



Universidad de Oviedo
Universidá d'Uviéu
University of Oviedo

Programa de Doctorado de Investigación en Cirugía y
Especialidades Médico-Quirúrgicas (RD 1393/2007)

El Efecto del Espesor Corneal en la Cirugía Foto-Refractiva

Trabajo de investigación realizado por

D. Jorge Eugenio Valdez García

para optar por el grado de Doctor.

Directores: Dr. Jesús Merayo Lloves

Tutor:

Oviedo, Febrero de 2017



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RESUMEN DEL CONTENIDO DE TESIS DOCTORAL

1.- Título de la Tesis	
Español/Otro Idioma: El Efecto del Espesor Corneal en la Cirugía Foto-refractiva	Inglés: The Influence of Corneal Thickness in Photorefractive surgery
2.- Autor	
Nombre: Jorge Eugenio Valdez García	DNI/Pasaporte/NIE:
Programa de Doctorado: Programa de Doctorado de Investigación en Cirugía y Especialidades Médico-Quirúrgicas (RD 1393/2007)	
Órgano responsable: Universidad de Oviedo	

RESUMEN (en español)

El Efecto del Espesor Corneal en la Cirugía Foto-refractiva

Muchos factores determinan el espesor corneal y nuestro entendimiento del mismo no es suficiente. Esto es de gran interés teniendo en cuenta la relevancia de este parámetro oftalmológico en el manejo de varias condiciones clínicas como: la cirugía refractiva o la hipertensión ocular. Corneas delgadas se consideran débiles y no adecuadas para procedimientos quirúrgicos aunque varios estudios sugieren una ausencia de correlación entre el espesor y el posterior desarrollo de ectasia. Mecanismos moleculares subyacentes que influyen la biomecánica estromal, incluyendo estabilidad y resistencia, incluyen la disposición de las fibras de colágeno el sulfato de queratan y los proteoglicanos. Estudios poblacionales genéticos demuestran el efecto de genes específicos (*COL5A1*, *FOXO1*, *AVGR8*, and *ZNF469*) y algunos otros genes SNPs sobre el espesor central. Factores adicionales como la radiación UV, edad, humedad, altitud y ciertas patologías afectan el espesor corneal central. El análisis de cada factor potencial que modifica el espesor corneal central nos arroja más entendimiento sobre los mecanismos responsables de la biomecánica corneal en situaciones como la cirugía refractiva. Con el propósito de analizar estos factores en situaciones clínicas decidimos, **primero** describir la distribución de las mediciones del grosor central corneal (GCC) en una población sana de hispanos y analizar su correlación con la edad, queratometría simulada promedio (SimK) y el equivalente esférico refractivo (EE). Para lo cual realizamos un análisis retrospectivo, pacientes sanos del Instituto de Oftalmología y Ciencias Visuales, Tecnológico de Monterrey (enero de 2015 a agosto de 2015). Se obtuvo GCC, edad, género, SimK y EE. Se realizó análisis descriptivo de las variables y se utilizó el método de Spearman para correlaciones. La muestra se dividió en 3 subgrupos (<20 años, ≥20 y ≤40, y > 40 años) para analizar la correlación entre GCC y edad. **Resultados:** Se incluyeron un total de 93 pacientes (186 ojos). Edad promedio: 32.54 ±



12.04 años, 43% mujeres. GCC promedio: 545.69 ± 36.88 μm , SimK promedio: 43.56 ± 1.90 D y el EE promedio: -2.54 ± 3.15 D. No había correlación entre GCC y edad, género, SimK o EE con análisis Anderson-Darling ($p = 0.006$), Shapiro-Wilk ($p = 0.043$) y Kolmogorov-Smirnov ($p = 0.01$). GCC mostró distribución bimodal, pico principal en 540 μm . Los subgrupos <20 años y >40 años, mostraron diferencia significativa ($p = 0.016$) al comparar GCC. Se observó correlación positiva entre grupo <20 años y GCC ($r = 0.596$, $p = 0.001$). Con los resultados anteriores en **segundo término** nos propusimos, evaluar el resultado y la seguridad del LASIK miópico realizado en pacientes con corneas centrales por debajo del promedio ($<540\mu\text{m}$) en el estudio anterior) y que tuviesen una topografía normal. Para lo cual se estudio una cohorte de pacientes que fueron sometidos a LASIK miópico entre enero 2014 y enero de 2015. Se analizo la información de pacientes mayores de 18 años con topografía normal, refracción estable, agudeza visual corregida de 20/20 (Snellen), espesor corneal central menor a $540\mu\text{m}$, con un seguimiento de al menos 12 meses posterior a cirugía. *Las variables de seguimiento* : Agudeza visual resultante estabilidad refractiva, análisis de tejido alterado. En este segundo estudio se incluyeron un total de 51 pacientes (102 ojos) , 56% ($n=57$) fueron mujeres. Edad promedio: 26.52 ± 8.06 (rango 18-55 años), seguimiento promedio: 13.9 ± 1.2 meses. Espesor corneal central preoperatorio de: $515.44 \pm 17.87\mu\text{m}$ (rango 452-540 μm), equivalente esférico promedio (SEQ): -4.08 ± 2.17 D (rango -0.75 to -9.75 D), cilindro refractivo promedio: -1.44 ± 1.29 D (rango 0.00 to -6.00 D). Predictibilidad promedio de esfera SEQ: -0.20 ± 0.40 D (rango -1.25 to +1.25). Equivalente esférico postoperatorio: ± 0.50 D en 71% y ± 1.00 D en 93% de los ojos . Agudeza visual lejana postoperatoria: $\geq 20/20$ en 78% y $\geq 20/25$ en 95%. Una línea de mejor agudeza visual corregida se perdió en 3% de los ojos, ningún ojo perdió ≥ 2 líneas de visión. No se observe ningún caso de ectasia durante el seguimiento. En primero lugar concluimos son muchos los factores que afecta el Grosor Corneal Central. En segundo termino, evidenciamos que la falta de normalidad en la distribución del GCC, la distribución bimodal (540 μm) y la tendencia a observar mayor GCC en jóvenes, llevan a redefinir los valores «normales» de GCC en nuestra población, esto con la finalidad de ajustar su uso para propósitos clínicos. Y por ultimo que la cirugía de LASIK en pacientes con corneas consideradas mas delgadas de lo “normal” ($<540\mu\text{m}$) es segura, eficiente y predecible a un año de seguimiento en tratamientos refractivos miópicos y sin evidencia de casos de ectasia en el mismo periodo de seguimiento. Pudiendo afirmar que el espesor corneal por si mismo no es un parámetro de riesgo.

RESUMEN (en Inglés)

The Influence of Corneal Thickness in Photorefractive surgery

Many factors determine the corneal thickness and our understanding of them is not sufficient. This is of main interest given the significance of this ophthalmological



parameter in the management of several clinical conditions like: refractive surgery and high intraocular pressure (IOP). Thin corneas are considered weak and not suitable for surgical procedures, though several studies demonstrate no correlation between thin CCT and development of post-surgical ectasia. Subjacent molecular mechanisms that influence stromal biomechanics, including strength and stability, comprise the role of collagen fibers disposition, keratan sulfate, and proteoglycans. Genetic population studies demonstrate the effect of four specific genes (*COL5A1*, *FOXO1*, *AVGR8*, and *ZNF469*) and several other gene SNPs over CCT. Additionally, factors such as UV radiation, age, humidity, altitude, and certain diseases affect CCT. The analysis of each potential factor that modifies CCT bring us closer to understanding the underlying mechanisms responsible for corneal biomechanics. This will provide a global vision of the way cornea behaves and the effect of surgical treatments or diseases. **With the purpose** to describe the distribution of the central corneal thickness (CCT) measurements on a healthy Hispanic sample population and its correlation with age, mean simulated keratometry (SimK), and mean refractive spherical equivalent (MRSE). **We realize a** retrospective analysis on the records of healthy patients from the Ophthalmology and Visual Sciences Institute, Tecnológico de Monterrey, January 2015 to August 2015. CCT data, age, gender, corneal curvature, and spherical equivalent was obtained. A descriptive analysis and correlation by the Spearman method was performed. The sample was divided by age subgroups: <20 years old, ≥ 20 and ≤ 40 years, and >than 40 years old and correlation analysis with CCT values was determined. A total of 93 (186 eyes) patients were included. Mean age: 32.54 ± 12.04 years. 43% were women. Mean CCT: 545.69 ± 36.88 μm , mean SimK: 43.56 ± 1.90 D and MRSE: -2.54 ± 3.15 D. No correlation was registered between CCT and the variables when analyzed with the Anderson---Darling ($p = 0.006$), Shapiro---Wilk ($p = 0.043$), and Kolmogorov---Smirnov ($p = 0.01$). CCT showed a bimodal distribution with higher density at 540 μm . Age groups <20 and >40 years showed significant difference in CCT ($p = 0.016$), a positive correlation with CCT was observed in the group <20 ($r = 0.596$, $p = 0.001$). With this evidence, we decided to assess the visual outcomes and safety of myopic LASIK performed in patients with corneas with *central* thickness below average <540 μm and normal topography. We realized a retrospective cohort study. A group of Hispanic patients who underwent myopic LASIK between January 2014 and January 2015 were enrolled. Analysis of records, patients >18 years-old with previous normal topography, stable refraction, corrected visual acuity $\geq 20/20$ (Snellen), central corneal thickness (CCT) < 540 μm and at least 12 months follow up after surgery.

Main outcome measures: Standard visual outcomes (efficacy, safety, refractive stability), percent tissue altered analysis. A total of 51 patients (102 eyes) were included, 56% ($n=57$) were female. Mean age: 26.52 ± 8.06 (range 18-55 years), mean follow up: 13.9 ± 1.2 months. Preoperative CCT: 515.44 ± 17.87 μm (range 452-540 μm), mean refractive spherical equivalent (SEQ): -4.08 ± 2.17 D (range -0.75 to -9.75 D), mean refractive cylinder: -1.44 ± 1.29 D (range 0.00 to -6.00 D). Mean predictability of postoperative SEQ: -0.20 ± 0.40 D (range -1.25 to +1.25). Postoperative SEQ: ± 0.50 D in 71%, ± 1.00 D in 93% of the eyes. Postoperative uncorrected distance visual acuity:



$\geq 20/20$ in 78% and $\geq 20/25$ in 95%. One line of CDVA was lost in 3% of the eyes, no eyes lost ≥ 2 lines. No ectasia cases were observed during follow-up.

We may conclude that are many factors that have an effect over the central corneal thickness. Our findings regarding the lack of normality, the bimodal distribution (540 μm), and the correlation between age and CCT in younger patients, may lead us to redefine the “normal” CCT value in our population to be used properly for clinical purposes. Using the previous evidence we conclude that LASIK surgery in patients with thinner than “normal” corneas ($< 540 \mu\text{m}$) is safe, efficient and predictable at 1 year follow up for myopic refractive corrections with no evidence of postoperative keratectasia. We can say that the corneal thickness as a “stand alone” parameter it’s not a risk factor.

