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5 **Continuous flow production of size-controllable niosomes using**  
6 **a thermostatic microreactor**

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## 21 **Abstract**

22 The new roles of vesicular systems in advanced biomedical, analytical and food science  
23 applications demand novel preparation processes designed to reach the new standards.  
24 Particle size and monodispersity have become essential properties to control. In this work, key  
25 parameters, involved in a microfluidic reactor with hydrodynamic flow focusing, were  
26 investigated in order to quantify their effects on niosomes morphology. Particular attention  
27 was given to temperature, which is both a requirement to handle non-ionic surfactants with  
28 phase transition temperature above RT, and a tailoring variable for size and monodispersity  
29 control. With this aim, niosomes with two different sorbitan esters and cholesterol as stabilizer  
30 were formulated. High resolution and conventional 3D-printing technologies were employed  
31 for the fabrication of microfluidic reactor and thermostatic systems, since this additive  
32 technology has been essential for microfluidics development in terms of cost-effective and  
33 rapid prototyping. A customised device to control temperature and facilitate visualization of  
34 the process was developed, which can be easily coupled with commercial inverted  
35 microscopes. The results demonstrated the capability of microfluidic production of niosomes  
36 within the full range of non-ionic surfactants and membrane stabilizers.

## 37 **Keywords**

38 Organic colloids, Niosomes, Size control, Hydrodynamic Flow-Focussing, Microreactor, 3D-  
39 printing

## 40 **1. Introduction**

41 A precise control over local environment during production of colloids is essential to  
42 minimise perturbations in chemical characteristics that could lead to heterogeneous  
43 populations, and then, differences in particle properties. To achieve such homogeneity and  
44 uniform properties, a strict control of particle size is necessary [1,2].

45 Nanovesicles (organic colloids) are particles formed by self-assembled amphiphilic  
46 molecules into closed bilayered structures with an inner aqueous core. Depending on the  
47 chemical nature of bilayer constituents, these particles are categorised into liposomes (lipids),  
48 niosomes (non-ionic surfactants) or polymersomes (block copolymers), as most frequently  
49 found in the literature [3,4,5].

50 Niosomes exhibit unique advantages over the other types of vesicular systems due to their  
51 inherent characteristics of non-ionic surfactants [6,7]. These advantages include; (i) better  
52 chemical and physical stability of suspensions due to the absence of oxidation-related

53 degradation, (ii) easy derivatization to introduce different functional groups for stability  
54 enhancement or bioconjugation, (iii) wide range of surfactant types available (with single or  
55 double acyl chain, with different length or saturation), (iv) high immunological tolerance, and  
56 (v) cost effectiveness. Firstly introduced in the cosmetic industry by L’Oreal [8] for dermal  
57 bioactive compounds delivery, over the last 15 years their applications have expanded to many  
58 fields. Food fortification [9], diagnostic agents [10], analytical chemistry [11], nanomaterial  
59 synthesis [12], and drug delivery [13] are just some of examples. For all of these applications, a  
60 product with specific characteristics, homogeneity and reproducibility is desired, and in  
61 particular, controlled size and monodispersity are essential.

62 Effort has been made to the production of niosomes by traditional methods with tight  
63 control over size and size distribution [14] for some specific applications [15]. For example, in  
64 our previous work [16], we have used experimental design to study the influence of variables  
65 in the ethanol injection process, in order to improve particle size tunability.

66 One of the most popular chemical families for niosome production involves sorbitan  
67 esters (commercially available as Span®). Span family members differ in terms of acyl chain  
68 length and saturation, with a big range of hydrophilic-lipophilic balance values (HLB), where  
69 HLB is an important parameter with implications in drug encapsulation efficiency and  
70 morphological characteristics of particles. This parameter is also related to the physical state at  
71 room temperature (RT), and influences the minimum temperature (together with *gel-to-liquid*  
72 transition temperature, or  $T_c$ ) that is required at the very stage of the particle formation. On  
73 the other hand, some of the compounds used in formulations with great loading capacity, low  
74 release rate and stability in solution are solid at RT. For these reasons, a higher and controlled  
75 temperature level is mandatory for this process.

76 Microfluidics technology is very promising for precise control over input variables when  
77 mixing chemical species [17]. Other advantages include low consumption of chemicals  
78 (relevant in formulation optimization), scale-up possibilities for industrial production, on-line  
79 coupling to other processes (such as purification steps), and efficient control over temperature  
80 if required [18]. Jahn et al. [19] reported for the first time the hydrodynamic flow focussing  
81 (HFF) technique (**Figure 1**) for liposomes production. Following that, other researchers have  
82 used this method to examine various liposomes formulations and for encapsulating either  
83 hydrophobic or hydrophilic molecules [20,21]. Under laminar flow conditions within the HFF  
84 configuration, a stream of lipids in organic phase is focussed between two aqueous streams in  
85 microchannels, allowing the mixing of chemical species by molecular diffusion. At the two

86 organic/aqueous interfaces, bilayers can be formed and self-assembled into liposomes once a  
87 critical concentration is reached. By controlling the flow, the extension of mixing and hence  
88 the size of liposomes, could also be controlled. However, the production of niosomes through  
89 microfluidic routes remains less explored, and limited attention has been paid to using HFF  
90 technique [22,23,24].

91 **Figure 1**

92 At present, the high temperature required for the preparation of niosomes has not been  
93 well taken into account in microfluidics routes. For example, the previous work that firstly  
94 explored microfluidics assembly of niosomes faced such temperature related challenge, thus  
95 only included Span® 20 and Span® 80 ( $T_m = 25\text{ °C}$  and  $-30\text{ °C}$ , respectively) in the study. [22]

96 Along with the wide application of continuous flow microreactors for organic colloids  
97 preparation[18] is the development of microreactor itself, including design and manufacturing  
98 of such microdevices, with simpler and more affordable production methods [25]. As a result,  
99 some traditional fabrications methods which stem from the photo-electronics field, such as  
100 photolithography [26], are being substituted by new processes that require less expensive  
101 equipment and can be performed in common labs with no need for clean rooms facilities [27].  
102 Among the techniques explored, additive manufacturing, especially 3D-printing, has emerged  
103 as a promising method for microfluidic device manufacturing [28]. The rapid development of  
104 3D-printing technology and the commercialization of desk printers have enabled researchers  
105 to explore its utility in microfluidic prototyping and manufacturing [29,30,31], that generally  
106 use low cost raw materials and can print objects with desired resolution.

