Approaching the environmental problem of microplastics: importance of WWTP treatments

Daniel Sol, Amanda Laca, Adriana Laca, Mario Díaz\*

Department of Chemical and Environmental Engineering, University of Oviedo, C/ Julián Clavería s/n, 33006, Oviedo, Spain.

\*Corresponding author: Mario Diaz, mariodiaz@uniovi.es

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#### Abstract:

The undeniable presence of microplastics (MPs) in soil, air and, especially, in the aquatic environment has revealed them to be an emerging pollutant. One of the main sources contributing to the release of these microplastics into the environment is wastewater treatment plants (WWTPs). During the treatment of wastewater, these microparticles undergo incomplete retention, which leads to their discharge in huge amounts into water masses. The microplastics removed from the wastewater during the treatment processes usually become entrained in the sewage sludge, which is commonly employed as organic fertilizer. Alarming data regarding the occurrence of MPs in nature and the increasing public awareness of environmental concerns have led to the appearance of numerous studies on this topic in recent years. So, this work is focused on providing an overview of available processes for the removal of microplastics from water and also from sediments. Social demand for the correct and effective management of microplastics is constantly increasing and should be given careful consideration before future action is taken. Recycling is a good option, and policies might be developed in this direction, moving towards a circular and sustainable economy for plastics.

Keywords: microplastics, wastewater treatment, WWTP, processes, removal, recycling.

Acronyms: ABS, Acrylonitrile butadiene styrene; ACRYL, Acrylate; ADWTP, Advanced drinking water treatment plants; BAF, Biological active filter; BAW, Bulk acoustic wave; BPL, Biopolymer; CAS, Conventional activated sludge; DAF, Dissolved air flotation; DM, Dynamic membranes; DWTP, Drinking water treatment plants; EPS, Exopolymer substances; FTIR, Fourier transform infrared spectroscopy; FTIR-ATR, Attenuated total reflectance-Fourier transform infrared spectroscopy; GHG, Greenhouse gas; HDPE, High density polyethylene; HRT, Hydraulic retention time; LDPE, Low density polyethylene; MBR, Membrane bioreactors; MCR, Methacrylate; MPs, Microplastics; MPSS, Munich plastic sediment separator; NOAA, National Oceanic and Atmospheric Administration; NYL, Nylon; PAHs, Polycyclic aromatic hydrocarbons; PAM, Polyacrylamide; PBT, Polybutylene terephthalate; PC, Polycarbonate; PCBs, Polychlorinated biphenyls; PCLs, Polycaprolactones; PE, Polyethylene; PEP, Polyethylene-propylene; PES, Polyester; PET, Polyethylene terephthalate; PFE, Pressurized fluid extraction; PHAs, Polyhydroxylalkanoates; PHBs, Polyhydroxybutyrates; PMMA, Polymethyl methacrylate; PLA, Polylactic acid; POM, Polyoxymethylene; PP, Polypropylene; PS, Polystyrene; PUR, Polyurethane; PVAL, Polyvinyl alcohol; PVC, Polyvinyl chloride; PVS, Polyvinyl stearate; RO, Reverse Osmosis; RSF, Rapid sand filtration; SEM, Scanning electron microscope; SMI, Sediment-Microplastic Isolation; UF, Ultrafiltration; UV, Ultraviolet irradiation; WPO, Wet peroxidation; WWTP, Wastewater treatment plant.

#### 1. Microplastics characteristics and occurrence

In the last five years, a large number of studies have reported the presence of MPs in nature, especially in aquatic environments, i.e., oceans, rivers, estuaries, lakes, arctic water and estuaries, among others (Bellasi et al., 2020; Li et al., 2020a; Xu et al., 2020). Nevertheless, few studies have analysed the presence, fate or effect of MPs in terrestrial ecosystems (Hurley and Nizzetto,

2018; Peng et al., 2017). As can be seen in Figure 1, in general, the number of publications on microplastics has dramatically increased from 2011 to now, which clearly indicates that, nowadays, this is a topic of great interest. Additionally, a progressive increase in the number of articles can also be observed when more specific terms related to wastewater treatment are included in the search (Figure S1).

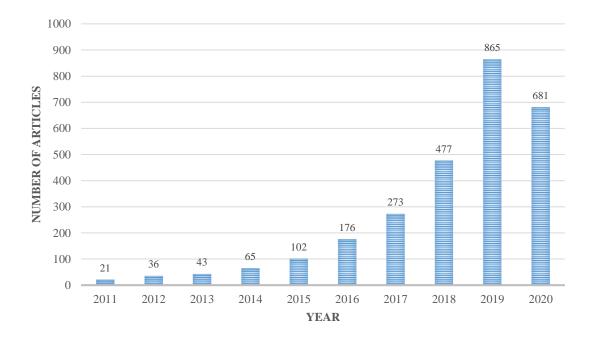


Figure 1. Number of articles found on Scopus database between 2011 (January) and 2020 (April), inclusive, when searching using "microplastic" as keyword.

Microplastics are particles of varying origin that are mainly characterised by their small size (less than 5 mm) (Law and Thompson, 2014; Picó and Barceló, 2019). They are considered "secondary microplastics" when they originate from the physical, chemical or biological degradation of larger plastics. "Primary microplastics" on the other hand, can be found as components of cleaning and hygiene products, cosmetics, paints, detergents, etc. Regardless of their origin, the vast majority of microplastics end up in the environment (Auta et al., 2017; Galafassi et al., 2019; Xu et al. 2020). The ubiquitous presence of these contaminants in different natural environments entails a potential risk (Alimi et al., 2018; Chen et al., 2020; Waring et al. 2018), not only for animals, but also for humans, mainly due to entry of microplastics into the food chain (Barboza et al., 2020; Barceló and Picó, 2019; Carbery et al., 2018; Prata, 2018a). Certainly, microplastics have been

found in a wide variety of animals, such as molluscs, crustaceans, seabirds, marine mammals and more than 150 species of fishes (Chang et al., 2019). Their size is very similar to that of zooplankton, which can lead to the direct ingestion of these pollutants by aquatic animals. In addition, the interaction between microplastics and phytoplankton leads to the formation of heteroaggregates in the gut of prey organisms which potentially increase the probability of trophic transfer of MPs to predators (Egbeocha et al., 2018).

Ecotoxicological studies have shown that some species may suffer from obstruction of the digestive tract, oxidative stress, damage to different organs, weakening and even death due to the ingestion of microplastics (de Sá et al., 2018; Franzellitti et al. 2019; Lu et al., 2019; Wang et al., 2019a). For example, MPs have been found in the gills and liver of European sea bass (*Dicentrarchus labrax*), generating a greater bioconcentration of mercury in the fish body and producing oxidative damage to lipids present in the gills (Barboza et al., 2018). Microplastics have also been found in European perch larvae (*Perca fluviatilis*), causing reproductive problems and increasing its mortality risk (Lönnstedt and Eklöv, 2016).

In the terrestrial environment, MPs have scarcely been studied. There are a variety of sources of MPs pollution in soils, such as sewage sludge from wastewater treatment plants, organic fertilizers from composting, plastic film and greenhouse covering in agricultural applications, atmospheric deposition, irrigation with polluted water or flooding and illegal waste dumping (Xu et al., 2019a; Wang et al., 2019b). It has been reported that microplastics accumulation can affect the germination and growth of some floral species (Qi et al., 2018). Besides, MPs have been found in earthworms, inhibiting their growth, producing gut damage and even death (Huerta Lwanga et al., 2016). Additionally, it has been reported that earthworms and collembola can act as vectors of MPs (Maaß et al., 2017; Rillig et al., 2017), so it is to be expected that other animals, including birds or mammals, could also transport microplastics.

The presence of MPs has extended to everyday foods, such as common salt, sugar, honey, beer, bottled water and even tap water, but also to other frequently consumed foods such as fish, lobsters, mussels, oysters and scallops, which entails the subsequently human ingestion of

microplastics (Chang et al., 2019; Zhang et al., 2019). Thus, although today there are insufficient studies to determine the specific effects of MPs on human health, they can be considered as a potential risk. But the ingestion route is not the only one that could be harmful to human health, since the inhalation of MPs present in the air and even dermal contact must also be taken into account (Prata et al., 2020). For example, from data reported on commonly consumed food, Cox et al. (2019) evaluated the potential intake of MPs per year by an American citizen. These authors estimated that a person may ingest 39000-52000 microplastics per year, values that depend on factors such as age and sex. This value increases if it is considered that bottled water could contribute to the intake of MPs with another 90000 particles annually, whereas tap water could produce an increment of 4000 MPs. Additionally, authors reported that the number of MPs that enter the human body is higher if the inhalation effect is considered, since this increases the MPs by 74000-121000 particles. Finally, and, although Revel et al. (2018) have suggested that nanoplastics could cross the epidermal barrier, dermal contact is not a major entry route for MPs into the human body. An additional problem related to microplastics is that contaminants could be retained on their surface, thus increasing their toxic effect (Prata et al., 2020).

# 2. WWTPs role in microplastics performance

There are different sources of plastic pollution to the environment, such as the mismanagement of plastics, the degradation of large plastics deposited in the environment or in landfills, the atmospheric transport of plastic particles deposited in soils, maritime activities (fishing and transport by ship), agricultural activities (greenhouses films, fertilizers, etc.), industrial activities directly related to plastics production, urban activities (personal and cosmetic products, paints, washing of textile, detergents, etc.) and, finally, wastewater treatment plants (WWTPs) (Boucher and Friot, 2017; Rochman 2018; Ziajahromi et al., 2016).

Water is the main vector for the spread of MPs and WWTPs are considered as a hotspot of contamination, despite often having microplastics removal efficiencies above 90% (Bayo et al., 2020a; Blair et al., 2019; Edo et al., 2020). WWTPs receive millions of MPs per day through the sewage system (Okoffo et al., 2019; Prata, 2018b) and the quantity and characteristics of these

microplastics depend mainly on the local agricultural and industrial activities (Eerkes-Medrano et al., 2015; Long et al., 2019). Thus, large amounts of microplastics that are not removed from wastewater during the treatment processes are discharged into rivers and oceans (Conley et al., 2019; Edo et al., 2020). For example, it has been reported that in a Scottish treatment plant serving a population of 650000 that, despite its having a removal efficiency higher than 98%, around 65 million microplastics were released to the environment each day (Murphy et al., 2016). In addition, MPs concentration is usually higher downstream of WWTPs compared to upstream (Kay et al., 2018; McCormick et al., 2014; Meng et al., 2020; Shruti et al., 2019). For example, Vermaire et al. (2017) found that the treated water (effluent) discharged into the Ottawa River (Canada) significantly increases the concentration of microplastics downstream of the WWTP (1.99 items per m<sup>3</sup>) in comparison with upstream (0.71 items per m<sup>3</sup>). Despite the fact that WWTP treatment processes achieve the removal of microplastics from wastewater mainly by entrapping them in sludge, these facilities increase the concentration of microplastics at the point of discharge, since 100% of removal efficiency is not achieved. Thus, it is clear that WWTPs are a significant source of microplastics release into the environment.

