72^{\rm o}$	$W_i = 0.00$ indicates very regular distribution; $W_i = 0.25$ indicates regular; $W_i = 0.50$ indicates random; $W_i = 0.75$ indicates cluster; $W_i = 1.00$ indicates very irregular or clumped
	Mean Directional Index ² (Corral-Rivas <i>et al.</i> , 2006)	$MDI_{i} = \sqrt{\left(\sum_{j=1}^{n} \cos \alpha_{ij}\right)^{2} + \left(\sum_{j=1}^{n} \sin \alpha_{ij}\right)^{2}}$	α_{ij} is the angle between trees <i>i</i> and <i>j</i> and a reference direction	$MDI_i > 1.799$ denotes clustering; $MDI_i = 1.799$ in case of random tree positions; $MDI_i = 0$ in a complete square pattern
	Tree species richness ¹	$TSR = n_{observed}$	$n_{observed}$ is the number of tree species identified into the plot	
	Shrubs-herbaceous species richness ¹	S-HSR= n _{observed}	n _{observed} is the number of shrubs or herbaceous species identified into the plot	
Plant	Segregation index ¹ (Pielou, 1977)	$S = 1 - \frac{N \cdot (b+c)}{v \cdot n + w \cdot m} ; S \in [-1, 1]$	<i>N</i> is the number of trees of the stand, <i>b</i> is the number of trees of <i>i</i> species nearest to trees of <i>j</i> species, <i>c</i> is the number of trees of <i>j</i> species nearest to trees of <i>i</i> species, <i>n</i> is the is the number of trees of <i>i</i> species, <i>m</i> is the number of trees of <i>j</i> species, $w = (m - b) + c$, $w = b + (n - c)$.	S < 0 indicates thorough mingling or association between species; S > 0 indicates segregation, i.e. spatial separation of species; S = 0 indicates randomness of species distribution
richness and species diversity	Shannon index ¹ (Shannon and Weaver, 1949)	$H' = -\sum_{i=1}^{n} p_i \cdot \log_2(p_i) \; ; \; H' \in [0, \ldots]$	p_i is the probability of a randomly selected tree belonging to species <i>i</i> , <i>n</i> is the number of tree species in the stand	H' = 0 if there is only one species; $H' = \log_2(Z)$ if there are Z species with equal relative proportions.
	Simpson index ¹ (Simpson, 1949)	$1 - D = 1 - \sum_{i=1}^{n} p_i^2$; $D \in [0, 1]$	p_i is the probability of a randomly selected tree belonging to species <i>i</i> , <i>n</i> is the number of tree species in the stand	D = 0 if there is only one species in the community; D = 1 if there are infinite species in the community.
	Mingling index ² (Gadow, 1993)	$M_{i} = \frac{1}{n} \cdot \sum_{j=1}^{n} v_{ij} \text{ ; where } v_{ij} = \begin{cases} 1 \text{ if } sp_{i} \neq sp_{j} \\ 0 \text{ if } sp_{i} = sp_{j} \end{cases}; M_{i} \in [0, 1]$	sp_i is the species of the reference tree <i>i</i> , sp_j is the species of the <i>j</i> th neighbour tree	$M_i = 0.00$ implies no mingling; $M_i = 0.25$ indicates weak mingling; $M_i = 0.50$ implies moderate mingling; $M_i = 0.75$ indicates high mingling; $M_i = 1.00$ indicates total mingling
	Diameter differentiation ² (Füldner, 1995)	$TD_{i} = 1 - \frac{\min(dbh_{i}, dbh_{j})}{\max(dbh_{i}, dbh_{j})} ; TD_{i} \in [0, 1]$	dbh_i is the diameter of tree <i>i</i> , dbh_j is the diameter of tree <i>j</i>	$TD_i = 0.0.3$, small differentiation; $TD_i = 0.3-0.5$, moderate; $TD_i = 0.5-0.7$, large; $TD_i = 0.7-1$, very large differentiation
Tree dimensions and	Mean diameter differentiation ² (Pommerening, 2002)	$TDM_{i} = 1 - \frac{1}{n} \sum_{j=1}^{n} \left(\frac{\min(dbh_{i}, dbh_{j})}{\max(dbh_{i}, dbh_{j})} \right); TDM_{i} \in [0, 1]$	dbh_i is the diameter of reference tree <i>i</i> , dbh_j is the diameter of its nearest neighbour <i>j</i> , <i>n</i> is the effective number of trees in the plot	TDM_i = 0-0.3, small differentiation; TDM_i = 0.3-0.5, moderate; TDM_i = 0.5-0.7, large; TDM_i = 0.7-1, very large differentiation
vertical structure	Height differentiation ² (Pommerening, 2002)	$TH_i = 1 - \frac{\min(h_i, h_j)}{\max(h_i, h_j)} ; TH_i \in [0, 1]$	h_i is the height of tree <i>i</i> , h_j is the height of the nearest neighbour tree <i>j</i>	$TH_i = 0.0.3$, small differentiation; $TH_i = 0.3-0.5$, moderate; $TH_i = 0.5-0.7$, large; $TH_i = 0.7-1$, very large differentiation
	Mean height differentiation ² (Gadow, 1999)	$TH_i = 1 - \frac{\min(h_i, h_j)}{\max(h_i, h_j)} ; TH_i \in [0, 1]$	h_i is the height of reference tree <i>i</i> , h_j is the height of its nearest neighbour <i>j</i> , <i>n</i> is the effective number of trees in the plot.	<i>THM_i</i> = 0-0.3, small differentiation; <i>THM_i</i> = 0.3-0.5, moderate; <i>THM_i</i> = 0.5-0.7, large; <i>THM_i</i> = 0.7-1, very large differentiation