107 The aim of the present work was to develop a thermostatic microreactor platform for the  
108 continuous flow production of niosomes in a size-controllable manner. The microfluidic reactor  
109 was designed with a hydrodynamic flow focusing configuration, and fabricated in order to  
110 allow visualization of the dynamic process including molecular diffusion, with the aid of an  
111 inverted microscope and a digital image acquisition system. 3D-printing technology was used  
112 for fabricating the microfluidic device (positive mould) and thermostatic system. The effect of  
113 operational parameters was investigated on the final morphological characteristic of  
114 niosomes. Niosomes were formulated with non-ionic surfactants with different transition  
115 temperatures ( $T_m$ ) with controlled temperature as a tailoring parameter to tune the size and  
116 homogeneity of particles.

117 **2. Materials and methods**

## 118 **2.1 Materials**

119 Sorbitan monostearate or Span® 60 (Sigma-Aldrich), sorbitan monolaureate or Span® 20  
120 (Sigma-Aldrich), cholesterol from lamb wool (Akros Organics), Phosphate Buffer Saline (10  
121 mM, pH 7.4) prepared from tablets according to manufacture instructions (Sigma-Aldrich),  
122 Bromoxylene blue (Sigma-Aldrich), and technical grade solvents such as ethanol absolute, 2-  
123 propanol (or isopropyl alcohol, IPA), and acetone (all from J.T. Baker, Avantor, USA) were used  
124 in this work. Ultrapure water was used for all experiments. Poly(dimethylsiloxane) monomer  
125 Sylgard® 184 or PDMS was purchased from Dow Corning Corporation (Auburn, AL, USA). Other  
126 materials used for devices fabrication are specified in the following respective sections.

## 127 **2.2 Thermostatic system fabrication**

128 Thermostatic chamber was design in Autodesk® Inventor® and 3D-printed with PLA  
129 filaments using a special printer for fused deposition modelling (Ultimaker 2+ 3D printer,  
130 Ultimaker B.V., The Netherlands). Main chamber and cap of the device were produced  
131 separately. A microscope glass slide of 50 x 70 mm (Corning® microscope slides, Sigma-Aldrich,  
132 Gillingham, UK) was sealed to the chamber with a 2-phase adhesive glue special for plastic  
133 materials, bought in a local store. A transparent piece of plastic was glued to the cap aperture  
134 with the same adhesive used with the other piece. Teflon tape was used to enhance the  
135 closure of both elements in a removable way. Holes for the inlets and outlet pipes of the  
136 microfluidic device were manually prepared with a sharp tool.

137 The previously described chamber was connected to a temperature-controllable  
138 recirculation system (F12-MC, Julabo GmbH, Germany) through the inlet, and a peristaltic  
139 pump (MasterFlex®, Cole-Parmer Instruments Company, USA) through the outlet. The plastic  
140 pipes were those from the recirculator, and connections to the chamber were made with  
141 common plastic adapters (see supplementary material).

142 External supply of the recirculator was set approximately at a flow rate of 55 % of the total  
143 volume, while peristaltic pump revolution rate was adjusted to remove water from the  
144 chamber at a rate that allowed a continuous and constant flow through it. Temperature inside  
145 the chamber was monitored with a digital temperature probe (Testo 110, Testo SE & Co.,  
146 Germany). The sensor probe was introduced into the chamber through a hole placed in one  
147 side of plastic window of the cap (see **Figure S2**).

## 148 **2.3 Microfluidic devices manufacturing and channel characterization**

149 Master mould of devices was designed in Solidworks® CAD 2016 software and 3D-printed  
150 onto VeroClear™ resin with the HR-3D printer Objet350 Connex™ (Stratasys Ltd., USA). A post-  
151 printing process was also needed. First, mould was flushed with (I) IPA, (II) deionized water,  
152 (III) acetone, and finally compressed air. Then, it was cured overnight at 60 °C, and on the  
153 following day a treatment of the inner surface was carried out with Aquapeel® (to avoid  
154 interference of the resin with PDMS curing process). Three individual moulds were printed.

155 Once the positive mould was ready, a mixture of degassed PDMS curing agent (1:10 w/w)  
156 was poured into it, and left overnight in an oven at 40 °C. For degassing the PDMS mixture, a  
157 bench centrifuge was used at 3000 rpm for 10 min. It should be noted that pouring into master  
158 mould must be done slowly to minimise bubble formation. On the following day, the replica of  
159 the mould was carefully peeled off from the mould, and inlets and outlet holes were prepared  
160 with a 1.5 mm biopsy punch with plunger (Miltex®, Fischer Scientific, UK).

161 Oxygen plasma (PVA-TePla 300 plasma cleaner, Wettenberg, Germany) treatment was  
162 applied to bond a microscope glass slide (50 x 70 mm; Corning® microscope slides, Sigma-  
163 Aldrich, Gillingham, UK) to the PDMS replica to complete the microfluidic channel. Four pieces  
164 of thermic resistant plastic ( $\varnothing$  8 mm and 3 mm height) were glued in each corner at the  
165 bottom of the glass slide, to elevate the device allowing a flow of hot water under the  
166 channels.

167 Polytetrafluoroethylene (PTFE, 0.5 mm I.D.) pipes (Cole-Parmer, UK) were inserted into the  
168 holes, and the other end was attached to a syringe needle to create a connection for  
169 introduction of the fluids from syringe pumps (NE-300, NEW ERA Pump Systems Inc., USA).  
170 Luer lock syringes (Becton, Dickinson and Company, UK) of 1, 10 or 20 mL were used  
171 depending on the selected Flow Rate Ratio (FRR), i.e. volumetric flow rate of total aqueous  
172 phase/ volumetric flow rate of organic phase.

