

The Cat's Cradle:¹ deforming the higher rank E_1 and \tilde{E}_1 theories

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ABSTRACT: We use 5-brane webs to study the two-dimensional space of supersymmetric mass deformations of higher rank generalizations of the 5d E_1 and \tilde{E}_1 theories. Some of the resulting IR phases are described by IR free supersymmetric gauge theories, while others correspond to interacting fixed points. The number of different phases appears to grow with the rank. The space of deformations is qualitatively different for the even and odd rank cases, but that of the even (odd) rank E_1 theory is similar to that of the odd (even) rank \tilde{E}_1 theory. One result of our analysis predicts that the supersymmetric $SU(N)$ theory with CS level $k = \frac{N}{2} + 4$ and a single massless antisymmetric hypermultiplet exhibits an enhanced global symmetry at the UV fixed point, given by $SU(2) \times SU(2)$ if N is even, and $SU(2) \times U(1)$ if N is odd.

KEYWORDS: Brane Dynamics in Gauge Theories, Field Theories in Higher Dimensions

ARXIV EPRINT: [2011.05125](https://arxiv.org/abs/2011.05125)

¹The *cat's cradle* is a sequence game in which two or more players use a loop of string to form web patterns that change at each step.

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1 Introduction

The existence of UV complete supersymmetric quantum field theories in five spacetime dimensions, first demonstrated by Seiberg in [1], can be regarded as one of the most striking predictions of string theory.¹ Superconformal fixed points in five dimensions were originally argued to exist by observing the behavior of minimally-supersymmetric 5d gauge theories on the Coulomb branch [1–3]. Under certain conditions the effective coupling squared remains positive and finite everywhere on the Coulomb branch, and this indicates the possibility of an interacting UV fixed point at the origin. The 5d gauge theory is the result of deforming the 5d superconformal field theory (SCFT) by a relevant mass parameter and flowing to the IR. The mass parameter in this case becomes the inverse-squared-YM-coupling of the gauge theory. Further recent explorations using the tools of string theory

¹The same can be said about supersymmetric quantum field theories in six spacetime dimensions.

have made it apparent that the space of 5d SCFT's is in fact much larger, and includes gauge theories that do not satisfy the aforementioned requirement, as well as a plethora of theories that cannot be deformed into a gauge theory. There are a number of different approaches by now to the construction of 5d SCFT's, including 5-brane webs in Type IIB string theory [4–10], geometric engineering in M-theory [11–20], and reduction of 6d theories [21–27]. It seems however fair to say that the precise connection between all these approaches and whether this fully exhausts the space of 5d SCFT's is yet to be clarified.

An interesting set of questions one can address within these constructions is related to the spaces of supersymmetric deformations of five-dimensional SCFT's. In particular 5d SCFT's generally have a moduli space containing both Coulomb and Higgs branches. In theories with an IR gauge theory description these correspond to vacuum expectation values of scalars in vector multiplets and hypermultiplets, respectively. The Higgs branch is especially interesting since it is generally richer than what appears in the IR gauge theory. There have been a number of recent investigations of Higgs branches motivated by and using string theory constructions [28–33].

One can also consider deforming 5d SCFT's by relevant operators. Five-dimensional SCFT's have no marginal operators preserving supersymmetry, and the only relevant operators correspond to mass parameters. These are dimension four scalar operators that sit inside the conserved current supermultiplets associated to the global symmetry of the theory. The mass itself may therefore be regarded as the VEV of a scalar field in a background vector multiplet associated to the global symmetry. The number of independent supersymmetric mass deformations is therefore equal to the rank of the global symmetry. In some cases a mass deformation of a 5d SCFT leads to an IR free supersymmetric gauge theory, where the value of the mass becomes the inverse-squared-YM-coupling of the gauge theory. But more generally a mass deformation may also lead to another interacting fixed point in the IR. Furthermore, since the mass parameter in five dimensions is real, the theory may flow to different IR phases for positive and negative mass, and each of these may be an IR free gauge theory or an interacting theory.

The simplest non-trivial examples of this are the E_1 and \tilde{E}_1 theories [1, 2]. Both have a rank one global symmetry, $SU(2)$ in the first case and $U(1)$ in the second, and therefore a single supersymmetric mass parameter. The deformation of the E_1 theory leads to a supersymmetric $SU(2)$ gauge theory with a trivial theta parameter on both sides, whereas the deformation of the \tilde{E}_1 theory leads to a supersymmetric $SU(2)$ gauge theory with a non-trivial theta parameter on one side, and to the interacting E_0 theory on the other side.

When there are several mass parameters the situation gets more interesting, and the space of mass deformations can potentially have many different phases separated by critical walls. A simple example of this is the E_2 theory, which has a two-dimensional space of supersymmetric mass deformations exhibiting three different phases [2]. We will recall this example and its string theory description in section 2.

In this paper we will explore the two-dimensional space of supersymmetric mass deformations of another set of theories describing higher rank generalizations of the E_1 and \tilde{E}_1 theories. Unlike the E_2 case in [2], we will not be able to fully map out the space of deformations using field theory alone. We will therefore mainly use the realization of these

theories using 5-brane webs in Type IIB string theory. The mass deformations of the field theories will be realized as geometric deformations of the 5-brane webs. These deformations give rise to various junction splitting and joining transitions, resembling the changing patterns of the cat's cradle. As we will see, the number of different phases and critical lines appears to grow with increasing rank, and the nature of the phases is qualitatively different in the even and odd rank cases. Some of the phases are IR free gauge theories while others are interacting theories.

The rest of this paper is organized as follows. In section 2 we will briefly recall the different phases of the E_2 theory, and how they are realized in the 5-brane web description. In section 3 we will study the deformations of higher rank E_1 theories, and in section 4 we will study those of the higher rank \tilde{E}_1 theories. Section 5 contains our conclusions. We also include an important appendix, in which we review the basics of 5-brane webs and their deformations.

2 Warm-up: the E_2 theory

The E_2 theory is part of the series of rank 1 interacting 5d SCFTs that have an E_n global symmetry, with $n = 1, \dots, 8$, introduced in [1]. This particular theory has a global symmetry $E_2 = \text{SU}(2) \times \text{U}(1)$, and correspondingly, a two-dimensional space of supersymmetric mass deformations, one for each Cartan factor of the global symmetry group. This space was mapped out in [2], and is reproduced in figure 1. The mass m_0 (the x -axis) corresponds to the $\text{SU}(2)$ factor and the mass m (the y -axis) corresponds to the $\text{U}(1)$ factor. Since m_0 can be mapped to $-m_0$ by an $\text{SU}(2)$ transformation, it suffices to present just the right hand side of the deformation plane, $m_0 \geq 0$. The left hand side is its mirror image.

Let us begin with the x -axis, namely the line $m = 0$. Along this line the theory flows in the IR to an $\text{SU}(2)$ gauge theory with a single flavor hypermultiplet, denoted $\text{SU}(2)+F$, and the mass $m_0 > 0$ corresponds to the inverse-squared-YM-coupling. The global symmetry of this theory is $\text{U}(1) \times \text{U}(1)$, where one $\text{U}(1)$ factor is the flavor symmetry, and the other $\text{U}(1)$ factor is the topological symmetry. This is consistent with the fact that m_0 is part of a triplet of the global $\text{SU}(2)$ symmetry, and therefore breaks $\text{SU}(2) \rightarrow \text{U}(1)$. Going above or below this line corresponds to turning on a positive or negative mass m for the flavor. The massive flavor decouples from the low energy theory, and its only effect is a mod 2 theta parameter whose value depends on the sign of the mass. Above the m_0 axis the low energy theory is a pure $\text{SU}(2)_0$ gauge theory, and below the m_0 axis it is a pure $\text{SU}(2)_\pi$ gauge theory. While all of this is true at the origin of the Coulomb branch, there is a point on the Coulomb branch, roughly when $\phi = |m|$, where the flavor becomes massless, giving rise to an extra sector in the low energy theory. It is useful to keep track of this sector. Morrison and Seiberg denoted this sector by A_0 , where A_n denotes a free $\text{U}(1)$ gauge theory with $n + 1$ equally charged hypermultiplets [2].

In the upper-half plane no new phase is encountered until one reaches the positive y axis, namely the line $m_0 = 0$. This corresponds to the infinite effective coupling limit of the $\text{SU}(2)_0$ theory, and is described by the E_1 theory. This has a global $E_1 = \text{SU}(2)$ symmetry, consistent with its position along $m_0 = 0$. The massive flavor sector A_0 goes along for the ride. Taking its mass to zero brings us back to the E_2 theory.

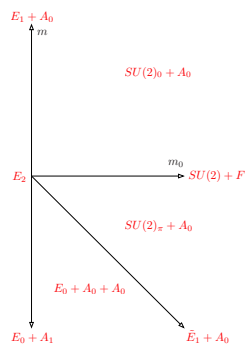


Figure 1. Phases of the E_2 theory [2].