SR. PRESIDENTE DE LA COMISIÓN ACADÉMICA DEL PROGRAMA DE DOCTORADO EN _____

A María Luisa, compañera de viaje.

A Jorge Aurelio, Andrés Miguel y Luis Mario motivos del viaje.

Agradecimientos

A mi director, Jesús Merayo LLOves, por plantearme el reto de realizar un doctorado que formalizara la labor de investigación que como docente y clínico venía efectuando. Su energía se contagia y lo motiva a uno.

A mi grupo de coinvestigadores, liderado por la Dra. Judith Zavala, Julio C. Hernández Camarena, Alejandro Tamez, José Nava, Denise Loya García, Paloma López, Eduardo Camacho con quienes en los últimos años he podido compartir ideas, pensamientos y reflexiones e ideas. Juntos hemos construido un grupo de lo más interesante y divertido.

A los compañeros del Instituto de Oftalmología y Ciencias Visuales, especialmente al Dr. Juan Homar Páez por su liderazgo transformador y su motivación a ser mejores, también a la Srta. Idalia Diaz por toda la cooperación que han mostrado para hacer compatible todas mis actividades y poder dedicar tiempo a esta empresa doctoral.

Y a todo el Personal de la Escuela de Medicina y Ciencias de la Salud del Tecnológico de Monterrey, en particular Isabel García, Mireya Guajardo, Javier Hernández y Adrián Flores, que en todo momento colaboraron de alguna manera ya sea en la obtención de los datos que fueron incluidos o generando espacios de tiempo para poder llevar a cabo esta empresa.

Al Dr. Guillermo Torre Rector de TecSalud que con su ejemplo y pasión por la investigación médica se convierte en una gran motivación y una aspiración a imitar.

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1. Motivación, Pregunta de investigación y estructura de la tesis.

1.1. Enunciado

La presente tesis doctoral se plantea como consecuencia de una pregunta inicial de investigación. ¿Cuál es el Efecto del Espesor Corneal en la Cirugía Foto-refractiva?

1.2. Motivación

La Queratomileusis in Situ asistida por Laser (Laser *in situ* keratomileusis) LASIK ha sido el tratamiento de elección para la corrección de errores refractivos desde su aparición en 1990 [1,2]. Produciendo resultados visuales inmediatos con una gran eficacia, predictibilidad, estabilidad y seguridad. Por lo tanto, no resulta raro porque el LASIK es el procedimiento electivo más popular, comas de 28 millones de procedimientos realizados a nivel mundial [3,4]. Pero como ocurre con otros procedimientos a mayor numero realizado mayor prevalencia de complicaciones.

Aunque han aparecido métodos efectivos de tratar la mayoría de las complicaciones relativas al LASIK, [5,6] ya sea con medicamentos o correcciones quirúrgicas la ectasia posterior al mimo es una de las más temidas complicaciones e involucra una amplia gama de propuestas de solución que van desde el implante de segmentos intraestromales [7], el crosslinking [8] hasta la queratoplastia [9].

Se han identificado factores específicos para el desarrollo de ectasia corneal post-LASIK tales como: ablación profunda, lecho estromal residual menor a 300µm, topografía corneal anormal y un grosor/espesor corneal menor de 500µm [10–12]. Randleman et al. También consideran factores como la edad y el defecto refractivo a tratar en un sistema que han denominado *Ectasia Risk Score System* (ERSS) con el objetivo de valorar el riesgo preoperatorio de desarrollo de ectasia post-LASIK. [13]. En fechas recientes, el papel del porcentaje de tejido ablacionado (PTA) ha sido declarado por Santhiago et al. como un indicador muy robusto del desarrollo de ectasia en ojo con topografías normales. [14].

Ya sea directa o indirectamente, las corneas delgadas han sido consideradas anormales y por lo tanto como que representan un factor de riesgo mayor de desarrollo de ectasia corneal después de una cirugía fotorefractiva [13,14]. Sin embargo, evidencia reciente parece indicar que las corneas delgadas (<500µm) no solo no son un factor de riesgo, sino que pueden tener un resultado posterior a cirugía fotorefractiva similar a las corneas de un espesor mayor a 500µm [15,16].

1.3 Estructura de la Tesis.

En esta tesis hemos querido hacer una aproximación a este planteamiento dividiéndola en tres secciones, que se corresponden a la secuencia de las etapas en que se desarrolla la misma:

- I. Un estudio de los factores que determinan el espesor corneal a través de una

revisión de la literatura científica actual.

- II. Determinar los parámetros de normalidad en nuestra población estableciendo un estudio de correlación del espesor corneal con la edad, la curvatura corneal y el defecto refractivo.
- III. El comportamiento posterior a cirugía fotorefractiva (Queratomileusis In situ asistida por Laser) de corneas con un espesor corneal central por debajo del promedio establecido en esta misma tesis para nuestra población.

2. Introducción y Resumen

La introducción formal de la tesis se aborda en la sección I, hasta el punto 7.9. El espesor corneal es un parámetro de gran relevancia en oftalmología. Es utilizado en múltiples situaciones clínicas que van desde el glaucoma hasta la cirugía refractiva. Es en esta última en donde hemos centrado el foco de esta tesis. El espesor corneal se viene utilizando como un parámetro que define a la normalidad de la córnea. Sin embargo, nuestro entendimiento de que los factores que intervienen en su conformación son poco comprendidos y estudiados. Lo anterior ha provocado que un grupo de pacientes que buscan una solución definitiva a su problema refractivo sean excluidos de la cirugía fotorefractiva solo por el hecho de tener una cornea “delgada” al tener una paquimetría menor a un valor arbitrariamente definido.

Para abordar esta situación clínica, presente Tesis se ha conformado conformado en tres momentos de investigación que han dado lugar a las tres secciones en que están divididos nuestros hallazgos y que se corresponden a las tres secciones de la misma.

En la primera sección se ha hecho una amplia revisión de la bibliografía científica existente sobre el tema del espesor corneal, sus determinantes genéticos, los factores posiblemente responsables de su expresión. También hemos revisado otros factores diversos. De ahí se ha extraído una de las conclusiones y es la que para definir una cornea como normal de acuerdo a su paquimetría (medición del espesor corneal) se ha de realizar en el contexto de una población definida. Esto da lugar al segundo estudio que conforma la sección dos

de la presente tesis, el cual se ha propuesto definir el parámetro de normalidad en nuestra población de estudio y su asociación con diversos factores como la edad, la curvatura corneal y el equivalente esférico. Con los resultados del mismo se ha podido concluir que los valores comúnmente utilizados para calificar una cornea como normal o anormal no necesariamente se aplican a nuestra población. Lo anterior entonces permitió plantear el tercer estudio, que se corresponde a la tercera sección de la tesis, en el que se evalúa el comportamiento de pacientes que fueron sometidos a una cirugía foto refractiva, pero que tenían como condicionante tener una cornea por debajo del promedio, de acuerdo a lo definido como valores normales en el segundo estudio.

La presente tesis debe ser vista como una unidad que integra en primer lugar el conocimiento del área en la actualidad. En segundo término estableciendo valores de normalidad para el factor a investigar, el espesor corneal. Para concluir con el efecto del mismo sobre la situación clínica a estudiar, la cirugía fotorefractiva.

3. Justificación

Hoy en día, uno de los procedimientos quirúrgicos más frecuentes en oftalmología es la cirugía fotorefractiva. Su aceptación y adopción es prácticamente universal, lo anterior motivado por los convenientes resultados y las ventajas desde muchos puntos de vista que representa para el paciente. Sin embargo, existe un grupo de pacientes que a los que les hemos negado esta opción terapéutica debido a la “anormalidad” de ciertos parámetros corneales. El más frecuente es la alteración en la paquimetría central de la cornea, que evalúa el espesor de la misma.

Hasta nuestro conocimiento, no existe la suficiente información que establezca a ciencia cierta todos los factores que influyen en la determinación del espesor corneal. Tampoco pudimos encontrar un documento que de manera sistemática defina los posibles factores que intervienen, definidos hasta el momento. De ahí que consideramos que era de suma importancia aportar en este campo haciendo una revisión de toda la bibliografía existente hasta el momento y ordenándola de manera sistemática.

En segundo término y teniendo en cuenta lo expresado anteriormente, nos percatamos que en nuestra población no existía información suficiente sobre los parámetros de normalidad en lo referente al espesor corneal y la relación o efecto de algunos otros factores sobre el mismo. Consideramos que era de vital importancia el poder realizar un estudio que definiera esto para nuestra población y que no se asumieran valores de otras poblaciones con una conformación étnica diferente.

Por lo anterior, también es entendible que exista poca evidencia sobre el comportamiento de cornea considerdas como “anormales” o limitrofes al ser sometidas a cirugía fotorefractiva, de ahí que consideramos que era imprtante el realizar un estudio que permitiera ver el comprtamiento de este tipo de pacientes, y que pudiera redefinir los limites de la cirugía fotorefractiva.

4. Hipotesis

Es posible estudiar el espesor corneal y los factores que en el influyen en una población determinada con la finalidad de asociarlo a la posibilidad de realizar cirugía refractiva corneal.

5. Objetivos

1. Definir los factores que influyen el espesor corneal.
2. Establecer los valores normales del espesor corneal en una población determinada y su correlación con la edad, la curvatura central y el equivalente esférico.
3. Establecer parámetros de seguridad y eficacia de la queratomileusis in situ asistida por láser miopía (LASIK) en corneas delgadas.

6. Pacientes, Material y Método

Este apartado se describe con detalle en las secciones I, II y III. A continuación, se muestra un resumen de cada sección.

Método Sección I. La revisión bibliográfica se hizo a través de las bases de datos MEDLINE y PubMed utilizando los recursos de la Biblioteca Digital del Tecnológico de Monterrey, empleando las siguientes palabras clave en inglés: *corneal thickness*, *central corneal thickness* y *central corneal thickness* en combinación con: *genetics*, *genes*, *modifiers*, *factors influencing*, *normal values*, and *epidemiology*. La búsqueda también incluyó artículos en otros idiomas. Se seleccionaron 145 publicados diez años previos a la fecha. Algunos publicados previamente fueron incluidos por su valor histórico.

Método sección II: Análisis retrospectivo de pacientes sanos del Instituto de Oftalmología y Ciencias Visuales, Tecnológico de Monterrey (enero de 2015 a agosto de 2015). Se obtuvo GCC, edad, género, SimK y EE. Se realizó análisis descriptivo de las variables y se utilizó el método de Spearman para correlaciones. La muestra se dividió en 3 subgrupos (<20 años, ≥ 20 y ≤ 40 , y > 40 años) para analizar la correlación entre GCC y edad.

Método sección III: Estudio de cohorte retrospectivo de pacientes del Instituto de Oftalmología y Ciencias Visuales, Tecnológico de Monterrey que fueron sometidos a LASIK miopico entre enero del 2014 y enero del 2015. Se analizaron los expedientes de pacientes mayores de 18 años con topografía normal, refracción estable, agudeza visual mayor de 20/20 (Snellen), espesor corneal central menor a $540 \mu\text{m}$ y un seguimiento de

al menos 12 meses postoperatorios. Se evaluaron las medidas estándares visuales (eficacia, seguridad y estabilidad refractiva) así como el porcentaje de tejido corneal alterado.