1106 Table 2. Individual-tree and stand-related structure indices used in the study

1107 ¹ Stand-related-indices. ² Individual-tree-related indices

Table 2 (Cont.). Individual-tree and stand-related structure indices used in the study

Class	Index (reference)	Formula	Explanation	Interpretation
	Diametrical dominance index ² (Hui <i>et al.</i> , 1998)	$Ud_i = \frac{1}{n} \cdot \sum_{j=1}^{n} v_j \text{ ; where } v_j = \begin{cases} 1 \text{ if } d_i > d_j \\ 0 \text{ otherwise} \end{cases}; Ud_i \in [0, 1]$	dbh_i is the diameter of the reference tree <i>i</i> , dbh_j is the diameter of the <i>j</i> th neighbour tree (<i>n</i> =4)	$Ud_i = 0$ implies strongly suppressed tree; $Ud_i = 0.25$ moderately suppressed; $Ud_i = 0.50$ co-dominant; $Ud_i = 0.75$ dominant; $Ud_i = 1.00$ very dominant
Tree dimensions	Height dominance index ² (Hui <i>et al.</i> , 1998)	$Uh_i = \frac{1}{n} \cdot \sum_{j=1}^{n} v_j \text{ ; where } v_j = \begin{cases} 1 \text{ if } h_i > h_j \text{ ; } Uh_i \in [0, 1] \\ 0 \text{ otherwise} \end{cases}$	h_i is the height of the reference tree <i>i</i> , h_j is the height of the <i>j</i> th neighbour tree (<i>n</i> =4)	$Uh_i = 0$ implies strongly suppressed tree; $Uh_i = 0.25$ moderately suppressed; $Uh_i =$ 0.50 co-dominant; $Uh_i = 0.75$ dominant; $Uh_i = 1.00$ very dominant
structure	Shannon vertical index ¹ (Pretzsch, 1996)	$H'_{V} = -\sum_{i=1}^{s} \sum_{j=1}^{z} p_{ij} \log_{2}(p_{ij}); H_{V'} \in [0,]$	p_{ij} is the proportion of <i>i</i> species in the <i>j</i> stratum, <i>s</i> is number of species in the plot, <i>z</i> is the number of height strata	$H'_V = 0$ if there is only one species and one stratum; $H'_V = \log_2(Z)$ if there are Z species with equal relative proportions in the strata.
	Shannon stratified index ¹ (Weber, 2000)	$H'_{str} = -\sum_{i=1}^{n} \left(\frac{h \cdot p_i}{n \cdot h_i} \right) \cdot \log_2 \left(\frac{h \cdot p_i}{n \cdot h_i} \right); H_{str}' \in [0, \dots]$	p_i is the proportion of trees of the <i>i</i> stratum, <i>n</i> is the number of strata, <i>h</i> is the height of the forest, h_i is the height of <i>i</i> stratum	$H'_{str} = 0$ if there is only one stratum; $H'_{str} = \log_2(Z)$ if there are Z strata with equal relative proportions
	Number of trees per hectare ¹	$N = \frac{10000}{S_p} \sum_{i=1}^{i=n} n_i$	N is the number of trees per hectare (trees/ha), n_i is the number of trees per plot, S_p is the plot surface area in m ²	
	Basal area ¹ (m ² /ha)	$G = \frac{2500 \cdot \pi}{S_p} \sum_{i=1}^{i=n} db h_i^2$	<i>dbhi</i> is the diameter of tree i, S_p is the plot surface in m ²	
Stand density and average	Stocking level ¹	$StDeg_i = \frac{N_{\max}}{N}$	N is the number of trees per hectare (trees/ha), $N_{\text{max}} = \exp(10.9 + 0.03 \cdot M) \cdot dg^{(-1.2716 - 0.0091M)}$ (Condés <i>et al.</i> , 2017), <i>M</i> is the Martonne aridity index <i>M=P</i> /(<i>T</i> +10), being <i>P</i> annual precipitation in mm and <i>T</i> mean annual temperature in °C	<i>StDeg</i> > 0.6 indicates overstocked; <i>StDeg</i> < 0.6 and > 0.35 indicate fully stocked; <i>StDeg</i> < 0.35 indicates understocked
tree size	Hart-Becking index ¹ (%)	$HB_i = \sqrt{20000/N\sqrt{3}} / H_0$	HB_i is the Hart-Becking index (%), N is the number of trees per hectare and H0 is the dominant height	When <i>HBi</i> is greater, crowding is lower
	Dominant height ¹ (m)	$H_0 = \sum_{i=1}^{i=n} h_0 / n_0$	h_0 is the height (m) of the n_0 thickest trees (the proportion of the 100 thickest trees per hectare of the plot)	
	Mean height ¹ (m)	$Hm = \sum_{i=1}^{i=n} h_i / n_i$	h_i is the height (m) of the tree <i>i</i> , and n_i is the number of trees per plot	
Standing	Number of standing dead trees per hectare ¹ (trees ha ⁻¹)	$N_{dead} = \frac{10000}{S_p} \sum_{i=1}^{i=n} n_{dead}$	n_{dead} is the number of dead trees per plot, S_{p} is the plot surface area in m ² .	
dead wood	Basal area of standing dead trees ¹ $(m^2 ha^{-1})$	$G_{dead} = \frac{2500 \cdot \pi}{S_p} \sum_{i=1}^{i=n} dbhi_{dead}^2$	$Dbhi_{dead}$ is the diameter of dead tree <i>i</i> , S_p is the plot surface in m ² .	