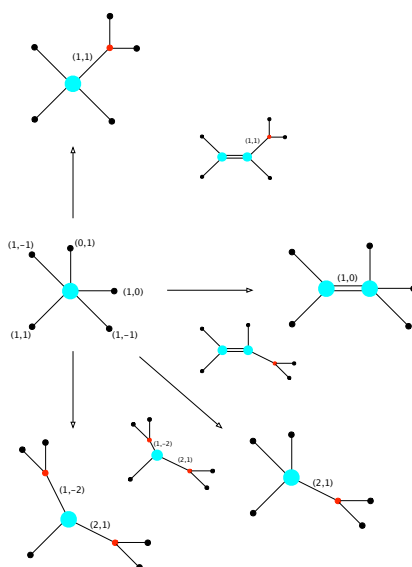


Figure 2. The 5-brane webs corresponding to the phases of the E_2 theory in figure 1.

The lower half-plane is more interesting. The effective coupling of the $SU(2)_\pi$ theory blows up along the line $m_0 = -4m$. Along this line the theory flows to the \tilde{E}_1 theory, which has only a $U(1)$ global symmetry. The massive sector A_0 again goes along for the ride. On the other side of this line the theory flows to a different IR fixed point, described by the E_0 theory, a rank one interacting theory with no global symmetry, together with two A_0 sectors. The second massive field is, roughly speaking, the $SU(2)$ instanton. Along the negative y axis we expect to recover an $SU(2)$ global symmetry. This happens by the merger of the two A_0 sectors into an A_1 [2].

This entire structure is beautifully reproduced using 5-brane webs in Type IIB string theory [4]. In figure 2 we have reproduced figure 11 of [4], with the added ingredients describing the massive sectors. The latter correspond to the *trivial junctions*, denoted by red circles, that are equivalent to detached 7-branes (see the appendix for more details).

3 The E_1 theory

The E_1 theory is one of the simplest interacting superconformal field theories in five dimensions. It is a rank one theory with an $E_1 = \text{SU}(2)$ global symmetry, and hence admits a single real mass deformation. Deforming the theory with this mass in either direction leads to the IR free supersymmetric gauge theory with gauge group $\text{Sp}(1)_0 = \text{SU}(2)_0$. As discussed above, the fact that the positive and negative mass deformations lead to the same IR theory is guaranteed by the global $\text{SU}(2)$ symmetry of the massless theory. The mass deformation breaks $\text{SU}(2)$ to $\text{U}(1)$, which is in turn realized as the topological symmetry of the five-dimensional $\text{SU}(2)$ gauge theory.

The existence of the E_1 theory emerged originally from a string theory construction involving a D4-brane probing an orientifold 8-plane in Type IIA string theory. This set-up allows a natural generalization for N D4-branes. We will denote the low energy theory on the D4-branes as $E_1^{(N)}$. By construction this is the UV fixed point of a supersymmetric gauge theory with gauge group $\text{Sp}(N)_0$ and one hypermultiplet in the two-index antisymmetric representation. For $N = 1$ this is a singlet, and the gauge theory is effectively a pure supersymmetric $\text{Sp}(1)_0 = \text{SU}(2)_0$ theory. For $N > 1$ this theory has an $\text{SU}(2) \times \text{SU}(2)$ global symmetry, and correspondingly a two-dimensional space of supersymmetric real mass deformations. We will denote the coordinates of this space by (m_0, m) . The $\text{SU}(2) \times \text{SU}(2)$ global symmetry implies that the four quadrants are identical, and allows us to focus on just one quadrant, which we will take to be $m_0, m \geq 0$. Turning on just m_0 , the theory flows to the $\text{Sp}(N)_0 + \text{AS}$ gauge theory, where m_0 is interpreted as the inverse-squared-YM coupling. The first $\text{SU}(2)$ factor breaks to $\text{U}(1)$, which is realized as the topological symmetry of the gauge theory, and the second $\text{SU}(2)$ remains as the matter symmetry acting on the real antisymmetric hypermultiplet. Then, deforming along m gives a mass to the antisymmetric hypermultiplet, resulting in a pure $\text{Sp}(N)_0$ gauge theory in the IR. From this point of view, the reason that the positive and negative m deformations are identical is that the effect of the antisymmetric fermion on the theta parameter is doubled relative to a fundamental fermion.

The VEV of the scalar in the vector multiplet also contributes to the mass of the hypermultiplet, and, as in the case of the E_2 theory, a part of it will become massless at some point on the Coulomb branch. In particular in the direction where $\text{Sp}(N)$ is broken to $\text{Sp}(N - 1) \times \text{U}(1)$ there is a $\text{U}(1)$ charged state in the fundamental representation of $\text{Sp}(N - 1)$ which is massless for $\phi = m$. This is again an A_0 sector, and the full IR theory is denoted $\text{Sp}(N)_0 + A_0$.²

²Using [34, 35], it is easy to see that the scalar potential is

$$V = \frac{P^I P^I}{m_0} + \frac{1}{2} (\phi - m)^2 (a^i)^\dagger a^i + 2m \phi (a^i)^\dagger \mathcal{P}_j^i a^j, \quad \mathcal{P}_j^i = \frac{\mathbb{1} \delta_j^i - t (J_3)_i^j}{2},$$

where we combine the 4 scalars in the antisymmetric hypermultiplet into two complex combinations, denoted by a_i , $i = 1, 2$, which form an $\text{SU}(2)_R$ doublet. Moreover, we denote by $P^I \equiv P_\alpha^I$ the moment maps of the $\text{Sp}(N)$ gauge action being I an $\text{SU}(2)_R$ triplet index –here J_I are the $\text{SU}(2)_R$ generators– and α an $\text{Sp}(N)$ index –with t the $\text{Sp}(N)$ generator along the chosen Coulomb branch direction respectively. Finally, we note that \mathcal{P} –for which we only explicitly display the $\text{SU}(2)_R$ indices, is a projector, i.e. $\mathcal{P}^2 = \mathbb{1}$. For $\phi \neq m$

By analogy with what we saw in the previous section, this might lead one to guess that turning on just m would lead in the IR to the SCFT corresponding to the UV fixed point of the pure $\text{Sp}(N)_0$ gauge theory. However this cannot be correct, since this theory is known to have only a $U(1)$ global symmetry [36], whereas the theory with $m_0 = 0$ should have an unbroken $SU(2)$ global symmetry. This implies that there are necessarily more phases.

To gain further insight, we may consider the gauge theory, which, for $m_0 \gg m$, is weakly coupled. We can use the perturbative result for the effective prepotential on the Coulomb branch, which reads (in the chamber $m_0, m > \phi_N \geq \phi_{N-1} \geq \dots \geq \phi_1$)

$$\mathcal{F}(\phi_i) = \frac{1}{3} \sum_{i=1}^N \phi_i^3 + \sum_{i=1}^N \sum_{j=1}^N \phi_i^2 \phi_j + (m_0 - m(N-1)) \sum_{i=1}^N \phi_i^2 - \frac{N(N-1)}{6} m^3; \quad (3.1)$$

and from this, compute the effective YM coupling at the origin

$$\frac{1}{g_{\text{eff}}^2} = 2(m_0 - (N-1)m). \quad (3.2)$$

This exhibits a critical line given by $m_0 = (N-1)m$. In the rank one case this reduces to $m_0 = 0$, corresponding to the infinite coupling limit of the pure $SU(2)_0$ theory. But for higher rank it is a diagonal line with a slope $1/(N-1)$.

The perturbative analysis of the $\text{Sp}(N)_0 + AS$ gauge theory breaks down at this critical line. To proceed further we will use the Type IIB string theory embedding of the $E_1^{(N)}$ theory in terms of 5-brane webs. This will allow us to explore the full space of mass deformations. As we will see, the complete space of deformations of the $E_1^{(N)}$ theory is more involved, and exhibits a number of different phases separated by critical lines. The number of phases appears to grow with N , and the phases are qualitatively different for even and odd values of N . In the rest of this section we will analyze in detail the theories with $2 \leq N \leq 6$, and then make some general observations about higher ranks.

3.1 Rank 2

The phase diagram of the rank 2 E_1 theory, and the corresponding series of 5-brane webs, are shown in figure 3.³ We begin with the 5-brane junction for the $E_1^{(2)}$ theory in the lower left corner. Moving in the m_0 direction leads to the 5-brane web in the lower right corner that describes the $\text{Sp}(2)_0 + AS$ theory [6]. The next deformation, corresponding to turning on m , leads to a 5-brane web containing a trivial junction. The non-trivial part of this web describes the pure $\text{Sp}(2)_0$ theory [6]. The trivial junction, which is equivalent to a detached (1,1) 7-brane, corresponds to the massive antisymmetric hypermultiplet, namely to the A_0 part. The next deformation corresponds to sending the $\text{Sp}(2)$ coupling to infinity, and leads to an interacting SCFT that we denote by $X_{U(1)}^{(2)}$, meaning that it has rank 2 and a $U(1)$ global symmetry, and correspondingly a one-dimensional space of mass deformations. This is the theory along the $m_0 = m$ critical line. Its deformation in one

the whole AS becomes massive leaving the pure $\text{Sp}(N)_0$ theory. On top of it, at $\phi = m$, the part of the AS satisfying $\mathcal{P}a = 0$ remains massless: this is the A_0 theory.

³The phases of the rank 2 theory were originally identified using geometric engineering in [13].

