

1                   **Tuning the selectivities of Mg-Al mixed oxides for ethanol**  
2                   **upgrading reactions through the presence of transition metals**

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6  
7                   **ABSTRACT**

8                   The effect of the presence of reduced Co and Ni (chosen as representative metals because  
9                   of their good activity for dehydrogenation reactions) on the catalytic performance of basic  
10                  mixed oxide (Mg-Al) for ethanol condensation is studied in this work. This effect has been  
11                  studied both in absence and in presence of hydrogen, and considering the different steps of  
12                  this complex reaction. Globally, best results were obtained with Co/Mg-Al, under reducing  
13                  atmosphere, at mild temperature (below 600 K). At these conditons, 1-butanol production  
14                  rates up to eight times higher than the obtained with Mg-Al under inert atmosphere. Co has a  
15                  marked activity in the dehydrogenation step, that prevails over its less relevant activity in  
16                  aldolization and hydrogenation reactions. This result indicates the relevant role of this first  
17                  reaction step. DRIFT spectroscopy analyses were carried out to support the experimental  
18                  results and to identify the role of hydrogen and metals on the oligomerization and permanent  
19                  adsorption processes, which can produce the deactivation of the catalyst.

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21                  **KEYWORDS:** Butanol, Cobalt, Nickel, Dehydrogenation, Hydrogenation, Aldol Condensation

## 23 1. Introduction

24 Gas-phase ethanol condensation has been intensively investigated in the last few  
25 years, because of the high potential of ethanol as bioplatform molecule [1-4]. Among  
26 the different chemicals obtained from ethanol [5-8], 1-butanol is the most valuable one,  
27 with better fuel properties than ethanol, and many uses as solvent and platform  
28 molecule. There is not agreement about the actual mechanism for 1-butanol formation.  
29 The so-called four-step mechanism (**Scheme 1**) is the most accepted one, although  
30 several authors also suggest the direct ethanol condensation or the  
31 acetaldehyde-ethanol reaction [4,9-11]. According to the four-step mechanism,  
32 acetaldehyde aldolization is usually considered as the rate-determining step since it  
33 involves two molecules, and different active sites, requiring an appropriate balance  
34 between acid and medium-strength basic sites. Different materials, mainly mixed oxides  
35 and hydroxyapatites (HPA) have been proposed as promising catalysts [4,11-12].  
36 Despite their good activity for aldolization, experimental results indicate that the  
37 difficulty in activating the  $\alpha$ -hydrogen of the ethoxides (previous ethanol  
38 dehydrogenation) strongly limits the final yields [13-15]. This effect was previously  
39 observed for the dehydrogenation of different alcohols, the use of transition metals in  
40 the reduced form (Co, Ni, Cu, Fe, Ir, etc.) being proposed for reducing the activation  
41 energy of the  $\alpha$ C-H bond scission [16,17]. In addition, reduced metals are supposed to  
42 alter the acid/base sites distribution in a lower extent than the metal oxides.

43 Under inert conditions, or in absence of any active metal for the molecular  
44 hydrogen activation, once the acetaldehyde reacts producing crotonaldehyde, the  
45 1-butanol is obtained upon two subsequent hydrogenations: terminal C=O bonds  
46 hydrogenation through the Meerwein-Ponndorf-Verley (MPV) reduction  
47 (crotonaldehyde and butanal), and hydrogenation of the unsaturated intermediates  
48 (crotonaldehyde and crotyl alcohol) by surface-mediated hydrogen transfer reaction

49 [6,9]. Under these conditions, ethanol molecules are the hydrogen source for the  
50 former hydrogenation [18], being the hydrogen released in the dehydrogenation step  
51 [15,18,19]. HPA and mixed oxides are not very active for these reactions, so the global  
52 process is still far to be optimized.

53 This work is focused on the study of the effect of supporting transition metals and  
54 including hydrogen in the feed on the performance of Mg-Al mixed oxides for ethanol  
55 gas-phase condensation. It was previously suggested that the use of reducing  
56 conditions, in addition to the expected improvement in hydrogenation steps, has a  
57 positive effect on the catalyst stability, preventing the permanent deposition of  
58 unsaturated molecules [20]. Mg-Al mixed oxide was chosen as bulk material,  
59 considering the well-known behaviour of this material for this reaction [6]. The idea of  
60 using metal-modified oxides has been previously proposed by some authors, studying  
61 the effect on the upgrading of different alcohols, such as methanol or ethanol [21-23].  
62 In this context, Co and Ni are good candidates because of their high activity for alcohol  
63 dehydrogenations [23,24]. However, most of the reported studies are performed with  
64 very high metal loadings (15-20 %), masking the original acid-basic properties of the  
65 bulk material.

66 Thus, the aim of this work is to study the role of Co and Ni as reduced nanoparticles  
67 in the promotion of the steps catalyzed by these metals, but affecting, as little as  
68 possible, the acid-basic properties of the original bulk material (Mg-Al). We propose  
69 catalysts with only 1 wt. % of metal, prepared by surface deposition. This procedure is  
70 typically used with noble metals, but not so often for transition ones. In fact, in most of  
71 the works reported, the metal is introduced into the bulk structure, modifying the  
72 original coordination lattice by substituting the original cations [25,26]. The second  
73 modification proposed in this work is to feed controlled amounts of hydrogen, in order  
74 to both, keep reduced these nanoparticles, and to improve their performance in

75 hydrogenation steps. This idea is supported by our previous studies with Au/TiO<sub>2</sub> for  
76 this reaction, obtaining an improvement of 74 % in the conversion and almost 10 % in  
77 the 1-butanol selectivity when working in presence of H<sub>2</sub> [27].

## 78 **2. Experimental Methods**

### 79 **2.1. Catalysts preparation**

80 Mg-Al mixed oxide (Mg/Al = 3) was obtained by the calcination of the  
81 corresponding hydrotalcite, prepared by co-precipitation of the Mg and Al nitrates  
82 (Aldrich magnesium nitrate hexahydrate, and Aldrich aluminium nitrate nonahydrate)  
83 at low super-saturation and under sonication. The detailed procedure is reported in the  
84 literature [6]. The gel was precipitated by increasing the pH to 10 with a NaOH solution  
85 (10 wt. %) and it was aged at 353 K for 24 h. The solid phase was centrifuged, washed  
86 with deionized water to pH 7 and dried at 383 K for 24 h, yielding the hydrotalcite (HT).  
87 Finally, the mixed oxide was obtained by calcining the HT in flowing air, from 293 to  
88 973 K with a temperature rate of 5 K·min<sup>-1</sup>, holding this set-point for 5 h.

89 The Ni/Mg-Al and Co/Mg-Al materials (1 wt. % of metal) were synthesized by  
90 incipient wetness impregnation, using nickel (II) nitrate 6-hydrate (Panreac), and cobalt  
91 (II) nitrate 6-hydrate (Panreac). After the impregnation, the catalysts were treated  
92 under airflow from 293 to 973 K with a temperature ramp of 5 K·min<sup>-1</sup>, holding this  
93 temperature for 5 h, in order to remove the precursor salts. The reduced metals were  
94 obtained by treating the materials in flowing H<sub>2</sub>-Ar mixture (10 vol. % of H<sub>2</sub>; 20 mL·min<sup>-1</sup>)  
95 at 823 K for 6 h, according to the results observed during the characterization of the  
96 calcined precursors. In order to avoid further metal re-oxidation, the reduction was  
97 performed in-situ before each experiment.