1109 ¹ Stand-related-indices. ² Individual-tree-related indices

- **Table 3**. Values (mean, maximum, minimum and standard deviation) of the indices analyzed in this
- 1112 study.

Class	Class Abbr. and units Index				Max.	Std. Dev
Smathal true	R	Aggregation index	0.9277	0.3805	1.6482	0.2347
Spatial tree	W	Contagion index	0.5825	0.50	0.75	0.0461
uistrib.	MDI	Mean directional index	2.2714	1.4023	2.8124	0.2072
	TSR	Tree species richness	1.4864	1	4	0.8187
	S-HSR	Shrub and herbaceous richness	5.9189	2	12	3.0938
Plant richness and	S	Segregation index	0.8323	0.1857	0.9277	0.0922
species diversity	H'	Shannon index	0.1431	0	1.0129	0.2582
	D	Simpson index	0.0813	0	0.5612	0.1533
	М	Mingling index	0.0722	0	0.7500	0.1433
	TD	Diameter differentiation	0.2465	0.0450	0.6071	0.1052
	TDM	Mean diameter differentiation	0.2822	0.0625	0.5952	0.1140
T	TH	Height differentiation	0.1393	0	0.3750	0.0880
I ree dimensions	THM	Mean height differentiation	0.1869	0.0100	0.5000	0.1072
structure	Ud	Diametrical dominance index	0.5145	0.3500	0.5937	0.0266
structure	Uh	Height dominance index	0.5050	0.2965	0.5925	0.0870
	H`v	Shannon vertical index	1.0394	0.0859	1.7462	0.2420
	H`str	Shannon stratified index	1.1257	0.4417	1.2312	0.2158
	N (trees ha ⁻¹)	Trees per hectare	1218.32	94	4200	775.09
64 J. J J.	$G (\mathrm{m}^2 \mathrm{ha}^{-1})$	Basal area	44.57	15.35	178.70	17.27
Stand density and	StDeg (%)	Stocking level	0.96	0.39	1.73	0.23
average	HBi (%)	Hart-Becking index	21.11	10.84	46.66	6.17
u ce sille	$H_0(m)$	Dominant height	17.73	7.15	35.90	4.80
	Hm (m)	Mean height	14.18	6.80	33.21	4.14
Standing	N_{dead} (trees ha ⁻¹)	Trees dead per hectare	13.01	0	125	27.72
dead wood	G_{dead} (m ² ha ⁻¹)	Basal area standing dead trees	0.1611	0	2.2138	0.3874
	S_open (%)	Site openess	14.9759	11.8433	18.0711	1.7812
	LAI 4	Leaf Area Index 4	5.1864	4.6866	7.7566	1.1960
	LAI 5	Leaf Area Index 5	4.9593	4.5566	7.3133	1.1403
Canopy geometry	BDR (MJ m ⁻² d ⁻¹)	Below canopy direct radiation	1.7583	1.1433	3.5801	0.8132
and light regime	BDifR (MJ m ⁻² d ⁻¹)	Below canopy diffuse radiation	2.1993	1.7933	3.3866	0.4368
	BTR (MJ m ⁻² d ⁻¹)	Below canopy total radiation	3.8573	2.7233	6.1203	1.1488
	BTR (%)	Below canopy total radiation as a percentage of BDR	13.1703	8.2800	17.1267	2.3197