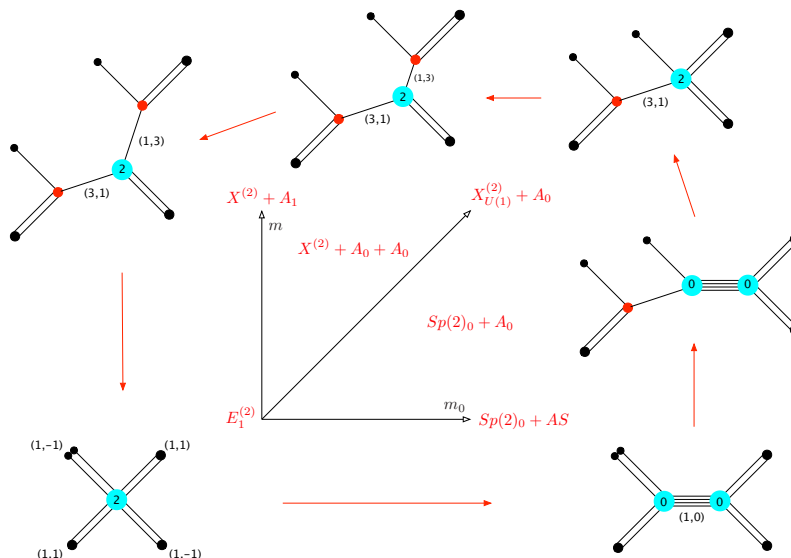


Figure 3. The phases of $E_1^{(2)}$. The number inside a non-trivial junction denotes its number of local deformations, i.e. Coulomb moduli.

direction gives the pure $Sp(2)_0$ theory. The deformation in the other direction is shown in the next step, and describes an interacting theory with no global symmetry. We therefore denote it generically as $X^{(2)}$. There are also two identical trivial junctions in this case, and correspondingly two A_0 factors. As we continue to deform in this direction we will reach a point where two equally charged states become massless at the same point on the Coulomb branch. At this point the two A_0 factors enhance to A_1 , and we get an $SU(2)$ global symmetry. In the web this corresponds to the $(3, 1)$ and $(1, 3)$ 5-branes having the same length. This is the theory along the m direction. Finally, to complete the circuit we shrink the $(3, 1)$ and $(1, 3)$ 5-branes, and arrive back at the 5-brane junction for $E_1^{(2)}$.

3.2 Rank 3

The phase diagram and corresponding 5-brane webs for the $E_1^{(3)}$ theory are shown in figure 4. The first three steps are very similar to the rank 2 case, ending at the 5-brane web describing a rank 3 interacting SCFT with a $U(1)$ global symmetry $X_{U(1)}^{(3)}$, together with a decoupled A_0 sector. This is the theory along the $m_0 = 2m$ critical line. Deforming it in one direction gives the pure $Sp(3)_0$ theory. However deforming it in the opposite direction gives something different from the previous case. The complicated looking web resulting from this deformation describes an $SU(4)$ gauge theory with a CS level 6 with a massive hypermultiplet in the antisymmetric representation of $SU(4)$, namely the $\mathbf{6}$ of $SU(4) = Spin(6)$. This is seen by performing a number of Hanany-Witten moves that lead to the equivalent webs shown in figure 5. The non-trivial part of the last 5-brane web in figure 5 describes $SU(4)_6$. Taking the mass corresponding to the trivial part to zero then leads to the web in the upper left corner of figure 4, which is a known representation of the theory $SU(4)_6 + AS$ [6]. Since the rank 2 antisymmetric representation of $SU(4)$ is

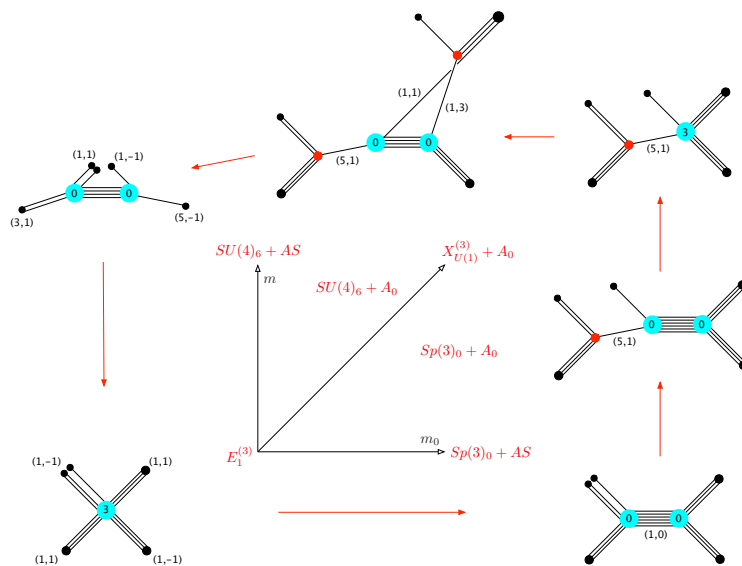


Figure 4. Phases of $E_1^{(3)}$.

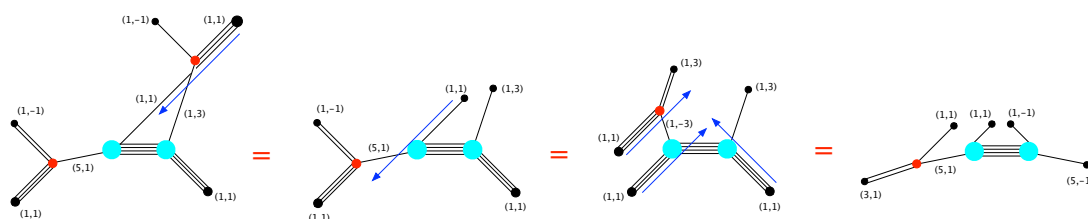


Figure 5. Equivalent 5-brane webs for $SU(4)_6$ with a massive antisymmetric hypermultiplet.

real, this theory enjoys an $SU(2)$ global symmetry, and must therefore appear along the m direction. The mass of the antisymmetric hypermultiplet is given by m_0 . Indeed deforming in either direction leads to $SU(4)_6$, since the shift in the CS level of an $SU(N)$ theory due to a massive antisymmetric hypermultiplet is given by $\frac{1}{2}\text{sign}(m_0)(N - 4)$, which vanishes for $N = 4$. Finally, taking $m \rightarrow 0$ corresponds to taking the $SU(4)$ coupling to infinity, and leads, using one of the other equivalent webs in figure 5 and an $SL(2, \mathbb{Z})$ transformation, to the original $E_1^{(3)}$ junction. This implies, in particular, that the topological $U(1)$ symmetry of the $SU(4)_6 + AS$ theory is enhanced, by instantons, to $SU(2)$ in the UV.

3.3 Rank 4

The phase diagram and corresponding 5-brane webs for the $E_1^{(4)}$ theory are shown in figure 6. The first four steps are qualitatively similar to the rank 2 case, ending at a 5-brane web describing an interacting theory with no global symmetry $X^{(4)}$ together with two massive hypermultiplets. Equivalent webs for this theory are shown in figure 7. Unlike the rank 2 case, in this case the two massive hypermultiplets described by the two trivial junctions are different. They are equivalent to two detached 7-branes with different (p, q) charges. In the preceding deformation we turned on a mass for one of these hypermultiplets.

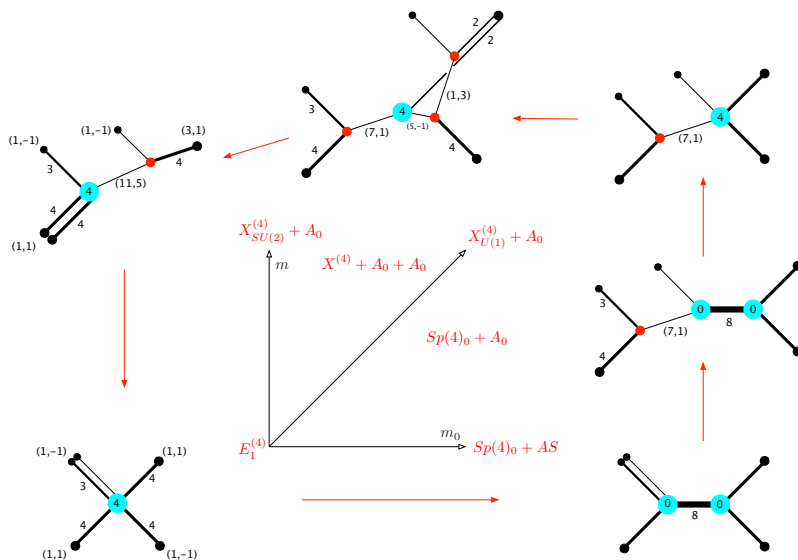


Figure 6. Phases of $E_1^{(4)}$.

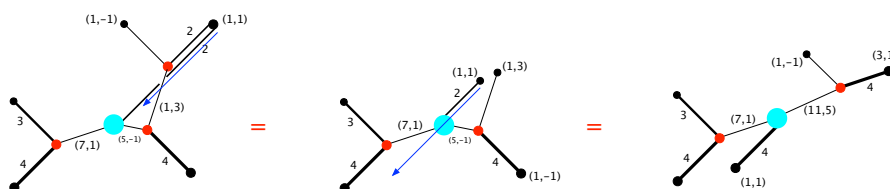


Figure 7. Equivalent webs for $X^{(4)} + A_0 + A_0$.