98

## 99 2.2. Catalysts characterization

100 Temperature-programmed reduction analyses (TPR) were carried out in a  
101 Micromeritics 2900 TPD/TPR instrument, in order to define the reduction temperature  
102 of the catalysts precursors. In good agreement with the typical procedure, 10 mg of  
103 calcined catalytic precursors were treated under H<sub>2</sub> flow (10 vol. % H<sub>2</sub>/Ar) from 298 to  
104 973 K, with a temperature rate of 2.5 K·min<sup>-1</sup>. Once the final catalysts were obtained,  
105 morphologic properties were determined by N<sub>2</sub> physisorption at 77 K in a Micromeritics  
106 ASAP 2020 using the Brunauer-Emmett-Teller (BET) method to analyse the surface area,  
107 and the Barret-Joyner-Halenda (BJH) method to calculate the pore volume and  
108 diameter. Surface basicity and acidity were analysed by temperature programmed  
109 desorption (TPD) using a Micromeritics 2900 TPD/TPR. 10 mg were pre-treated in He  
110 flow and saturated with CO<sub>2</sub> or NH<sub>3</sub> to determine the basicity or acidity, respectively.  
111 The evolution of CO<sub>2</sub> and NH<sub>3</sub> signals were followed in a Pfeiffer Vacuum Omnistar  
112 Prisma mass spectrometer, as well as the temperature was increased at 2.5 K·min<sup>-1</sup>  
113 between 298 and 973 K.

114 The crystallographic structure of the catalysts was determined by X-ray diffraction  
115 (XRD) using a Philips PW 1710 diffractometer with a CuK $\alpha$  line (1.54 Å) in the 2 $\theta$  range  
116 within 5 and 80° at 2°·min<sup>-1</sup> of scanning rate. High-resolution transmission electron  
117 microscopy (HRTEM) analyses of the fresh materials were carried out to determine the  
118 nanoparticle size and distribution, as well as the metal dispersion, in a JEOL JEM2100  
119 instrument. H<sub>2</sub> chemisorption was also performed in order to determine the metal  
120 dispersion and the crystallite size of the fresh and used catalysts, using the same  
121 instrument as for the morphological study (Micromeritics ASAP 2020).

122

### 123 2.3. Catalytic studies

124 Activity experiments were carried out from 523 to 723 K (with steps of 50 K) in a  
125 0.4 cm i.d. U-shaped fixed bed quartz reactor located inside a controlled electric  
126 furnace. The catalyst (150 mg; 250-355  $\mu\text{m}$ ) was placed above a quartz wool plug. The  
127 sample was pre-treated at 473 K for 1 hour in flowing He before each experiment.  
128 Absolute ethanol was supplied with a syringe pump in the He or H<sub>2</sub>-He (10 vol. % of H<sub>2</sub>)  
129 flow, causing the in situ vaporization, obtaining a 32 vol. % of ethanol, fed to the  
130 reactor at 20 mL·min<sup>-1</sup> (STP). These conditions were chosen according to the  
131 optimization reported in our previous work and they correspond to a weight hourly  
132 space velocity (WHSV) of 7.9 h<sup>-1</sup> [20]. The outlet gases were on-line analysed with a  
133 HP6890 Plus gas chromatograph with a flame ionization detector (GC-FID), using a  
134 TRB-5MS capillary column. Additional GC-FID analyses were off-line performed  
135 combining two columns (HP-Plot Q and HP-Plot MoleSieve 5A) in order to distinguish  
136 and quantify ethylene and methane. Products identification was performed using  
137 commercial standards and supported by GC-MS (Shimadzu QP-2010) by the same  
138 methodology in the GC-FID. Operation conditions were selected in order to ensure that  
139 the reported experiments are performed under kinetic regime, being mass transfer  
140 effect negligible.

141 Conversions (x) were calculated from the ethanol concentrations at the reactor  
142 inlet and outlet. Carbon balances were calculated by contrasting the total quantity of  
143 carbon atoms at the reactor inlet and outlet, taking into account only the identified  
144 products (compounds in Scheme 1). Yield was calculated by the following equation:

$$145 \quad \eta_i(\%) = \left( \frac{\text{moles of ethanol fed converted to the product i}}{\text{moles of ethanol fed}} \right) \cdot 100 \quad \text{Eq. 1}$$

146 The productivity of the different compounds (P<sub>i</sub>) during the reaction (average  
147 formation rate) were determined as follows:

148 
$$P_i \text{ (mmol} \cdot \text{s}^{-1} \cdot \text{g}_{\text{cat}}^{-1}) = \frac{F \cdot x \cdot \varphi_i}{W}$$
 Eq. 2

149  $F \equiv$  ethanol molar flow fed to the reactor ( $\text{mmol} \cdot \text{s}^{-1}$ )

150  $W \equiv$  catalyst mass (g)

151  $\varphi_i \equiv$  Selectivity for product  $i$  (moles of ethanol fed converted to a product  $i$ / moles  
152 of converted ethanol).

153 Diffuse reflectance infrared Fourier transform (DRIFT) spectroscopy experiments  
154 were performed using a Thermo Nicolet Nexus FT-IR equipped with a Smart Collector  
155 Accessory and a MCT/A detector. The material (20 mg) was placed inside the catalytic  
156 chamber where the temperature was controlled. The sample was pre-treated at 473 K  
157 for 1 h in He flow. Spectra were acquired in the  $4000\text{-}650 \text{ cm}^{-1}$  wavenumber range, after  
158 subtraction of the KBr standard background. Spectra were recorded at same  
159 temperatures as in the reactor allowing the comparison between both results, and  
160 working under inert (He) or reducing conditions (10 vol. %  $\text{H}_2/\text{He}$ ), as needed. Signals  
161 were transformed to Kubelka-Munk units to obtain semi-quantitative results. This  
162 method allows quantitatively analyze the amount of adsorbed species on the surface,  
163 being the signal (for a same support and comparing analogous conditions) proportional  
164 to the concentration of adsorbed species [28].

165

### 166 **3. Results and discussion**

#### 167 **3.1. Characterization of fresh catalysts**

168 The morphological properties and surface chemistry of parent and metal-modified  
169 materials have been analyzed by  $\text{N}_2$  physisorption,  $\text{CO}_2$ -TPD and  $\text{NH}_3$ -TPD, being the main  
170 results summarized in **Table 1**. No significant changes in surface area were observed, with only

171 the expected slight decrease after the metal deposition on the parent mixed oxide. In good  
172 agreement, pore volume and diameter also slightly decrease. Metals mainly are deposited on  
173 acid sites [29,30], being the strongest ones the most affected by the metal deposition. A very  
174 similar behavior, disappearing more than 85 % of the initially present was observed in both  
175 cases. As to the basicity, the decrease respect to the Mg-Al is less marked, being only relevant  
176 in the case of the strongest sites. This phenomenon is more evident in the case of Ni material,  
177 catalyst that only keeps the weak basic sites.

178 XRD analyses (**Figure S1**) corroborate that there are not significant changes in the general  
179 structure of the bulk Mg-Al oxides, the same peaks for all the metal-modified catalysts being  
180 observed. Periclase is the main phase in all the cases, with similar diffraction patterns for all  
181 the catalysts. No signals related to the added metal species were detected, as expected  
182 considering the low metal content. HRTEM analyses were carried out in order to determine  
183 the metal particle size and dispersion. Representative histograms of crystallite sizes  
184 distributions are depicted in **Figure 1** (corresponding micrographs are included in the  
185 Supplementary Information, **Figure S2**); whereas crystallite sizes and metal dispersion data are  
186 summarized in **Table 1**. A very high dispersion is observed (> 75 % in both the cases), with  
187 nanoparticles around 1.3 nm large. These values are in good agreement with those reported in  
188 the literature for similar catalysts prepared by this procedure [31].