	R																
R		W															
W	-		MDI														
MDI	-	0.8196 ***		TSR													
TSR	-	-	-		S-HSR												
S-HSR	-	0.1849 *	0.1958 *	-		S											
S	0.8972 ***	-	-	-	-		H'										
H'	-	-	-	-	-	-		D									
D	-	-	-	0.8343 ***	-	-	-		М								
М	-	-	-	0.7821 ***	-	-	0.1996 *	0.9600 ***		TD							
TD	-	-	0.2610 **	0.3045 **	0.3877 ***	-	-	0.3142 ***	0.3194 ***		TDM						
TDM	-	-	0.2462 **	0.2013 *	0.3740 ***	-	-	-	0.1957 *	0.8095 ***		TH					
TH	-	-	0.2338	0.3310 ***	0.3152 ***	-	-	0.4108 ***	0.4452 ***	0.7455 ***	0.6816 ***		THM				
THM	-	-	0.2496 **	0.2086 *	0.3711 ***	-	-	0.2713 **	0.3085 **	0.6798 ***	0.8112 ***	0.8725 ***		Ud			
Ud	-	-	-	-	-	-	0.2253 *	-	-	-	-	-	-		Uh		
Uh	-	-	-	-	-	-	-	-	-	-	-	-	-	0.5561 ***		H'v	
H'v	-	-	0.2234 *	0.7501 ***	-	-	-	0.6866 ***	0.6032 ***	0.3701 ***	0.3629 ***	0.3554 ***	0.3417 ***	-	-		H'str
H'str	-	-	-	-	-	-	-	-	-	0.2502 **	0.3389 ***	-	0.2941 **	-	0.2186	0.6738 ***	

Table 4. Results of the analysis of correlation between diversity indices

*** denotes p-value < 0.001; ** denotes 0.001< p-value < 0.01; ** denotes 0.01< p-value < 0.05; - denotes non-significant results

richness; S-HSR=Shrub and herbaceous richness; S=Segregation index; H= Shannon index; D=Simpson index; TD= Diameter differentiation; TDM=Mean diameter differentiation; TH=Height differentiation; THM=Mean height differentiation; Ud= Diametrical dominance index; Uh= Height dominance index; H'v= Shannon vertical index; H'str= Shannon stratified index.

Note. Bold characters indicate correlation is significant at alpha level after Bonferroni correction (Bonferroni, 1936). R=Aggregation index; W=Contagion index; MDI=Mean directional index; TSR=Tree species