In the subsequent deformation we send the mass of the other one to zero, leading to the 5-brane web in the upper-left corner of figure 6. This describes a rank 4 interacting SCFT with an $SU(2)$ global symmetry, realized by the pair of identical legs. Finally sending the mass of the remaining massive hypermultiplet to zero leads back to (an $SL(2, \mathbb{Z})$ transform of) the $E_1^{(4)}$ junction.

3.4 Rank 5

The phase diagram and 5-brane webs for the rank 5 theory $E_1^{(5)}$ are shown in figure 8. There are now three different phases separated by two critical lines. The first few steps are analogous to the rank 3 case. In particular the complicated looking web in the upper right corner describes an $SU(6)_8$ theory with a massive antisymmetric hypermultiplet. This can be seen in one of the equivalent webs shown in figure 9. Taking the mass of the hypermultiplet to zero leads to the next web, which describes the $SU(6)_7 + AS$ theory. Unlike the rank 3 case, the symmetry associated with the hypermultiplet is only $U(1)$, and so this theory lies on another diagonal critical line. A positive hypermultiplet mass shifts the CS level to $7 + \frac{6-4}{2} = 8$. A negative mass shifts the CS level to $7 - \frac{6-4}{2} = 6$, which is the theory described by the next web in the upper left corner of figure 8. This is seen in the equivalent web in figure 10. In the next deformation we take the $SU(6)_6$ coupling

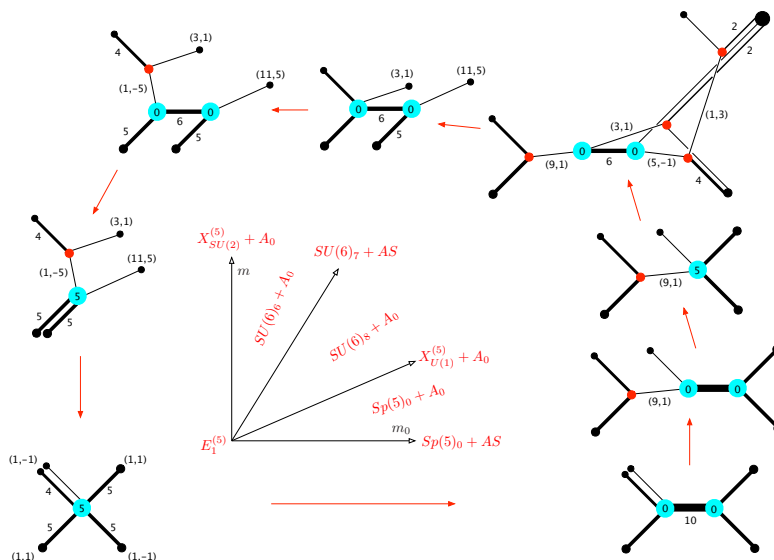


Figure 8. Phases of $E_1^{(5)}$.

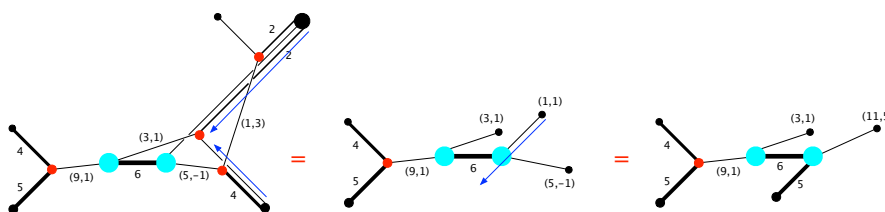


Figure 9. Equivalent webs for $SU(6)_8$ with a massive antisymmetric hypermultiplet.

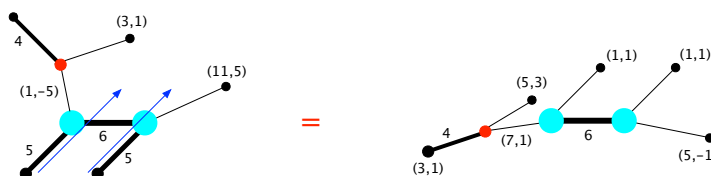


Figure 10. Equivalent webs for $SU(6)_6$ with a massive antisymmetric hypermultiplet.

to infinity, which leads to an interacting SCFT with an $SU(2)$ global symmetry. We see this explicitly in the next 5-brane web, but this is also more generally a property of the $SU(N)_N$ theory [37]. Closing the circuit back to the $E_1^{(5)}$ junction then just follows by sending the remaining mass to zero and performing a Hanany-Witten move. We end this subsection by noting that the $U(1) \times U(1)$ global symmetry of the $SU(6)_7 + AS$ theory must be enhanced by instantons to $SU(2) \times SU(2)$ in the UV, since this corresponds to sliding back to the $E_1^{(5)}$ point at the origin along the critical line.

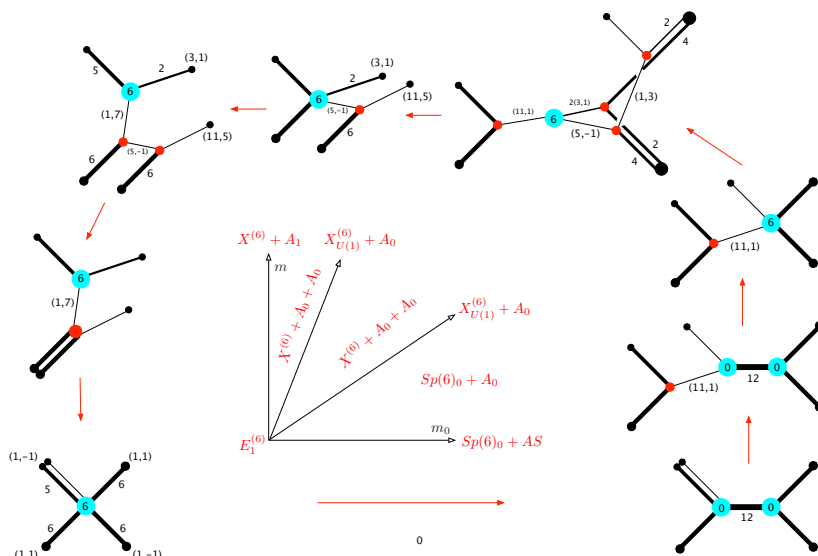


Figure 11. Phases of $E_1^{(6)}$.

3.5 Rank 6

The phase diagram and 5-brane webs for the rank 6 theory $E_1^{(6)}$ are shown in figure 11. There are three different phases separated by two critical lines. The steps from $E_1^{(6)}$ to $X^{(6)} + A_0 + A_0$ are similar to the rank 4 case. At the next step we encounter a 5-brane web that describes another rank 6 interacting theory with a $U(1)$ global symmetry, which is distinct from the one corresponding to the infinite coupling limit of the $Sp(6)_0$ theory (although we use the same generic notation $X_{U(1)}^{(6)}$). Continuing to deform in the same direction leads to a web describing another rank 6 interacting theory with no global symmetry. The next deformation merges the two A_0 sectors to an A_1 sector, i.e. two identical massive hypermultiplets. This is the theory along the m axis. The final deformation sends the mass to zero, and recovers the $E_1^{(6)}$ configuration after a Hanany-Witten move.

3.6 Rank N

The number of different phases of the E_1 theory appears to grow with increasing rank N , and the pattern is qualitatively different for odd and even ranks. The latter observation is consistent with the fact that E_1 theories whose ranks differ by an even number can be connected by going on the Coulomb branch, but E_1 theories with an odd difference of ranks cannot. We can see this explicitly in the initial gauge theory deformation. Start with the $Sp(N)_0 + AS$ theory and go to a point on the Coulomb branch where $Sp(N) \rightarrow Sp(N-1) \times U(1)$. The $Sp(N)$ antisymmetric decomposes into a singlet, a neutral $Sp(N-1)$ antisymmetric, and a charged $Sp(N-1)$ fundamental and its conjugate. The latter two states are massive, and their masses have opposite signs. Integrating these states out therefore shifts the mod 2 theta parameter of the $Sp(N-1)$ factor relative to the original $Sp(N)$ theory. Going down further to $Sp(N-2)$ shifts it back to its original value, etc. Indeed we will see in the next section that the deformation pattern of the \tilde{E}_1 theory, whose

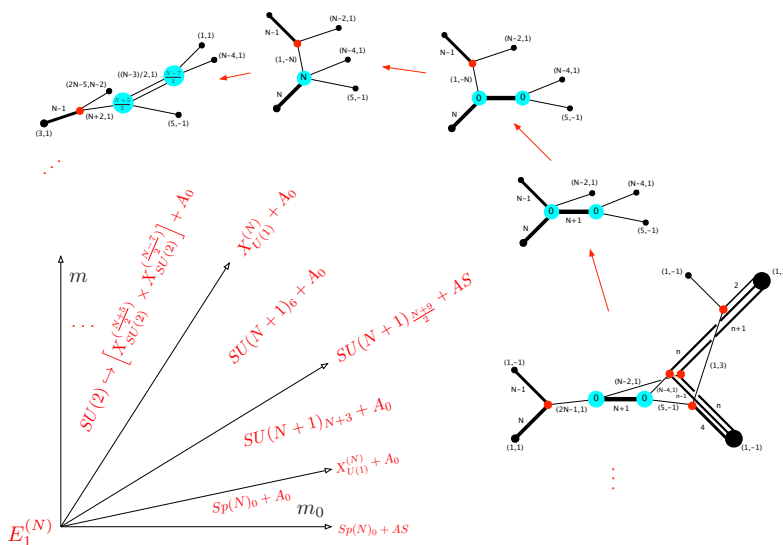


Figure 12. The first few phases of E_1^N for odd $N \geq 7$.

initial gauge theory deformation gives $\text{Sp}(N)_\pi + AS$, will similarly alternate between even and odd ranks.