189 The low crystallite sizes observed by HRTEM suggest a strong interaction between metal  
190 and support. This hypothesis is congruent with the high reduction temperatures observed in  
191 the TPR results (Figure 1c). According to the literature, the reduction of Ni nanoparticles takes  
192 place at 640 K [32], whereas in our sample metal is mainly reduced at 698 K, with only a minor  
193 shoulder at 640 K. The reaction from  $\text{Co}_3\text{O}_4$  to Co is reported at  $T \leq 623$  K when is supported  
194 over alumina, and peaks around 773 K or above are associated with the reduction of Co-Al  
195 mixed oxides [33]. Observing the TPR results obtained with the Co/Mg-Al material, two peaks



196 are highlighted at 565 and 750 K, which are related to the former and the latter reductions,  
197 respectively.

198

### 199 **3.2. Reaction results under reducing atmosphere**

200 The role of Co and Ni was studied by introducing hydrogen in the helium stream (10 vol. %  
201 of H<sub>2</sub>). In a previous blank experiment (without catalyst), ethanol conversions were negligible  
202 at the temperature range considered in this article, so reported data are directly related to the  
203 catalytic activity. The reducing conditions are expected to promote the hydrogenation steps of  
204 the reaction mechanism, enhancing the 1-butanol yield, and to hinder oligomerization that  
205 could strongly affect to the catalytic stability.

206 The evolution of the conversion, carbon mass balance and selectivity to the main  
207 compounds with the reaction temperature is showed in **Figure 2** for the different tested  
208 materials (Mg-Al, Co/Mg-Al and Ni/Mg-Al). Reactions with Mg-Al are also considered in order  
209 to analyze any change in the basis mechanism because of the presence of H<sub>2</sub> in absence of any  
210 metal. The evolution of other intermediates, obtained at lower but measurable  
211 concentrations, is included in the Supplementary Data (**Table S1**). In all the cases, data  
212 reported is the average results after three experiments, observing good reproducibility. The  
213 decarbonylation of acetaldehyde, reaction catalyzed by several metals [34], is discarded in  
214 these cases, since the highest yield to methane obtained was always lower than 0.2 % (value  
215 observed with Co/Mg-Al at 723 K).

216 At the lowest temperatures, conversions obtained with and without metal are very  
217 similar, these differences increasing as temperature increases. Thus, conversions of 54.6 (Ni)  
218 and 56.3 % (Co) are obtained at 723 K, corresponding to relative increments higher than 25 %  
219 in comparison to those obtained with the Mg-Al (43.1 % at 723 K). These results indicate that

220 metal nanoparticles play a relevant role at reducing conditions. Concerning to the carbon  
221 balance, values higher than 80 % were obtained for Ni and Co materials at temperatures lower  
222 than 700 K, whereas at 723 K they decrease to close to 70 %. At same conditions, the value  
223 reached with Mg-Al was 76.2 %. These slight differences are related to the lower activity  
224 observed with the bulk material, being the production of higher alcohols (>C4) at highest  
225 temperatures the main reason of the decrease with the metal modified ones. These  
226 compounds were detected by the GC, but their low individual amount prevents its exact  
227 quantification. However, the better carbon balance closures obtained with the metal modified  
228 materials at temperatures lower than 700 K suggest a higher global selectivity to the main  
229 reaction pathway.

230       Regarding the selectivity to different reaction products, a positive effect of reducing  
231 conditions is clearly observed by comparing the lower selectivity to acetaldehyde obtained  
232 with both, Ni and Co materials (in contrast to the parent mixed oxide, at all the temperatures  
233 tested); and the higher selectivities obtained for 1-butanol (Fig. 2b). In fact, 1-butanol  
234 selectivity reaches maximum values close to 33 % with Co and Ni, whereas the maximum  
235 selectivity obtained for the bulk material is lower than 23 % (results at 623 K for all the  
236 materials). These results are considerably higher than other previously published working with  
237 Co in larger amounts (higher than 10 %) and higher pressures (8 % butanol selectivity at 513 K  
238 and 70 bar) [21], highlighting the better behavior of these metals as nanoparticles instead of as  
239 cations.

240       A theoretical study about the equilibria conditions was carried out to guarantee that  
241 equilibria is not conditioning the values obtained. According to Moteki and Flaherty [9], the  
242 main mechanism is divided into 14 individual steps (considering both, adsorption and reaction  
243 processes), being most of them equilibria steps. According to this proposal, the acetaldehyde  
244 productivity could be theoretically conditioned by the ethanol adsorption, the proton

245 abstractions to obtain the ethoxyde and acetaldehyde on the catalytic surface, and their  
246 corresponding adsorption-desorption equilibria. Despite the complexity of the analysis of  
247 these individual steps, a first approach supposes the acetaldehyde formation as an equilibrium  
248 step considering the ethanol and hydrogen in the medium. Thus, experimental results were  
249 used to estimate the reaction quotients (Q) and these values were compared to the theoretical  
250 equilibrium constant K, obtaining, in the worst case, a Q/K ratio lower than 0.002, suggesting  
251 that the reaction is far from equilibrium at these conditions.

252 Despite the metal used, the general profiles are similar, with a decreasing trend of  
253 acetaldehyde, typical evolution of a primary product; and a formation pattern characterized by  
254 a maximum and a subsequent decrease for the 1-butanol, that responds to a product obtained  
255 after serial steps of a global reaction that continues and produces undesired compounds (if  
256 temperature conditions are too severe). One of the main side-products is the ethylene, with a  
257 continuous increasing trend, more marked in the case of the bulk material. Consequently, no  
258 evidences of any change in the main reaction mechanisms were observed when introducing  
259 metals or reducing conditions, allowing the analysis of the influence of metals and reducing  
260 conditions.

261

### 262 **3.3. Analyses of reactions results**

263 Considering that the aim of this work is to enhance the 1-butanol productivity, the good  
264 results shown in Fig. 2 can be better illustrated analyzing the influence of metals and reducing  
265 conditions on the 1-butanol productivity. Since medium strength basic sites are considered the  
266 most active sites for aldol condensation, **Figure 3** shows the 1-butanol productivity under  
267 reducing conditions, normalized by the concentration of medium strength basic sites,  
268 according the expression of the  $\alpha$  parameter, defined as follows:

269 
$$\alpha = \frac{\left( \frac{[\text{butanol productivity}]}{[\text{surface basicity}]_{\text{medium strength}}}_{\text{metal/Mg-Al}} \right)}{\left( \frac{[\text{butanol productivity}]}{[\text{surface basicity}]_{\text{medium strength}}}_{\text{Mg-Al}} \right)} \quad \text{Eq. 3}$$

270 A clear improvement is observed; mainly at the mildest conditions, which is an extra  
 271 advantage of this strategy. At the lowest temperature, the  $\alpha$  parameter is almost 6 times  
 272 higher with both metal modified materials than the corresponding one with the Mg-Al, being  
 273 almost constant with the Co when temperature increases to 573 K. As the temperature  
 274 increases, the goodness of this configuration decreases, but the productivity is still higher at  
 275 the highest temperature. These results suggest that the differences between parent and  
 276 metal-promoted oxides cannot be explained only in terms of their reactivity for aldol  
 277 condensation (despite this reaction is often considered as the rate-determining step [9,15]).  
 278 Thus, the role of the catalyst properties on dehydrogenations and hydrogenations must be  
 279 considered in detail.