	Ν	G	StDeg	HBi	H_0	Hm	N dead	G dead	S_open	BDR	BDifR	BTR	BTR%
N									-	-	-	-	-
G	-								-	-	-	-	-
StDeg	-	0.9578 ***							-	-	-	-	-
HBi	-0.3973 ***	-0.2398 *	-0.4001 ***						-	0.2890 *	-	0.3124	-
H_0	-0.5457 ***	0.4316 ***	0.2895 **	-0.3207 ***					-	-	-	-	-
Hm	-0.4827 ***	0.3977 ***	0.2529 **	-	0.8619 ***				-	-	-	-	-
N dead	-	-	-	-	-	-			0.3036	-	0.2708 *	-	-
G dead	-	0.3063 ***	0.3942 ***	-	-	-	0.7610 ***		-	-	-	-	-
R	-0.3891 ***	0.2117 **	-	-	0.4163 ***	0.5535 ***	-	-	-	-	-	-	-
W	0.3413 ***	-	-	-	-	-	-	-	-	-	-	-0.2871 *	-0.3097 *
MDI	0.3399 ***	-	-	-	-	-	-	-	-	-	-	-0.2740 *	-0.2964 *
TSR	-	-	0.2697 **	-	-	-	-	-	-	-	-	-	-
S	-0.3589 ***	0.3308 ***	0.2034 *	-	0.3879 ***	0.4955 ***	-	-	-	-	-	-	-
H'	-	0.3409 ***	0.3226 ***	-	-	-	-	-	-	-	-	-	-
М	-	-	0.9965 ***	-	-	-	-	-	-	-	-	-	-
TD	-	-	0.3039 **	-	-	-	-	-	-	-	-	-	-
TH	-	-	0.4325 ***	-	-	-	-	-	-	-	-	-	-
THM	-	-	0.2981 **	-	-	-	-	-	-	-	-	-	-
H'v	-	0.2031	0.2146	-	-	-	-	-	-	-	-	-	-

Table 4 (Cont.). Results of the analysis of correlation between diversity indices

 $*** \ denotes \ p-value < 0.001; \ ** \ denotes \ 0.001 < p-value < 0.01; \ * \ denotes \ 0.01 < p-value < 0.05; \ - \ denotes \ non-significant \ results \ non-significant \ non-significant \ non-significant \ results \ non-significant \ results \ non-significant \ results \ non-significant \ non-significant$

Note. Bold characters indicate correlation is significant at alpha level after Bonferroni correction (Bonferroni, 1936). N=Number of trees per hectare; G=Basal area (m².ha⁻¹); StDeg=Stocking level; HBi=Hart-Becking index (%); H_0 =Dominant heigh (m); Hm=Mean height (m); N_{decad} =Number of standing dead trees per hectare (trees.ha⁻¹); G_{decad} =Basal area of standing dead trees (m².ha⁻¹); R=Aggregation index; W=Contagion index; MDI=Mean directional index; TSR=Tree species richness; S=Segregation index; H^* = Shannon index; M= Mingling index; TD= Diameter differentiation; TH=Height differentiation; THM=Mean height differentiation; H^*v = Shannon vertical index; H^*str = Shannon stratified index; S_open= Site openness (%); BDR= Below canopy direct radiation (MJ m⁻² d⁻¹); BDifR= Below canopy diffuse radiation (MJ m⁻² d⁻¹); BTR%= Below canopy total radiation as percentage of BDR.

1133 1134 Table 5. Preliminary analysis of the RF models to predict structure indices as a function of

environmental variables

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			RF Model				
Class	Abbr.	Index	R ²	N⁰ variables			
S. A. Law	R	Aggregation index	0.16	2			
Spatial tree	W	Contagion index	0.17	6			
	MDI	Mean directional index	-	-			
	TSR	Tree species richness	0.25	1			
	S-HSR	Shrub and herbaceous richness	0.38	6			
Plant richness	S	Segregation index	0.04	1			
and species	H'	Shannon index	0.32	7			
uiversity	D	Simpson index	0.32	13			
	М	Mingling index	0.32	13			
	TD	Diameter differentiation	0.27	7			
	TDM	Mean diameter differentiation	0.17	11			
Tree	TH	Height differentiation	0.26	7			
dimensions and	THM	Mean height differentiation	0.22	7			
vertical	Ud	Diametrical dominance index	0.24	6			
structure	Uh	Height dominance index	0.17	3			
	H'_{ν}	Shannon vertical index	0,15	2			
	H'str	Shannon stratified index	0.22	1			
	N (trees ha ⁻¹)	Trees per hectare	0.44	8			
Density and	$G ({ m m}^2{ m ha}^{-1})$	Basal area	0.08	1			
average tree	StDeg (%)	Uniform angle index	0.22	16			
size	HBi (%)	Hart-Becking index	0.14	3			
	$H_{ heta}\left(\mathbf{m} ight)$	Dominant height	0.51	8			
Standing dead	N _{dead} (trees ha ⁻¹)	Trees dead per hectare	0.39	6			
wood	G _{dead} (m ² ha ⁻¹)	Basal area standing dead trees	0.38	2			

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R²= coefficient of determination of de model; N° variables= number of variables retained by the RF model. The RF selected models within each Diversity Class are shown in bold.