Though we have not been able to map out the complete phase diagram for $N > 6$, we can offer some interesting observations about the first few phases, especially for odd N , figure 12. The even rank case is less illuminating, so we omit it. The first critical line separates the phase described by $\text{Sp}(N)_0$ and $\text{SU}(N+1)_{N+3}$. This “duality” was first studied in [38]. Moving counter-clockwise, the next critical line we encounter corresponds to the theory $\text{SU}(N+1)_{\frac{N+9}{2}} + AS$. A positive hypermultiplet mass leads to $\text{SU}(N+1)_{N+3}$, and a negative hypermultiplet mass to $\text{SU}(N+1)_6$. We are also lead to the general prediction that the $\text{U}(1) \times \text{U}(1)$ global symmetry of $\text{SU}(N+1)_{\frac{N+9}{2}} + AS$ for odd N is enhanced in the UV to $\text{SU}(2) \times \text{SU}(2)$. The next critical line corresponds to the infinite coupling limit of the $\text{SU}(N+1)_6$ theory, which for $N > 5$ does not have an enhanced $\text{SU}(2)$ symmetry. Beyond this critical line the web deforms as shown in the last step in figure 12. This describes a product of two interacting SCFT’s, each of which has an $\text{SU}(2)$ global symmetry, with the diagonal $\text{SU}(2)$ gauged. We denote this as $\text{SU}(2) \leftrightarrow \left[X_{\text{SU}(2)}^{(\frac{N+5}{2})} \times X_{\text{SU}(2)}^{(\frac{N-7}{2})} \right]$. In fact these SCFT’s correspond separately to the UV fixed points of $\text{SU}(\frac{N+7}{2})_{\frac{N+7}{2}}$ and $\text{SU}(\frac{N-5}{2})_{\frac{N-5}{2}}$.⁴ This is as far as we got. The next critical line will correspond to taking the mass of the hypermultiplet to zero, and so on.

4 The \tilde{E}_1 theory

The \tilde{E}_1 theory is a variant of the E_1 theory. At rank 1 this is a theory with a $\text{U}(1)$ global symmetry, that with a positive mass deformation flows in the IR to the supersymmetric

⁴This generalizes a recent observation made in [39], that the “continuation past infinite coupling” of $\text{SU}(N)_0$ is given by $\text{SU}(\frac{N}{2})_{\frac{N}{2}} \times \text{SU}(\frac{N}{2})_{-\frac{N}{2}} \times \text{SU}(2)$.

SU(2) gauge theory with a non-trivial theta parameter $\theta = \pi$. Since the global symmetry is only U(1), the negative mass deformation may lead to a different theory, and indeed the IR theory is an interacting SCFT without any global symmetry known as the E_0 theory. In the Type IIA string theory construction, the \tilde{E}_1 theory is realized by turning on an additional torsion-valued RR flux [40]. Just as in the previous case, we can consider the rank N generalization of this set-up corresponding to N D4-branes, denoted by $\tilde{E}_1^{(N)}$. This theory has a $U(1) \times SU(2)$ global symmetry and a two-dimensional space of supersymmetric mass parameters (m_0, m) . In this case there is a reflection symmetry only along the m direction, so we will need to consider the entire upper half plane. Along the positive m_0 direction, the theory flows to the $Sp(N)_\pi + AS$ theory, which has a topological U(1) symmetry and a matter SU(2) symmetry. Along the negative m_0 direction, we expect it to flow to a rank N generalization of the E_0 theory with an SU(2) global symmetry which we will denote by $E_0^{(N)}$. The same perturbative analysis as before shows that there exists a critical line $m_0 = (N - 1)m$ corresponding to the infinite coupling limit of the pure supersymmetric $Sp(N)_\pi$ gauge theory. As before, we will determine what happens beyond this line using 5-brane webs. The pattern that will emerge for \tilde{E}_1 with even rank will resemble the pattern for E_1 with odd rank, and vice versa. We will therefore be somewhat briefer in our exposition, presenting explicitly only the rank 2,3 and 4 cases, and we will also refrain from presenting the equivalent webs that were useful in identifying some of the phases.

4.1 Rank 2

The phase diagram for $\tilde{E}_1^{(2)}$ and the corresponding 5-brane webs are shown in figure 13.⁵ Deforming along $m_0 > 0$ leads to a web that describes the $Sp(2)_\pi + AS$ theory [6]. Then deforming along m leads to a web whose non-trivial part describes the pure $Sp(2)_\pi$ theory [6]. The critical line corresponds to the infinite coupling limit of the $Sp(2)_\pi$ theory, which is an interacting SCFT with a U(1) global symmetry, $X_{U(1)}^{(2)}$. The next deformation, the “contiuation past infinite coupling” of the $Sp(2)_\pi$ theory, leads to a web that is easily shown, by a couple of equivalence moves, to describe the gauge theory $SU(3)_5$ with a massive fundamental (or equivalently antisymmetric) hypermultiplet. Taking the mass of the hypermultiplet to zero leads to the web in the upper left corner, which corresponds to the second critical line. On this line the theory flows to $SU(3)_{11/2} + AS$. This also leads to the prediction that the $U(1) \times U(1)$ global symmetry of the $SU(3)_{11/2} + AS$ theory is enhanced at infinite coupling to $SU(2) \times U(1)$. Turning on the opposite sign mass for the hypermultiplet then gives $SU(3)_6$, corresponding to the next web in the figure. The next deformation takes the gauge coupling to infinity, and leads to the theory described by the web in the lower left corner, which is the rank 2 version of the E_0 theory. Finally, we take the mass of the hypermultiplet to zero, and recover the $\tilde{E}_1^{(2)}$ junction.

4.2 Rank 3

The phase diagram and 5-brane webs for $\tilde{E}_1^{(3)}$ are shown in figure 14. Other than the $Sp(3)_\pi$ phase, all phases are interacting theories.

⁵The phases of the rank 2 theory were originally identified using geometric engineering in [13].

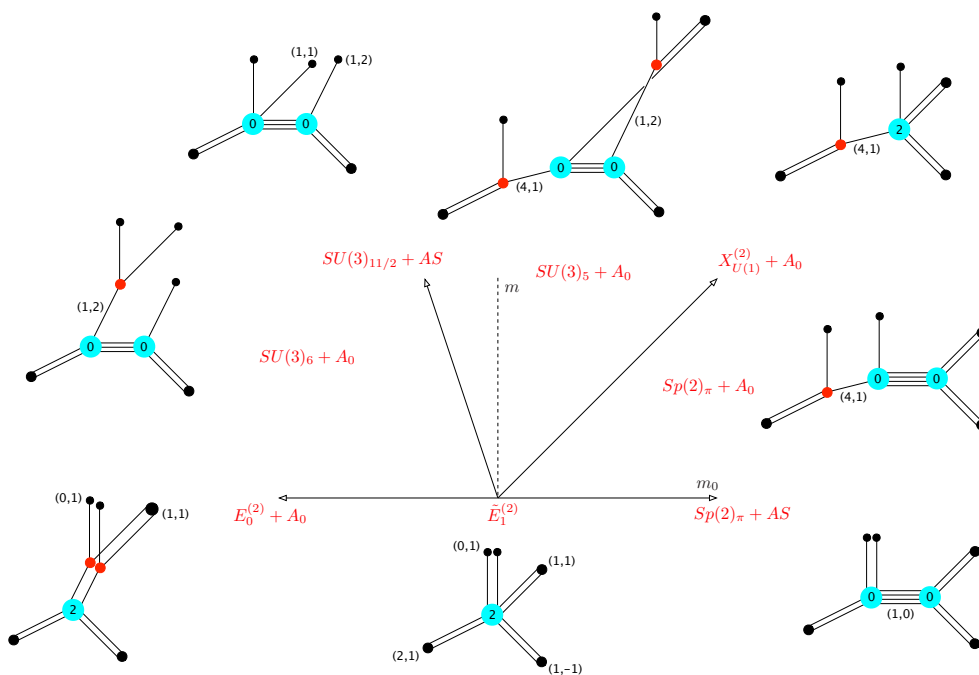


Figure 13. Phases of $\tilde{E}_1^{(2)}$.