280 In order to get a better understanding on these reactions, strongly dependent on  
 281 hydrogen concentration, the same set of experiments was performed in absence of hydrogen,  
 282 being the results depicted in **Figure 4**. First step affected by the metal presence is the ethanol  
 283 dehydrogenation. The global effect of reducing conditions and metal catalyst in this reaction is  
 284 compared in **Figure 5**. For this analysis, AA corresponds to acetaldehyde whereas the term "C4  
 285 main route" involves the sum of the yields to crotonaldehyde, crotyl alcohol, butanal,  
 286 1-butanol, 1,3-butadiene and ethyl acetate, in order to have an idea of the overall catalytic  
 287 performance for the ethanol dehydrogenation. A clear increasing trend is obtained in all cases,  
 288 but significant differences, as function of the materials, are observed when comparing the  
 289 effect of inert or reducing atmosphere. When working under inert conditions, best results are  
 290 obtained using the parent Mg-Al (almost 3 times higher comparing to the bifunctional ones). It  
 291 should be highlighted the unexpected effect of reducing conditions when Mg-Al is used as  
 292 catalyst, the presence of hydrogen hindering the reaction. Considering that there is not any

293 metal phase to activate the hydrogen molecule, a similar behavior would be expected.  
294 However, there are clear differences that must be directly related to adsorption processes,  
295 suggesting a non-dissociative adsorption of hydrogen molecules on the catalytic surface,  
296 hindering the ethanol adsorption needed for the aldolization step. This hypothesis is  
297 supported by previous works that noticing the H<sub>2</sub> adsorption on acidic sites (OH groups) using  
298 similar oxides [35,36]. Trends achieved with the bifunctional materials show higher slope than  
299 those observed for the parent Mg-Al. This fact happens since dehydration side reactions are  
300 more favored at increasing temperatures, being more relevant when using the bulk Mg-Al  
301 because of its higher acidity (supported by Table 1, and Fig. 2c and 4c) [35].

302 Concerning to the comparison between bifunctional materials, the nickel catalyst reaches  
303 the lowest values and its trend shows lower slope than that observed with the cobalt one. This  
304 is in agreement with the low concentration and strength of basic sites owned by the Ni/Mg-Al,  
305 together with the preference of this metal for favoring hydrogenations [37]. In presence of  
306 hydrogen, the Co/Mg-Al catalyst shows the best dehydrogenation performance, reaching  
307 improvements up to of 22 and 87 % in regard to the Ni/Mg-Al and Mg-Al materials. Thus, it is  
308 confirmed that hydrogen supplying enhances the performance to the main route preceded by  
309 ethanol dehydrogenation. This net improvement under reducing atmosphere is explained by  
310 the effect of the subsequent steps. It can be supposed that cobalt enhances the consumption  
311 of acetaldehyde to produce the C<sub>4</sub> compounds, displacing the equilibrium to the formation of  
312 more acetaldehyde. In order to check this hypothesis, the following steps must be analyzed.

313 **Figure 6** illustrates the relative weight of condensation steps, analyzing the aldol  
314 condensation (Fig. 6a) and the acetaldehyde transformation into ethyl acetate via  
315 Tishchenko-type reaction (Fig. 6b). The highest condensation activity was obtained with  
316 Ni/Mg-Al under reducing conditions, with similar values as those obtained with Mg-Al under  
317 inert atmosphere. Ni/Mg-Al shows higher aldolization capacity than the expected one,

318 considering the low concentration of basic sites of this material. This analysis suggests that the  
319 main role of Ni is to improve the subsequent steps that consume the condensation adduct,  
320 shifting the equilibrium. This fact is congruent with the larger improvement observed in  
321 presence of hydrogen, relating the profile with the following hydrogenation steps. The  
322 different behavior of the Co material must be highlighted. With this material, a strong  
323 influence of atmosphere is observed, enhancing the ethyl acetate in presence of hydrogen.  
324 Both compounds, ethyl acetate and crotonaldehyde, are obtained from ethanol, but involving  
325 different intermediates: acyl for the ethyl acetate ( $\text{CH}_3\text{-C}^*=\text{O}^*$ ) and enolate ( $\text{CH}_2^*\text{-CH}=\text{O}$ ) [38].  
326 Results obtained suggest that the presence of hydrogen and cobalt inhibits to some degree the  
327 formation of the enolate intermediate from acetaldehyde ( $\beta\text{C-H}$  scission hindered by hydrogen  
328 atoms), enhancing the selectivity to the esterification pathway, mainly at low temperatures.  
329 This is the reason explains the poor aldolization results despite the higher acetaldehyde  
330 formation rate with Co-containing material, the most active for dehydrogenation activity.

331 The study of hydrogenation step is shown in **Figure 7**, analyzing the ratio between the  
332 1-butanol selectivity and the selectivity for all the C4 condensation adducts. The different role  
333 of each metal is clearly observed in this plot. Ni/Mg-Al catalyst shows the highest adducts  
334 hydrogenation activity, mainly under reductive atmosphere, being this positive effect less  
335 evident as the temperature increases. On the other hand, the absence of any metal phase  
336 limits the hydrogenation activity of Mg-Al and, as a consequence, hydrogen has not any  
337 positive effect when this material is used. Concerning to the Co catalysts, they do not show any  
338 noticeable hydrogenation activity, being the obtained results similar or even worse than the  
339 corresponding to the parent mixed oxide. The decreasing trend observed in almost all the  
340 cases at the highest temperatures is caused by the higher relevance of oligomerization  
341 reactions (in good agreement with the observed decrease in the carbon mass balance) and  
342 also dehydration to produce 1,3-butadiene at the most severe conditions.

343 In order to verify all these hypothesis, DRIFT spectroscopy experiments were carried out,  
344 trying to identify relevant differences in the adsorption modes of the compounds involved in  
345 the reaction as function of the material and atmosphere conditions. DRIFT analyses were  
346 carried out at similar conditions as in the reaction medium, being possible the direct  
347 comparison of both results. As examples of the most significant results, spectra obtained at  
348 573 K and 723 K are compared in **Figure 8**, under inert and reducing conditions.

349 The same three regions, related to specific functional groups, were observed for all the  
350 materials, corroborating that same type of interactions are taken place. The first one is  
351 identified as the stretching mode of CO ( $1050\text{ cm}^{-1}$ ) [20], being related to the adsorption of  
352 alkoxide species. The second one, at  $1580\text{ cm}^{-1}$  corresponds to the stretching vibration mode  
353 of C=C bonds (unsaturated alcohols and aldehydes), such as the crotonaldehyde and crotyl  
354 alcohol [20]. Under inert atmosphere, highest intensities of these adsorption modes are in  
355 good agreement with the highest concentration of acid sites (almost six times higher with Mg-  
356 Al than with the bifunctional ones, **Table 1**), mainly at 723 K. Consequently, aldehydes and  
357 alcohols present in the reaction media, mainly the heaviest ones, are adsorbed time enough to  
358 promote subsequent reactions yielding heavier compounds [39]. The high decrease of these  
359 signals when working under reducing conditions suggest that hydrogen hinders the adsorption  
360 of these compounds, avoiding their further reactions. The third band, at  $1740\text{ cm}^{-1}$ , is related  
361 to the stretching vibration mode of C=O of aldehydes [20]. This band is only observed with  
362 Mg-Al at 723 K under inert conditions, suggesting the presence of relevant amount of  
363 crotonaldehyde adsorbed on the catalytic surface. There are other two bands clearly observed,  
364 at  $950$  and  $1440\text{ cm}^{-1}$ , approximately. These bands correspond to common vibration modes of  
365  $\text{CH}_3$  (rocking and deforming one, respectively) [20], so they are not useful to identify any  
366 relevant molecule.

367 If results under inert and reducing conditions are compared, there is a clear difference  
368 between Mg-Al and the metal-modified materials, mainly Ni. Spectra obtained with the parent  
369 material are almost the same, in good agreement with the negligible role of hydrogen in  
370 absence of any metal phase. On the contrary, signals generally decrease for the metal-  
371 modified catalysts, suggesting that adsorption is less relevant because of the presence of  
372 hydrogen and the lower concentration of strong basic and acid sites. Most relevant results are  
373 obtained with Ni/Mg-Al under reducing conditions, with almost null interactions related to  
374 heavy compounds. This fact corroborates the direct link between hydrogenation activity and  
375 hindering permanent adsorption and the consequent oligomerization.