173 The mixing channel (23 mm long) on the 3D-printed positive mould was characterized in  
174 terms of morphology, accuracy and reproducibility by mechanical profilometry (Talysurf-120L,  
175 Taylor-Hobson, United Kingdom). Three equidistance measurements were taken (2 mm across  
176 the channel, perpendicular to it), and data were processed with OriginPro 18 (OriginLab  
177 Corporation, USA) software.

178 The whole setup (microfluidic device inside the thermostatic chamber with respective inlets  
179 and outlets) was placed over the stage of an inverted microscope (IN200TAB series, AmScope,  
180 USA) with a digital imaging system to capture images (5M.P USB CCD camera, AmScope, USA)

181 supported with the software supplied by the camera manufacturer. The entire experimental  
182 setup is illustrated in **Figure S2**.

#### 183 **2.4 Niosomes production and morphological characterization**

184 Working solutions of 5 and 20 mM of Span® 60:cholesterol and Span® 20:cholesterol (1:0.5  
185 molar ratio) were prepared by dilution from a 50 mM stock solution. Ethanol absolute was  
186 used as organic solvent, since it is miscible in aqueous buffer (PBS, 10 mM pH 7.4). Aqueous  
187 and organic phases were pumped into microfluidic device once appropriate temperature was  
188 reached. Three different total flow rates ( $Q_T$ ) were studied (50, 100 and 200  $\mu\text{L}/\text{min}$ ), and  
189 aqueous:organic flow rates were adjusted to five different flow rates ratios (FRR) (5, 15, 25, 35  
190 and 50). Span® 20:cholesterol formulation was injected at 30, 40, 50 and 60 °C; while Span®60:  
191 cholesterol was only injected at 50 °C. All the combination of membrane components  
192 concentration,  $Q_T$ , FRR, and temperature was conducted by duplicate.

193 A total volume of 2.5 mL was collected from the outlet of the device for each experimental  
194 condition in a glass vial. Size (z-average or peak value, depending on the number of peaks in  
195 the size distribution) and homogeneity (PDI) of particles were measured by Dynamic Light  
196 Scattering (DLS) in a Zetasizer NANO-ZS equipment (Malvern Instruments Ltd, Malvern, UK).  
197 Samples were measured undiluted by triplicate, with the 173° backscatter detector in  
198 disposable low volume cuvettes (Malvern Instruments Ltd, Malvern, UK).

#### 199 **2.5 Mixing efficiency visualization**

200 Solvent and no-solvent diffusion by hydrodynamic flow focusing was monitored by an  
201 adaption of a previous published methodology [32]. Briefly, a change in colour of a pH  
202 indicator dye (bromoxyleneol blue) was used, since this dye exhibits a strong yellowish colour at  
203 pH below 6.0 and blue at pH above 7.6. A saturated solution of dye in absolute ethanol  
204 acidified with acetic acid was focused by PBS adjusted to pH 10.0 with 2M NaOH solution.  
205 Once focused, a change in colour of the stream from yellow blue indicated a molar fraction of  
206 aqueous phase close to one, and then, completes mixing by diffusion.

### 207 **3. Results and discussion**

208 With the microreactor platform developed, systematic characterisation and operation were  
209 conducted in terms of 3D printing outcomes and nanoproduction, as detailed below. (The  
210 performance and optimization of the thermostatic system are described in the supplementary  
211 material, **figure S1**.)

### 212 3.1. High resolution 3D-printing of master moulds for microfluidic devices fabrication

213 As a key element of the device, mixing channel morphology was characterized by  
214 mechanical profilometry onto 3D-printed positive moulds. A considerable difference in  
215 nominal dimensions between Computer Aided Design (CAD) and printed object was observed  
216 (**Table S1**). With an original squared cross sectional geometry of 100  $\mu\text{m}$  width and 100  $\mu\text{m}$   
217 height, printed features onto VeroClear<sup>®</sup> resin showed a curved morphology five times wider  
218 and approximately half of the height. At the same time, variations in width and height of the  
219 mixing channel were found between the three 3D-printed positive moulds (see **Table S1**) even  
220 following the same fabrication procedure. However, these dimensions were reasonably  
221 constant along the mixing channel length, especially for channel height.

222 A possible explanation for these variations in channel dimensions could be related to printer  
223 operational parameters. Objet350 Connex3 printer used Polyjet<sup>™</sup> inkjet-head patented  
224 technology for a layer-by-layer process based on Stereolithography [33]. The jetting head  
225 dispensed a proper amount of a photopolymer resin onto a build tray and instantly cured them  
226 with UV light. The process took place in XY-axes to create a 2D sheet (down to 16 microns  
227 thickness), and by lowering the build tray, another layer was created over the previous one.  
228 The cycle was repeated until the whole design was completed. With a resolution of 600 x 600 x  
229 1600 dpi (X-Y-Z-axes respectively) and an accuracy of 20-85 microns for features below 50 mm  
230 (up to 200 microns for full model size), the final features depended on geometry (proximity  
231 between elements), build parameters (exposure time, printing speed) and model orientation  
232 [29]. Comina et al. [29] reported the successful printing of positive moulds for microfluidics  
233 devices with elements from 50  $\mu\text{m}$  to 2 mm, however, some artefacts were described between  
234 close elements with 50  $\mu\text{m}$  in dimension differences, though working with optimized  
235 parameters. Unfortunately, no details about cross section geometry were given for these  
236 channels. Some other authors [34] have reported differences between CAD and printed  
237 designs with efforts in resin formulation optimization.

238 In our recent work [31], we found that 3D printed channels with the Objet350 Connex3  
239 printer were smoother than channels printed with a conventional desk 3D printer (Ultimaker  
240 2+). However, for the same dimensions and aspect ratio, accuracy in cross sectional shape was  
241 lower for the HR-3D printer even at large dimensions (1 mm squared channels). It suggested  
242 that further studies are needed to understand this effect with the scale and for different  
243 materials in order to inform printing parameters optimization in terms of element dimensions,  
244 geometry, and printing materials. Apart from the difference between CAD and 3D-PMs, the



245 cross sectional area of Mould 3 was similar to that previously used by Lo et al. [22], on which  
246 the selected operational parameters of the present work were based.