Class	RF Model	Туре	Variable	Normalized Relative Importance (VIM _N , %)	Accumulated VIM _N (%)	R ²	RMSE
Spatial tree	Aggregation	Soil	Geo_lit_unit	99.00	99.00	0.16	0.22
distribution	index	Soil	USDA	1.00	100.00	0.10	0.22
	Tree species richness	Climate	BIO03	100.00	100.00	0.25	0.72
Plant richness and	Shrub- herbaceous species richness	SoilpH_H2O_CaCl2ClimateBIO11ClimateBIO01ClimateBIO15SoilWRB-LEVSoilWRB-Full		34.66 21.61 20.21 15.23 7.95 0.34	34.66 56.27 76.48 91.71 99.66 100.00	0.38	1.75
diversity	Shannon_sp	Soil Soil Terrain Soil Terrain Climate Soil	SAND K SR_WS N WI BIO03 DB	18.14 17.53 17.09 13.64 13.62 10.91 9.08	18.14 35.67 52.76 66.39 80.01 90.92 100.00	0.32	0.22
	Shannon vertical index	Climate	BIO03	100.00	100.00	0.22	0.22
Tree dimensions and vertical	Füldner diameter differentiation	Climate Climate Soil Climate Soil Soil Soil	SR_WS BIO07 DB BIO03 Geo_unit WRB-Full USDA	25.31 25.19 25.17 10.50 8.91 4.73 0.20	25.31 50.50 75.66 86.16 95.07 99.80 100.00	0.27	0.09
structure	Füldner height differentiation	Soil Soil Climate Climate Climate Soil	CEC pH_H2O_CaCl ₂ SILT BIO07 BIO19 BIO03 WRB-LEV	20.36 19.41 19.32 17.30 17.25 4.53 1.83	20.36 39.77 59.09 76.39 93.64 98.17 100.00	0.26	0.08
Density and average tree size	Stocking level	Soil Soil Soil Soil Climate Terrain Climate Climate Climate Climate Climate Soil Soil Soil Climate Cli	BD P C/N CEC N BIO01 PLC WI BIO09 BIO19 SR_WS BIO16 BIO15 Geo_unit WRB-LEV USDA BIO04 BIO05 BIO02 SLP BIO15	1.33 12.82 10.34 10.00 8.26 7.99 7.90 7.75 6.50 6.23 6.10 5.50 5.30 3.52 1.37 0.33 0.07 24.03 21.77 18.99 17.16 8.23	$\begin{array}{c} 120.00\\ 12.82\\ 23.16\\ 33.16\\ 41.42\\ 49.41\\ 57.31\\ 65.06\\ 71.56\\ 77.80\\ 83.90\\ 89.39\\ 98.22\\ 92.92\\ 99.60\\ 99.93\\ 100.00\\ 24.03\\ 45.80\\ 64.79\\ 81.94\\ 90.17\\ \end{array}$	0.22	0.21
Standing dead wood	Basal area of standing dead	Soil Soil Climate Climate	WRB-Full WRB-LEV BIO02 BIO15	5.39 <u>4.44</u> 99.00 1.00	95.56 100.00 99.00 100.00	0.38	0.20

Geo_lit_unit=Lithological units; USDA=Soil textural class; BIO03=Isothermality (°C); Ph_H₂O_CaCl₂=Soil pH in water and pH in CaCl₂; BIO11=Mean temperature of coldest quarter (°C); BIO01=Annual mean temperature (°C); BIO15=Precipitation seasonality (%); WRB-LEV=Soil reference group from World Reference Base (WRB); WRB-FULL=Full soil code from the World Reference Base (WRB); SAND=Sand content (%); K=Potassium (K) (mg kg-1); SR_WS=Potential incoming solar radiation in winter solstice (KJ m² year⁻¹); N= Nitrogen (N) (g kg⁻¹); WI= Wetness index; DB=Absolute depth to bed rock (cm); Geo_unit=Geological units; CEC=Cation-exchange capacity (cmol+ kg⁻¹); SILT=Silt content (%); BIO07=Temperature annual range (°C); BIO19=Precipitation of coldest quarter (mm); BD= Bulk density (Mg m⁻³);P=Phosphorus (P) (mg kg⁻¹);C/N C:N ratio (%); PLC=Plan curvature; BIO09=Mean temperature of driest quarter (°C); BIO16=Precipitation of wettest quarter (mm); BIO04= temperature seasonality (°C); SLO9=Max temperature of warmest month (°C); BIO02=Mean diurnal range (°C); SLP=slope.