7. Sección I.

Factors Influencing Central Corneal Thickness

7.1. Centra Corneal Thickness

Central corneal thickness (CCT) is a parameter of high clinical relevance in ophthalmology. The measurement of corneal thickness, also called pachymetry, is not done just because it is something available at hand, but because of the relevance it can have in several fields of study. CCT can be used as an indirect method to evaluate functional status of the endothelial cell layer,(1) and it has been identified as an independent risk factor for developing glaucoma.(2) It also plays an important role in the management of ocular hypertension, since its measurement with some methods such as applanation tonometry can be altered by differences in CCT.(3) Corneal thickness is also of great relevance in the preoperative management of candidate patients for refractive surgery in order to determine which procedure is most suitable for each patient.(4,5) Conditions such as keratoconus and some corneal dystrophies have also been associated with decreased CCT.(6,7) Given the numerous areas in which corneal thickness plays a relevant role, there has been research done about each of these topics in particular. However, there is a gap in the literature when addressing the subject of corneal thickness, the majority of the articles published address very particular populations or specific problems in which corneal thickness play a part, but there is a lack of articles that give a general view of what is central corneal thickness, all the factors that can affect it and its clinical implications. The purpose of the present review is to fill in this gap by approaching the subject of CCT from a stand point that covers all aspects related to central corneal thickness from the anatomical and physiological basis behind it, to the field of

biomechanics and corneal topography, it's so called “normal” values, the genetic implications behind it and the factors influencing it. **Figure 1** illustrates some of the factors influencing CCT and the way they relate to thickness, these will be further analyzed throughout the article.

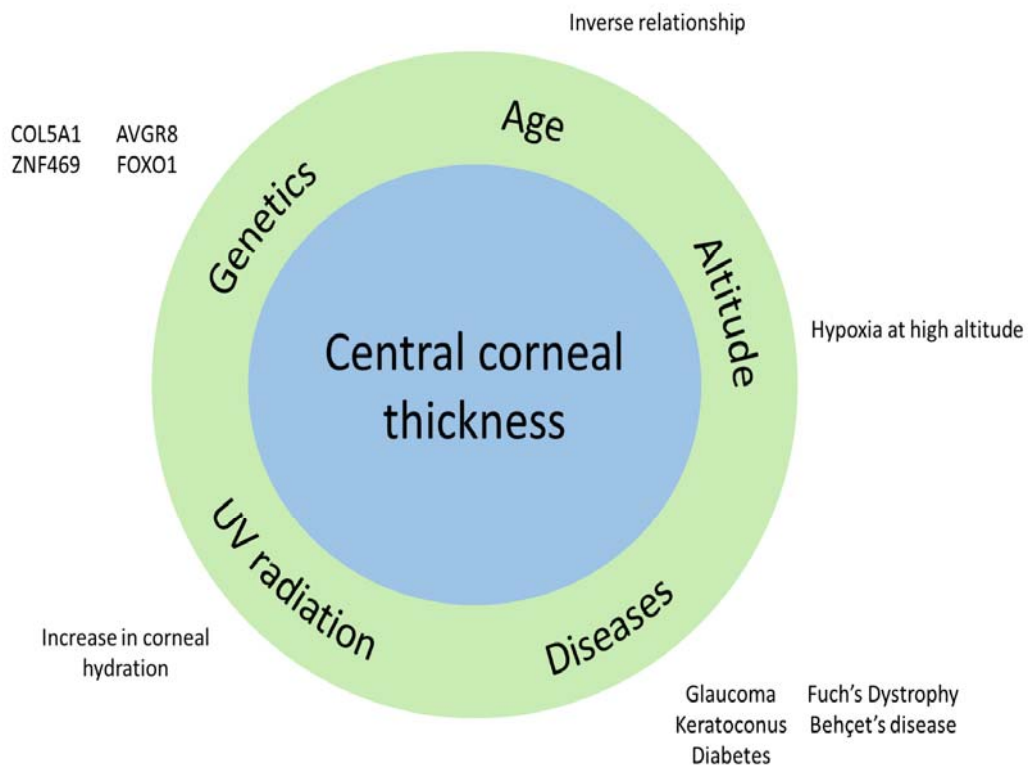


Figure 1. Factors influencing CCT

7.2 Cornea: physiology, structure, function

The cornea represents the most anterior part of the human eye. It is a highly specialized transparent tissue situated in front of the iris and the pupil, and inserts into the sclera at the limbus. In the adult, the average horizontal diameter is 11.3–12.1mm(8) and it is approximately 0.8 mm greater than the mean vertical diameter.(9) The cornea is flatter in the periphery and steeper centrally, giving it a shape that makes an aspheric optical system. The cornea is an avascular and

highly ordered tissue. Physiologically, the periphery depends more on the blood supply from the vessels that provide oxygen and some nutrients, while the central cornea depends mostly on surrounding fluids, aqueous humor and tear film.(10)

There are five layers distinguished in the cornea, from external to internal: the corneal epithelium, Bowman's membrane, the stroma, Descemet's membrane, and the endothelium. The epithelium acts as a barrier of the cornea; it has five or six layers of cells of non-keratinized, non-secretory, stratified squamous epithelium, which give it a thickness of 58 μ m. The epithelium is covered with a tear film of 5 μ m.(11) Together, the tear-air border and the underlying cornea are responsible of two-thirds of the total refractive power of the eye. The corneal epithelium is constantly being regenerated and repaired, complete renewal of corneal epithelial cells is estimated to occur every 7–10 days.(12) The Bowman's membrane is one of the two acellular layers of the cornea. It is a modified portion of the stroma with a tightly intertwined meshwork of collagen fibrils that separates the epithelium from the stroma.(13) Underneath the Bowman's membrane is the corneal stroma, which accounts for about 90% of the corneal thickness (460-500 μ m).(14,15) It is composed by extracellular matrix, keratocytes, and proteins.(16) It is responsible of corneal transparency and along with proteins as proteoglycans provide the strength and hydration needed for proper sight function.(17,18) The Descemet's membrane is a discrete layer composed of a fine strips mostly of type IV collagen, laminin, and fibronectin secreted by the corneal endothelium.(19) It is known to have regenerative potential,(20) but its function is not entirely known. The endothelium is the posterior corneal monolayer of hexagonal cells that functions as a system through which nutrients pass in and waste is removed through simple and facilitated diffusion and active transport. Its main function is to regulate corneal hydration through active ATP bicarbonate-dependent pump, which allows the eye to perform its visual function.(21,22)

The existence of a sixth corneal layer has been proposed in recent years. This new corneal layer

was discovered thanks to the big bubble technique of deep anterior lamellar keratoplasty (DALK), and is called Dua's layer. It has been defined as an acellular, strong layer in the pre-Descemet's cornea of 10.15 ± 3.6 microns composed of 5 to 8 lamellae of predominantly type-1 collagen bundles arranged in transverse, longitudinal, and oblique directions.(23) The discovery of this layer has raised controversy, with some surgeons stating this discovery brings further insight on the field of DALK surgery,(24) while others question the existence of the Dua's layer stating it is stroma and not a new corneal layer.(25) Further research on this subject is needed in order to reach a consensus on the existence of this corneal layer.

As stated above, the stroma is the corneal layer that accounts for most of the corneal thickness. Its extracellular matrix is composed mainly of collagen fibrils. Corneal collagen is synthesized by keratocytes in the form of procollagen with two additional peptides, one at each end. Procollagen proteinases located in the extracellular space remove the extension peptides from the precursor molecule and transform it to collagen. The enzyme lysyl oxidase deaminates the lysine or hydroxylysine of the end chains, allowing collagen to form cross-links between fibrils, which then convert during maturation to trivalent cross-links.(26–29) Corneal fibrils are composed mostly of type I collagen that co-assemble into a complex with heterotypic fibrils of type V collagen. The ratio of type V to type I collagen seems to regulate the fibril diameter and the thickness of the corneal stroma.(30,31) Type V collagen co-aggregates with type I collagen and the protruding NH_2 terminal domains of this aggregate cause steric hindrance to prevent accretion of more molecules onto the fibril surface. This limits the diameter of the fibrils in the cornea, from 31 to 34 nm.(32) Corneal collagen fibrils are packed in parallel bundles extending from limbus to limbus.(30) These bundles arrange in layers known as lamellae, which assemble in the middle and posterior regions of the stroma at approximate right angles, and those in the anterior stroma at less than right angles. The small diameter of the collagen fibrils and their close, regular packing are responsible

for the ability of the cornea to scatter 98% of incoming light. The lamellar organization of the stroma also allows the cornea to maintain intraocular pressure and the appropriate curvature.(30) The difference in the organization of the collagen bundles in the anterior stroma may contribute to a tighter cohesive strength in this area, and may explain why anterior curvature resists change to stromal hydration more than posterior stroma.(1) Another mechanism of cross-linking that influences the strength of the stromal tissue is nonenzymatic glycation, in which prolonged exposure to monosaccharides results in bonding between the reducing sugar and the amino group of a protein.(26,28,33,34)

Keratan sulfate proteoglycans are the predominant proteoglycans within the corneal stroma. Lumican and keratocan are the core proteins of keratan sulfate proteoglycans, lumican being a regulatory protein for keratocan expression. These molecules are regulators of collagen matrix organization an assembly in the corneal stroma.(35) Lumican, keratocan and mimecam are believed to play a significant role in corneal transparency due to their specific collagen binding sites.(36) Proteoglycans bind to the exterior surfaces of collagen fibrils, and their glycosaminoglycan side chains attract cations and water molecules, which may cause swelling pressure on collagen fibrils that is balanced by interactions between collagen types I and XII.(30) Keratocytes are the principal cell type of the stroma. They produce the collagen and ground substance and are arranged parallel to the corneal surface and located between the collagen lamellae. There have been differences identified between the anterior and posterior stromal keratocytes, such as fenestrations that indicate heterogeneous functions including facilitating of diffusion and mechanical attachment of collagen fibers. The organization of keratocytes forming closed sheets of communication create equal chances for all light rays to pass and minimize variation in light scattering over the entire cornea (37).

As mentioned, part of the corneal endothelium function is to regulate corneal hydration and as a

direct consequence of this, corneal transparency (21). This pump function of the corneal endothelium is mainly in charge of the transport protein Na^+/K^+ -ATPase. A healthy cornea has a density of 4.4 trillion ATPase sites/ mm^2 , and the cornea has compensatory mechanisms to prevent corneal edema such as increasing the activity or density of the pump sites. Its function can even be clinically assessed by measuring changes in corneal thickness (pachymetry). The point at which the compensatory mechanisms of the corneal endothelium fail, and corneal edema results, is when the central endothelial cell density reaches around 700-400 cells/ mm^2 (38,39).