### 247 **3.2. Production of nanoparticles with temperature control for formulations with high $T_m$ non-** 248 **ionic surfactants**

249 The use of non-ionic surfactants for the formulation of organic colloids, especially for NVs  
250 preparation, exhibits numerous advantages [4,6]. However, a strict control of the temperature  
251 is necessary if Span®60 ( $T_m = 45\text{ °C}$ ), one of the most commonly used surfactant in niosome  
252 formulation) is involved. **Figure 2** shows its precipitation at RT in microchannels once reaching  
253 the focusing region, highlighting the significance of temperature effect.

254 In Figure 2 surfactant precipitation was observed at the focussing region and persists along  
255 the channel length when Span® 60 is used at 25 °C. However, at 50 °C a complete mix of both  
256 phases were produced without the presence of any surfactant precipitation. Moreover, the  
257 production of niosomes at this temperature conditions were observed using Transmission  
258 Electron Microscopy (TEM) and negative staining protocol.

#### 259 **Figure 2**

260 This technique has been less explored than traditional bulk preparation routes [18], and  
261 with important advantages such as better control over particle preparation and the  
262 subsequent final characteristics (size and monodispersity, i.e.). This is important for biomedical  
263 [1], food [35] and analytical chemistry [2] applications. In this regard, the influence of  
264 operational conditions over particles physical properties was tested by analysing the results of  
265 3 total flow rates ( $Q_T$ ), two different concentrations of bilayer components, for 5 different FRR.  
266 Particle size (nm) and size distribution (PDI) were measured by DLS as output variables. All the  
267 combinations were conducted at 50 °C, a temperature over surfactant  $T_m$ .

268 In general terms, smaller particles were produced as the FRR increased (**Figure 3A and 3B**)  
269 for both concentrations (5 and 20 mM), and for all the  $Q_T$  levels. At a concentration of 5 mM  
270 (Figure 3A), the particle size decreased from 278, 298 and 358 nm (when FRR = 5) to 155, 129  
271 and 143 nm (when FRR = 50), where  $Q_T = 50, 100$  and  $200\text{ }\mu\text{L}/\text{min}$ , respectively. At a  
272 concentration of 20 mM (Figure 3B), similarly, the particle size reduced from 342, 361 and 386  
273 nm (when FRR = 5) to 164, 147 and 151 nm (when FRR = 50) at the three  $Q_T$  levels of 50, 100  
274 and  $200\text{ }\mu\text{L}/\text{min}$ , respectively. Size reduction was rapidly reached with an increment in FRR  
275 from 5 to 15, and this reduction became less pronounced from FRR 15 to 50. It is important to

276 take into account that when FRR increased the total amount of bilayer components decreased,  
277 not only producing vesicle with smaller size, since particle concentration was also reduced.

278 No significant effect of different  $Q_T$  was observed, while only some differences were noticed  
279 in some particular combinations of parameters at low surfactant concentration (5 mM), as  
280 seen in Figure 3A. These observations were in accordance with previous studies [22] carried  
281 out with identical chip configuration for the production of niosomes formulated with other  
282 sorbitan esters (Span<sup>®</sup>20 and 80), and also for the production of liposomes [19,20,36,37]. At  
283 lower  $Q_T$ , also the linear velocity was lower (hence larger residence time) what can counteract  
284 the effect of the bilayer components concentration.

### 285 **Figure 3**

286 The increase in FRR, and the subsequent decrease in initial focused width ( $W_f$ ), reduced the  
287 time needed for a complete mixing between solvent and no-solvent ( $t_{mix}$ ), thus the critical  
288 concentration to induce molecules self-assembly was reached faster. This led to smaller  
289 vesicles since the total amount of bilayer components was reduced [38]. On the other hand,  
290 the reduction of solvent introduced in the mixing channel also decreased the possibility of  
291 particle fusion into bigger unities by Ostwald-ripening phenomena [20,39]. A reduced  $t_{mix}$  also  
292 led to complete mixing in limited length channels. In other cases, no diffused solvent  
293 containing amphiphilic molecules self-assembled out of the channel under entirely different  
294 conditions (outlet pipes, with no laminar flow characteristics).

295 Regarding size distribution of particles (**Figure 3C and 3D**), PDI value reduced as FRR  
296 increased from 5 to 15, (for 5 mM: from 278, 298 and 358 nm at FRR = 5 to 155, 129 and 143  
297 nm at FRR = 50; for 20 Mm: from 342, 361 and 386 nm at FRR = 5 to 164, 147 and 151 nm FRR  
298 = 50; for both concentration values are indicated for  $Q_T$  = 50, 100 and 200  $\mu\text{L}/\text{min}$   
299 respectively). and remained without significant changes at higher FRR for both concentrations.  
300 Some authors [19,20,32] reported a significantly increase in PDI with the increment of FRR for  
301 an identical chip configuration, but for liposomes production instead. However, our  
302 observation was in line with that of Bottaro et al. [32] in a “Y”-shaped device, while Joshi et al.  
303 [21] described also a reduction in PDI as FRR increase during liposome formation. No  
304 significant differences on PDI were observed for all  $Q_T$  levels applied.

305 The use of microreactors with different channel configurations, and the use of static mixing  
306 enhancers [40], could be the reason of different results among published works. Some of them  
307 have highlighted the influence of channel dimensions and configurations over mixing efficiency  
308 and particle properties [19,22,36]. The preparation of solvent mixture containing bilayer

309 precursors can also influence the extension and homogeneity of solubilisation, and in  
310 consequence, nanoprecipitation process. In the present work, ethanol was used as solvent for  
311 microfluidic-based preparation of niosomes for the first time, and this limited the possibility  
312 for comparison with other studies.

313 We have noticed that at high FRRs some transitory perturbations of the focused fluid were  
314 recorded, especially at 50  $\mu\text{L}/\text{min}$ . The focused stream exhibited a “beating pulse” like effect  
315 that was likely produced by the syringe pump due to its own pumping mechanism. These  
316 pulses created really short increments in the width of the focused fluid that introduced  
317 alteration in solvent exchange kinetics and the subsequent changes in the local concentration  
318 of bilayer precursors and solvent concentration.