376

#### 377 3.4. Overall effect on the 1-butanol productivity

378 In order to determine the optimal combination of catalyst and reaction conditions for  
379 maximize 1-butanol production, **Figure 9** summarizes the 1-butanol productivity. Data shown  
380 correspond to an analysis of the different productivity rates, normalizing all the values by the  
381 corresponding ones for the bulk material ( $\beta$ ), at inert or reducing conditions, defined as:

$$382 \quad \beta = \frac{[P_{1-butanol}]_{\text{metal/Mg-Al}}}{[P_{1-butanol}]_{\text{Mg-Al}}} \quad \text{Eq. 4}$$

383 In global terms, the influence of metals is more relevant at low temperatures, being their  
384 effect almost negligible over 673 K. This is also an advantage of this procedure, increasing the  
385 green character of this process, obtaining good results at milder conditions than the normally  
386 used in the literature [8,11]. At low temperatures, the reducing atmosphere also plays a key  
387 role, obtaining relative rates more than six times higher than the obtained under inert  
388 atmosphere (in both cases). The highest 1-butanol productivity is reached at 573 K with the Co,  
389 with eight rates eight times higher than the one obtained with Mg-Al. Thus, the need of  
390 reducing conditions is also justified, observing clear improvements at temperatures too low to



391 produce the hydrogenations by MPV and surface mediated hydrogen-atom transfer  
392 mechanisms.

393

#### 394 **4. Conclusions**

395 According to the deep analysis of the ethanol gas-phase condensation, the highest  
396 complexity of this mechanism is remarked. As consequence, it is very difficult to determine an  
397 optimum material with good properties for all the individual steps. Cobalt highlights by its high  
398 dehydrogenation capacity but it also enhances the ethyl acetate production (undesired side  
399 reaction). On the other hand, Nickel presents a relevant activity in aldol condensation and a  
400 high activity of the C=O and C=C hydrogenation, obtaining the best results for these two  
401 stages. In this case, a positive role of hydrogen is clearly observed. Nickel also limits the  
402 oligomerization (undesired reaction), as it was observed by DRIFT spectroscopy. Bands related  
403 to the adsorption of higher alkoxides are much more relevant with this material, mainly under  
404 inert atmosphere.

405 Thus, the 1-butanol productivity (target compound) is conditioned by the balance of all  
406 these stages. Under the conditions tested in this work, the dehydrogenation step controls the  
407 final result, obtaining the highest amount of 1-butanol when Co/Mg-Al is used, mainly at low  
408 temperature. At these mild conditions, when this reaction prevails over the dehydration and  
409 despite the higher amount of ethyl acetate obtained with this material. This improvement is  
410 much more relevant under reducing conditions, highlighting also the role of hydrogenation  
411 steps. On the other hand, results obtained with Ni/Mg-Al are also relevant, obtaining good  
412 productivity of 1-butanol and increasing the global selectivity to the main process (less amount  
413 of ethylene, ethyl acetate and oligomers).

414

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419 Program of the local Government of the Principality of Asturias.

420

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477 **SCHEME CAPTION**

478 **Scheme 1.** Reaction mechanism for the gas phase ethanol upgrading [5-8]. Symbols: (A)  
479 ethanol; (B) acetaldehyde; (C) crotonaldehyde; (D) crotyl alcohol; (E) butanal; (F) 1-butanol; (G)  
480 1,3-butadiene; (H) ethylene; (I) diethyl ether; (J) ethyl acetate.

481

482 **FIGURE CAPTION**

483 **Figure 1.** (a) HRTEM histograms of crystallite diameter of Ni/MgAl (100 particles); (b) HRTEM  
484 histograms of Co/MgAl (100 particles); (c) TPR results obtained for Ni/Mg-Al and Co/Mg-Al  
485 materials

486 **Figure 2.** Catalyst performance at different temperatures under reductive conditions as  
487 function of the temperature for the reaction catalyzed by (●) Mg-Al\*; (▲) Ni/Mg-Al; and (■)  
488 Co/Mg-Al. Results in terms of: (a) conversion (black) and carbon balance (white); (b)  
489 acetaldehyde (black) and butanol (white) selectivity; (c) ethylene (black) and 1,3-butadiene  
490 (white) selectivity. \*Results taken from a previous work [20].

491 **Figure 3.** Comparison of the relative 1-butanol concentration obtained under reducing  
492 conditions. Results normalized by the Mg-Al ones. Values correspond to 523 K (white); 573 K  
493 (light grey); 623 K (dark grey); 673 K (black) and 723 K (bars)

494 **Figure 4.** Catalyst performance at different temperatures under inert conditions as function of  
495 the temperature for the reaction catalyzed by (●) Mg-Al; (▲) Ni/Mg-Al; and (■) Co/Mg-Al.  
496 Results in terms of: (a) conversion (black) and carbon balance (white); (b) acetaldehyde (black)  
497 and butanol (white) selectivity; (c) ethylene (black) and 1,3-butadiene (white) selectivity.

498 **Figure 5.** Analysis of the dehydrogenation capacity as function of the catalyst and reaction  
499 temperature. Results under inert (open symbols) and reducing atmosphere (solid symbols).  
500 Symbol: Mg-Al (●); Co/Mg-Al (■); Ni/Mg-Al (▲)

501 **Figure 6.** Analysis of (a) aldol condensation step and (b) acetaldehyde esterification side-  
502 reaction, under inert (white) and reducing (black) conditions. *See Figure 5 for symbols*

503 **Figure 7.** Analysis of selective hydrogenation to 1-butanol under inert (white) and reducing  
504 (black) conditions. *See Figure 5 for symbols*

505 **Figure 8.** DRIFT spectra of different catalyst during the ethanol condensation under inert (lines)  
506 or reducing conditions (broken lines). Results corresponding to reaction at (a) 573 K and (b)  
507 723 K. *Relative intensities of (b) spectra are ten times higher than those observed in the (a)*  
508 *spectra*

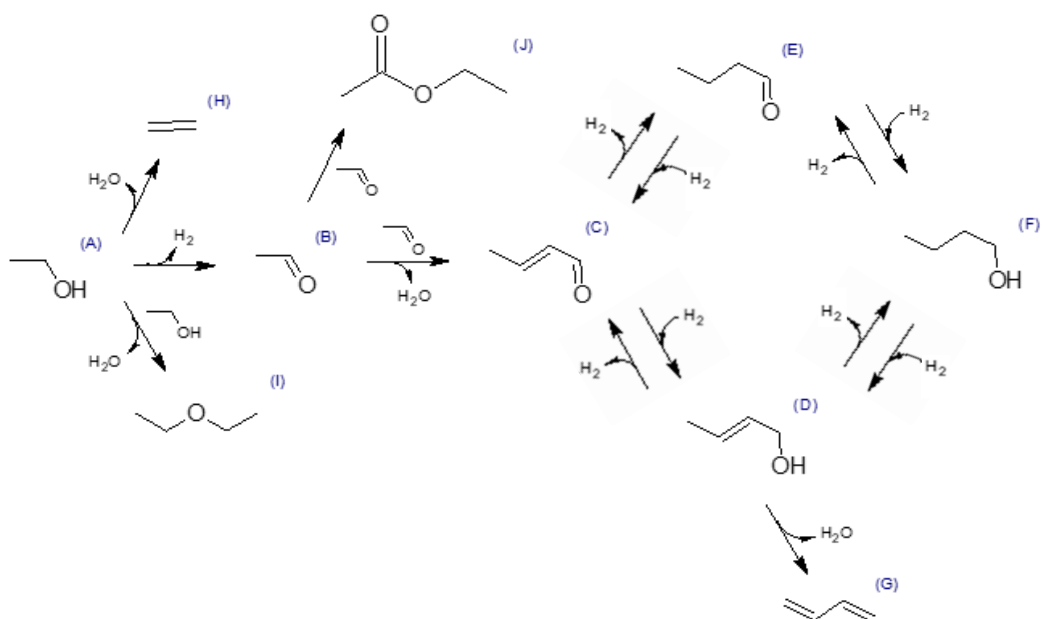
509 **Figure 9.** Comparison of the relative 1-butanol production rate obtained with the different  
510 materials under inert (bars) and reducing atmosphere (solid colors). Data normalized as  
511 function of results with the bulk MgAl. Values corresponding to Ni (grey) and Co (black)  
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513

#### 514 **TABLE CAPTION**

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517 work [20].