Additionally, it also should be considered that the concentration of MPs varies significantly depending on the season, being higher in hot periods (Bayo et al., 2020a). This variation is caused by higher levels of sun exposure which help fragmentation and degradation of plastics. Also, large amounts of microplastics are usually detected after rainfall due to the entrance into the sewage system of MPs washed from the soil. No noticeable differences were found during the day, the microplastics concentration in the mornings and afternoons being very similar.

Microplastics removed from the treated water are mostly accumulated in sludge, as reported in a study carried out in Canada, which found that 93% of MPs received by a WWTP with a 98% removal efficiency became entrained in sludge (Gies et al., 2018). Sludge can be managed in different ways, i.e., by landfill disposal, incineration and application to soil, the most frequent being the third one (around 50% of total sewage sludge generated in Europe and North America is employed as soil fertiliser) (Habib et al., 2020; Hurley and Nizzetto, 2018; Rolsky et al., 2020).

It is usually recognized that primary and secondary settling are the processes that entail the highest removal of microplastics, with average values from 78 to 98% and 7 to 20%, respectively (Prata, 2018b). On the contrary, Murphy et al. (2016) indicated that the highest removal efficiency of MPs (55%) was found during the pretreatment processes, specifically, during the grit and grease removal process. In general, it is considered that tertiary treatments have no noticeable effects on reducing microplastics concentration (Habib et al., 2020). Several studies have analysed the presence of MPs in mixed sludge, which are obtained from primary and secondary treatments, and the average concentrations were very variable, ranging between 400 and 7000 particles/kg in wet sludge and 1500 and 170000 particles/kg in dry sludge (Sun et al., 2019).

In all samples taken in wastewater treatment plants, MPs can be classified into six main shapes: fibres, granular, pellets, films, foams and fragments, fibres (57%) and fragments (34%) being the predominant shapes. Fibres are the most difficult particles to remove due to their morphological characteristics, namely, a high length-to-width ratio (Ngo et al., 2019). Furthermore, more than 30 polymers of different chemical compositions have been found in WWTPs (Sun et al., 2019). The abundance of one kind of polymer or another depends on the wastewater origin, i.e., industrial, agricultural or if the wastewater stream comes exclusively from the city (Ngo et al., 2019). Rolsky et al. (2020) indicated that the larger microplastics commonly settle with sludge during the primary and secondary settling processes. Additionally, although the smaller particles are more likely to remain in the water stream, many of them can also be absorbed in the sludge during the settling processes (Liu et al., 2019a).

It is important to note that MPs have the ability to adsorb chemical additives or toxic pollutants such as metals, polychlorinated biphenyls (PCBs), polycyclic aromatic hydrocarbons (PAHs), phthalates, pesticides, etc. (Rodrigues et al., 2019; Xu et al., 2019a). This can happen more easily during wastewater treatment because a variety of contaminants can be found in relatively high concentrations in the water stream. This entails a doubly adverse effect on environment and humans, since microplastics act as vector for these harmful contaminants (Caruso, 2019; Zhang and Chen, 2020).

It is clear from these data that research into technologies that allow the removal of MPs from sludge and also from environmental samples, especially those of marine origin, is a challenge that must be faced to enable us to move forward towards a circular economy for plastic wastes.

# 3. Efficiency of the different operations in WWTPs

In a wastewater treatment plant (WWTP) different processes can be distinguished and each treatment step has a specific objective in the overall purpose of cleaning the water flow. Sometimes, the composition of water, local circumstances or even the final fate of the effluent stream may require modifications to the conventional treatments. In addition, it is important to bear in mind that, at the present moment, these facilities are not designed for the specific removal of microplastics from the wastewater stream. One option to reduce the microplastic concentration before discharging treated water is the use of advanced treatments such as ozone, rapid sand filtration (RSF), dissolved air flotation (DAF), reverse osmosis (RO), dynamic membranes (DM), among others (Figure 2). Secondary treatments employing membrane bioreactors (MBR) can also improve MPs removal during wastewater treatment (Zhang and Chen, 2020). To a greater or lesser extent, all of these techniques contribute to reducing the amount of microplastics in treated water, reducing the subsequent pollution of water masses. A summary of the main data on MPs in WWTPs found in recent research can be seen in Table 1.

References	Stages Sampling Size (µm) MPs by size (µm) MPs by shape		Size (µm) MPs by size (µm		MPs by chemical composition (%)	Removal efficiency (%)	
Carr et al., 2016	Influent, primary, secondary and tertiary	Surface filtration	45 - 400	90 - 100 (13%) 100 - 125 (25%) 150 - 200 (37%) 250 - 300 (25%)	Mainly fragments	Blue and white PE	Gravity filters: 99.9
Michielssen et al., 2016	Influent, pretreatment (grit and grease removal), primary, secondary and tertiary	Container	20 - 4750	Pretreatment         20 (8%)         106 (8%)         300 (64%)         850 (19%)         4750 (1%)         Effluent         20 (8%)         106 (16%)         300 (32%)         850 (40%)         4750 (4%)	Influent         Fibres $(55 - 62\%)$ Fragments $(23 - 26\%)$ Microbeads $(11 - 16\%)$ Others $(4\%)$ Effluent         Fibres $(61 - 89\%)$ Fragments $(11 - 33\%)$ Others $(0 - 6\%)$	_	CAS: 95.6 RSF: 97.2 MBR: 99.4
Murphy et al., 2016	Influent, pretreatment (grit and grease removal), primary and secondary	Container	> 65	598 (average on liquid fraction)	<u>All the liquid fractions</u> Flakes (67%) Fibres (19%) Films (10%) Beads (3%) Foams (1%)	<u>Influent</u> Alkyd (29%), PS acrylic (19%), PES (11%), PUR (9%), Acrylic (8%) <u>Effluent</u> PES (28%), PA (20%), Acrylic (12%), PP (12%), Alkyd (8%)	98.4

Table 1. Summary of main data on MPs in WWTPs found in literature from 2016 to 2020.

Leslie et al., 2017	Influent and effluent	Container	20 - 300	<u>Influent</u> 10 - 300 (39%) 300 - 5000 (42%) <u>Effluent</u> 10 - 300 (71%) 300 - 500 (27%)	Fibres, foils and spheres	_	11 – 94 MBR: 25
Mintenig et al., 2017	Effluent	Pumping	20 – 5000	$\frac{MPs > 500 \ \mu m}{500 - 2500 \ (94\%)} > 2500 \ (6\%)$ $\frac{MPs < 500 \ \mu m}{< 50 \ (9\%)} = 50 - 250 \ (86\%) = 250 \ (5\%)$	Fibres (61%)	<u>MPs &gt; 500 μm</u> PE (59%), PP (16%) <u>MPs &lt; 500 μm</u> PE (40%), PVAL (16%), PA (8%), PS (8%)	97
Talvitie et al., 2017a	Influent, effluent	Pumping and sieving	20 - 300	Influent     20 - 100 (39%)     100 - 300 (43%)     ≥ 300 (18%)     Effluent     20 - 100 (70%)     100 - 300 (28%)     ≥ 300 (2%)	<u>All the samples</u> : mainly fibres Before treatment (39 – 81%) After advanced treatment (29 – 100%)	<u>All the samples</u> PES (60%), PE (14%), ACRYL (7%), PVC (5%), PS (4%), PP (3%)	RSF: 97 Disc-filter: 40 – 98.5 DAF: 95 MBR: 99.9
Talvitie et al., 2017b	Influent, primary, secondary and tertiary	Pumping and sieving	20 - 300	$     Influent     20 - 100 (39\%)     100 - 300 (42\%)     \geq 300 (19\%)           Effluent     20 - 100 (71\%)     100 - 300 (27\%)     $	<u>Influent</u> Fibres (68%) Fragments (10%) Flakes (14%) Films (8%) <u>Effluent</u> Fibres (29%)	<u>Effluent</u> Cotton (44%), PES (33%), linen (11%), viscose (9%), wool (2%), polyacryl (1%)	BAF: 97

				≥ 300 (2%)	Fragments (62%) Flakes (9%)		
	WWTP – A: Primary and effluent					<u>Primary effluent</u> WWTP – A: PET (80%), PE (20%)	
Ziajahromi et al., 2017	WWTP – B: Primary and secondary	Pumping and sieving	25 - 500	Mainly 20 – 190 (61 – 65%)	Mainly fibres and granules	WWTP – B: PET (35%), NYL (28%), PE (23%), PP (10%), PS (4%)	90
	WWTP – C: Primary and tertiary					WWTP – C: PE (42%), PET (36%), PS (15%), PP (8%)	
Gies et al., 2018	Influent, primary and secondary	Container	1 – 65	-	Fibres (66%) Fragments (28%) Pellets (5%) Others (1%)	NYL, PES, PVC, PS, etc.	97 – 99
Gündoğdu et al., 2018	Pretreatment (after screening) and effluent	Container	> 55	<u>Influent</u> < 100 (2%) 100 – 500 (24%) 500 – 1000 (18%) 1000 – 5000 (56%) <u>Effluent</u> < 100 (3%) 100 – 500 (28%) 500 – 1000 (31%) 1000 – 5000 (38%)	Influent Fibres (70%) Fragments (19%) Films (11%) Effluent Fibres (60%) Fragments (20%)	<u>Influent</u> PET (56%), PE (27%), PP (13%) <u>Effluent</u> PET (56%), PE (25%), PP (16%)	73 – 76
Lares et al., 2018	Pretreatment (after screening),	Container	250 - 5000	< 1000 (64%) > 1000 (37%)	Fibres (50 – 90%) Fragments (10 – 50%)	PES (79%), PE (11%), PA (4%), Others (6%)	CAS: 99 MBR: 99.4

	primary and tertiary						
Lee and Kim, 2018	Influent and	Container	> 106	<u>Influent</u> 106 – 300 (80%) > 300 (20%)	<u>Influent</u> Fibres (50%) Fragments (50%)		Media process: 98
2010	effluent	Container	2 100	Effluent 106 – 300 (76%) > 300 (24%)	<u>Effluent</u> Fibres (60%) Fragments (40%)	_	A <sup>2</sup> O: 98.4 SBR: 99.1
Simon et al., 2018	Influent, secondary and effluent	Auto- sampler	1 – 500	Mainly < 130	Mainly fragments	<u>Influent</u> PP (39%), ACRYL (27%), PVC (11%), PE (7%), PUR (6%) <u>Effluent</u> ACRYL (34%), PP (19%), PE (9%), PES (20%)	99.3
Blair et al., 2019	Influent, pretreatment (grit and grease), primary, secondary and tertiary	Container	60 – 2800	_	Fibres (73%) Fragments (20%) Films (6%) Pellets (1%)	PP (23%), PVS (7%), PE (4%), Copolymer (3%), POM (1%)	Nitrification tanks: 96
Conley et al. 2019	Influent and effluent	Container	> 60	60 – 178 (46%) 178 – 418 (24%) > 418 (30%)	Fibres (78%) Particles (22%)	_	75 – 98
Hidayaturrahman and Lee, 2019	Influent, primary, secondary,	Container	> 1.2	_	<u>Influent</u> WWTP – A:	_	Coagulation- flocculation: 47 – 82

coagulationflocculation effluent and effluent

20 - 500

Kazour et al., 2019

Influent and Pumping and

effluent

sieving

Influent

< 20 (14%) 20 - 200 (60%) 200 - 500 (18%) > 500 (8%)