The cornea is one of the most innervated and sensitive tissues of the human body.(40–42) Epithelial nerve density of the cornea is 300-600 times that of the skin, with corneal sensitivity being most acute in the central cornea and along the horizontal meridian and least sensitive in the vertical meridian.(43,44) Most of the corneal nerves are sensory in origin and are derived from the nasociliary branch of the ophthalmic division of the trigeminal nerve.(40,42). Corneal nerves respond to mechanical, thermal, and chemical stimulation of the cornea, hence protecting the cornea from external threats and stimuli by initiating nerve reflex mechanisms.(37,41). In addition to their sensitive function, corneal nerves have a role in the maintaining of the functional integrity of the ocular surface by releasing trophic substances, such as neuropeptides and growth factors, that promote epithelial homeostasis and by activating brainstem circuits that stimulate tear production and blinking.(41,43) Central corneal nerves do not have a myelin sheath in order to maintain corneal transparency. Also, thick stromal nerve trunks move radially from the periphery towards the center below the anterior third of the stroma in order to preserve the organization of the collagen lamellae (42).

7.3 Corneal Topography

The cornea has a complex geometric structure. There are basic anatomic components of the cornea: thickness, radius of curvature and surface irregularity (45). The measurement and quantification of these components are essential to know the physiologic functions, the diagnosis of corneal diseases and as a screening tool for corrective surgery. Some technologies like corneal tomography, very high frequency ultrasound (VHF), slit scanning, and high-speed anterior segment optical coherence tomography (OCT) are used to measure these components. The ultrasound pachymetry, used to measure the thickness of the cornea, has been considered the gold standard for years (46).

Typically, the shape of the cornea is not spherical; instead, it is considered to have a toroidal shape. Topographically, the anterior cornea is divided in three zones: the apical, peripheral, and limbal zone (45). The apical zone is also named as the central region of the cornea with a constant radius of curvature, which shows a gradual flattening resulting in an aspheric surface called peripheral zone, and the limbal zone is defined as the junction of the cornea with the sclera.

The cornea is characterized by its complex nonlinear anisotropic elastic and viscoelastic properties(47) and the maintenance of the corneal shape and curvature are governed by the intrinsic biomechanical structure and extrinsic environment in a dynamic equilibrium. The intraocular pressure that exerts a force on the inside face of the cornea is the most important extra-corneal factor; less important factors are the external atmospheric pressure, the lids, extraocular and ciliary muscles during accommodation that induce a change in its curvature during accommodation (48–50). The stroma is responsible for the majority of the cornea's tensile strength and its mechanical properties. It has been established that the most anterior part (120 μ m) is responsible for the stability and maintenance of its curvature (51). It has also been

discussed if the Bowman's layer has a real function for the maintenance of the corneal curvature, suggesting that it constitutes only a visible indicator of ongoing stromal-epithelial interactions (52).

Corneal topography is the measurement of the corneal shape. There are two different ways of studying topography, one method is called videokeratoscopy and the other is elevation based topography. Videokeratoscopy, also known as Placido-based topography, studies corneal shape by analyzing rings reflected off the corneal surface.(53) Even though this method is better than its precursor keratometry it has some disadvantages.(54) Videokeratoscopy evaluates only about 60% of the total corneal area, which can leave out relevant data of peripheral or para-central pathologies such as keratoconus.(53,55) Another disadvantage of videokeratoscopy is that it doesn't provide information about the posterior corneal surface, which can give information on ectatic disorders before they present on the anterior corneal surface and is key in the development of pachymetric maps, as well as in reconstruction of corneal surface.(53,56) The other method used for the study of corneal topography is called elevation-based topography, and it uses a stereo-triangulation technique to make direct measurements of the corneal surface. Elevation-based topography uses optical cross sectioning to triangulate both the anterior and posterior corneal surfaces, which offers important advances over Placido-based devices, such as the ability to produce pachymetric maps, as well as being more accurate in determining morphology as well as identifying keratoconus (57–61). This method of topography allows clinicians to view elevation data compared with a best fit sphere, which gives the most useful qualitative map (53).

7.4 Corneal Biomechanics.

Biomechanics is the development, extension and application of mechanics for the better understanding of the physiology and physiopathology, as well as the diagnosis and treatment of disease and injury. The aim of biomechanical modelling of human tissues is to predict the results or effects of different surgical treatments or therapies. (62) Corneal biomechanics includes the measurement of central pachymetry, but it also englobes other parameters such as viscosity, elasticity, hydration, regional pachymetry and other factors (63). As exposed in the corneal physiology section, pachymetry is given mainly by the corneal stroma and its components. Corneal elasticity, curvature and transparency are related to the way collagen fibrils are arranged. Proteoglycans and its relationship with collagen types I and XII are related with corneal hydration (35) as are endothelial integrity and function (64). Recalling the anatomical structure of the cornea is important since alteration of the components can affect corneal biomechanics, as can be seen with collagen tension disruption in refractive surgery (65,66). **Figure 2** summarizes the factors that influence corneal biomechanics.

To date, there are only 2 devices available for providing corneal biomechanical data in a clinical setting, the Ocular Response Analyzer (ORA) (Reichert Technologies, Buffalo, New York, USA), a dynamic bidirectional applanation device, and the Corvis ST (Oculus Optikgeräte GmbH, Wetzlar, Germany), a dynamic Scheimpflug analyzer device.(62) Both of these devices report a dynamic assessment of corneal biomechanical properties such as corneal hysteresis, which reflects corneal viscosity, and corneal resistance factor, that relates to the elastic properties of the cornea.(67)

Understanding of corneal biomechanical parameters is important because minimal changes in the corneal shape can induce significant variations in the optical properties of the eye. Changes due to refractive surgery or corneal diseases also occur in the mechanical properties of the cornea, not

just the optical properties. It is essential to understand the consequences of modifications in geometry of the cornea to improve the diagnosis and management of ectatic corneal disorders such as keratoconus, and to understand the biomechanics of intraocular pressure after surgical procedures.

Decreases in corneal hysteresis and corneal resistance factor have been reported after refractive surgery. These findings may be related with weakening of the corneal structure induced by laser ablation. Alteration of corneal biomechanics by LASIK flap creation and excimer laser ablation affects the postoperative measurement of intraocular pressure by Goldmann applanation tonometry; however, other devices like the ORA have lower standard deviations in its measurements and provide useful complementary clinical data.(67) It has also been frequently considered that corneas with CCT below 510 μ m have a greater weakness for excimer laser refractive procedures,(68) however other reports have shown safety and effectiveness in patients with CCT values <500 μ m.(69).

Factors influencing corneal topography and biomechanics

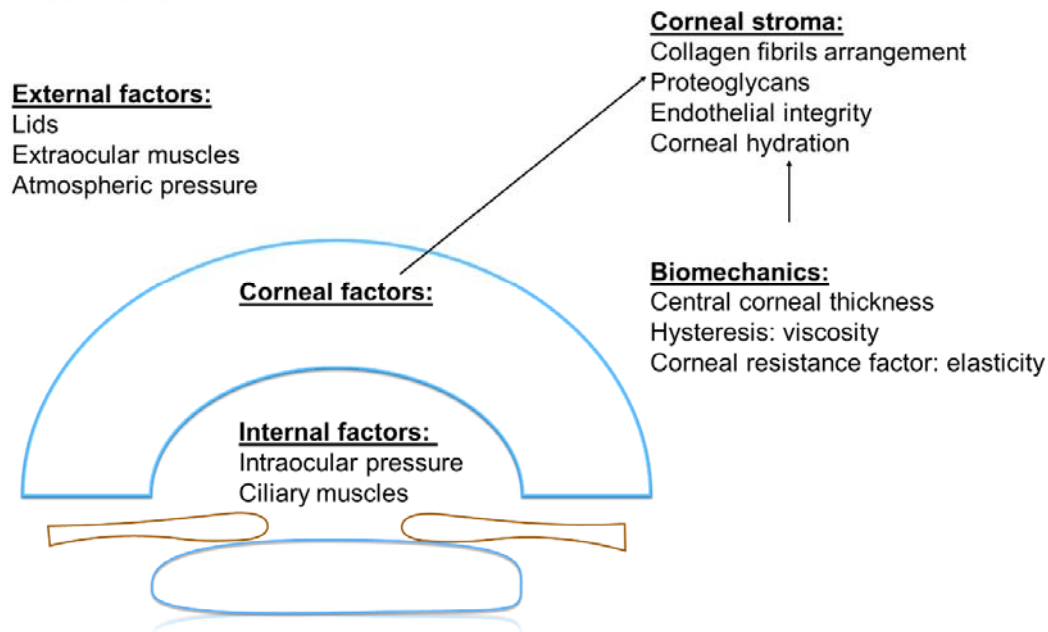


Figure 2. Factors influencing corneal topography and biomechanics. Figure presenting the factors that influence corneal topography by dividing them in external factors, internal factors and corneal factors. Corneal factors are directly related to the components of the corneal stroma. Biomechanical properties of the cornea such as central corneal thickness and hysteresis, are in turn also dependent on these components.

The understanding of corneal anatomy and physiology are useful for the understanding of the underlying mechanisms responsible for corneal biomechanics. It is of great importance to further study corneal biomechanics because minimal changes can alter optical properties of the cornea and weaken its structure making the cornea more susceptible to conditions such as ectasia or alter postoperative measurement of parameters such as intraocular pressure. Corneal biomechanics give a global vision of the way the cornea behaves and the effects surgical treatments or diseases have on the cornea; however, this is a field still growing with new findings changing the boundaries of what is known and can be done with safety regarding corneal stability.

7.5 Clinical significance of CCT values

Corneal thickness is a determinant of corneal refractive power, which contributes to normal vision (31) and variations in this parameter have relevance in several ophthalmologic conditions. Certain eye conditions seem to have an association with thinner or thicker corneas. For example, eyes with congenital glaucoma may have thinner corneas, while eyes that have had cataract surgery, Sturge-Weber Syndrome, or aniridia, often have thicker corneas (70). Reduced CCT is also important for the diagnosis and progression of primary open-angle glaucoma (71). Thin corneas are also present in keratoconus, a corneal ectasia with a prevalence of 1:2000 in general population (7) CCT could be abnormal in corneal dystrophies, some genetic diseases like Ehlers-Danlos syndrome, Brittle corneal syndrome (BCS) or Osteogenesis Imperfecta, as well as seen in herpes simplex keratitis.(72) CCT is also important in determining person's suitability for laser refractive surgery, and in the assessment of intraocular pressure (IOP) values in patients undergoing refractive and corneal transplant surgery, as well as in contact lens wearers.(73,74) **Table 1** summarizes some of the main clinical implications of CCT. This section will give a more in depth review of these subjects.