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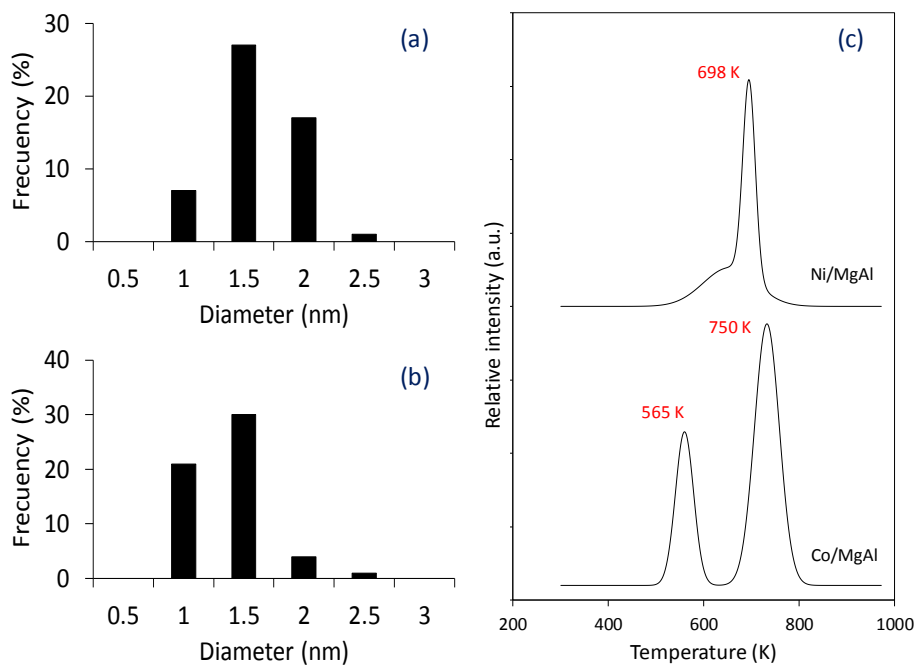
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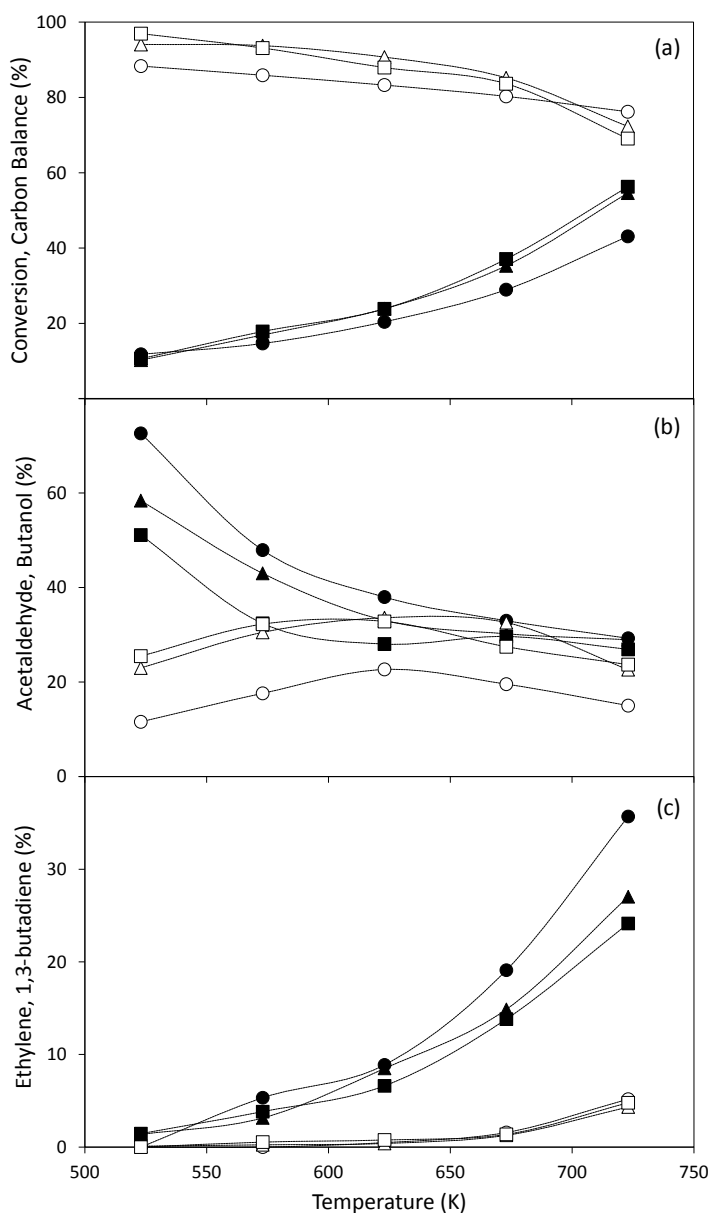
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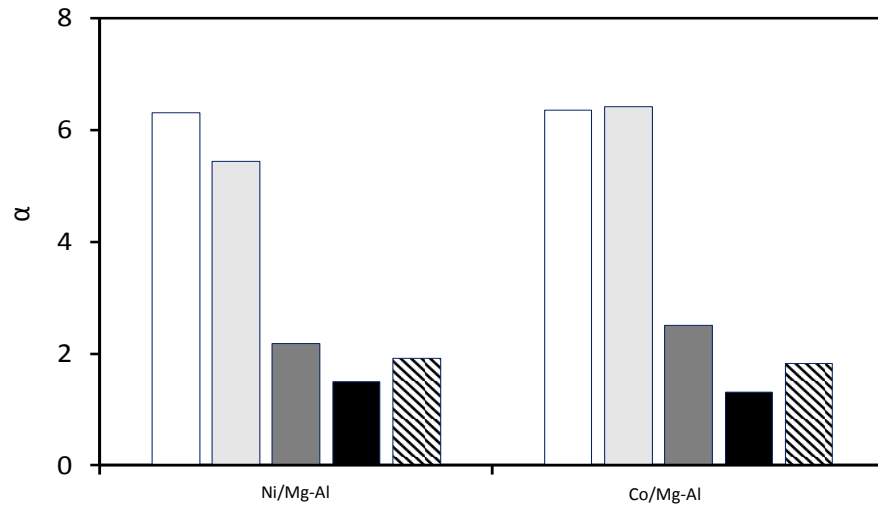
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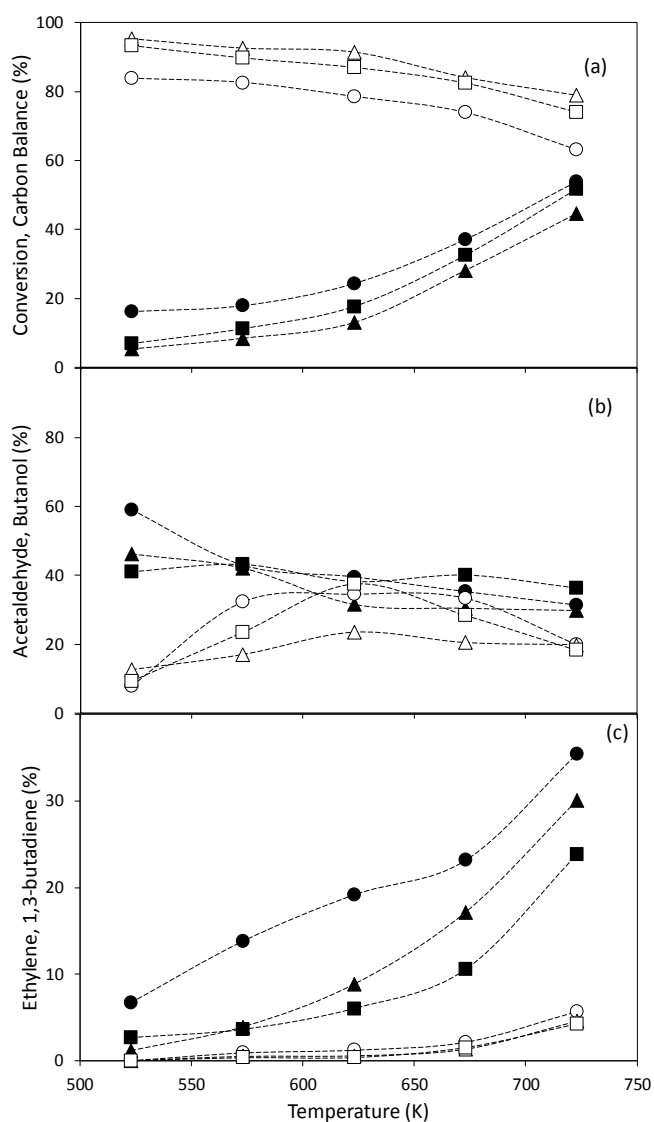
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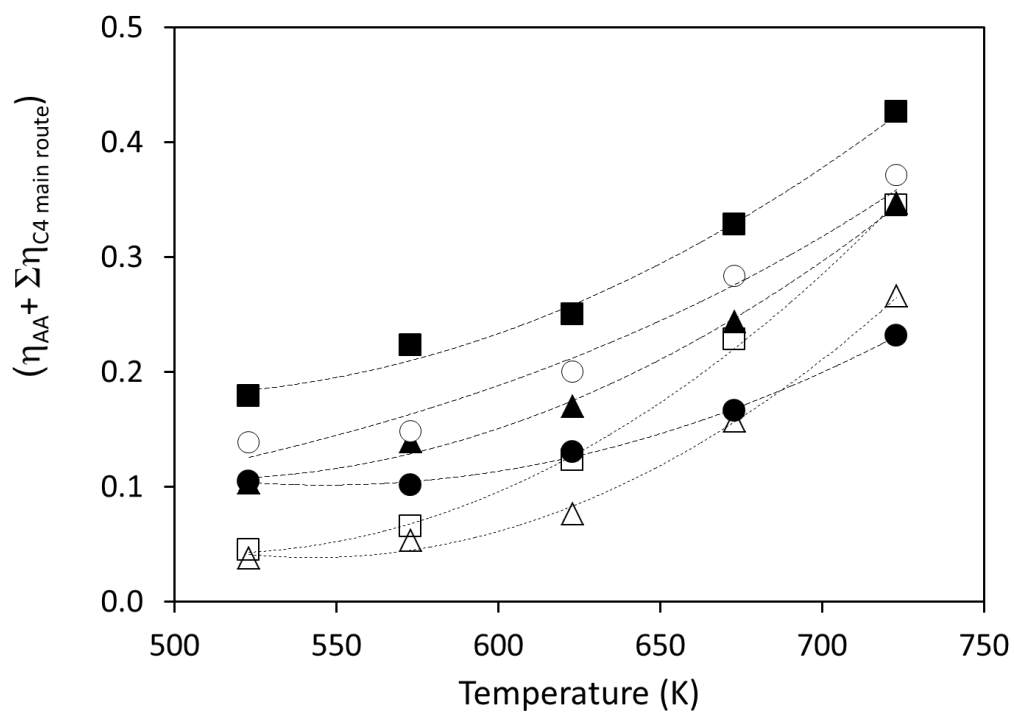
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543 **Figure 4.** Catalyst performance at different temperatures under inert conditions as function of  
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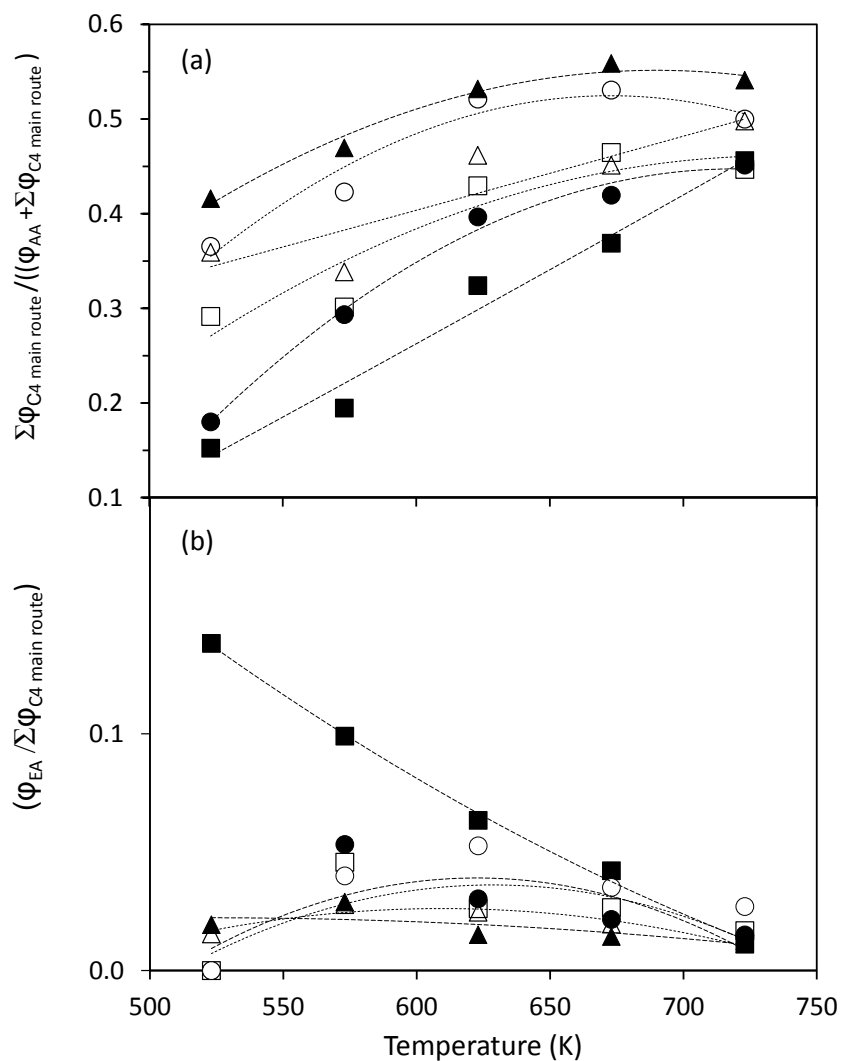
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Symbol: Mg-Al (●); Co/Mg-Al (■); Ni/Mg-Al (▲)