# <u>Effluent</u>

< 20 (5%) 20 – 200 (62%) Influent Fragments (75%)

Fibres (25%)

Microbeads (18%), fibres

Microbeads (70%), fibres (15%), sheets (4%), fragments (11%)

WWTP – C: Microbeads (24%), fibres (18%), sheets (4%), fragments (54%)

WWTP – A: Microbeads (79%), fibres (12%), sheets (3%), fragments (6%) WWTP – B: Microbeads (93%), fibres (5%), sheets (1%), fragments (1%) WWTP – C: Microbeads (56%), fibres (23%), fragments (21%)

(47%), sheets (4%),

fragments (31%)

WWTP – B:

Effluent

# <u>Effluent</u>

Fragments (60%) Fibres (40%) Influent

PS (38%), PE (20%), PA (17%), PUR (12%), PC (8%), PVC (5%)

#### Effluent

PE (40%), PS (15%), PP (12%), PA (10%), PET

RSF: 74 Disc-filter: 79

Ozone: 90

98.8

				200 – 500 (20%) > 500 (13%)		(10%), PUR (5%), PVC (4%), ABS (4%)	
Liu et al., 2019a	Influent, primary, secondary and tertiary	Container	> 47	<u>Influent</u> 20 – 300 (50%) 300 – 1000 (35%) 1000 – 5000 (15%) <u>Effluent</u> 20 – 300 (72%) 300 – 1000 (18%) 1000 – 5000 (10%)	Influent Fragments (43%) Fibres (39%) Microbeads (4%) Ellipse (6%) Films (4%) Foams (4%) <u>Effluent</u> Fibres (45%) Fragment (45%) Films (6%) Microbeads (4%)	PA (53%), PE (13%), PP (9%), PVC (4%)	64 (Chlorination increase a 7%)
Long et al., 2019	Pretreatment (after screening) and effluent	Pumping and sieving	43 – 355	$\frac{\text{Influent}}{43 - 63 (24\%)}$ $63 - 125 (43\%)$ $125 - 355 (21\%)$ $355 - 5000 (12\%)$ $\frac{\text{Effluent}}{43 - 63 (27\%)}$ $63 - 125 (32\%)$ $125 - 355 (28\%)$ $355 - 5000 (13\%)$	Influent Granules (50%) Fragments (30%) Fibres (18%) Pellets (2%) <u>Effluent</u> Granules (36%) Fibres (30%) Fragments (28%) Pellets (6%)	Influent           PP (30%), PE (27%), PS           (10%), PET (8%), PEP           (11%), PES (3%), PA           (0.3%)           Effluent           PP (35%), PE (18%), PEP           (19%), PS (10%), PET           (8%)	79 – 98
Lv et al., 2019	Influent, pretreatment (grit and grease), oxidation ditch	Container and sieving	25 - 500	25 - 63 (8%) 63 - 125 (29%) 125 - 250 (12%) 250 - 500 (11%) > 500 (40%)	Fragments (65%) Fibres (21%) Films (12%) Foams (2%)	PET (47%), PS (20%), PE (18%), PP (15%)	Oxidation ditch: 97 MBR: 99.5

	effluent, secondary and tertiary						
Magni et al. 2019	Influent, primary, secondary and tertiary	Container	63 – 5000	$\frac{\text{Influent}}{10 - 100 (12\%)}$ $100 - 500 (36\%)$ $500 - 1000 (17\%)$ $1000 - 5000 (35\%)$ $\frac{\text{Effluent}}{10 - 100 (27\%)}$ $100 - 500 (52\%)$ $500 - 1000 (7\%)$ $1000 - 5000 (14\%)$	<u>Influent</u> Films (73%) Fragments (21%) Fibres (6%) <u>Effluent</u> Fibres (41%) Films (38%) Fragments (21%)	<u>Influent</u> Acrylonitrile-butadiene (40%), PE (17%), PEP (14%), PES (4%), PP (4%), PUR (3%), PA (2%) <u>Effluent</u> PES (35%), PA (17%), PE (10%), ACRYL (7%), PUR (7%), PVC (3%)	Sand filter: 84
Yang et al., 2019	Pretreatment (after screening), primary, secondary and tertiary	Container	50 – 5000	-	<u>Effluent</u> Microfibres (86%) Microparticles (14%)	<u>Effluent</u> PET (42%), PES (19%), PP (13%)	A <sup>2</sup> O: 95
Akarsu et al., 2020	Influent and effluent	Container	> 26	< 500 (34%) > 500 (66%)	Influent Fibres (79%) Soft plastics (13%) Hard plastics (8%) <u>Effluent</u> Fibres (46%) Hard plastics (33%) Soft plastics (19%) Others (2%)	PE, PP, Acrylic, PS and cellulose acetate	48 – 73

Bayo et al., 2020a	Influent, primary and secondary	Container	0.45 – 5000	< 200 - 400 (20%) 400 - 600 (35%) 600 - 1000 (28%) 1000 - 5000 (17%)	Fragments (47%) Films (34%) Beads (12%) Fibres (7%) Foams (0.2%)	LDPE (52%), PP (11%), HDPE (9%), ACRYL (5%), BPL (3%), NYL (2%), PEP (3%), PET (2%), PS (2%)	90.3
Bayo et al., 2020b	Pretreatment (grit and grease) and tertiary	Container	0.45 – 5000	200 - 400 (4%) 400 - 600 (24%) 600 - 1000 (31%) 1000 - 2000 (31%) 2000 - 5000 (10%)	Fibres (61%) Films (31%) Fragments (7%) Beads (1%)	LDPE (71%), HDPE (5%), ACRYL (5%), PP (5%), PS (4%), NYL (3%), MCR (2%)	RSF: 75 MBR: 79
Edo et al., 2020	Primary and	Container and	25 – 375	Primary effluent 25 – 104 (54%) 104 – 375 (34%) 375 – 5000 (12%)	Primary effluent Fragments (60%) Fibres (28%)	Primary effluent PES, PE, dyed cotton, PP and cellophane fibres	A <sup>2</sup> O: 94
Euo († al., 2020	secondary	sieving	25 - 515	<u>Secondary effluent</u> 25 – 104 (48%) 104 – 375 (28%)	<u>Secondary effluent</u> Fragments (80%) Fibres (20%)	<u>Secondary effluent</u> PE, PET, PP and cellophane	
				375 – 5000 (23%)			
Park et al., 2020	Pretreatment (grit and	Container/ pumping	100 - 5000		<u>Pretreatment</u> Fragments (68%) Fibres (32%)	<u>Influent</u> PP (40%), PE (26%), PET (21%)	99.4 – 99.9 (CAS, A <sup>2</sup> O and
grease) and effluent	e ,		100 – 5000	_	<u>Effluent</u> Fragments (82%) Fibres (18%)	Effluent PP (63%), PE (14%), PET (13%)	(ORB), IT O tand MBR)
Raju et al., 2020	Influent, pretreatment (grit and grease) and	Container	1.5 – 1000	<u>Influent</u> 1.5 - 38 (40%) 38 - 125 (23%) 125 - 250 (19%) 250 - 1000 (10%)	<u>Influent</u> Fragments (39%) Fibres (36%) Films (12%) Glitter (6%)	<u>Influent</u> PP (36%), PES (25%), PET (11%), PA (9%), PVC (7%), PS (5%)	76.6

effluent (after UV treatment)	> 1000 (8%) <u>Effluent</u> 1.5 - 38 (17%) 38 - 125 (21%) 125 - 250 (40%) 250 - 1000 (13%) > 1000 (9%)	Foams (3%) Beads (1%) Others (3%) <u>Effluent</u> Fibres (58%) Fragments (25%) Films (8%) Glitter (2%) Beads (3%) Others (4%)	Effluent PES (46%), PP (24%), PA (11%), PE (9%), PMMA (3%), PBT (3%), rayon (3%)
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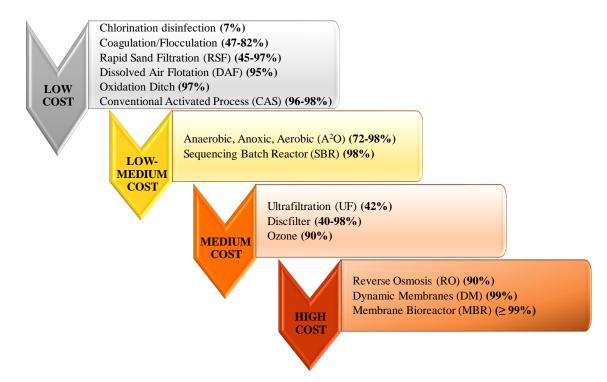




Figure 2. Removal efficiency of microplastics during different wastewater treatment processes in
WWTPs and overview of the costs of the technologies employed (Hidayaturrahman and Lee,
2019; Lares et al., 2018; Lee and Kim, 2018; Liu et al., 2019a; Lv et al., 2019; Magni et al., 2019;
Michielssen et al., 2016; Murphy et al., 2016; Simon et al., 2019; Talvitie et al., 2017; Yang et
al., 2019; Ziajahromi et al., 2017).

# 9 **3.1. Traditional operations**

Rapid sand filtration (RSF) is a popular technology for water treatment because it provides rapid
and efficient removal of pollutants at a low cost. The highest MPs removal percentage achieved
with RSF was 97% (Talvitie et al., 2017a), but its main drawback is that this technology can
fragment microplastics into smaller particles (Prata, 2018b).

Dissolved air flotation (DAF) is a technique consisting in saturating the water with air at high pressure so that a stream of air bubbles (20-70 µm) are generated into the wastewater. The air bubbles adhere to suspended solids, causing the flotation of the air-solid suspension. Finally, solids and MPs can be removed by skimming (Palaniandy et al., 2017; Wang et al., 2005). In comparison with the typical sedimentation processes that occur in the primary and secondary

clarifiers, DAF is less sensitive to flow variations and it shows high efficiency removal in a short
retention time (5-10 min), especially of low-density particles which are less likely to settle. The
highest removal efficiency achieved with DAF was 95% (Talvitie et al., 2017a).