Perhaps one of the most studied implications of CCT is its impact on the assessment of IOP and on the diagnosis and management of glaucoma (3). Applanation tonometry is influenced by CCT. Thicker corneas give an overestimation of IOP readings when measured with applanation tonometry.(75) In a similar sense, thin corneas lead to an underestimation of intraocular pressure.(73) Findings such as these, have made the use of corneal pachymetry in the management of patients at risk for or with glaucoma increasingly recognized as important and necessary.(76) Several correction algorithms have been described, however the consensus is that regardless of the models and correction algorithms studied, adjustments for IOP based on CCT are

critical for clinical management.(2)

The Ocular Hypertension Treatment Study, a multicenter randomized trial designed to evaluate safety and efficacy of topical ocular hypotensive medication in delaying or preventing the onset of primary open-angle glaucoma, showed that a thin CCT measurement was a strong predictor for the development of primary open-angle glaucoma in patients with ocular hypertension.(3) For every 40 μ m decrease in CCT the relative risk was 1.71, and individuals with CCTs of 555 μ m or less were found to have 3times greater risk of developing glaucoma compared with patients with CCTs of greater than 588 μ m.(2)

Another aspect in which CCT has various implications is in patients undergoing refractive surgery. One of the options available for refractive surgery is LASIK. Given the high satisfaction rates of LASIK and its widespread use, patients have high expectations of this procedure; however, there are several risk factors that can lead to complications or retreatment of the patients.(77) Among the complications of this procedure is the development of corneal ectasia, which has been defined as a progressive steepening and thinning of the cornea after excimer laser corneal refractive surgery that reduces uncorrected and even best spectacle-corrected visual acuity. This complication has been frequently reported in patients with risk factors such as keratoconus, forme fruste keratoconus and high myopia;(5) however, it has also been described in patients without these risk factors, leading to the development of scores to predict the development of ectasia. One such score is the Ectasia Risk Score System proposed by Randleman et al. which among its parameters considers preoperative corneal thickness and residual stromal bed thickness. (4) Santhiago also described a relationship between the percent tissue altered and the risk of developing ectasia in patients with normal preoperative topographic pattern. The percent tissue altered calculation also takes into account the patient's preoperative central corneal thickness. (78) These data demonstrate that corneal thickness is a relevant parameter in

determining if a patient is a candidate for LASIK surgery or should undergo a different procedure. Patients with thin corneas where stromal residual bed after LASIK would be less than 300 μm and patients with flat or steep corneas, are considered better candidates for photorefractive keratectomy (PRK)(79) However, patients with a final central corneal thickness $<400 \mu\text{m}$ are not considered candidates for PRK of LASIK. These limits are controversial and different corneal thickness cutoffs have been proposed. Frequently, corneas below 510 μm are considered as thin and therefore as corneas with biomechanical liability or weakness for excimer laser refractive procedures (LASIK, PRK). However, there is increasing evidence concerning the safety and effectiveness of LASIK surgery in patients with CCT values $<500\mu\text{m}$, which suggest that there are other factors that affect corneal structural stability independently of CCT. Hence, in order to consider a cornea as “normal”, the entire topography (topographic pattern, pachymetry map and elevation maps) along with the expected CCT for a given population, should be taken into account (80).

In line with the topic of refractive surgery, there is a growing concern that the process of removing corneal tissue during this surgery will lead to an increased difficulty in diagnosing glaucoma. Since removing of corneal tissue leads to a thinner corneal thickness, this surgery tends to alter IOP measurements and may in turn require greater emphasis on the assessment of the optic disc and visual fields for the diagnosis and treatment of glaucoma (6).

Corneal thickness is also an important feature of keratoconus, a condition in which the cornea assumes an irregular conical shape secondary to non-inflammatory thinning of the corneal stroma. The thinning of the cornea induces irregular astigmatism, myopia and protrusion, leading to impairment in the quality of vision.(7,81) In fact, one of the treatment options available for this condition is cross-linking, which uses riboflavin and UVA light in order to form new covalent bonds, or cross-links, between collagen fibrils thus strengthening and stabilizing the cornea.(28) The

result of such treatment is an increase in resistance against enzymatic degeneration of the cornea, increase in the diameter of collagen fibrils and improvement in visual acuity.(82) There have been several studies about the genetics behind keratoconus, and while there is still work to be done to confirm the specific roles of the genes implicated in the disease, among the genes that have been identified are visual system homeobox1 (*VSX1*) and superoxide dismutase 1 (*SOD1*), collagen crosslinking enzyme lysyl oxidase (*LOX*), *COL5A1*, *FOXO1*, zinc finger protein 469 (*ZNF469*), among others.(31,83–86)

Reduced CCT has also been associated with some genetic diseases such as congenital glaucoma, osteogenesis imperfecta, Down syndrome, X-linked megalocornea, keratoconus, Marfan syndrome, and Ehlers-Danlos syndrome, whereas increased CCT has been found in patients with congenital aniridia (70).

Taking on account the different conditions presented, it can be seen that CCT has importance in several scenarios, from being a factor influencing in the measurement of clinical parameters, to being a risk factor for certain diseases, or determining if a patient can undergo a certain type of surgery or not. These implications encourage to the establishment of pachymetry as an important element when approaching the ophthalmologic patient. The broad spectrum of implications of this parameter encourages further investigation of the factors involved in its expression and other clinical implications it may have, not just in the corneal and refractive surgery field but in other areas of ophthalmology as well.

7.6 “Normal” CCT values

Once the clinical importance of the CCT has been discussed, the question that comes to mind is: ¿What are the “normal” parameters of corneal thickness? It is known that CCT values vary between ethnic groups, and that there are several factors either extrinsic or intrinsic that can

influence it (these factors are discussed in another section of the review), however several studies have been made trying to find a value for what can be taken as a normal CCT.

Although there are racial variations, the average adult CCT is 550 μm (87) In a meta-analysis by Doughty and Zaman they reported CCT value in normal eyes with a mean of $536 \pm 31 \mu\text{m}$ (75). It has been questioned if corneal thickness by itself could affect the measurement of IOP and vice versa. This meta-analysis also revealed a significant association between the interrelationship of IOP and CCT; it was found that the difference in IOP was significant in patients in the category with “chronic disease”, highly variable in patients with acute onset disease and this difference was smaller for eyes designated as healthy.(75)

Doughty & Zaman established that it is hard to compare the CCT of different races since some conditions (such glaucoma, hypertension and diabetes) and their prevalence are known to cause changes in CCT (75). Currently, there’s considerable research dedicated to investigate the mean CCT value of different ethnic groups and populations that indicate strong evidence of ethnic differences in CCT. **Table 2** summarizes most of the populations studies conducted in this regard (80,88–103). There are differences between ethnic groups that have been measured using ultrasound pachymetry showing a wide distribution between the ethnic groups, for example the Turkish population had the lowest CCT (500 ± 347) while the Chinese subjects the thickest (555.96 ± 32.41). It is essential to compare information obtained from studies using similar methods in aim to draw meaningful assumptions. It has been recognized that genetic classifications of ancestry could serve as a more accurate estimate of ethnicity groups to detect true biological differences. (104)

Table 1: Population based studies on CCT

<i>Ethnic group</i>	<i>Country</i>	<i>Number of participants</i>	<i>Mean CCT ± SD (µm)</i>	<i>Mean age ± SD (years)</i>	<i>Glaucoma included</i>	<i>Device</i>	<i>Reference</i>
<i>African</i>	USA	107	521.0 ± 3.9		YES	US Pach	57
<i>African</i>	USA	84	529.3		YES	ORA	58
<i>Asian</i>	China	157	555.6 ± 3.4		YES	US Pach	57
<i>Asian</i>	Japan	121	531.7 ± 4.1		YES	US Pach	57
<i>Asian</i>	Hong Kong	74	555.96 ± 32.41		NO	US Pach	59
<i>Asian</i>	Korea	1259	530.0 ± 31.5		YES	US Pach	60
<i>Asian</i>	Nepal	152	540 ± 30		NO	US Pach	61
<i>Asian</i>	Philippines	114	550.6 ± 3.8		YES	US Pach	57
<i>Black</i>	Nigeria	95	547.0 ± 29.5		NO	Not Found	62
<i>Black</i>	R.Cameroon	85	528.74 ± 35.89		NO	US Pach	63
<i>Black</i>	Nigeria	130	548.97 ± 34.28		NO	US Pach	62
<i>Caucasian</i>	USA	186	550.4 ± 3.2		YES	US Pach	57
<i>Caucasian</i>	Germany	390	548 ± 37		NO	Orbscan	64
<i>Caucasian</i>	Spain	357	548.21 ± 30.7		NO	US Pach	65
<i>Caucasian</i>	Australia	84	541 ± 31		NO	US Pach	66
<i>Caucasian</i>	Yemen	2,304	521.7 ± 31.62		NO	US Pach	67
<i>Hispanic</i>	USA	96	544.7 ± 38.9	61.6 ± 12	YES	ORA	58
<i>Hispanic</i>	Brazil	90	547.5 ± 32	35.80 ± 12.83	NO	PETCAM	68
<i>Hispanic</i>	USA	116	548.1		YES	US Pach	57

<i>Hispanic</i>	Mexico	93	545.69 ± 36.88	32.54 ± 12.04	NO	AccuPach VI	43
<i>Indian</i>	India	101	528.1±35.0	69.2±10.9	NO	PETCAM	69
<i>Indian</i>	India	4711	514+/-33		NO	US	70
<i>Indian</i>	Pakistan	100	531.29±33.3 3	44.29 +/- 15.18	NO	US Pach	71
<i>Aborigine</i>	Australia	91	511 ± 34		NO	US Pach	66
<i>Latin</i>	USA	634	546.9 +/- 33.5		YES	US Pach	72
<i>Turkish</i>	Turkey	517	500 ± 37	68.46 +/-10.4	NO	US Pach	73
<i>White</i>	USA	90	549.9		YES	ORA	58

7.7 Genetic aspects of CCT

Studies have been made with genes affecting corneal architecture, in which a relation between genes and the CCT has been found. Of the first candidate genes to be studied were the ones related with the corneal architecture and that were associated with genetic diseases such as osteogenesis imperfecta or Ehlers Danlos. Genes associated with the development of the anterior segment have also been studied, such as *PAX6*, forkhead box 01 (*FOXC1*) and zinc finger 469 (*ZNF469*). Genome-wide association studies (GWAS) have identified some candidate genes, such as *COL5A1* and *ZNF469*, both have been described in diverse population. Others have been described in specific population, such as autogenous vein graft remodeling associated protein 8 (*AVGR8*), which has been associated to Caucasians, *COL8A2* to Asians and American Caucasians; *IBTK* to Asians; *AKAP13* to Caucasians; *CHSY1* to Asians, and *FOXO1* to Caucasians and Latino (USA).(71)

From those mentioned above, there are four main genes known to influence CCT: *COL5A1*, *FOXO1*,

AVGR8, and *ZNF469*.