319 Surprisingly, lower PDI values were obtained at 20 mM for all FRRs at the three different  $Q_T$ .  
320 Indeed, these differences were higher at 50  $\mu\text{L}/\text{min}$ . At low concentration, those mentioned  
321 instabilities can induce more pronounced local changes in bilayer precursor’s abundances, with  
322 the corresponding effect in particle monodispersity. To gain insights into these observations  
323 further studies are needed.

324 On the other hand, larger particles were obtained when a higher concentrated ethanolic  
325 solution of bilayer components was used (20 mM vs. 5 mM). This was observed at all  $Q_T$  and  
326 FRR levels (see supplementary material, Figure S4). The same observation was also reported by  
327 other authors when producing liposome using microchannels [37], and in agreement with the  
328 mechanism of vesicle formation under microfluidic flow dynamic mixing.

329 The efficiency of mixing under the assayed working conditions was studied following a  
330 published methodology [32]. With this method, mixing efficiency was measured through the  
331 change in colour of a pH indicator dye (bromoxyleneol blue), that changed from yellow (acidic  
332 ethanolic solution containing bilayer precursors) to blue (basic aqueous phase, PBS pH= 10). A  
333 shift in focused fluid colour from yellow to blue indicated that molar fraction of water into the  
334 stream was close to 1 and the subsequent molar fraction of EtOH became close to 0,  
335 evidencing a complete mixing by solvent and aqueous effluents. This change in colour was  
336 easily detected in the inverted microscope, and recorded with the digital camera. As an  
337 example, results for  $Q_T = 100 \mu\text{L}/\text{min}$  at several FRRs are shown in **Figure 4**.

338

#### Figure 4

339 Complete mixing was only reached at high values of FRR (35 and 50) for  $Q_T = 50 \mu\text{L}/\text{min}$  and  
340  $Q_T = 100 \mu\text{L}/\text{min}$ , and only at the high FRR (50) for  $Q_T = 200 \mu\text{L}/\text{min}$ . As  $Q_T$  increased, residence

341 time of the fluid inside mixing channel reduced (from 0.5 s at 50  $\mu\text{L}/\text{min}$  to 0.13 s at 200  
342  $\mu\text{L}/\text{min}$ ), preventing to stay the necessary time to reach complete mixing. Only at high FRR  
343 value,  $t_{\text{mix}}$  was short enough to be compatible with low values of residence time for our  
344 channel dimension ( $t_{\text{mix}} = 17$  ms and 8 ms for FRR = 35 and 50, respectively, predicted  
345 according to a theoretical model (**Equation 1**, [41])). In this model  $W_f$  represents the width of  
346 focused stream, where  $w$  is the channel width and  $D$  is solvent diffusion coefficient.

$$347 \quad t_{\text{mix}} \sim \frac{W_f^2}{4D} \approx \frac{w^2}{9D(1+\text{FRR})} \quad (\text{Eq. 1})$$

348 As seen in Figure 4,  $W_f$  decreased as FRR increased, and a dependence of  $W_f$  with  $Q_T$  was  
349 observed at lower FRR values (**Figure 5A**). It was also observed that a lower  $Q_T$  generated wider  
350 focused streams probably due to the lower pressure exercised by the lateral aqueous flows to  
351 the middle solvent flow, but these differences became less pronounced at higher FRRs. A  
352 similar trend was observed by Bottaro et al. [32] in an identical channels configuration, but  
353 contrary to Jahn et al. [19] who reported a non-variation in  $W_f$  with modifications in  $Q_T$ .

354 **Figure 5**

355 Moreover, an intense inverse correlation (potential) between FRR and  $W_f$  was observed at  
356 all the  $Q_T$  levels (**Table S2**). However, a strong negative correlation (linear) between particle  
357 size and  $W_f$  was observed at the two different concentrations studied. These correlations  
358 reflect that particle size is governed by focusing parameters. It is clear that niosomes size can  
359 be tuned with the selection of the appropriate FRR and  $Q_T$  values, which are key parameters  
360 for  $W_f$  and residence time.

### 361 **3.3 Production of niosomes at different temperatures to study potential tailoring effect over** 362 **particle morphology**

363 In this part of work  $Q_T$  of 100  $\mu\text{L}/\text{min}$  and 5 mM of components concentration were  
364 selected, since these have been the best operating conditions in terms of smaller particles with  
365 narrower size distributions.

366 The effect of temperature was examined in a range of 30  $^{\circ}\text{C}$  and 60  $^{\circ}\text{C}$  as another operating  
367 parameter on size-tuned niosomes formation through flow-focused based microfluidics. For  
368 this purpose, a non-ionic surfactant with low  $T_m$  was needed that allowed to test a wide range  
369 of working temperatures. Sorbitan monolaureate or Span<sup>®</sup> 20 ( $T_m = 25$   $^{\circ}\text{C}$  and HLB 8.6) was  
370 selected, another common surfactant used for niosomal formulations [42], and

371 surfactant:cholesterol molar ratio was kept in 1:0.5 as for Span® 60 in order to allow  
372 formulations comparisons.

373 **Figure 6**

374 **Figure 6** depicts the results of particle size at the same FRRs previously used for Span® 60  
375 niosomes at different working temperatures: 30, 40, 50 and 60 °C. At 30 °C, a reduction in  
376 particle size from FRR=5 to FRR=15 was observed. As FRR increased size became also larger  
377 (even higher than those particles produced at FRR = 5). This phenomenon could be related to  
378 the observation of cholesterol precipitates inside the mixing channel that were formed  
379 immediately after focusing region. The low solubility of cholesterol in water at nearly room  
380 temperature induced its precipitation as crystals. Those precipitates modified the flow  
381 properties and introduced turbulences that induced micro domains in the fluid with different  
382 concentrations of bilayer components, and particles with different morphologies. Also the  
383 depletion of cholesterol could generate different particles than those produced in their  
384 presence. These perturbations were magnified at higher FRR, since as seen in **Figure 6B** the  
385 width of focused fluid became smaller with the increment of FRR, and this stream was  
386 relatively smaller than the formed crystals (around 100 µm structures).