22 According to the published data, the most effective technique for removing MPs consists in 23 employing a membrane bioreactor (MBR), achieving efficiencies of near to 100% (99.9%). This 24 system treats primary effluent, containing suspended solids and also dissolved organic matter, by 25 means of the combination of membrane filtration processes with suspended growth biological 26 reactors (Talvitie et al., 2017a). Only the smallest particles can pass through the system, so that it can achieve a high effluent quality (Poerio et al., 2019). The main disadvantages are the 27 28 membrane costs, the high energy demand, control of fouling and the low flux (Ersahin et al., 29 2012).

30 Additionally, dynamic membranes (DM) are considered to be a promising technology in 31 wastewater treatment processes for the removal of low-density microplastics, which cannot be 32 removed by conventional settling (Li et al., 2018). There are a variety of factors that affect DM 33 performance, including the membrane materials, membrane pore size, deposited materials (size, 34 concentration) and operating conditions (pressure, cross-flow velocity, hydraulic retention time 35 (HRT), oxidation-reduction potential, aeration, temperature, etc.) (Ersahin et al., 2012; Li et al., 36 2018; Ma et al., 2013). The high removal efficiency for microplastics (99.5%), the low cost of the 37 filter module and the low energy consumption compared with traditional membranes, are some 38 of the advantages of DM. The main disadvantage is the problem of blocking of the filter (Li et 39 al., 2018).

## 40 **3.2. Tertiary operations**

Ozone is a strong oxidizer that is usually used in common disinfection processes by injecting a bubble flow containing ozone through the wastewater in a tank. Ozone reacts with polymers by the formation of radical oxidizing species, causing degradation of polymer chains. Low efficiencies are obtained when low pressures are used, since ozone is only slightly soluble in water (Rodríguez et al., 2008). Few studies have analysed the effect of ozone in MPs removal, but one

recent investigation showed that ozone technology can remove 90% of microplastics, using an 46 average dose of 12.6 mg/L and a treatment time of approximately 1 min (Hidayaturrahman and 47 48 Lee, 2019). This efficiency can even be higher (~ 98%) if the treatment lasts for 60 min and takes 49 place at 35-45°C, as Chen et al. (2018) showed in another study. This indicates that MPs can be degraded by ozone oxidation (Zhang et al., 2020). The main disadvantages of employing ozone 50 51 are the high energy consumption and the formation of oxidizing by-products that may have 52 toxicity equal to or greater than their precursor. Ozone has a strong affinity for organic pollutants, 53 such as phenol, aniline or deprotonated-amine compounds, generating their respective 54 derivatives, i.e., carboxylic acids or aldehydes. Additionally, an inorganic by-product, such as 55 bromate, can be formed too (Ahmed et al., 2017; Benner et al., 2013; Wert et al., 2007).

Reverse Osmosis (RO) is another important technology in which water is pushed under pressure through a semi-permeable membrane and which is employed to remove large amounts of contaminants from water. Ziajahromi et al. (2017) reported a removal efficiency of 90% for MPs in a WWTP that employed RO. These authors observed that smaller microplastics were not removed by the RO process due to membrane defects and/or small openings in pipework. The main disadvantages of reverse osmosis are high energy demand, membrane fouling and waste management (Ahmed et al., 2017).

Finally, it should be taken into account that the recovery of microplastics in the tertiary treatment
of WWTPs that employ bioreactors would imply that MPs would be obtained practically clean,
since most organic and inorganic matter would have been removed in previous steps. This would
be of interest if MPs are going to be revalorised, for example, by means of recycling processes.

67

# 4. Microplastics sampling in WWTPs

68 Collecting water samples from WWTPs requires the use of specific devices that include container 69 collection, automatic sample collection, surface water filtration and pumping and filtration. 70 Container collection implies collecting a spot sample, i.e., a specific volume of water is taken in 71 a drum or a container and after that the sample is filtered through screens or meshes. Automatic 72 sample collection is similar to container collection, but, in this case, the device pumps water

73 samples from time to time and stores them (a mixed sample is obtained), until they are filtered. 74 Surface water filtration uses a net over the surface of the water to recover the particles, its main 75 drawback is that a homogeneous sample is not collected (only surface water is sampled). Finally, 76 pumping and filtration is the method most frequently employed in WWTPs, unlike the methods 77 described for marine water samples, it allows large volumes of water to be processed. The sample 78 is pumped through filtration equipment, consisting of several meshes and sieves whose pore sizes 79 range between 20 and 4750 µm. In this way, microplastics are separated into several groups by 80 size depending on the number and pore range of the meshes used in the device. The MPs obtained 81 are subsequently washed from the meshes with distilled water to store them in glass bottles until 82 further processing in laboratory (Alvim et al., 2020; Sun et al., 2019).

The extraction of MPs from sewage sludge is more difficult because they are usually embedded in the sludge. Further investigations into techniques and/or methods that allow the separation of MPs from organic matter are undoubtedly challenging, but are of great importance to reduce the subsequent microplastic pollution when sludge is employed for soil improvement.

87 5. Processes for microplastic removal

In this section, different processes will be mentioned, some of which have been studied in real plants, while the vast majority have been evaluated for MPs removal at laboratory scale. The future objective is to analyse, quantify and identify microplastics in wastewater, sediment or seawater samples after a pretreatment stage that serves to ensure the subsequent extraction of microplastics without impurities.

#### 93 **5.1. Pretreatment**

As a general rule, the MPs extracted from samples, especially from those that come from wastewaters, are accompanied by impurities (organic and inorganic particles) which can interfere with the identification of microplastics. Different technologies have been employed to separate microplastics from impurities, though almost all of them have been employed at lab scale. The

98 elimination of impurities requires a pretreatment stage, which can consist of organic matter99 oxidation or the breaking down of the sludge to release the MPs entrained inside it.

#### 100 *5.1.1. Oxidation*

101 The oxidisers most frequently employed are H<sub>2</sub>O<sub>2</sub> and NaClO (Okoffo et al., 2019; Stock et al., 102 2019). The US National Oceanic and Atmospheric Administration (NOAA) recommends 103 Fenton's reagent for aquatic samples (Masura et al., 2015). This is a catalytic wet peroxidation (WPO) that is usually carried out with a solution of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) with ferrous iron 104 105 (typically iron(II) sulphate, FeSO<sub>4</sub>) as a catalyst. The Fenton's reagent allows the decomposition 106 of almost 87% of organic matter in just 2 hours at room temperature without modifying the 107 morphology and chemical composition of microplastics (Hurley et al., 2018; Tagg et al., 2017) 108 and the process can be carried out in only 30 minutes if the reaction takes place at 70°C. The use 109 of solutions of acids or bases to remove organic matter can damage microplastics if high 110 concentrations are employed and offers poorer performance in terms of reducing impurities and 111 needs longer treatment times (around 24 hours). A recent procedure employs enzymatic 112 degradation, using a mixture of enzymes such as amylase, lipase, chitinase, proteinase and 113 cellulase. The major advantages of enzymes lie in their ability to react specifically with individual components of a mixture, so that no alterations are made to the microplastics, whereas the main 114 115 problem is that the exposure time for the total removal of organic matter is very long (13 days) 116 (Liu et al., 2020; Sun et al., 2019).

117 5.1.2. Post-Breaking down

The extraction of MPs in sludge samples is difficult (Li et al., 2020b) and, in some circumstances,
it may require a more specific and exhaustive pretreatment than the oxidation, e.g., like breaking
up sludge using a low speed peristaltic pump.

121 Once the samples are pretreated, specific techniques should be employed at laboratory level to 122 purify microplastics that will be subsequently identified by instrumental analysis (Huppertsberg 123 and Knepper, 2018; Shim et al., 2017; Silva et al., 2018; Wirnkor et al., 2019). Firstly, this

identification is carried out by optical techniques (morphological and physical analysis) to
determine the main shapes, colours and sizes of MPs and, secondly, by spectroscopic techniques
(like FTIR or its equivalent FTIR-ATR) to assess the chemical composition of microplastics.

Techniques developed so far to selectively separate microplastics from organic and inorganic wastes that could not be removed during the pretreatment stage will be discussed in following sections. An overview of the main processes for MPs removal found in literature is shown in Table 2. Although most of the techniques have been developed and used for marine samples of water and sediments, they could be equally employed to treat samples that come from WWTPs, namely, wastewater and sludge.

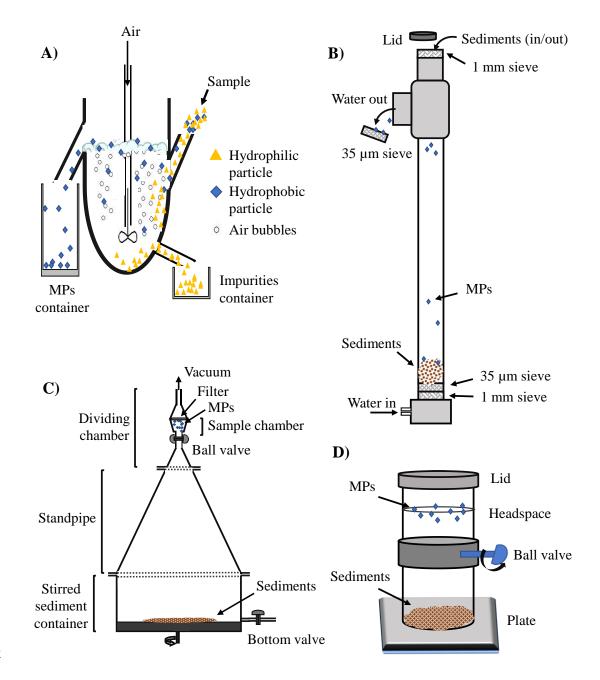
Process	Process type	Procedure	Matrix	Removal efficiency (%)	Particle size (µm)	Treatment time	References
Froth Flotation	Physical	Injection of air bubbles in a liquid phase	Sediment samples, mixture of plastic wastes	55	1000 - 5000	_	Imhof et al., 2012
Coagulation/Flocculation	Chemical and physical	Addition of coagulants to generate flocs	Wastewater stream	47 – 82	64 – 1500	-	Hidayaturrahman and Lee, 2019
Elutriation	Physical	Density separation: saturated salt solution	Sediments	NaCl solution: Fibres (75), PE (61), PVC (0) Nal solution: Fibres (98), PE (100), PVC (100)	250 (granules) Different sizes (fibres)	1 h	Claessens et al., 2013
		Water flow		50	5000 x 5000	10 min	Zhu (2015)
		Water column with sieving separation	Sediments (sand)	92–97 (PA, PVC)	125 - 2000	_	Kedzierski et al., 2016
		Density separation:		100	1000 - 5000	1 - 2 h	Imhof et al., 2012
Munich Plastic Sediment Separator (MPSS)	Physical	ZnCl <sub>2</sub> solution	Marine	96	$\leq 1000$	I = 2 II	mmor et al., 2012
	Thysical	Density separation: ZnCl <sub>2</sub> /CaCl <sub>2</sub> (50/50)	sediments	13 - 39	_	1 h	Zobkov and Esiukova, 2017