Collagen is the most abundant protein in the body and its fibrils are responsible for the functional integrity of tissues and contribute a framework within which the tissue functions. They closely relate to proteoglycans, hybrid-protein-polysaccharide molecules that form an interfibrillary matrix. The relative proportion of collagen to interfibrillary matrix and the nature of this interaction impart characteristic features to tissues, also accounting for the water content of the tissue. Particular chemical groupings on the collagen molecule determines its physiological characteristics and the methods by which they impart tissue specificity (105). In the cornea, collagen is the principal component of the stroma. The arrangement of the regularly orientated collagen fibrils, which is maintained by chondroitin sulphate and keratan sulphate with interspaced keratocytes; is critical to optical clarity (19). Collagen type 5 determines the diameter of the corneal collagen fibrils. *COL5A1* (OMIM: *120215) is located at 9q34.3, has 66 exons and encodes for $\alpha 1$ (V) chain of type V collagen. *COL5A2* (OMIM: *120190) is located at 2q32.2, has 54 exons and encodes for $\alpha 2$ (V) chain of type V collagen. These genes are present in over 50% of the families with classic Ehlers Danlos. Collagen V determines 15-20% of the fibrillary collagens in corneal tissue.(106) In the *Col5a1*^{+/-} mouse cornea, type V collagen content decrease by approximately 49 % and stromal thickness by approximately 26%. Total collagen deposition in *Col5a1* (+/-) corneas also decrease. Collagen fibril diameters are increased, but fibril density decrease throughout the stroma at all developmental stages.(71,106,107) In patients with classic Ehlers-Danlos syndrome, the mean CCT is $435.75\mu\text{m} \pm 12.51\mu\text{m}$ (range, 415–448 μm), the corneas are thin, steep and transparent with floppy eyelids (106).

Additionally, there are reports of two SNPs, rs1536482 and rs7044529, located near and within *COL5A1* associated with reduced CCT.(31,108) In a study conducted with three independent cohorts of patients in which selected SNPs located within or near *COLA5A1* (including those

associated with CCT) for genotyping for association with keratoconus, rs1536482 and rs7044529 SNPs were found to be associated with keratoconus and CCT.(109) Corneal thinning is one of the hallmarks of keratoconus; however, it is not clear whether the *COL5A1* association with keratoconus is an independent finding or is due to association with corneal thinning in general. In this study, although the difference in CCT between the genotypes was not statistically significant for rs1536482 and rs7044529, the effect size of the risk allele was -3 and $-10\mu\text{m}$ respectively, suggesting that the association between keratoconus and this gene may be independent of CCT.

ZNF469 (OMIM *612078) is located at 16q24, has a single exon and encodes for a zinc protein finger 469. Its function is unknown. However, this protein has a 30% homology to the helical parts of COL1A2, COL4A1, COL1A1, all which are highly expressed in the cornea. The transparency and strength of the cornea requires maintenance of structural organization, as well as the precise regulation of fibril and matrix assembly. *ZNF469* either could act as a nuclear transcription factor or as an extra-nuclear regulatory molecule involved in the synthesis and/or organization of these collagen fibers (110). There is another gene related to brittle cornea syndrome (BCS), *PRDM5* (OMIM *614161), located at 4q27, which encodes for a transcription factor, but still has not been identified by GWAS as a contributor to CCT. It is the most frequent genetic cause of BCS,(111) and close variants may contribute to CCT variation.(72) Mutations in *PRDM5* and *ZNF469* have been correlated with disarray of collagens I and III, fibronectin, and their receptor $\alpha 2\beta 1$ and $\alpha 5\beta 1$ integrin in vitro through shared molecular pathways.(112) In keratoconus, heterozygous alleles of *ZNF469* have been associated with the disease development with a relative risk of 12.0.(113) This evidence highlights *ZNF469* as the main genetic factor of keratoconus.

FOXO1 (OMIM *136533) is a protein coding gene located at 13q14. Its protein is the main target of insulin signaling and regulates metabolic homeostasis in response to oxidative stress. *FOXO1* expresses in the cornea, although it has no proven function in ocular development it is one of the

targets for transcriptional regulation by *FOXC1*, which play a critical role in corneal development. Mutations in *FOXC1* are associated with various anterior segment malformations and glaucoma in Axenfeld-Rieger syndrome. Two recent different studies conducting GWAS have reported the SNP rs2721051 in the genomic region of *FOXO1* with strong association with a risk of keratoconus (odds ratio of 1.62 and 1.4). (86,114) Further evaluation of the clinical relevance of these SNP along with analysis implicating the collagen and extracellular matrix in the regulation of CCT will allow understanding the molecular pathways of CCT.

Vitart described the locus defined by rs1034200 as a factor related with CCT (31). This locus was found 5kb from *AVGR8* gene, encoding a putative transcription factor with typical ZNF and KRAB domains, in chromosomal region 13q12.11. The *AVGR8* gene appears to be a transcription factor of unknown function with a Krueppel-associated box (KRAB) domain and at least five prototypical C2H2 ZNF domains. Although only a few genes regulating corneal gene expression are known, it is believed that *AVGR8* could play a role in the correct assembly and organization of the corneal structure. In a study reported in 2012, the same locus rs1034200 near from *AVGR8* showed relation with Fuchs dystrophy. However, the effect was much larger in CCT than in Fuchs. This study estimated that along with three SNPs in *ZNF469* and with rs1409832 between *COL5A1* and *RXRA*, *AVGR8* is associated with an 8- to 16- μ m change in corneal thickness (115).

Additional GWAS have identified a number of genes and SNPs associated with CCT, **Table 3** summarizes the findings of the genes described as well as some of the reports on these other genes and SNPs that could be related to CCT (115–121). Together with *COL5A1*, *FOXO1*, *AVGR8*, and *ZNF469*, the analysis of the influence of these genes over CCT will eventually provide a catalog of common genetic variation affecting corneal structure and their relevance in the treatment of corneal diseases.

Table 2: Genes associated with CCT variations

<i>Gene</i>	<i>Experimental model</i>	<i>Experimental strategy</i>	<i>Results</i>	<i>Reference</i>
<i>Col8a1</i> , <i>Col8a2</i>	Mice	Gene inactivation	Disgenesis of anterior segment of the eye Thinner Descemet's membrane Enlarged corneal endothelial cells and reduced in number, decreased ability to proliferate in response to different growth factors in vitro	Hopfer et al, 2005
<i>Col8a2</i> , <i>TCF4</i>	Human FECD & control corneal specimens	Genotyping (SNPs)	The G allele of rs613872 in <i>TCF4</i> was associated with increasing corneal thickness (each copy conferring an expected 18.6- μ m increase) Each copy of the minor allele of rs4652900 was associated with a 14.8- μ m decrease in CCT The minor T allele of SNP rs6084312 was associated with an increase of ~14 μ m	Igo et al, 2012
<i>Col8a1</i> , <i>Col8a2</i> in POAG patients	Human POAG and control bucal specimens	The entire coding region of <i>COL8A1</i> and <i>COL8A2</i> was sequenced	Three patients with CCT less than 513 μ m and advanced POAG have missense changes in <i>COL8A2</i> Missense changes were not found in any of the patients with CCT>513 μ m and missense changes in the <i>COL8A1</i> gene were not found in any patient	Desronvil et al, 2010
<i>Pax6</i>	<i>Pax6</i> ^{+/-} mice	Fetal and postnatal corneal histopathology, adult corneal thickness, and the distribution of K12-immunostained cells were compared in wild-type and <i>Pax6</i> ^{+/-} mice	The corneal stroma was thicker centrally, with an irregular lamellar alignment	Ramaesh et al, 2003

<i>Lumican</i>	<i>Lum</i> ^{-/-} mice	Confocal microscopy through focusing	Corneal stromal and epithelial thickness was reduced in <i>Lum</i> ^{-/-} mice as compared to WT mice Stromal thicknesses were greater in the Bcl-2 transgenic group compared to wild-type and decreased in the Bax knockout	Meij et al, 2007
<i>Bcl2, Bax</i>	Adult Bcl-2 transgenic and Bax knockout mice and wild-type controls	Polymerase chain reaction was used to confirm genotype In vivo tandem scanning confocal microscopy	Homozygosity of the disrupted gene led to substantial reductions in thickness	Roberts et al, 2006
<i>Col1a1</i> <i>Col1a2</i>	mouse model of Osteogenesis imperfecta with a <i>col1a2</i> mutation	CCT measurement with noncontact optical low coherence reflectometer (OLCR; Haag-Streit, USA). SNP's (tissue samples from the tails)	Oim/oim mice showed CCT decreased of ~15% when compared with the control Wt/oim mice showed CCT decrease of ~8% The addition of each <i>oim</i> mutant allele resulted in a 7.8% reduction in CCT Polymorphism rs2696297 in <i>COL1A1</i> and a three SNP haplotype in <i>COL1A2</i> were all significantly associated with normal CCT variation	Dimasi et al, 2009

7.8 Factors influencing CCT

In addition to the influence of genetic factors on CCT, several other extrinsic factors are known to have influence on CCT. Among these factors are the age of the patient, physiologic diurnal variations, UV radiation, altitude, chronic contact lens use, and various diseases. This section will briefly review the evidence reported regarding the influence of some of these factors on CCT.

Age. Reports on a relationship between CCT and age are contradictory. While some studies report a statistically significant inverse relationship between these variables,(88) others indicate there is

no statistical significant relationship between these variables.(96) Overall, the evidence from published studies made in whites suggests that for the majority of individuals there is no substantial change in CCT beyond the infant years, however studies done in different ethnic groups like those of Japanese and Eskimo prove there is a significant difference.(75,88)

Diurnal variation of CCT. Corneal thickness can also increase due to net water influx. Pachymetry indirectly reflects endothelial function because the endothelium maintains corneal thickness and transparency by regulating the flux of water and solutes across the posterior corneal surface.(1,64) Because of the changes in corneal thickness due to hydration, there is a diurnal physiological variability on CCT. Data confirm an increase of corneal thickness during sleep having its peak value at 4 am, but considerable variation during waking hours has also been reported.(122) Corneal thickness may increase immediately after waking up due to overnight corneal hydration. This is consequence of diminished evaporation of water from closed lids and reduced nocturnal metabolic activity of the endothelium. Corneal hydration during sleep is caused because the cornea experiences hypoxia beneath closed eyelids. This reduction in oxygen increases anaerobic metabolism causing accumulation of lactate within the stroma, followed by an osmotic influx of water.(123) Reports about the percentage of diurnal changes in CCT vary depending on the study, however it is consistently found to be significant.(123–125) A 5.5% overnight increase, with a 7.2% of diurnal variation was reported in 1996 by Harper and collaborators.(123) Du Toit and collaborators reported in 2003 a variation of 3.9% over 24 hours with an overnight swelling of around 2.9%, concluding that baseline CCT can be measured at any time from 7 hours of eye opening.(124)

UV radiation: The entire anterior eye segment can be damaged when exposed to UV-B, the parts that receive most damage are the cornea and the lens. Repeated exposure to UV-B radiation has shown to damage the corneal epithelium and disturb corneal metabolites.(126) It has been known

that UV radiation may cause photokeratitis, also known as snow blindness, which is a transitory inflammatory condition caused by damage to the corneal epithelium.(87,127) UV-B irradiation may also cause or promote changes in the endothelium associated with aging.(128) Another effect of UV radiation on the cornea is an increase in biomechanical stiffness when used in combination with riboflavin.(28,129) This effect is due to the increase in the collagen crosslinks in the corneal stroma, this technique has been exploited specially in the treatment of conditions such as keratoconus.(28,130)

Altitude: The human eye, like several other organs, is affected by hypoxia at high altitude. Hypoxia makes the cornea shift to anaerobic metabolism, with a subsequent increase in extracellular metabolic byproducts, causing a hydration pressure shift into the extracellular stromal spaces.(131) This hydration secondary to hypoxia results in increased CCT. The cornea returns to its initial thickness after descent. In other studies, individuals with more acute mountain sickness-related symptoms have been found to have thicker corneas, suggesting that CCT could be used as a parameter to indicate if a person is susceptible to acute mountain syndrome (132).