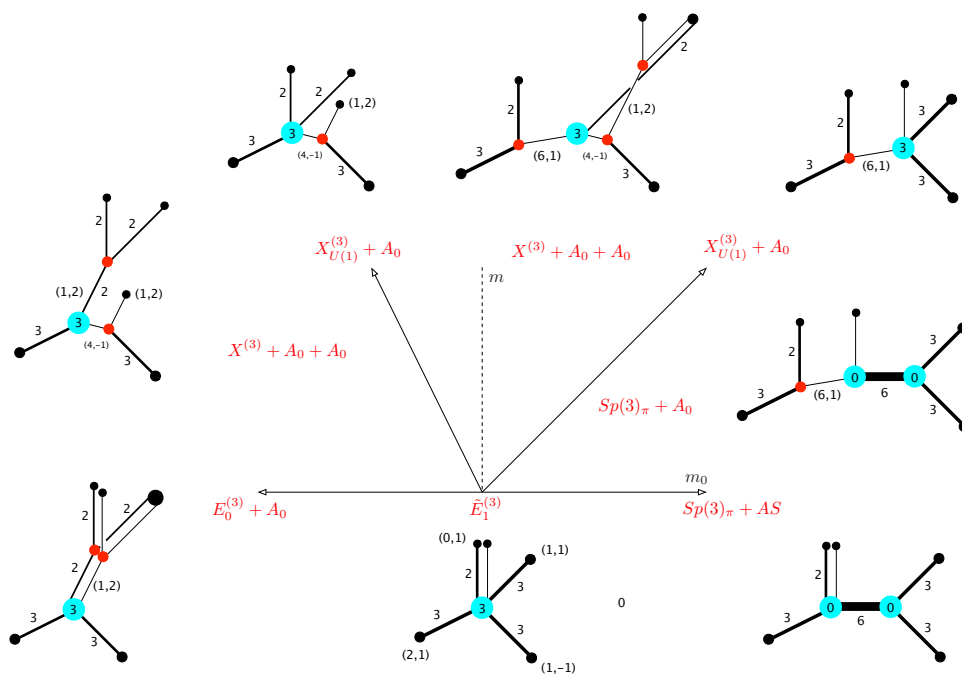


Figure 14. Phases of $\tilde{E}_1^{(3)}$.

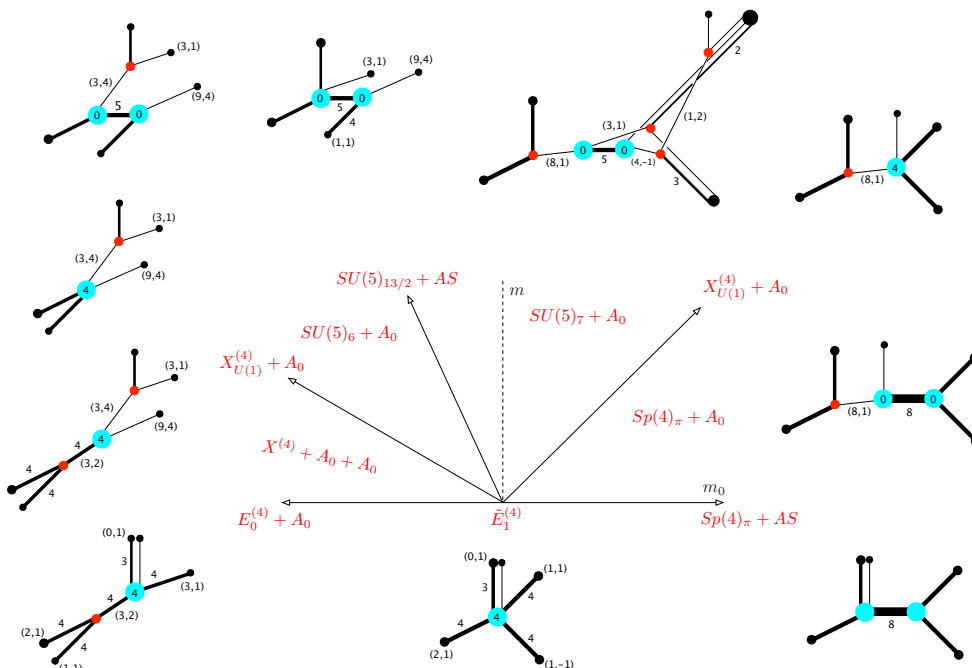


Figure 15. Phases of $\tilde{E}_1^{(4)}$.

4.3 Rank 4

The phase diagram and 5-brane webs for $\tilde{E}_1^{(4)}$ are shown in figure 15. There is an additional critical line and an additional phase compared to $\tilde{E}_1^{(2)}$. As in the rank 2 case, we predict that the $U(1) \times U(1)$ symmetry of the $SU(5)_{13/2} + AS$ theory is enhanced at infinite coupling to $SU(2) \times U(1)$.

4.4 Rank N

As for the E_1 theory, the number of phases appears to grow with increasing N , and the pattern is different for odd and even ranks. The IR theories arising in the deformations of the odd rank $\tilde{E}_1^{(N)}$ theories are of the same type as those arising in the deformations of the even rank $E_1^{(N)}$ theories, and vice versa. This is consistent with the fact that E_1 and \tilde{E}_1 theories whose rank differs by an odd number can be related by Coulomb branch deformations. In figure 16 we show the first few phases of the $\tilde{E}_1^{(N)}$ theory with even $N \geq 6$. The first critical line separates the $Sp(N)_\pi$ phase and the $SU(N+1)_{N+3}$ phase, which is again in agreement with the duality studied in [38]. The subsequent steps are similar to the odd rank $E_1^{(N)}$ theory. Our last web in the lower left corner is somewhat interesting, as it describes a theory obtained by taking two interacting SCFT's that do not have any global symmetry, and ‘‘coupling’’ them with a ‘‘bi-fundamental’’ hypermultiplet, and another massive hypermultiplet described by the trivial junction. The next critical line will correspond to taking the mass of the latter to zero, and so on.

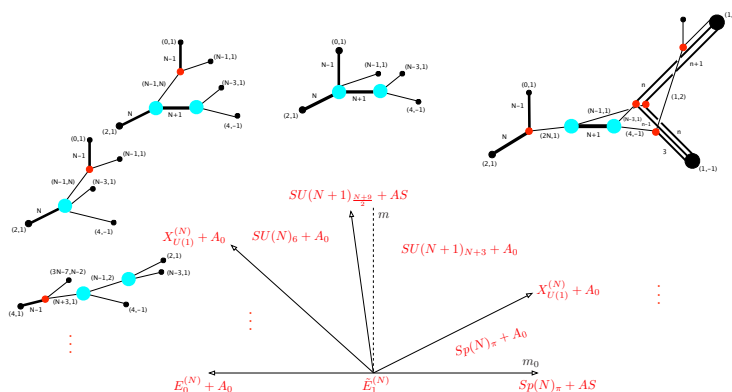


Figure 16. The first few phases of \tilde{E}_1^N for even $N \geq 6$.

5 Conclusions

In this paper we have analyzed the two-dimensional space of mass deformations of two families of 5d superconformal field theories given by the higher rank generalizations of the E_1 and \tilde{E}_1 theories. We have seen that this space contains a number of different IR phases that grows with the rank of the theory. A number of these phases are described by IR free supersymmetric gauge theories, while others are interacting SCFT's. One of these phases is the 5d $SU(N)$ theory with CS level $k = \frac{N}{2} + 4$ and an antisymmetric hypermultiplet, which is one of the more recent gauge theories argued to possess a UV fixed point [25]. Its appearance in the space of deformations of the odd rank E_1 theory and the even rank \tilde{E}_1 theory implies that it must exhibit an enhanced global symmetry at strong coupling, $SU(2) \times SU(2)$ for even N and $SU(2) \times U(1)$ for odd N . This enhancement has also recently been suggested on the basis of geometrical engineering/reduction from 6d in [27]. It would be interesting to confirm this by field-theoretic means, using for example the superconformal index.

Though we have not been able to fully map the space of deformations in the general case, we have made the general observation that the space of deformations of the even rank E_1 theory is qualitatively similar to that of the odd rank \tilde{E}_1 theory, and vice versa. This can also be understood from the fact that the even/odd rank E_1 theories are related to the odd/even rank \tilde{E}_1 theories by going on the Coulomb branch.

Our main tool has been the construction of 5d SCFT's using 5-brane junctions in Type IIB string theory, but we expect there to be a parallel description of this using geometric engineering in M-theory, generalizing the analysis of the rank 2 theories in [13]. Our preliminary investigation of the E_1 and \tilde{E}_1 theories indicate that higher rank SCFT's in five dimensions possess a zoo of different IR phases depending on the direction one takes in the space of mass deformations. This clearly deserves further study. In particular, it would be interesting to identify the massless BPS states associated to the critical lines separating the different phases.

Lastly, a particularly interesting aspect of the high rank E_1 and \tilde{E}_1 theories is that they have a holographic AdS_6 dual in massive type IIA string theory [41]. It would be interesting find the holographic description of the mass deformations studied in this paper.

Acknowledgments

We thank G. Zafrir for useful discussions. The work of O.B. is supported in part by the Israel Science Foundation under grant No. 1390/17. The work of D.R.G. is supported in part by the Spanish government grant MINECO-16-FPA2015-63667-P, and by the Principado de Asturias through the grant FC-GRUPIN-IDI/2018/000174.