# Table 2. An overview of main processes for MPs removal found in literature.

Sediment-Microplastic Isolation (SMI) Physical	Physical	Density separation: ZnCl <sub>2</sub> solution	Sediments	92 – 98	200 – 1000 PE, 200 – 1000 NYL, 100 – 800 PVC, 400 –1000 LDPE	10 – 120 min	Coppock et al., 2017
				88 - 92	> 200 PVC	10 – 120 min	Nel et al., 2019
Pressurized fluid extraction (PFE)	Chemical and physical	Solvents at supercritical pressure and temperature	Oils, sediments, municipal	84 - 94	~ 50 HDPE, ~ 50 PVC, 1000 PS, > 1000 PET, > 1000 PP	_	Fuller and Gautam, 2016
		conditions	waste	83 - 87	2000 PE > 200 PP	< 7 h	Dierkes et al., 2019
Electrostatic separator	Physical	Sample is dried, sieved and added to the drum for electrostatically charged	Sediments, quartz sand, beach sand, suspended particles	90 – 100	63 – 100, 200 – 630, 630 – 2000, 2000 – 5000 (HDPE, LDPE, PET, PP, PS, PVC, PMMA, PLA, PE, tire wear)	3 – 4 h	Felsing et al., 2018
Magnetic extraction		Hydrophobic		92 (PE, PS)	10 - 20		
	Physical	interaction between iron nanoparticles and MPs	Seawater samples	93	≥ 1000	_	Grbic et al., 2019
				78 - 98	200 - 1000		

# 137 **5.2. Froth flotation**

138 Froth flotation (Figure 3A) is a physical process based on the hydrophilic and/or hydrophobic character of particles. Air is introduced in the bottom of the reactor while an agitator 139 homogeneously disperses the air. Air bubbles are hydrophobic and interact with MPs (also 140 141 hydrophobic), so that MPs-air bubbles go upwards to the surface, whereas hydrophilic particles 142 remain in liquid phase. The supernatant, where MPs are located, is collected in a container. This 143 procedure has not been tested for small plastics, only for microplastics between 5 and 1 mm in 144 size, giving a removal efficiency of 55% (Imhof et al., 2012; Nguyen et al., 2019). In addition, its 145 performance depends on different factors, such as the surface free energy of MPs, the surface 146 tension of the liquid and the critical surface tension. It is well-known that microplastics, due to 147 their hydrophobic characteristics, have the ability to adsorb different chemical pollutants. In that 148 sense, the presence of contaminants adsorbed to MPs can negatively affect the separation of 149 microplastics by the froth flotation process. In this context, additives that are adsorbed selectively 150 by one kind of MPs but not to another can be employed to separate different plastics by this method (Crawford and Quinn, 2017; Fraunholcz, 2004). 151



152

Figure 3. Schematic representation of different processes for microplastic removal. A) Froth
flotation process, B) First elutriation system based on Claessen's device, C) MPSS device and D)
Sediment-Microplastic Isolation (SMI) device. Adapted from Claessens et al. (2013), Coppock et
al. (2017), Crawford and Quinn (2017) and Imhof et al. (2012).

157 It should be considered that in WWTPs with activated sludge treatments, an air stream is injected
158 to supply oxygen to the biomass, so that MPs could be removed when a supernatant layer is
159 formed.

# 160 **5.3. Sedimentation**

## 161 5.3.1. Importance of Coagulation-Flocculation

162 Coagulation-flocculation is a chemical water treatment process typically applied before a physical 163 separation (usually sedimentation or filtration) in order to improve its performance. Coagulation 164 consists in the destabilization of colloidal particles, whereas flocculation is the agglomeration of 165 these destabilized particles into microfloc and then into bulky floccules by the addition of 166 chemical reagents (coagulants and flocculants) (Baptista et al., 2015; Bratby, 2016; Lee et al., 167 2012; Ma et al., 2018; Te et al., 2016). Some factors, mainly pH and concentration of chemical 168 reagents, are determining for optimising the coagulation-flocculation process.

169 The use of aluminium and iron salts, such as  $AlCl_3 \cdot 6H_2O$  and  $FeCl_3 \cdot 6H_2O$ , as coagulants is very 170 common in water treatment to eliminate microplastics. The first offers better performance but 171 removing high quantities of MPs in the wastewategr stream implies using high dosages of 172 coagulants and if  $AlCl_3 \cdot 6H_2O$  is employed this can produce concentrations of Al-salts in water 173 that could be harmful for human health (Li et al., 2020c).

174 The coagulation process has been studied in three WTTPs in South Korea using Al-based reagents 175 as a coagulant to remove MPs, achieving efficiencies between 47 and 82% (Hidayaturrahman and 176 Lee, 2019). Electrocoagulation is an innovative technology that involves an electrochemical 177 reaction by liberating metals ions into water which originates flocs via electrolysis. Perren et al. 178 (2018) have evaluated this technology by using artificial wastewater containing polyethylene 179 microbeads of different concentrations. The wastewater was tested in a 1 L stirred-tank batch 180 reactor and the effect of pH, NaCl concentration and density on removal efficiency were studied. 181 Removal efficiencies higher than 90% were observed in all experiments and the optimum value 182 (99%) was found at pH 7.5, indicating that electrocoagulation is an effective method for removing 183 MPs from wastewater streams.

184 Coagulation is also commonly applied to drinking water. For example, several advanced drinking
185 water treatment plants (ADWTP) in China have recently been analysed by Wang et al. (2020) and

a coagulation-sedimentation process allowed a reduction of 40-55% in MPs in treated water in
comparison with raw water. Pivokonsky et al. (2018) have studied the coagulation-flocculation
process, together with others, in three drinking water treatment plants (DWTPs) in the Czech
Republic and reported that it was possible to remove between 70 and 80% of microplastics.
Additionally, Katrivesis et al. (2019) found that when a coagulation-flocculation process was
applied in the production of potable water, the best MPs removal efficiencies were achieved in
the case of microplastic fibres (51-61%) and polyethylene terephthalate (PET) (59-69%).

193 Ma et al. (2019) reported that small particles of polyethylene (PE) (d < 0.5 mm) have the best 194 removal efficiencies (61%) when using Al-based coagulants in comparison with Fe-based ones 195 in ultrafiltration processes. On the contrary, large particles of PE (2 mm < d < 5 mm) reach only 196 18% of removal efficiency after the subsequent ultrafiltration.

197 Recent work (Cunha et al., 2019) has evaluated the interactions of microplastics and exopolymer 198 substances (EPS) excreted by marine/freshwater microalgae. Cultivated EPS were observed by 199 Scanning Electron Microscope (SEM) and it was found that the exopolymers formed a dense, 200 thick and viscous mesh. This leads to microplastics aggregation and adhesion to the surface of 201 exopolymers, generating hetero-aggregates, i.e., EPS + MPs. Even microplastics smaller than 106 202 µm can adhere to EPS.

203 Thus, coagulation-flocculation is an interesting technology for microplastic removal from water.

Further investigations should be carried out to widen the application of this process in wastewater treatment plants with the aim of reducing the release of MPs, especially fibre particles, to the environment (Ngo et al., 2019; Novotna et al., 2019; Wang et al., 2020).

207 5.3.2. Density separation

This method is based on density separation by flotation, which requires the use of a saturated saline solution (a brine solution). It is a physical process and can be widely applied to samples obtained from water and sediments. Microplastics float on the surface of the solution and are isolated by filtration on screens, meshes or filter papers. A large number of saline solutions can be used, such as sodium chloride (NaCl;  $d = 1.2 \text{ g} \cdot \text{mL}^{-1}$ ), zinc chloride (ZnCl<sub>2</sub>;  $d = 1.5 \cdot 1.7 \text{ g} \cdot \text{mL}^{-1}$ ), sodium iodide (NaI;  $d = 1.6 \cdot 1.8 \text{ g} \cdot \text{mL}^{-1}$ ), calcium chloride (CaCl<sub>2</sub>;  $d = 1.5 \text{ g} \cdot \text{mL}^{-1}$ ) and sodium polytungstate (H<sub>2</sub>Na<sub>6</sub>O<sub>40</sub>W<sub>12</sub>;  $d = 1.4 \text{ g} \cdot \text{mL}^{-1}$ ). In general, NaCl and ZnCl<sub>2</sub> solutions are the most commonly employed (Picó et al., 2019; Ruggero et al., 2020).

217 Sodium chloride solution is cheap and non-toxic to the environment, and is mainly employed for 218 the extraction of microplastics from surface waters (freshwater and seas). Sometimes, it is also 219 used for wastewater samples from WWTPs. Its major drawback is that it does not allow the 220 extraction of some polymers, namely, polyvinyl chloride (PVC) and polyethylene terephthalate 221 (PET) due to their high densities (between 1.14-1.56 and 1.32-1.41  $g \cdot mL^{-1}$ , respectively) (Alvim 222 et al., 2020; Sun et al., 2019). So, in that case, it is necessary to use denser saturated solutions, such as sodium iodide or zinc chloride, whose main disadvantages are high cost and toxicity. 223 224 Comparatively, ZnCl<sub>2</sub> solution shows better performance than NaI, since it has a lower cost and 225 it can be reused up to five times without losing efficiency in MPs extraction (above 95%). 226 Obviously, this solution should be filtered through a micron pore size filter to be reused 227 (Rodrigues et al., 2020).

Sludge or sediments accumulate MPs by settling, so they contain a certain amount of microplastics that, in general, have higher density than water. For this reason, solutions with higher density (ZnCl<sub>2</sub> or NaI) are needed to extract MPs from sludge or sediments (Picó et al., 2019; Rodrigues et al., 2018).

Microplastics float on the saline solution, so that they can be skimmed and filtered, being retained in the filter. The filter materials most commonly used are nitrocellulose, polycarbonate, glass microfiber and aluminium oxide (Alvim et al., 2020). The filter must satisfy some specific requirements such as water resistance, a pore size that allows water to pass through and with minimal spectral interference to avoid errors during the subsequent visualization and characterization of microplastics. In this sense, the best materials are glass microfiber and aluminium oxide, because they exhibit great transparency in the infrared spectrum (Xu et al.,2019b).