Chronic contact lens use: Chronic use of contact lenses and dry eye can also increase CCT (122). Differences between morning and afternoon CCT readings may be exaggerated in contact lens wearers. The lens type and the period of lens wear can be important factors for the changes in CCT after contact lens use (75).

Diseases: Corneal thickness vary in several diseases, or can have impact on the severity of an ophthalmologic condition. One such disease is glaucoma, in which as presented before, lower CCT is associated with worsened advanced glaucoma and greater risk of developing glaucoma (2,133). Another condition associated with abnormalities in corneal thickness is keratoconus. This is a non-inflammatory disease of the cornea that mainly affects the central cornea and is characterized

by thinning and ectasia (134). Corneal thinning in this condition is a result of the loss of its structural components (7). With increasing keratoconus severity, the cornea becomes thinner, and as presented above, this thinning of the cornea induces irregular astigmatism, myopia and protrusion, leading to impairment in the quality of vision. Diabetes has been associated with alterations in the corneal endothelium. Among the disorders observed in diabetic patients are decreased endothelial cell density, glycation of membrane ATPases, and a decrease in Na⁺/K⁺-ATPase activity (135). These changes influence the endothelial pump action and hence induce dysfunction. As presented above, the pump function of the endothelial layer is responsible for the active dehydration of the cornea and its alteration correlates with thickening of the cornea (64) CCT in diabetic patients is significantly thicker than in control groups, (136) and there has also been a correlation between duration of diabetes mellitus and CCT (135,137). Congenital glaucoma also relates with changes in corneal thickness. Pediatric patients with congenital cataract have been reported to have thicker central corneas when compared to contralateral healthy eye and a normal population (138,139). This increase in corneal thickness in the eyes with cataract may be a consequence of delayed development and maturation of the cornea (140). Another ophthalmologic disease related with endothelial cell dysfunction is Fuch's endothelial corneal dystrophy. The endothelial dysfunction present in this disease results in corneal edema and hence an increase in CCT.(141) Thickening presents mainly in the later stages of the disease and its physiological basis has been attributed to alteration in Na⁺/K⁺-ATPase activity and breakdown in the barrier function of the endothelium.(142) It has been found that the point at which the compensatory mechanisms of the corneal endothelium fail in Fuch's dystrophy, and corneal edema results, is when the central endothelial cell density reaches around 700-400 cells/mm².(38,39) Other ophthalmologic diseases that are related with changes in CCT are Behçet's disease and retinal vein occlusion. Eyes with active Behçet's disease have increased CCT

probably related to active inflammation that returns to normal after treatment (143). Patients with central retinal vein occlusion have thinner CCT than controls, however the pathophysiology underlying this association is unclear (144).

While some of the factors influencing CCT are related with delayed development, inflammation or altered arrangement of collagen fibrils, most of them are related to alteration in endothelial integrity and permeability. Diurnal variation, altitude and contact lens related changes in CCT directly relates with corneal hydration. Likewise, the effects of UV-B radiation relate to endothelial damage and the consequent increased corneal hydration. Even some of the diseases studied, such as diabetes and Fuch's endothelial corneal dystrophy, also increase CCT by altering the endothelium's barrier or pump function. This is in line with the statement that pachymetry indirectly reflects endothelial function (64). However, there remains work to be done to fully understand the pathophysiology underlying the relationship with other factors such as central vein occlusion.

7.9 Heritability

Corneal central thickness is highly heritable. There is no clear genetic correlation between a thinner cornea and primary open angle glaucoma (POAG). There are genetic variants that had been proved to contribute to CCT, most of which are population specific. Further genomic studies from each population will lead to the finding of genetic variants associated to CCT. Therefore, these studies are useful as tools to evaluate corneal health status. Additive genetic effects appear to be the major contributor to the variation of CCT (70,145). Familial and twin studies suggest CCT heritability could be as high as 0.95 (70). Further data supporting heritability is the high prevalence of glaucoma in some populations in which the CCT tends to be lower, compared against other groups. At first, it was thought that CCT and POAG could be genetically related,

because of their close relation. Thus, if CCT genes were found, it could be possible to find POAG genes also. However, no such relation has been found so far.

7.10 Conclusion

Corneal thickness is a parameter of the cornea that has important implications in several aspects of ophthalmology, from its effect on the measurement of IOP to its impact on refractive surgery and diseases like keratoconus. This review has addressed the subject of corneal thickness trying to broadly cover all parameters that influence or have implications in CCT in order to fill in the gap between scholar articles and more specific and advanced ones found in the literature. In order to do so, general concepts regarding corneal anatomy and physiology were reviewed initially for the better understanding of their relevance in the areas of corneal biomechanics and corneal topography, which are helpful tools in the study of corneal structure and the effects surgical treatment and therapies have on the physiological conditions of human cornea such as its optical properties and general structure. The importance of CCT in several clinical scenarios was reviewed, with it being a factor influencing in the measurement of clinical parameters, to being a risk factor for several diseases, and determining if a patient can undergo a certain type of surgery or not. A revision of the studies about average adult CCT was done, showing a comparison between different population studies in order to reflect the variations among different ethnicities as well as illustrating what is generally considered as an average CCT value. An analysis of the genes known to influence CCT was done, with main emphasis in COL5A1, FOXO1, AVGR8, and ZNF469, which are the most related with corneal thickness, however other promising genes in this field were also mentioned. In addition to the influence of genetics, several other extrinsic factors known to have influence on CCT were reviewed including the age of the patient, physiologic diurnal variations, UV radiation, altitude, chronic contact lens use, and various diseases. While some of the factors

influencing CCT are related with delayed development, inflammation or altered arrangement of collagen fibrils, most of them are related to a lack of endothelial integrity, permeability and corneal hydration.

Even though extensive research on this topic has been done, the broad spectrum of clinical implications of CCT encourages further investigation of the factors involved in its expression and other clinical implications it may have, not just in the corneal and refractive surgery field but in other areas of ophthalmology as well. There is still work to be done especially in areas like biomechanics, which continue to push the boundaries of what is known about structure and functioning, as well as about what is done in terms of safety regarding surgical procedures.

7.11 Discussion

CCT is a critical parameter in the assessment of IOP in glaucoma patients, and its measurement is also compulsory in patients undergoing corneal refractive surgery and during the postoperative follow up of corneal transplant. It is known that CCT values vary between ethnic groups, and that there are several factors either extrinsic (i.e. UV radiation, altitude, humidity) and intrinsic (age, gender, ethnicity, heritability and genetics) have an effect influence it.^{17,22,24,25,31,32}

8. Sección II.

Correlacion de la edad, curvtura corneal y equivalente esferico.

8.1 Justificación.

La estructura normal de la cornea es actualmente motivo de invetigación para un mayor entendimiento de su conformación y de su comportamiento en entidades aptologicas como ante situacione clinicas com pudiesen ser los eventos quirurgicos. Un elemento que ha suscitad la atención de nuestro grupo de ionvestigación es el espesor corneal. He mos heco una amplia busqueda de los diferente facotres que actuan para dare las características normales. Despues de nuetra primera proximación sabeos que el espesor corneal varia de acuerdo a la edad y grupo etnico, por ejemplo, pero ademas, que los valores de normalidad deberan ajustarse de acuerdo acada población. No existe información suficiente de los valores normales paquimétricos en nuestra población y el efecto que tiene sobre ella factores como: la edad, la curvatura corneal y el equivalente esférico.

8.2 HIPÓTESIS

Hipotesis de trabajo:

El espesor corneal se correlaciona con la edad, la curvatura corneal y el equivalente esferico.

Hipotesis nula:

El espesor corneal no se correlaciona con la edad, la curvatura corneal y el equivalente esferico.

8.3 OBJETIVOS

8.3.1. Objetivo principal

Objetivo General:

Determinar la correlacion entre es espesor corneal central, la edad, la curvatura corenal y el equivalente esferico.

8.3.2. Objetivos específicos

1. Determinar la normalidad del espesor corneal en paciente candidatos a cirugía refractiva.
2. Relación entre espesor, defecto refractivo y curvatura promedio.
3. Establecer los valores paquimetricos normales en nuestra población

8.4 Correlation of age, corneal curvature and spherical equivalent with central corneal thickness

Introduction

Central corneal thickness (CCT) is one of the major parameters for measuring corneal health.^{1,2} Its measurement is essential in the assessment, management and follow up of corneal ectatic diseases (i.e. keratoconus, post-LASIK ectasia) and corneal endothelium dysfunction, since the changes in the corneal thickness are directly associated with the severity of the disease.^{3–6} CCT measurement is also essential in the management of glaucoma patients, given that applanation tonometry underestimates the intraocular pressure (IOP) in eyes with thin corneas and it overestimates this in thick corneas.^{7,8} CCT has also been used as a predictor of graft survival and cell density measurement after penetrating keratoplasty, thicker corneas have shown a tendency to develop graft failure within 5 years post-surgery.³ Thin corneas, along with low residual stromal bed thickness (<300µm), deep ablation and abnormal corneal topography, have been considered as preoperative risk factors in corneal refractive surgery for developing corneal ectasia.^{9–11} However, there is ongoing debate surrounding the precept that “thinner” corneas are indeed “weaker” corneas with biomechanical liability, since the influence of CCT over the long-term stability of LASIK procedures has not been demonstrated.^{12,13}

Normal CCT values have been established by different research groups.⁷ However, a large variability among different ethnic groups has been reported.^{14–17} Age,^{7,18,19} gender,²⁰

the transition from lower to higher humidity, UV radiation exposure, heritability,^{21,22} genetics,^{23,24} altitude have also been associated with changes and variability in CCT.^{25,26} Additionally, the correlation of different ocular parameters with CCT has been studied, including corneal radius and curvature,²⁷ anterior chamber depth, axial length,²⁸ the spherical equivalent,²⁹ visual acuity, and IOP.³⁰

All the factors mentioned before and the controversial results regarding the use of CCT as a predictive parameter for different ocular procedures indicate that the “normality” concept for CCT needs to be re-evaluated so it can be used appropriately as a clinical parameter. In this study, we aimed to measure the CCT among healthy Hispanic patients, and to determine its correlation with age, gender, curvature, and spherical equivalent.