387 For the rest of temperatures, a similar behaviour as for Span® 60 niosomes was observed.  
388 Particles size became smaller with an inverse correlation with FRR. At higher temperatures,  
389 focused ethanol stream was wider, and these differences were reduced with the increment in  
390 FRR. Only slight differences in particle size could be detected (**Figure 6A**).

391 Regarding temperature effect some authors reported an increase in particle size as  
392 temperature increased [24] which were attributed to the bilayer expansion at higher  
393 temperature [43]. In our case, such increase in particle size was not observed. It is known that  
394 collapse pressure and surface compressional moduli decrease with temperature for all  
395 surfactants, and this implies that Span monolayers are more expanded with increments in  
396 temperature. However, as temperature increases planar bilayer precursors are less rigid,  
397 which could be easily bended to closed structures, and this effect could lead then to smaller  
398 particles [38].

399 Regarding size distribution and temperature, it was observed that the increment of  
400 temperature yielded more monodisperse particles, especially at 50 °C. Complete mixing can be  
401 reached at FRR = 35 and FRR = 50 at any temperature. Only at 50 and 60 °C PDI values  
402 remained nearly constant (after a first reduction from FRR=5 to 15) with the increment in FRR.

### 403 **3.4 Effect of surfactant acyl chain length over particles size and monodispersity**

404 Another interesting finding resulted from the comparison between niosomes formulated  
405 with different non-ionic surfactants under identical preparation conditions. In this work  
406 niosomes with sorbitan esters with different saturated acyl chain lengths (C12 and C18 for  
407 Span® 20 and 60 respectively) were prepared. As seen in **Figure 7**, shorter chains generally  
408 yielded larger niosomes. That was contrary to what would be expected; it is generally  
409 understood that shorter chains increase the curvature radius of the bilayer, according to the  
410 critical packing parameter (cpp) of the molecules [6], allowing smaller particles. However, if  
411 was taken into account the higher hydrophilic character of Span® 20 compared to Span® 60  
412 (higher HLB value) the higher hydrophilicity could enhance water soak into the inner core of  
413 the vesicle, resulting in larger vesicles size. Similar results were reported by Gutierrez et al. [44]  
414 when niosomes were prepared by mechanical agitation. Regarding niosome size distributions,  
415 no differences between both types of surfactants were observed.

416 **Figure 7**

### 417 **Conclusions**

418 Novel prototyping and additive manufacturing techniques with such as (HR)3D-printing  
419 have been applied for the fabrication of a microfluidic continuous flow reactor for  
420 hydrodynamic flow focusing at controlled temperature compatible with commercial inverted  
421 microscopes. Despite some alteration in cross sectional dimensions and morphology accuracy  
422 with respect to the original CAD design, high resolution 3D-printed positive moulds allow us to  
423 create functional microreactors for organic colloid production under different working  
424 conditions, and to study their effect on aqueous/solvent mixing efficiency through molecular  
425 diffusion, and its relationship with particles morphology.

426 This work shows that temperature is an essential parameter that must be taken into  
427 consideration when formulating niosomes with surfactants with  $T_m$  over RT. Also it can be used  
428 to modify the properties of particles (size and dispersity) produced with non-ionic surfactants  
429 with  $T_m$  above RT.

430 We have found that flow focussing at controlled temperature follows the same patterns as  
431 for RT, with the ratio between aqueous and solvent streams being the main parameter to  
432 control focused stream width and hence, mixing efficiency and kinetics. However, total flow  
433 rate only has insignificant effect when FRRs are set to low values, whilst it can influence  
434 residence time, and subsequently, mixing efficiency. In general terms, an increase in FRR yields

435 a focused stream being narrower, and then, smaller particles due to the reduction in residual  
436 solvent and the introduction of less amount of bilayer components. This reduction allows  
437 complete mixing, even at high total flow rate, resulting in the size distribution of generated  
438 particles being more homogeneous. The counterpart is that production yield is reduced, since  
439 particles are generated in a less concentrated suspension. Another variable found to be  
440 relevant is the component concentration in ethanol feeding solution, with a direct effect on  
441 particle size and monodispersity. A more concentrated solution induces an increment in  
442 particle size at any total flow rate, but surprisingly, better size distribution. Complementary,  
443 we have checked the influence of acyl chain length over particles morphology, and the  
444 versatility that introduces this parameter into the properties and functionalities of this type of  
445 biomaterial.

446 The effect of ethanol stratification due to differences in density was not taken into account,  
447 which need further investigation in for future work, in particular in its relationship with  
448 focusing temperature.

449 The findings in this works provide valuable information about microfluidics-based  
450 production of niosomes at different operational conditions, and are expected to support the  
451 expansion of this technique for the preparation of a wider range of organic colloids with  
452 important characteristics for related industries with growing interest in different application  
453 fields.

#### 454 **Disclosure**

455 The authors declare no conflicts of interest in this work.

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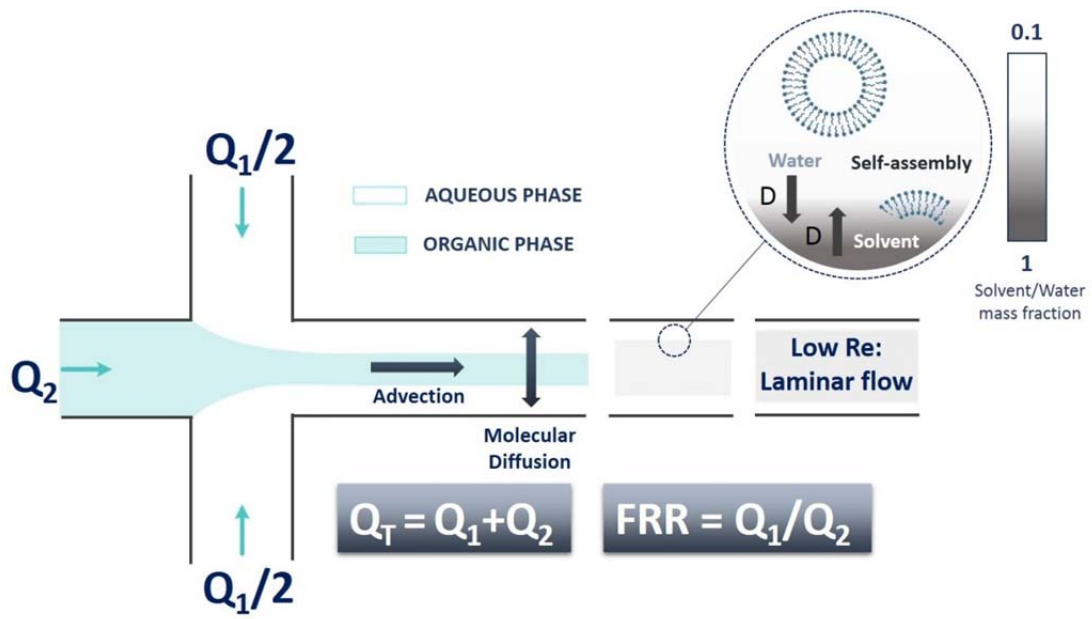