## 240 *5.3.3. Elutriation*

241 The elutriation system is another methodology that has been designed at lab scale to separate 242 heavier particles from lighter ones based on their sedimentation rate, when they are suspended in 243 an upward stream flow (often a liquid). This process depends on the size, density and shape of 244 the particles and is generally used to separate microplastics from sediments. A stream of liquid, 245 usually water, is injected on the bottom of the elutriation column, whose size is usually between 246 147-186 cm in height and 106-150 mm in width. Particles go upwards in the column and at the 247 top there is the storage and filtration device, which consists of several meshes where microplastics 248 are retained. Optimised conditions allow the separation of MPs from the rest of the sediments. Its 249 main advantages include low cost, low processing time (1 hour per sample) and high efficiency 250 for large quantities of sediments, which makes it possible to process representative samples (Prata 251 et al., 2019a).

252 The first elutriation system to separate plastic particles was developed by Claessens et al. (2013) 253 (Figure 3B). Three parts can be distinguished in this system, the elutriation column, the pump and 254 the storage and filtration device. In this first design, the column has a size of 147 cm in height and 255 15 cm in width. The sediment sample is introduced at the top of the column where a 1 mm sieve 256 is fitted to avoid the entry of large particles. At the bottom of the column there are two screens: 257 the 35 µm mesh has the function of a sample holder and is supported on a 1 mm mesh. In addition, 258 under the screens are deposited three air-stones, whose main role is to homogenise the distribution 259 of air bubbles generated by air pumping, improving aeration and recovery efficiency of MPs. It 260 is suitable for 500 mL of sample and the mixture of air and water flows favours the separation of 261 lighter particles from heavier ones. The optimal conditions of extraction are achieved by 262 employing a flux of 300 L/h of water for 15 min. Particles go upwards and at the top of the column there is a sieve of 35 µm to retain MPs. Subsequently, solids retained in sieves are washed out 263

and separated with a saline solution (NaI;  $d = 1.6 \text{ g} \cdot \text{mL}^{-1}$ ) based on a density separation by flotation. The MPs removal efficiency of the process is 93-98%.

266 Later, Zhu (2015) developed a new elutriation system based on the Claessen device, with the aim 267 of obtaining the maximum recovery efficiency of microplastics by modifying water flow and 268 column diameter. In this case, the column is 50 cm in height and 5.06 to 10.16 cm in width and it 269 contains an air-stone inside. At the bottom a water flow is injected and at the top there is a 3 mm 270 sieve. To analyse the performance of this device, the authors employed as a control sample a 271 mixture of 500 mL of sand and 50 pieces of plastic 5x5 mm in size. They found that the lower the 272 water flow, the fewer MPs were recovered. In addition, these authors reported that the smaller the 273 diameter of the MPs, the greater was the recovery efficiency. The optimal conditions were 385 274 L/h and 5.06 cm in column width, achieving in 10 minutes a recovery of MPs of 50%. In comparison with Claessen's device, the maximum recovery is much lower, but it should be 275 276 considered that in this case, it is not necessary to employ NaI or NaCl solutions that could be toxic 277 to the environment. Moreover, although its performance should be improved, Zhu's device 278 showed great potential for microplastic pollution clean-up on beaches.

279 A new designed that involved further improvements was developed by Kedzierski et al. (2016). 280 Four parts can be distinguished in this system, the storage and filtration device, the injection and flow control system, the elutriation column and the water temperature control system. In this case, 281 282 the column has a height of 186 cm and 106 mm in width. It is a closed circuit to avoid wasting 283 water and it has a flowmeter and a thermostat to regulate the water temperature (20°C) and to 284 minimise dynamic fluctuations of particles. In addition, several hundred grams of sediments can 285 be processed. At the top of the column there are two meshes of 63 and 32  $\mu$ m. These authors used 286 this device to study the recovery efficiency of microplastics when water flow is varied. They 287 found that 92% of PVC can be recovered when water flow is 0.013 m·s<sup>-1</sup> and if flow increases to  $0.019 \text{ m} \cdot \text{s}^{-1}$  the recovery efficiency reached 97%, but the amount of impurities (sand) is increased 288 289 to 9%. In later work, in-depth studies to optimise this elutriation system were carried out 290 (Kedzierski et al., 2017 and Kedzierski et al., 2018).

Finally, it should be remarked that the major removal of microplastics during wastewater treatment in WWTPs is achieved precisely by sedimentation processes. Specifically, during the grit and grease removal and the primary and secondary settling, it being possible in some cases to eliminate up to 98% of MPs (Habib et al., 2020; Hidayaturrahman and Lee, 2019; Prata, 2018b).

295 5.3.4. Combined sedimentation processes

# 296 Munich Plastic Sediment Separator (MPSS)

297 A particular elutriation system that involves a combination of sedimentation and flotation 298 processes is the Munich Plastic Sediment Separator (MPSS) (Figure 3C). Three different parts 299 can be distinguished, the sediment container with a rotor, the conical standpipe in which 300 microplastics float and the dividing chamber with ball valve and filter holder to retain MPs. Using the bottom valve, a solution of zinc chloride ( $d = 1.6-1.7 \text{ g} \cdot \text{mL}^{-1}$ ) is injected and the density is 301 302 adjusted with the aerometer. While the rotor is stirring, solids are slowly added at the top of the 303 device to carry out the first separation, in which MPs float and solids settle. The mixture is stirred 304 for 15 minutes and then it is allowed to rest for 1-2 hours. After that, the dividing chamber is 305 placed on the device and using the bottom valve, more solution is introduced, allowing the MPs 306 to rise to the open ball valve at the top of device. The valve is closed, and 68 mL of solution and 307 MPs are retained inside. The bottom valve is opened to drain all the liquid from the standpipe and 308 sediment container and finally, the chamber is rotated to filter the sample through a 0.3 µm mesh 309 by means of a vacuum pump (Imhof et al., 2012). This system is suitable for samples of 5-6 kg. 310 For natural marine sediments, the extraction efficiency by MPSS is 100% for microplastics in 311 size 1-5 mm and 95.5% for MPs smaller than 1 mm.

312 Zobkov and Esiukova (2017) evaluated the MPSS device using a 50/50% mix of ZnCl<sub>2</sub> and CaCl<sub>2</sub>

solution  $d = 1.48 \text{ g} \cdot \text{mL}^{-1}$ ), but in comparison to the data obtained for sediments the MPs extraction

- 314 was much lower, between 13-39%.
- 315 Sediment-Microplastic Isolation (SMI)

316 The Sediment-Microplastic Isolation device (SMI) is a small and portable MPs extraction unit 317 (130 mm in width, 130 mm in diameter, 380 mm in height and weighing 1.5 kg) and is represented 318 in Figure 3D. It has been designed to isolate microplastics from sediments of environmental 319 samples (Coppock et al., 2017; Nel et al., 2019) and is based on the Munich Plastic Sediment 320 Separator (MPSS). Manufactured in PVC, it consists of a two-pipe system connected by a ball 321 valve fixed to a plate for stability. A solution of zinc chloride (around 700 mL) is poured inside 322 the device and after that the sample (30-50 g of sediments) is added. The sample and ZnCl<sub>2</sub> 323 solution in the SMI unit are mixed by a magnetic stirrer and after 10 minutes the mixture is 324 allowed to settle. Sediments pass to the lower pipe and the supernatant, which contains the MPs, 325 floats in the upper pipe. Then the valve is closed, and after that the content of the upper pipe is 326 filtered on a micron pore size mesh to recover the microplastics. It can be applied in the field and 327 laboratory and its main advantages are the rapid, simple and efficient extraction of microplastics, 328 but it can only be used for small amounts of sample.

329 **5.4. Other physical processes** 

## 330 5.4.1. Pressurized fluid extraction

331 Pressurized fluid extraction (PFE) has emerged as an alternative technique for separating volatile 332 organic compounds from solid materials. PFE uses solvents at supercritical pressure and 333 temperature conditions and the process depends on factors such as temperature, pressure, flow 334 rate, extraction time and different matrix parameters (dispersants, solvents, etc.). Different PFE 335 applications have been developed for the extraction of organic pollutants, bioactive compounds 336 from seaweed or microalgae, antioxidants, antibiotics and other pharmaceutical compounds 337 (Alvarez-Rivera et al., 2020; Turner and Waldebäck, 2013). In the search for alternatives to obtain 338 MPs in high purity from oils, sediments and wastes, PFE has been proposed as a possible option. 339 The sample is pumped and enters the furnace, in such a way that by varying the solvent, 340 temperature and pressure conditions, the process can allow the extraction and separation of partially emulsified microplastics. Additionally, different plastics can be stored in different 341 342 collectors, depending on their chemical compositions. Fuller and Gautam (2016) obtained high removal efficiencies for different microplastics, i.e., HDPE (87%), PP (84%), PVC (94%), PS
(90%), PET (89%), whereas Dierkes et al. (2019) achieved removal efficiencies for PE (87%) and
PP (83%). So PFE could be an interesting alternative for recovering MPs because it implies
simplicity, low cost, short processing time and data uniformity, while its main drawback is that it
is only accurate for small samples (10-20 mg).

348 5.4.2. Electrostatic separator

349 Recently, a new approach for microplastics separation in samples based on the electrostatic 350 behaviour of these particles has been developed. Felsing et al. (2018) used a hamos KWS type 351 separator to isolate plastics from different samples, in particular, from quartz sand, suspended 352 particles and sediments from freshwater and beach sand. It begins by drying the sample, which is 353 essential to sift it. Then, the sample is poured into a rotating metal drum and transported to a 354 corona electrode, where each particle is electrostatically charged. Because of the conductive 355 capacity of certain sediments and particles, they can be separated from MPs, which are not 356 conductive. Materials with higher conductivity are discharged more quickly than non-conductive 357 materials so that the rotational movement of drum separates the particles and sends them to 358 different collectors. This system has the capacity to process several tonnes of sample per hour.

## 359 5.4.3. Magnetic extraction

360 Grbic et al. (2019) developed a method that magnetically extracts microplastics, taking advantage 361 of their hydrophobic properties. These authors synthesized hydrophobic iron nanoparticles 362 capable of binding to MPs, thus allowing their recovery by means of the magnetization process. 363 It has been applied to seawater samples and was able to extract 92% of polyethylene and 364 polystyrene particles whose size was between 10 and 20 µm. In addition, several microplastics 365 (polyethylene, polystyrene, polyurethane, polyethylene terephthalate, polyvinyl chloride and 366 polypropylene) with a size greater than 1 mm were also recovered from aquatic samples with a 367 removal efficiency of 93%, although the efficiencies were rather lower (78-84%) in microplastics 368 with an average size of between 200 µm and 1 mm. So, magnetic extraction can be widely applied 369 to recover MPs with different sizes, densities and chemical compositions.