1149 Supplementary Table

Variabla		Cui	rrent			2050 H	RCP 4.5			2050 RCP 8.5			2070 RCP 4.5				2070 RCP 8.5				
variable	Mean	Min	Max	SD	Mean	Min	Max	SD	Mean	Min	Max	SD	Mean	Min	Max	SD	Mean	Min	Max	SD	
BIO01	8.3	2.2	13.8	1.8	9.7	3.6	15.0	1.8	10.4	4.2	15.6	1.7	10.0	3.8	15.3	1.8	11.2	5.0	16.3	1.7	
BIO02	9.9	7.3	11.0	0.5	10.3	7.6	11.5	0.5	9.9	7.2	11.1	0.6	10.4	7.6	11.6	0.5	10.1	7.3	11.3	0.6	
BIO03	40.2	38.0	44.0	0.9	40.3	38.0	44.0	0.9	37.9	35.0	42.0	1.0	40.4	38.0	44.0	0.9	37.8	35.0	42.0	1.0	
BIO04	497.5	384.9	547.2	27.4	515.7	397.0	572.6	29.6	545.2	418.9	608.3	31.6	519.5	401.3	579.4	30.2	559.1	427.4	624.4	33.2	
BIO05	22.4	16.8	25.3	1.2	24.3	18.5	27.3	1.2	25.2	19.3	28.4	1.3	24.7	18.8	27.8	1.3	26.4	20.4	29.6	1.3	
BIO06	-2.0	-7.5	5.1	2.0	-1.0	-6.5	6.0	1.9	-0.6	-6.0	6.4	1.9	-0.8	-6.3	6.2	1.9	0.1	-5.4	7.0	1.9	
BIO07	24.4	18.5	26.9	1.3	25.4	19.1	28.3	1.4	25.2	19.3	28.8	1.4	25.5	19.1	28.6	1.5	26.3	19.7	29.4	1.5	
BIO08	5.6	-2.8	12.1	2.2	6.2	-1.6	13.3	2.7	7.1	-1.3	13.6	2.3	6.8	-1.4	13.6	2.5	7.3	-0.6	14.4	2.8	
BIO09	14.9	9.3	18.8	1.5	16.6	10.9	20.2	1.4	17.4	11.9	21.0	1.4	16.8	11.2	20.3	1.3	18.4	12.8	21.9	1.3	
BIO10	15	9.3	19.1	1.5	16.6	10.9	20.5	1.5	17.7	11.9	21.4	1.4	17.0	11.2	20.8	1.4	18.7	12.8	22.3	1.4	
BIO11	2.3	-3.6	9.1	2.0	3.6	-2.4	10.2	2.0	3.8	-2.1	10.4	2.0	3.8	-2.2	10.4	2.0	4.4	-1.5	11.0	2.0	
BIO12	931.1	718.0	1358.0	95.9	860.2	666.0	1264.0	89.5	839.5	648.0	1222.0	88.1	851.9	654.0	1248.0	88.7	821.8	635.0	1208.0	85.9	
BIO13	116.5	90.0	158.0	10.1	108.6	83.0	150.0	9.8	112.9	87.0	158.0	10.3	111.5	85.0	153.0	10.0	113.6	87.0	152.0	9.5	
BIO14	46.2	32.0	73.0	5.0	42.0	30.0	65.0	4.4	34.1	24.0	53.0	3.7	39.9	28.0	63.0	4.3	33.6	24.0	52.0	3.7	
BIO15	25.8	21.0	34.0	2.2	26.8	22.0	38.0	2.7	31.4	27.0	42.0	2.4	27.1	22.0	39.0	2.7	32.0	27.0	42.0	2.4	
BIO16	313.5	244.0	447.0	30.5	294.9	229.0	433.0	31.6	303.5	238.0	439.0	30.5	294.7	230.0	427.0	29.9	294.6	229.0	426.0	30.5	
BIO17	164.1	123.0	244.0	15.7	145.3	111.0	212.0	12.3	132.5	99.0	195.0	12.9	147.2	109.0	218.0	14.0	128.7	96.0	188.0	12.5	
BIO18	167.7	126.0	244.0	14.4	145.4	112.0	212.0	12.3	136.8	109.0	195.0	10.9	149.9	117.0	218.0	12.5	132.2	105.0	188.0	10.6	
BIO19	261.4	189.0	415.0	38.6	251.3	181.0	401.0	37.7	252.5	183.0	402.0	37.5	248.1	179.0	394.0	36.8	255.2	185.0	405.0	37.9	

Table S1. Current values of the climatic variables analyzed and predictions for the different climate change scenarios (RCP 4.5 and RCP 8.5) and different time

1151 horizons (2050 and 2070).