Figure 1.

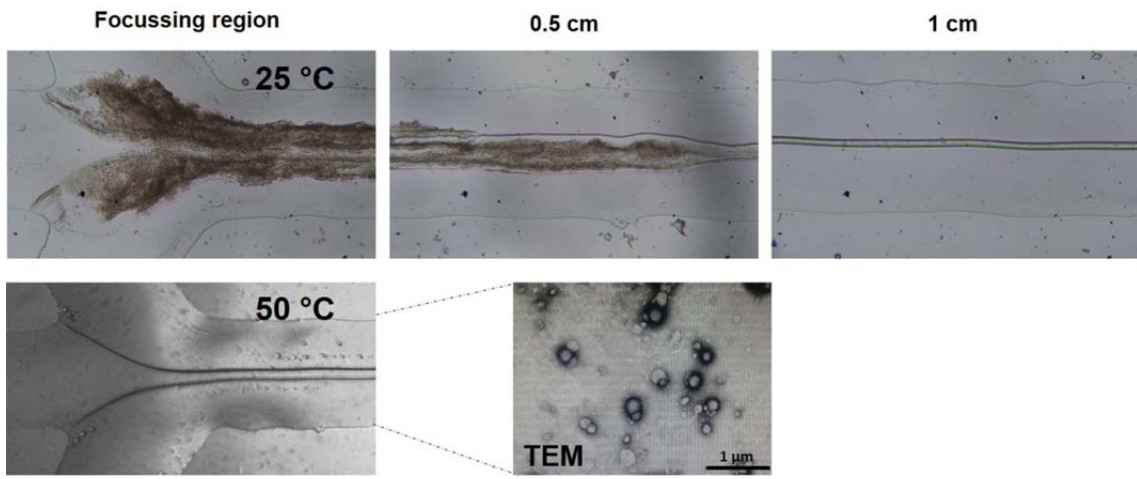


Figure 2.

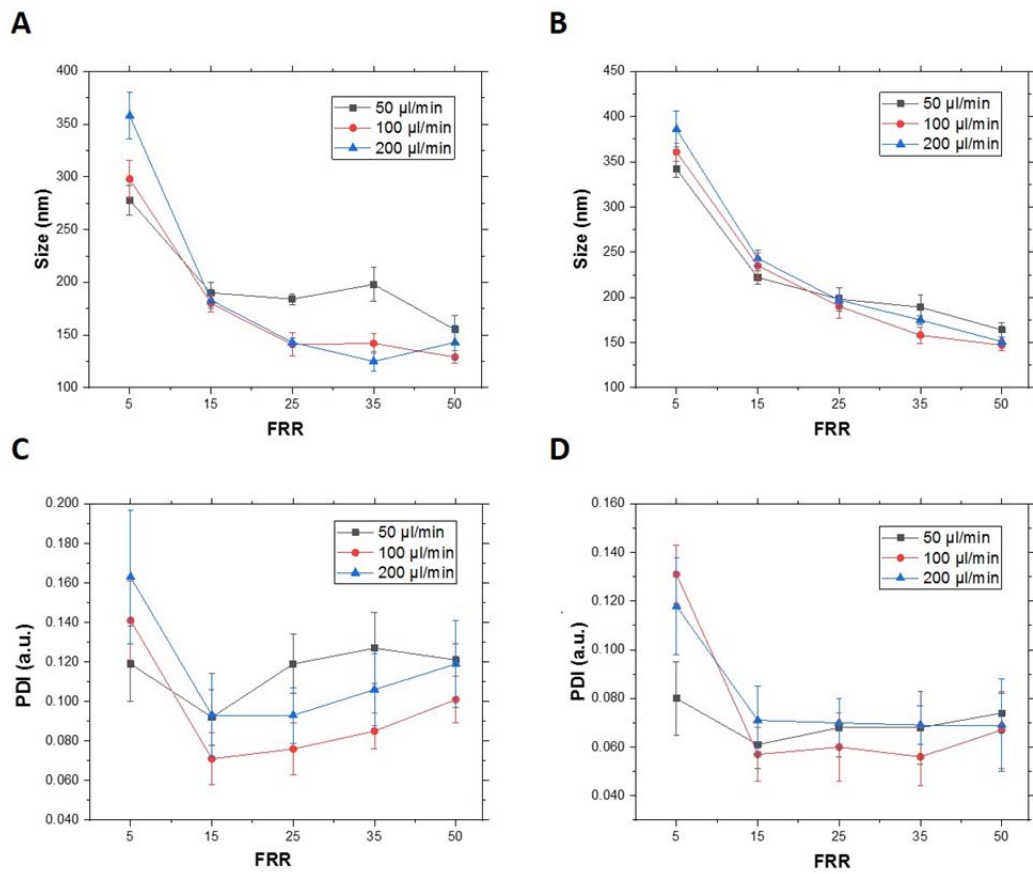


Figure 3.