#### 370 5.5. Bioremediation

371 Bioremediation is another approach to eliminating microplastics that is being given increasing 372 attention. Biodegradation of MPs using bacteria, fungi and algae has been recently investigated 373 (Shahnawaz et al., 2019; Wilkes and Aristilde, 2017). All of these organisms have in common the 374 slowness of the degradation process, so, today, employing them in WWTPs to remove MPs from 375 wastewater and sludge is still unrealistic due to the long time period that the process would need 376 (Caruso, 2015; Paço et al., 2017; Wu et al., 2017). For example, polyethylene succinate (PES), 377 which is a biopolymer, can be degraded at a rate of 1.65 mg per day by the secretion of enzymes 378 by the strain Pseudomona sp. AKS2 (Tribedi et al., 2012). In order to extend this study, these 379 authors used the same strain for low-density polyethylene (LDPE) degradation, finding that 380 *Pseudomona* can degrade  $5 \pm 1\%$  of LDPE films at 30°C in 45 days. This degradation can be increased up to  $14 \pm 1\%$  by adding mineral oil to the growth medium (Tribedi and Sil, 2013). 381 382 Another interesting example is *Idonella sakainensis 201-F6*, which is capable of completely 383 degrading films of 6 mm in diameter of one of the most widely manufactured plastics worldwide, 384 namely, polyethylene terephthalate (PET), in 6 weeks at 30°C. This means a degradation rate of  $0.13 \text{ mg} \cdot \text{cm}^{-2} \cdot \text{day}^{-1}$  (Yoshida et al., 2016). 385

386 Eukaryotic species have been the focus of much less research, despite the fact that they are able 387 to accumulate microplastics and later expel them. The problem with eukaryotes is that they are 388 not able to degrade MPs. For example, Graham and Thompson (2009) found that several sea 389 cucumbers can selectively ingest a large number of PCB-contaminated microplastics (93-149 390 particles for an individual). PCBs are accumulated in lipid-rich tissues and passed along to 391 predators, including humans. Thus, this proved that MPs ingestion may be a risk for land-dwelling 392 and pelagic vertebrates, but also for other marine communities like benthic invertebrates. On the 393 other hand, higher plants offer the advantage that there is no evidence of their being damaged by 394 MPs, so microalgae or macrophytes could be a good option for the removal of microplastics from 395 the environment (Shahnawaz et al., 2019). In addition, recent work has reported bioremediation 396 as a promising strategy for removing MPs from WWTPs, employing for example annelids,

echinoderms or seagrasses, although further research on this topic should be carried out (Masiáet al., 2020).

399 6. Global framework and alternatives

#### 400 **6.1. Policy guidelines**

401 The global production of plastic was 359 million tonnes in 2018 and this amount increases each 402 year. The World Economic Forum (WEF, 2016) estimated that plastic production will continue 403 increasing at an annual rate of 3.8% until 2030, and after that at 3.5% until 2050. Some 404 calculations estimated that the global production of plastics would reach between 1124 and 1900 405 million tonnes in 2050. China is the largest worldwide plastic manufacturer (51%), followed by 406 North America (18%) and Europe (17%). The most widely produced plastics in the world are 407 polyethylene (PE, 36%), polypropylene (PP, 21%) and polyvinyl chloride (PVC, 12%), followed 408 by polyethylene terephthalate (PET), polyurethane (PUR) and polystyrene (PS) (less than 10% 409 each) (Geyer et al., 2017). Plastic waste management can be carried out in different ways, i.e., 410 recycling, incineration, landfill disposal and others (Rhodes, 2019; Shen et al., 2020). Considering 411 post-consumer plastic waste generation in Europe, 32.5% is recycled, 42.6% is employed for 412 obtaining energy and 24.9% is disposed of in landfill (PlasticsEurope, 2019).

413 Globally, around 80% of plastics are deposited in landfills or end up in the oceans (4-12 million 414 tonnes), contributing to environmental pollution (Brooks et al., 2018). Recycling or reusing 415 plastics is essential to prevent the dispersion of these wastes in the environment, but also to 416 achieve a circular economy of plastic materials. Although since 2006 the amount of plastic wastes 417 that are recycled has doubled in Europe (PlasticsEurope, 2019), globally, only 9% of plastics are 418 recycled, and this percentage varies substantially depending on the country. For example, 7% in 419 India, less than 10% in United States, 20% in France, 25% in China and 50% in Germany, Spain 420 and Sweden (Ogunola et al., 2018; PlasticsEurope, 2019).

In Europe, plastic demand by sectors is as follows, 39.9% for packaging, 19.8% for building and
construction, 9.9% for the automotive industry, 6.2% for the electrical and electronic sector, 4.1%

423 for household, leisure and sport activities, 3.4% for agriculture and 16.7% for others (mechanical 424 engineering, furniture, medical sector, etc.). Evidently, packaging is the sector that consumes 425 most plastic, generally employing low-density polyethylene (LDPE), high-density polyethylene 426 (HDPE), polypropylene (PP) and polyethylene terephthalate (PET) (PlasticsEurope, 2019). In 427 addition, it is estimated that plastics packaging production will double by 2030 and quadruple by 428 2050, because most products are packaged in this material. The main role of packaging is 429 prevention, avoiding the risk of damage and contamination of products before consumption. Regarding plastic packaging, globally, 14% is recycled, 14% is incinerated, 40% is deposited in 430 431 landfills and 32% is released to the environment. So, plastic packaging is a real concern because 432 it is manufactured for single use, so it is ubiquitous in trash, and in addition, its recycling is 433 difficult and implies high costs.

434 Plastics deposited in landfills (packaging materials being the plastic waste most commonly 435 deposited) can be degraded by chemical, biological or physical processes. For example, it is well-436 known that UV irradiation can degrade plastic to small particles, including microplastics. MPs 437 can be airborne or even be responsible for greenhouse gas (GHG) emissions. Certainly, MPs can 438 become embedded in soil aggregates, modifying soil structure, which can increase GHG release 439 because many processes in soil are highly sensitive to soil structure (Ren et al., 2020). In some 440 cases, if landfills do not meet the requirements in terms of protection and security, plastics could 441 cause environmental damage due to possible leaks or spills. Plastic and electronic wastes are often open burned, which releases a variety of contaminants to the atmosphere and to the soil (Gullett 442 443 et al., 2007). Plastics and microplastics occurrence can be accompanied by the presence of toxic 444 contaminants, since, as was mentioned above, they have the capacity to adsorb and concentrate 445 different pollutants (Al-Odaini et al., 2015). These contaminating species may be degraded, together with the MPs to which they adhere, thus contributing to the emission of toxic gases to 446 447 the atmosphere. In addition, if security measures in the landfill are not sufficiently effective, these 448 toxic contaminants could be responsible for environmental risks due to possible illegal waste dumping (Hale et al., 2020). Therefore, landfill disposal must be the last option in plastic 449

450 management, due to its environmental impact, high pollution risks, and the land requirements and451 the loss of resources it implies (Liu et al., 2018).

452 Incineration is a useful way to reduce large-scale plastic pollution and, simultaneously, to obtain 453 energy from wastes. Nevertheless, considering that each ton of plastic waste contains around 79% 454 of carbon, the emission of carbon dioxide to the atmosphere is an important drawback of this 455 management alternative (Prata et al., 2019b; Shen et al., 2020). In this sense, Hamilton et al. 456 (2019) estimated that each ton of plastic packaging waste incinerated emits 2.9 tonnes of CO<sub>2</sub>, 457 but if the power generated and energy recovered by the burning process is considered, it is 458 calculated that net GHG gases can be reduced to 0.9 tons of CO<sub>2</sub>. In 2015, plastic packaging 459 wastes generated 16 million tonnes of GHG emission and, given the tendency of packaging 460 production to grow, this will lead to 84 and 309 million of tonnes of GHG emission in 2030 and 461 2050, respectively (Shen et al., 2020).

Plastic marine litter harms activities such as tourism, fishery and sailing. It is estimated that 80-85% of marine litter in the oceans are plastics and, in particular, a high percentage of them (70%) corresponds to single-use plastic. The environmental impacts of plastic wastes are increasing each year. Nowadays, plastic residues can be found in many marine species (turtles, seals, birds and several species of fish), entering the food chain and becoming a potential risk to human health. For these reasons, some policy guidelines have been introduced to tackle plastic pollution (Table 3).

469

# 470 Table 3. An overview of main strategies of European Commission to improve plastic waste management.

Year	Directive	Aim of legislative procedure	Measures*
2002	Directive 2002/96/EC	Prevention of waste electrical and electronic equipment	<b>Plastic containing brominated flame-retardant materials</b> must be removed and selectively collected from electrical and electronic machines
2004	<b>Directive 2004/12/EC</b> , amending Directive 94/62/EC	Preventing and minimising the environmental impacts of packaging waste	Recycling at least 22.5% of plastic by 2008
2008	Directive 2008/98/EC	Waste and repealing certain Directives (waste management)	Obligation to collect waste separately (at least paper, metal, plastic and glass) by 2015
			Recycling 50% of paper, metal, plastic and glass by 2020
2015	<b>Directive (EU) 2015/720,</b> amending Directive 94/62/EC	Reducing the consumption of lightweight plastic carrier bags	Reducing and levying charges on plastic bags by 2019
			Maximum annual consumption of 90 lightweight plastic bags per person by 2020
			Maximum annual consumption of 40 plastic bags per person by 2025
			Recycling 50% of plastic bags by 2020 and 75% by 2025
	Directive 2018/850,	Landfilling wastes and packaging management	Recycling at least 65% of packaging waste by 31 December 2025
2018	amending Directive 2008/98/EC		Recycling at least 50% of plastic waste by 31 December 2025
			Recycling at least 70% of packaging waste by 31 December 2030
	<b>Directive 2018/852</b> , amending Directive 94/62/EC		Recycle at least 55% of plastic waste by 31 December 2030
2019	Directive (EU) 2019/904	Reducing the impact of certain plastic products on environment	Banning single-use plastic by 2021
			Recycling 15% of fishing gear by 2025
			Recycling 50% of cigarette filters by 2025 and 80% by 2030
			Collecting separately plastic bottles 77% by 2025 and 90% by 2029
			Recycling 25% of PET bottles by 2025 and 30% by 2030
2019	TA/2019/0071	<b>PROPOSAL</b> of limit values of MPs for treated water and sewage sludge	Address MPs performance in WWTPs, i.e., pollution in treated water and in sewage sludge (applied to soils)

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\*The percentages are based on weight, with respect to total amount of wastes generated.

In 2015, the EU published Directive (EU) 2015/720 that restricted and regulated the use of plastic
bags. This document included the use of plastic bags of less than 50 μm in thickness and also
required charges to be levied on light plastic bags at points of sale. The directive aims at the
reduction of consumption of light plastic bags to a maximum of 90 per person a year by 31
December 2019 and of 40 by 31 December 2025. The target is to achieve the recycling of 50% of
plastic wastes by 2020 and of 75% by 2025.