1150

BIO01= Annual mean temperature (°C); BIO02= Mean diurnal range (°C); BIO03= Isothermality (°C); BIO04= Temperature seasonality (°C); BIO05= Max temperature of warmest month (°C); BIO06= Min temperature of coldest month (°C); BIO07= Temperature annual range (°C); BIO08= Mean temperature of warter (°C); BIO09= Mean temperature of driest quarter (°C); BIO10=Mean temperature of warmest quarter (°C); BIO12= Annual precipitation (mm); BIO13= Precipitation of wettest month (mm); BIO15= Precipitation seasonality (%); BIO16= Precipitation of warmest quarter (mm); BIO17= Precipitation of coldest quarter (mm); BIO18= Precipitation of coldest quarter (mm).

1157	9. Figure	Captions
	<i>(</i>)	

1158 Figure 1. Location of the study area.

1159

- 1160 Figure 2. Aggregation index results. Data are shown for each plot and ordered by increasing value.
- 1161
- 1162 Figure 3. Height-diameter dominance and differentiation modes for all plots.
- 1163
- 1164 Figure 4. Illustration of the spatially and temporally explicit maps of structural features derived from
- 1165 the RF models. Example for the standing deadwood basal area.
- 1166
- 1167

1168 Supplementary Figures

1169

Figure S1. Marginal response curves for variables included in the ten RF models that accumulate 75%of the relative importance for current environmental conditions. Variables are ordered by their

- 1172 contribution to the model (importance score)
- 1173

Figure S2. Spatially-explicit-maps of structural features derived from the RF models and projections for the year 2050 under two climate change scenarios (moderate scenario-RCP 4.5 and pessimistic scenario-RCP 8.5).

Fig 1.



Fig 2.













Figure S1.

Diversity class: Spatial tree distribution

<u>RF model</u>: Aggregation index



Description of lithological units

- 1.- Other granites
- Slates, greywackes, quartzites and conglomerates
 Quartzites, slates, sandstones and limestones
- 4.- Conglomerates, sandstones, slates and limestones. Coal 5.- Conglomerates, sandstones, limestones, plasters and
- versicolor clays
- 6.- Dolomites, limestones and marls. Sandstones
- 7.- Sandstones, slates and limestones
- 8.- Others

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1190

Diversity class: Plant richness and species diversity

<u>RF model</u>: Tree richness



1191

Diversity class: Plant richness and species diversity **<u>RF model</u>**: Shrub-herbaceous species richness



1195

Diversity class: Plant richness and species diversity



<u>RF model</u>: Shannon index

Diversity class: Tree dimensions and vertical structure

<u>RF model</u>: Shannon vertical index



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Diversity class: Tree dimensions and vertical structure

<u>RF model</u>: Diameter differentiation index







Diversity class: Tree dimensions and vertical structure

<u>RF model</u>: Height differentiation index



Diversity class: Standing dead wood

<u>RF model</u>: Basal area standing dead trees



Diversity class: Density and average tree size <u>**RF model**</u>: Stocking level





Diversity class: Density and average tree size **<u>RF model</u>**: Dominant height



Figure S2.

Diversity class: Spatial tree distribution

<u>RF model</u>: Aggregation index or Clark-Evans index



Note: RF model of this index does not incorporate climatic variables as predictor so future projections will be invariable
Diversity class: Plant richness and species diversity

<u>RF model</u>: Tree species richness





Diversity class: Plant richness and species diversity

<u>RF model</u>: Shrub-herbaceous species richness





Diversity class: Plant richness and species diversity

<u>RF model</u>: Shannon_sp



Diversity class: Tree dimensions and vertical structure

<u>RF model</u>: Shannon vertical index



Diversity class: Tree dimensions and vertical structure

<u>RF model</u>: Füldner diameter differentiation



6

Diversity class: Tree dimensions and vertical structure

<u>RF model</u>: Füldner height differentiation



7

Diversity class: Standing dead wood

<u>RF model</u>: Basal area of standing dead trees





Diversity class: Density and average tree size

<u>RF model</u>: Stocking level



Diversity class: Density and average tree size

<u>RF model</u>: Dominant height