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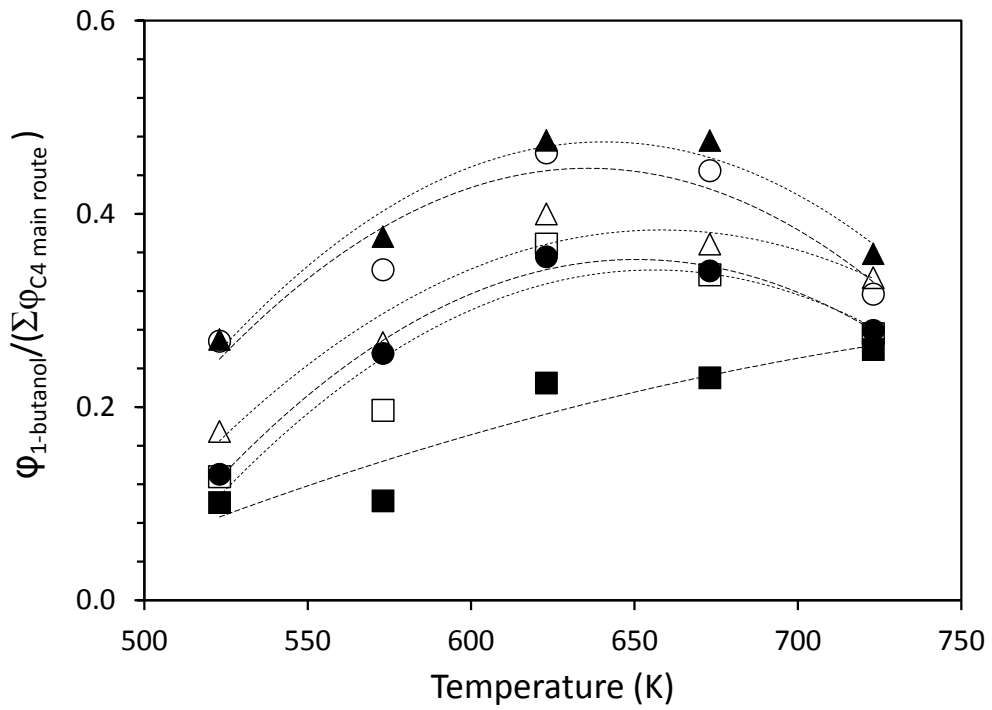
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reaction, under inert (white) and reducing (black) conditions. See Figure 5 for symbols