A 5-brane webs and their deformations

Type IIB string theory admits 5-branes charged magnetically under both the NSNS 2-form B_2 and the RR 2-form C_2 . The minimally charged objects are (p, q) 5-branes, where p and q are relatively prime integers labeling the RR and NSNS charge, respectively. In particular the $(1, 0)$ 5-brane is the D5-brane and the $(0, 1)$ 5-brane is the NS5-brane. A 5-brane web is a configuration of Type IIB (p, q) 5-branes containing 5-brane segments and vertices, or junctions (which are really 4d spaces), where three or more 5-branes meet. The Gauss law requires the sum of charges to vanish at each junction, $\sum_{i \in \mathcal{J}} p_i = \sum_{i \in \mathcal{J}} q_i = 0$. Supersymmetry further restricts the entire configuration to be planar, and the relative orientation of each (p, q) 5-brane in this plane to be given by $p + q\tau$ (or $p + q\bar{\tau}$), where τ is the complex Type IIB axion-dilaton. For clarity of presentation one usually assumes that $\tau = i$. There are analogous configurations of (p, q) strings.

A planar configuration consisting of $N \geq 3$ 5-branes meeting at a point, namely an N -junction, describes a five dimensional superconformal field theory [4, 5]. The parameters and moduli of the 5d theory are realized as geometric deformations of the 5-brane junction. In particular global deformations that move the external 5-branes correspond to the mass parameters of the field theory, and local deformations that keep the external 5-branes fixed correspond to the Coulomb moduli of the field theory. The latter appear as faces in the resulting 5-brane web, and the dimension of the Coulomb branch is given by the number of faces in the 5-brane web. The number of mass parameters, and therefore the rank of the global symmetry, is given by $N - 3$. This is because moving the junction as a whole does not change the theory, and fixing the orientations of $N - 1$ of the 5-branes determines that of the remaining 5-brane. In cases where the mass-deformed 5-brane web consists of parallel 5-brane segments the corresponding theory is a supersymmetric gauge theory, in which the inverse-squared-YM coupling is given by the value of the mass.

A.1 7-branes and equivalent webs

For a more complete description and classification of 5d SCFT's we need to introduce (p, q) 7-branes on which the (p, q) 5-branes of the 5-brane junction end. For a 7-brane the (p, q) charges define an $SL(2, \mathbb{Z})$ monodromy action as one encircles it. The monodromy occurs across a branch cut emanating from the 7-brane. The clockwise monodromy around a (p, q) 7-brane is given by

$$M_{(p,q)} = \begin{pmatrix} 1 - pq & p^2 \\ -q^2 & 1 + pq \end{pmatrix}. \tag{A.1}$$

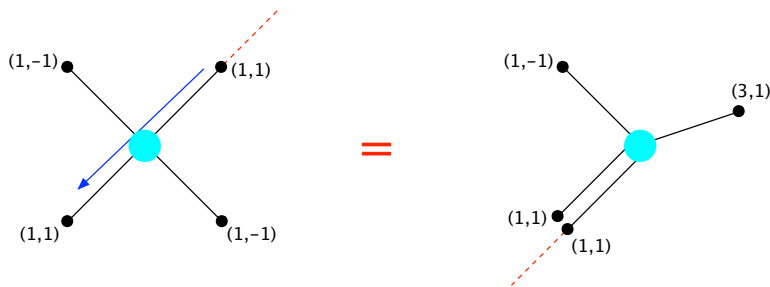


Figure 17. Equivalent 5-brane junctions for the rank 1 E_1 theory.

In our convention the $(1, 0)$ 7-brane is a D7-brane, so that a (p, q) 5-brane ends on a (p, q) 7-brane.⁶

The addition of the 7-brane endpoints introduces a number of additional deformations. The first corresponds to the possibility of breaking the 5-brane junction into 5-brane segments or sub-junctions that can move separately along the 7-branes, namely perpendicular to the plane of the junction. This describes the Higgs branch moduli of the field theory.

The second corresponds to the motion of the 7-branes in the plane. For each 7-brane we can divide this into a component perpendicular the 5-branes ending on it, and a component parallel to them. The former are just the same mass parameters that we previously identified with the global deformations that move the external 5-branes.

The motion of a 7-brane along the 5-brane direction, on the other hand, has no effect on the field theory. For example if we take the 7-brane to infinity along its 5-brane we just get the original infinite junction. This continues to be true if we move the 7-brane towards the junction, shortening its 5-brane. And it continues to be true as the 7-brane crosses to the other side of the junction. Three things happen in this case: the 5-branes ending on the 7-brane reverse their orientation, additional 5-branes are created via the Hanany-Witten effect, some of which annihilate the orientation-reversed 5-branes, and other 7-branes and 5-branes are transformed as we sweep the monodomy cut of the 7-brane to the other side. The resulting 5-brane junction is different; it has a different set of (p, q) charges. But it describes the same 5d theory. We refer to this as an *equivalent web*. A simple example of this is shown in figure 17, which shows two equivalent 5-brane junctions describing the rank 1 E_1 theory. Equivalences of this type are very useful in simplifying complicated looking 5-brane webs, and in identifying 5d gauge theory phases when they exist.

A.2 Generalized s-rule

Importantly, the introduction of 7-brane endpoints to a 5-brane junction also leads to an additional condition for supersymmetry. Heuristically, this is understood using the equivalent web construction described above. If, when a 7-brane crosses a junction the

⁶Note that strictly speaking 5-branes follow geodesics in the background of the 7-branes. However, one may push the 7-branes far away from the meeting point where the SCFT lives by extending the external 5-branes, such that for all practical purposes, the axion-dilaton is approximately constant over the relevant scales of the field theory.

number of 5-branes created is less than the original number of 5-branes, we will be left with 5-branes having the “wrong” orientation, namely anti-5-branes. The resulting configuration is clearly non-supersymmetric, and therefore so is the original one. A special case of this condition is the *s-rule*:

s-rule: given a (p, q) 7-brane and an (r, s) 5-brane, with both (p, q) and (r, s) being a pair of co-prime integers, the number of (p, q) 5-branes that can be suspended supersymmetrically between them is at most $|ps - qr|$ [42].⁷

This follows immediately from a single Hanany-Witten move, in which $|ps - qr|$ 5-branes are created.

The s-rule provides a bound for a special class of triple-5-brane junctions. One would like to find the generalization of this bound that applies to any multi-5-brane junction. In other words, given an n -junction with charges $\{(p_i, q_i)\}$, that are not necessarily co-prime, what is the condition on $\{(p_i, q_i)\}$ for it to be supersymmetric?

While the general condition for supersymmetry of 5-brane junctions has not yet been clearly formulated, the analogous condition for the related system of string junctions has been known for a while [48, 49]. Those studies were aimed at finding the spectrum of BPS states of four-dimensional $\mathcal{N} = 2$ supersymmetric gauge theories living on 3-brane probes in 7-brane backgrounds. The most general BPS state is described by a supersymmetric string web with some prongs ending on 3-branes, and some ending on 7-branes. The condition given in [48, 49] is expressed in terms of a specific $SL(2, \mathbb{Z})$ invariant quantity⁸

$$\mathcal{I} = \left| \sum_{1 \leq i < j \leq n_7 + n_3} \det \begin{pmatrix} p_i & q_i \\ p_j & q_j \end{pmatrix} \right| - \sum_{i=1}^{n_7} (\gcd(p_i, q_i))^2, \quad (\text{A.2})$$

and is given by the inequality

$$\mathcal{I} \geq -2 + \sum_{j=n_7+1}^{n_7+n_3} \gcd(p_j, q_j). \quad (\text{A.3})$$

This condition on the multi-string-junction originates from its lift to M-theory, where it corresponds to an M2-brane wrapping a holomorphic curve in an elliptically fibered K3 surface. The quantity \mathcal{I} is the self-intersection number of the curve, and the inequality in (A.3) follows from the equality $\mathcal{I} = 2g - 2 + b$, the identification of the number of boundaries b with the number of co-prime (p, q) -strings ending on 3-branes, and the non-negativity of the genus g . The genus itself then corresponds to the number of possible faces in the web, which for the 5-brane junction gives the dimension of the Coulomb branch of the 5d SCFT,

$$d_C = \frac{\mathcal{I} + 2}{2}. \quad (\text{A.4})$$

⁷The *s-rule* was originally formulated for D3-branes between a linked NS5-D5 pair in [43], and later derived for fundamental strings between linked D-branes in [44]. There were subsequent generalizations to D3-branes between (p, q) 5-branes and (r, s) 5-branes [45], and to (p, q) strings between (p, q) 7-branes and (r, s) strings in [46, 47]. The s-rule for (p, q) 5-branes is identical to the one for (p, q) strings.

⁸We assume here that the charges (p_i, q_i) are either all incoming or all outgoing, and that they are ordered either clockwise or counterclockwise around the junction.