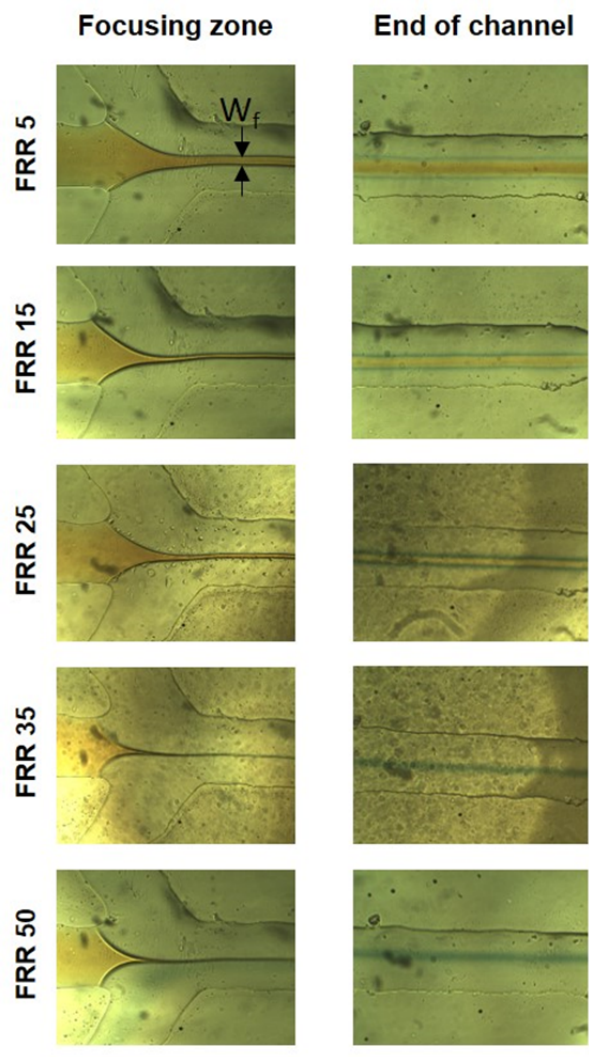
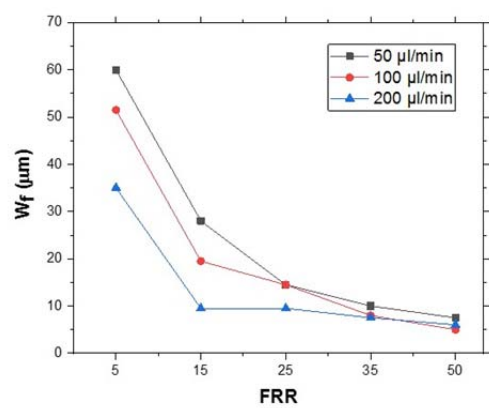
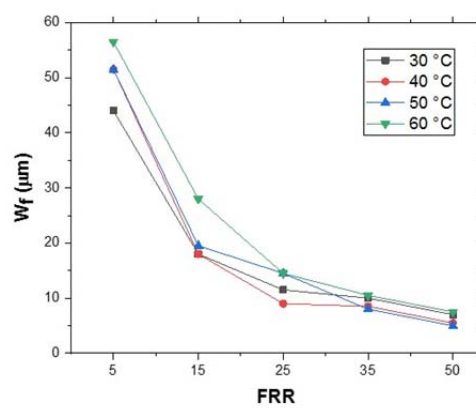
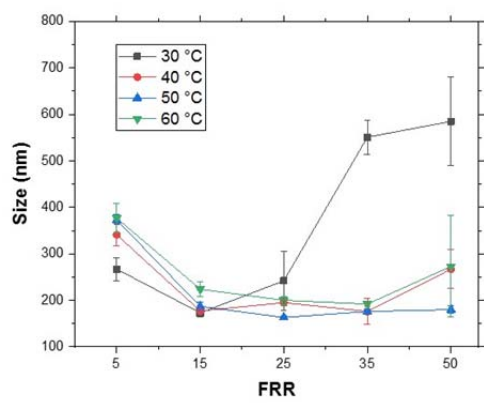
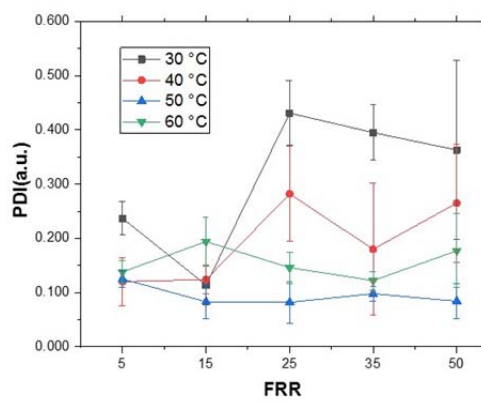


Figure 4.

**A****B****Figure 5.**



**A****B****Figure 6.**

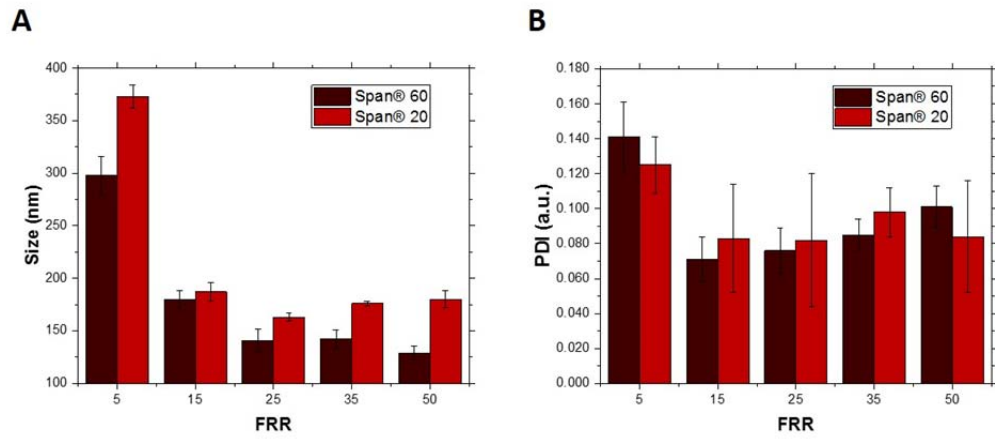


Figure 7.