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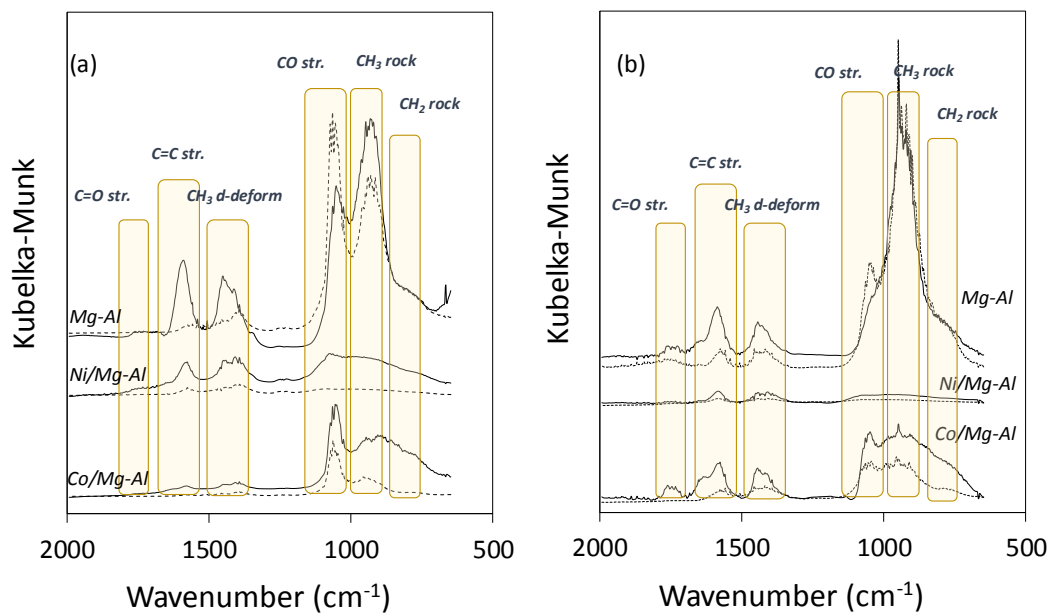
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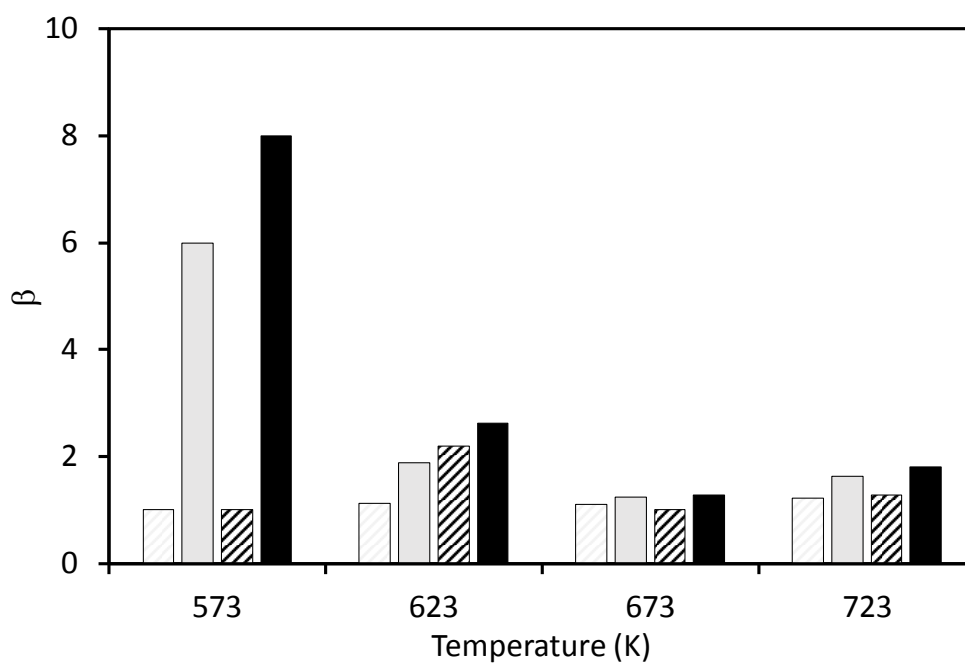


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**Table 1.** Main results of the fresh catalysts characterization: morphological properties, density and distribution of the acid and basic sites, and HRTEM results. \*Results taken from a previous work [20].

Catalyst	Morphological properties			Acid sites ( $\mu\text{mol g}^{-1}$ ), [T (K)]			Basic sites ( $\mu\text{mol g}^{-1}$ ), [T (K)]			HRTEM	
	S ( $\text{m}^2 \text{g}^{-1}$ )	D <sub>p</sub> (Å)	V <sub>p</sub> ( $\text{cm}^3 \text{g}^{-1}$ )	weak	medium	strong	weak	medium	strong	Metal dispersion (%)	Crystallite diameter (nm)
<b>Mg-Al*</b>	226	135	0.7	11.3 [345, 370]	12.5 [450]	41.8 [630, 800]	49.7 [340]	71.7 [400]	238.6 [630, 670, 800]	-	-
<b>Ni</b>	182	59	0.4	3.9 [329, 365]	3.3 [419]	4.0 [517]	55.8 [328, 377]	10.6 [430]	-	78.6	1.3
<b>Co</b>	207	60	0.4	2.9 [325, 358]	3.1 [408]	5.4 [508]	51.0 [355]	70.2 [415, 491]	53.8 [607, 738]	78.7	1.3