478 In 2019, the European Commission adopted some additional arrangements to reduce plastic 479 wastes (Directive (EU) 2019/904). One of the main strategies consisted in banning throwaway 480 plastics (single-use plastic cutlery, cotton buds, straws and stirrers) by 2021. The main objective 481 of this initiative is the prevention and reduction of plastic marine litter. Other strategies proposed 482 are that at least 50% of lost or abandoned fishing gear containing plastic is collected per year, with a recycling target of at least 15% by 2025 (fishing gear represents 27% of waste found on 483 484 European beaches) and cigarette filters containing plastic would have to be reduced by 50% by 485 2025 and by 80% by 2030. Countries must apply levy systems that cover the costs of cleaning the 486 wastes such as tobacco filters and fishing gear. Additionally, measures to reduce the consumption 487 of plastic food and drink containers should be introduced. Member states will have to achieve a 488 90% collection target for plastic bottles by 2029, and plastic bottles will have to contain at least 489 25% of recycled content by 2025 and 30% by 2030. The European Commission considers that 490 the new measures will entail both environmental and economic benefits, for example: avoiding 491 the emission of 3.4 million tonnes of  $CO_2$  equivalent, avoiding environmental damage which 492 would cost 22 billion euros by 2030 and saving consumers 6.5 billion euros by 2030.

Hence, it is clear that, from a policy perspective, the best way to reduce MPs pollution seem to
be limiting plastic consumption (Shen et al., 2020). Research has shown that microplastics can
affect animal health (Carbery et al., 2018; Chang et al., 2019; Franzellitti et al. 2019) and, although
there is still no scientific evidence, MPs could also be a risk for human health. For these reasons,
some countries such as Canada, Ireland, the UK and USA have introduced specific regulations
on microplastics, such as the ban on the use of microbeads (primary MPs) in personal care and

499 cosmetic products (Lam et al., 2018; Prata, 2018b; Kentin and Kaarto, 2018). In the case of the 500 USA, the legislation that banned the addition of microbead particles in these products has been in 501 force since 2018 (The Microbead-Free Waters Act of 2015, 2015). Aware of the environmental 502 concern and following the same line, the European Chemicals Agency (ECHA) has submitted a 503 proposal to ban the addition of microbeads to personal care and cosmetic products. It is expected 504 to be ready in June 2020 to be subsequently evaluated by the European Commission. This law 505 could avoid the release of approximately 400 thousand tonnes of MPs into the environment during 506 the next 20 years (ECHA, 2019). Additionally, the European Parliament submitted a proposal 507 (TA/2019/0071) on MPs pollution in treated water and sewage sludge (European Parliament, 508 2019). If the European Commission accepts this request, the member countries would have a 509 period of two years to regulate the presence of MPs in WWTPs.

The implementation of different strategies in WWTPs to reduce the release of MPs to the environment would be a worthwhile measure to reduce the pollution associated with microplastics. In the near future, WWTPs will have to face a change in their operating systems and procedures in accordance with the restrictive measures that will probably be imposed. Educating society on reducing, recycling and reusing plastics correctly would also be key elements in reducing the environmental risks arising from MPs.

### 516 6.2. Minimising the presence of microplastics in the environment

### 517 6.2.1. Production of microplastics wastes

518 As previously discussed, wastewater treatment plants (WWTPs) are not designed to remove 519 microplastics from the wastewater stream, but they are capable of eliminating these pollutants 520 with removal efficiencies of even higher than 90%. Different studies indicate that the vast 521 majority of MPs that are removed from wastewater at different stages are concentrated in the 522 sludge. It is not possible to know exactly how many microplastics are expelled from these 523 facilities or are entrapped in sludge, but some specific studies have tried to estimate this value. 524 For example, in a WWTP in Vancouver (Canada), assuming that it received 1.76 trillion microplastics annually, it was estimated that 1.28 trillion MPs went to primary sludge, 0.36 trillion 525

to secondary sludge and 0.03 trillion were discharged into the river (Gies et al., 2018). In addition,
Edo et al. (2020) calculated that around 300 million microplastics per day were discharged into
the Henares river from a WWTP located in Madrid (Spain), although a removal efficiency of 93%
was achieved during the wastewater treatment process. In addition, it is well-known that 50% of
sewage sludge generated in North America and Europe is used in agriculture as fertilizer, which
means that annually total amounts of 63000-430000 and 44000-300000 tons of microplastics are
released to the soil, respectively (Hurley and Nizzetto, 2018).

533 Washing machines are considered an important source of microplastic released to the 534 environment, since it is estimated that, from a single garment, more than 1900 fibres are 535 discharged into the drains during laundry (Browne et al., 2011). Specifically, a study carried out 536 in Finland estimated that, in this country, the annual emission of polyester and cotton microfibres to wastewater facilities was between 154000 and 411000 kg (Sillanpää and Sainio, 2017). In 537 538 addition, 35% of MPs found in oceans are fibres whose main origin is the laundry. So, in that 539 sense, an interesting option for reducing microplastic pollution is using a bulk acoustic wave 540 (BAW) system to filtrate and remove MPs in washing machines (Akiyama et al., 2020). This 541 device achieves removal values of 99% and 95% for Nylon 6 and PET, respectively.

#### 542 6.2.2. Transformation of microplastics waste

Given the previously mentioned data regarding the millions of microplastics that arrive at wastewater treatment plants (WWTPs), it would be of great interest to develop techniques to recover MPs for their subsequent reuse or utilisation. Several strategies employed for plastic management are described below. Although specific procedures to treat recovered microplastics are yet unrealistic, these techniques could be applied to MPs in the near future.

548 There are different procedures to convert plastics into fuel (Kunwar et al., 2016; Rajmohan et al.,

549 2020). For example, the conversion of plastics to fuel can be suitable for LDPE, HDPE, PP, PET,

550 PVC and PS, allowing the recovery of energy by a co-pyrolysis process. Additionally, syngas (a

551 mix of  $H_2$  + CO), an alternative energy source, can be obtained from various types of plastics

552 (LDPE, HDPE, PS, PET, PP) by catalytic pyrolysis (Saad and Williams, 2016). Plastics can also

be used as a construction material as self-compacting concrete (Almeshal et al., 2020; Gu and
Ozbakkaloglu, 2016; Faraj et al., 2020) or in clay composites (Istrate and Chen, 2018; Velásquez
et al., 2019).

556 A program known as Operation Clean Sweep® is an international project that has been 557 implemented in North America, the United Kingdom and Europe. Its main objective is to prevent 558 plastic pollution in the marine environment. A manual of good practices has been written with the 559 aim of helping plastics industry operations managers reduce the loss of resin pellets, flakes, and 560 powder to the environment at all stages of the plastic chain, including production, handling, 561 transportation and recycling (Operation Clean Sweep, 2018). In addition, transforming 562 microplastics into reusable products would be an eco-friendly strategy, and in fact, this is an 563 alternative that is today employed for plastic wastes. As an example, Precious Plastic by Hakken 564 (2013), an open hardware plastic recycling project, relies on a series of machines and tools which 565 grind, melt, and inject recycled plastic, allowing for the creation of new products out of recycled 566 plastic on a small scale. There are also new technologies to produce textiles, ECONYL© (Econyl, 567 2016) recover nylon waste from landfills and oceans to obtain regenerated nylon that can be 568 employed in various applications, closing the loop of the circular economy. Nevertheless, it 569 should be remembered that most of these alternatives are limited to large plastic fragments and 570 have not been developed specifically for microplastics management.

## 571 6.2.3. Substitution of conventional plastics by other alternatives

572 Production of biodegradable plastics could be a real solution as an alternative to substituting 573 plastics in general. These synthetic polymers can be decomposed by the action of microorganisms 574 in the environment, producing CO<sub>2</sub> and H<sub>2</sub>O (Faris et al., 2014; Quecholac-Piña et al., 2020). A 575 biodegradable plastic must meet some requirements such as: 50% of the mass should be organic, 576 heavy metal limits should not be exceeded, up to 90% of plastic should be degraded in less than 577 6 months under soft conditions and the by-products obtained should be eco-friendly and not affect fauna and flora negatively. For example, some studies have shown alternatives that would reduce 578 579 the amount of plastic employed in food packaging using biodegradable plastics with polylactic 580 acid (PLA). In addition, biomaterials such as polyhydroxylalkanoates (PHAs), 581 polyhydroxybutyrates (PHBs), polycaprolactones (PCLs), starch and cellulose have also been 582 studied, offering interesting characteristics for packaging applications (Din et al., 2020). 583 Bioplastics, like polyhydroxyalkanoates (PHAs) can be produced from sewage sludge and, 584 although these materials cannot be employed in the food sector, they can be used, for instance, in 585 the textile industry or the agricultural sector (Liu et al., 2019b). In addition, polyester-based 586 biodegradable plastic can be broken down by several microbial species with very efficient results. 587 For example,  $poly(\varepsilon$ -caprolactone) (PCL) can be degraded by a polymer-degrading bacterium isolated from coastal water (strain TKCM 64) at a rate of  $1.39 \pm 0.09 \text{ mg} \cdot \text{cm}^{-2} \cdot \text{day}^{-1}$  (Suzuki et 588 589 al., 2018), whereas lipases (5 mg·mL<sup>-1</sup>) from *Lactobacillus plantarum* (MTCC 4461) can degrade 590 approximately 60% of PCL films in 10 days (Khan et al., 2017). Satti and Shah (2020) have 591 reported that biological degradation depends on temperature, pH, nutrients and the microorganism 592 population. In this context, to avoid long-term environmental damage, biodegradation is the best 593 option to reduce the impact of plastic wastes (Yogalakshmi and Singh, 2020).

Finally, it should be mentioned that in order to minimise the negative impacts derived from plastic pollution, different strategies would have to be considered simultaneously, not only a reduction in the use of plastics and their replacement by other alternatives such as cardboard or biodegradable polymers, but also, eco-design, environmental education, improvements in waste management and others (Ogunola et al., 2018; Prata, 2018b).

#### 599 7. Conclusions

Recent work has proved that the presence of MPs in the environment is ubiquitous, and in addition, these pollutants have potentially harmful effects, mainly through the food chain, on animals and also humans. The release of microplastics to nature is a concern of increasing interest to society, which has led to legislation aimed at reducing MPs pollution. For example, the use of microbead particles in personal care and cosmetic products has been banned in the USA since 2018, and is expected to be forbidden in Europe before the end of 2020. WWTPs are capable of removing from wastewater more than 90% of MPs, which are mainly entrapped in sludge. However, these facilities still represent an important hotspot for the emission of microplastics into aquatic ecosystems. In 2019, the European Parliament submitted a proposal to regulate MPs pollution in treated water and sewage sludge. Thus, in the coming years WWTPs are likely to face the implementation of strategies to reduce the amount of MPs in effluent and to manage the microplastics retained in sludge. Additionally, research into new technologies to revalorise the MPs recovered from different origins also represents an important challenge that must be met in order to comply with future legislation.

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