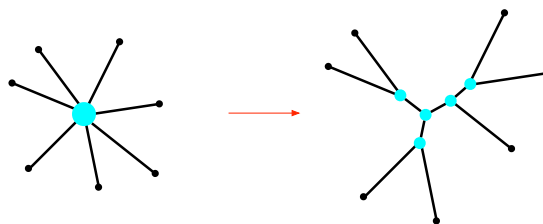


Figure 18. A generic mass deformation of an n -junction.

Upon closer examination however, it appears that the above criterion is only applicable for *irreducible* junctions, namely for junctions that cannot be separated into sub-junctions. This issue should be investigated further. For our purpose, however, it is sufficient to concentrate on the condition for 3-junctions. If an n -junction is supersymmetric it should have an $(n-3)$ -dimensional space of supersymmetric mass deformations. At a generic point in this space the n -junction is deformed into a web consisting of 3-junctions and segments, figure 18. Therefore a sufficient condition for the n -junction to be supersymmetric, is that it can be deformed into a web consisting of 3-junctions and segments, where all the 3-junctions are supersymmetric. For a 3-junction with charges $\{(p_1, q_1), (p_2, q_2), (-p_1 - p_2, -q_1 - q_2)\}$ the bound (A.3) becomes

$$\mathcal{I} = |p_1 q_2 - p_2 q_1| - \sum_{i=1}^{n_7} (\gcd(p_i, q_i))^2 \geq -2 + \sum_{i=n_7+1}^3 \gcd(p_i, q_i). \quad (\text{A.5})$$

If the 3-junction is reducible, namely if it consists of multiple copies of the same basic 3-junction, this bound applies to the basic 3-junction. For $n_7 = 1$ and (p_2, q_2) relatively prime this reduces to the s-rule.

A.3 Trivial junctions

Hanany-Witten moves can also lead to a simpler configuration with a detached 7-brane. This happens when the number of created 5-branes is equal to the original number of 5-branes ending on the 7-brane. For a 3-junction this eliminates the junction completely, leaving just a 5-brane and a detached 7-brane. We refer to this as a *trivial* junction, and mark it with a red circle. A trivial 3-junction corresponds to a free hypermultiplet. A simple example of a trivial junction is shown in figure 19. When the $(0,1)$ 7-brane crosses the $(1,0)$ 5-brane it loses its $(0,1)$ 5-brane connection, and we end up with a detached $(0,1)$ 7-brane and a $(1,0)$ 5-brane. A trivial junction may require several Hanany-Witten moves to detach a 7-brane, as shown in the example of figure 20. Trivial junctions have no local deformations, i.e. $g = 0$, and therefore saturate the inequality (A.3). More specifically the self-intersection number of a trivial junction is $\mathcal{I} = 0, -1$ or -2 , depending on whether it ends on one, two, or three 7-branes, respectively.

More generally, we define an m -trivial n -junction as an n -junction from which m 7-branes can be detached by Hanany-Witten moves. Let us assume that the n -junction is irreducible, and that all its legs end on 7-branes, namely it corresponds to the full 5d

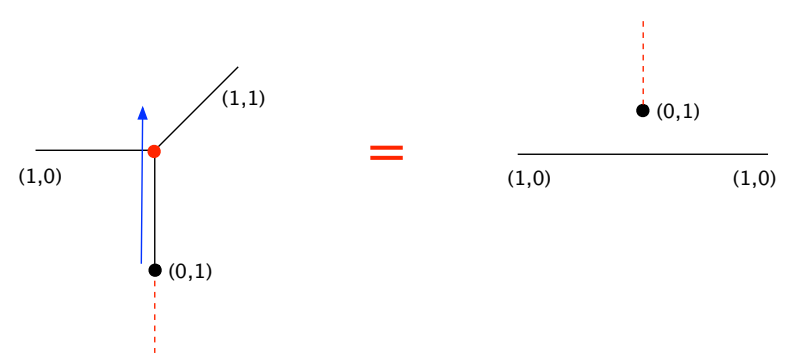


Figure 19. A simple trivial junction.

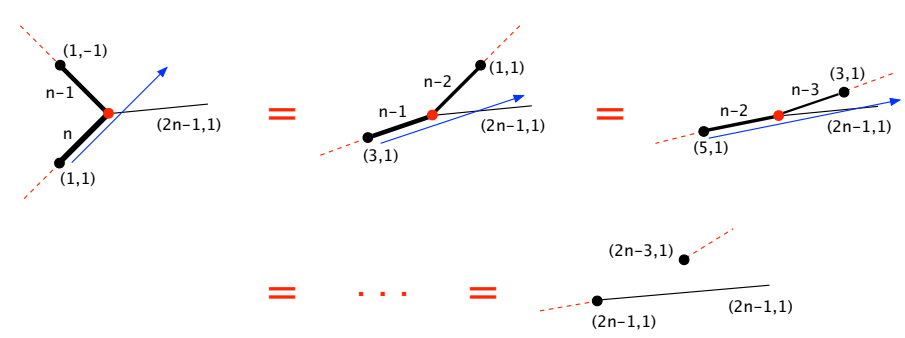


Figure 20. A compound trivial junction.

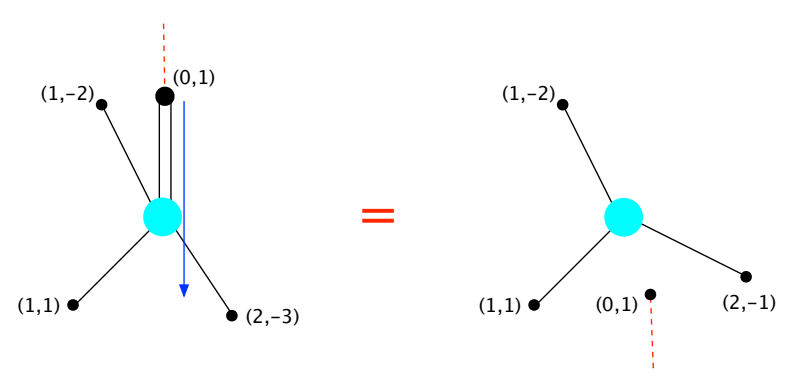


Figure 21. An example of a 1-trivial 4-junction describing the E_0 theory plus a free hypermultiplet.

SCFT. Clearly $m \leq n - 2$. If $m = n - 2$ the n -junction is equivalent to a 5-brane between two 7-branes and $n - 2$ detached 7-branes. The detached 7-branes describe $n - 2$ free hypermultiplets, and the suspended 5-brane describes one more free hypermultiplet. If $m < n - 2$ the n -junction is equivalent to an $(n - m)$ -junction plus m detached 7-branes, which describes a 5d SCFT given by the direct sum of the 5d SCFT described by the $(n - m)$ -junction (which has a rank $n - m - 3$ global symmetry) and m free hypermultiplets. An example of this with $n = 4$ and $m = 1$ is shown in figure 21.

A.3.1 An alternative criterion for trivial junctions

Above we identified trivial triple-junctions by their self-intersection number, which is the minimal one preserving supersymmetry for the given number of 7-branes. Here we will present an alternative, but equivalent, criterion. The typical situation of interest involves a triple-junction with one internal leg and two external legs ending on 7-branes. However the criterion is most easily formulated by imagining that the third leg also ends on a 7-brane. If the charges of the first two 7-branes are (p_1, q_1) and (p_2, q_2) , then that of the third 7-brane is $(p_3, q_3) = (N_1 p_1 + N_2 p_2, N_1 q_1 + N_2 q_2)$, where N_1 and N_2 are the multiplicities of the legs ending on the first two 7-branes. The junction is trivial if, by repeated moves of the first two 7-branes (the third 7-brane is a spectator) one of them is detached. This would leave a detached (r, s) 7-brane and a (p_3, q_3) 5-brane between two (p_3, q_3) 7-branes: the other one of the two and the spectator 7-brane. We assume here that (p_3, q_3) are relatively prime. The charge (r, s) of the detached 7-brane depends on the original charges (p_1, q_1) , (p_2, q_2) , and on the number of moves required for its detachment. While the charges of the two active 7-branes change in this process, there are two useful invariant quantities. The first is the total monodromy of the three 7-branes ordered counterclockwise,

$$M = M_{p_1, q_1} M_{p_2, q_2} M_{p_3, q_3}, \tag{A.6}$$

and the second is the Dirac pairing of the two active 7-branes,

$$\Delta = \det \begin{pmatrix} p_1 & q_1 \\ p_2 & q_2 \end{pmatrix}. \tag{A.7}$$

For a trivial junction these satisfy the relation

$$\text{Tr } M = 2(1 - \Delta^2). \tag{A.8}$$

This can be seen by computing the monodromy and Dirac pairing in the detached configuration, where

$$M = M_{r, s} M_{p_3, q_3}^2, \quad \Delta = \det \begin{pmatrix} r & s \\ p_3 & q_3 \end{pmatrix}. \tag{A.9}$$

As a consistency check, let us apply this criterion to the original junctions in figures 19, 20. In the first example, adding a $(1, 0)$ 7-brane and a spectator $(1, 1)$ 7-brane, we have $\text{Tr}(M) = -6$ and $\Delta = 2$, which satisfies the criterion. In the second example, adding a spectator $(2n - 1, 1)$ 7-brane, we also have $\text{Tr}(M) = -6$ and $\Delta = 2$, which again satisfies the criterion.

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