8.4.1 Materials and methods

A retrospective analysis of pachymetric measurements conducted between February 2012 and November 2012 at the Ophthalmology and Visual Sciences Institute (Tecnologico de Monterrey, School of Medicine, Monterrey, Mexico) was performed. Data from 93 healthy patients were obtained after calculating the optimal sample size using Raosoft® (Raosoft, Inc., Seattle, WA, USA) with a confidence interval (CI) of 90% and an error margin of 5% in a population of 600 patients. Patients with abnormal topography (inferior steepening, irregular pattern, non-orthogonal bowtie), contact lens users or with history of refractive

surgery were excluded. The CCT was obtained using ultrasonic pachymetry (AccuPach VI; Accutome, Inc., Malvern, PA, USA). Briefly, the cornea was anesthetized with topical 1% tetracaine and the patient was asked to adopt a face up position on the examination chair and solicited to fixate a target on the ceiling. The pachymeter probe was brought in contact with the cornea centrally and perpendicularly over the visual axis. CCT was recorded as the average of 9 consecutive acquisitions. This process was repeated for every individual CCT measurement.

Age, gender, mean simulated keratometry (SimK) (Orbscan II Software version 4.1, Bausch&Lomb, Rochester, NY, USA), and spherical equivalent data were also obtained. Patients with any ocular or corneal pathology as well as history of ocular surgery were excluded. Patients with diagnosis of cataract, but who did not have surgery, were included. Statistical analysis was performed using IBM SPSS® version 21 (IBM Corporation, Armonk, NY, USA). A descriptive analysis and Spearman's correlation of the variables were performed. The mean of the CCT values and their distribution were established via the Anderson–Darling, Shapiro–Wilk, and Kolmogorov–Smirnov tests. The sample was divided by the following age groups: <20 years, ≥ 20 and ≤ 40 years, and >than 40 years to perform a descriptive and comparative analysis by analysis of variance (ANOVA), as well as to conduct an independent samples t-test.

8.4.2 Results

A total of 93 patients (186 eyes) were included in the study, 43% (n=40) were female. The mean age of the patients was 32.54 ± 12.04 years (range 21–54 years). The mean keratometry was 43.56 ± 1.90 diopters (D) and the mean spherical equivalent was -2.54 ± 3.15 D.

The mean CCT was $545.69 \pm 36.88 \mu\text{m}$ (range 458–640 μm). The CCT showed a bimodal distribution with the first peak occurring at 540 μm and the second at 580 μm (Fig. 1). No association was observed between the pachymetry measurements and the mean keratometry, spherical equivalent, and age when analyzed with the Anderson–Darling ($p=0.006$), Shapiro–Wilk ($p=0.043$), and Kolmogorov–Smirnov ($p=0.01$) tests. Pearson's test showed a correlation of -0.08 between pachymetry and age, 0.099 between pachymetry and keratometry, and 0.033 between pachymetry and the spherical equivalent. The correlation between age and keratometry was -0.259 and the correlation between age and the spherical equivalent was -0.2 (Fig. 2).

CCT histogram. The analyzed population did not exhibit a normal distribution. The first peak can be noted at 540 μm , and the second at 580 μm .

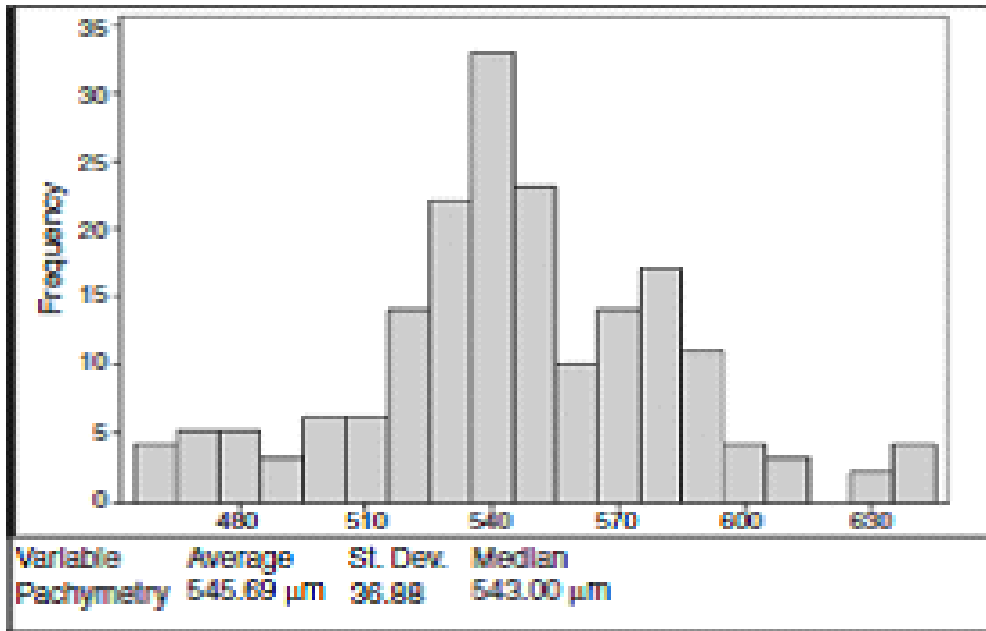


Figure 1. CCT histogram. The analyzed population did not exhibit a normal distribution. The first peak can be noted at 540µm, and the second at 580µm.

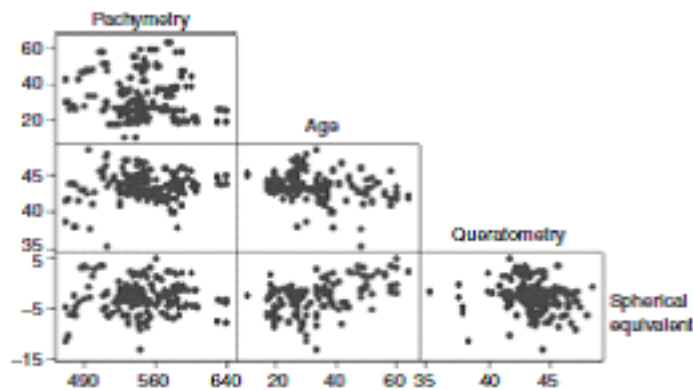


Figure 2. Matrix plot showing the correlation between CCT and the age, keratometry, and spherical equivalent variables.

The sample was divided in three age groups: <20 years, from 20 to 40 years, and >40 years (Table 1). Although the mean CCT for the group <20 years was $558.82 \pm 37.398 \mu\text{m}$, 42.8%

(n=12) of the eyes in this group had a CCT $\geq 580\mu\text{m}$, while 14.4% (n=17) and 14.2% (n=6) of the eyes in the groups from 20 to 40 years and over 40 years had CCT $\geq 580\mu\text{m}$. The mean CCT between age groups <20 years and >40 years showed a significant difference ($p=0.016$). No difference was detected between the age groups <20 years and 20–40 years ($p=0.094$), and >40 years ($p=0.17$). A positive correlation with CCT was observed in the group <20 years ($\rho=0.596$, $p=0.001$), a negligible correlation between CCT and age was detected in for the age group ≥ 20 and ≤ 40 years ($\rho=0.091$, $p=0.326$) and a non-significant positive correlation in the group over 40 years ($\rho=0.255$, $p=0.103$).

Table 1 Central corneal thickness by age group.

Age group (years)	n	Mean CCT	Standard deviation	Range (μm)
<20	28	558.82	37.398	507–640
≥ 20 – ≤ 40	114	545.84	36.321	458–640
>40	44	536.93	36.256	458–600

CCT – central corneal thickness, n – number.

Table 1. Central corneal thickness by age group.

We observed an average CCT of $545.69 \pm 36.88\mu\text{m}$, similar to that of previous studies conducted with Hispanic subjects. Hahn et al.¹⁹ in 2003 reported a mean CCT of $546.9\mu\text{m}$; Erickson et al.³³ in 2010 obtained a mean CCT of $541.8\mu\text{m}$; and recently, Valbon et al.³⁴ found a CCT of $547.5\mu\text{m}$. Our sample also exhibited a wide range of CCT values (ranging from 458 to $640\mu\text{m}$), this was superior to the ranks reported by Hahn et al. (479.7 – $613.4\mu\text{m}$) and Valbon et al. (490 – $647\mu\text{m}$). Additionally, our results showed a

bimodal distribution with the first peak reflecting the mean CCT for the whole sample (545.69 μ m) and the second peak attributed to the eyes (n=35) with thick corneas (CCT \geq 580 μ m), primarily at the expense of the younger group of patients <20 years (42.8%). Other authors have made similar observations with regard to a trend over a higher prevalence of thicker corneas in younger ages.^{27,35}

The wide range of CCT values, as well as the high frequency in values around 540 μ m, might lead us to redefine the concept of “normality” for corneal thickness in our population. Frequently, corneas below 510 μ m are considered as thin and, and therefore as corneas with biomechanical liability or weakness for excimer laser refractive procedures (LASIK, PRK).^{10–12,36,37} However, there is increasing evidence with regards to the safety and effectiveness of LASIK surgery in patients with CCT values <500 μ m.^{13,38,39} Since collagen tension disruption affects corneal biomechanics in refractive surgery,^{40,41} this contradictory evidence leads us to believe that there are other factors that impact corneal structural stability independently of CCT. In this respect, it has been suggested that ultrastructural changes observed in ectatic corneas are related to mechanical stress, which leads to greater modifications in collagen fibrils and not directly to the CCT.^{42,43} Hence, in order to consider a cornea as “normal”, the entire topography (topographic pattern, pachymetry map and elevation maps) along with the expected CCT for a given population, should be taken into account.

In agreement with other reports,^{28,29} we did not observe a correlation between CCT and the variables age, keratometry, and spherical equivalent. However, when the population was subdivided into age groups, a significant difference was noticed between the CCT of individuals under 20 years and those over 40 years. Younger patients registered thicker corneas with a mean difference of 20 μ m from those patients over 40 years, and a positive correlation was observed for both groups (only significant for the group <20 years). This is in accordance with numerous studies that have reported decreasing values of CCT in relation to older age.^{14,44} In a meta-analysis that included populations from different ethnicities, Doughty and Zaman,⁷ reported an inverse relationship between age and CCT for non-white population. This age/CCT correlation could be explained by the decrease in interfibrillar spacing due to age-related non-enzymatic crosslinking, which has been suggested to cause reductions in stromal thickness.^{35,45}

8.4.3 Discussion

A bimodal distribution in the CCT was observed in this cross-sectional study, with the first peak at 540 μ m and a second minor peak at 580 μ m, the latter attributed mainly to younger patient measurements. No association between age, corneal curvature and spherical equivalent was observed, but when analyzed by age groups a positive correlation was detected for age group <20 years and age group >40 years. To our knowledge, this is the first study that describes pachymetric values and their correlation with other factors in this specific population. The findings regarding the lack of normality,

the higher frequency of the samples in the first peak, and the relationship between age and decreasing CCT, may lead us to redefine the “normal” pachymetric parameters in our population so they can be used properly for clinical purposes.

9. Sección III.

Seguridad y Eficacia de la Queratomileusis in Situ asistida por láser miopica (LASIK) en corneas delgadas.

9.1 Justificación

Sabemos que el espesor corneal puede ser afectado por diversos factores y por algunos estados patológicos. Es también conocido que los rangos de normalidad varían de acuerdo al grupo poblacional al que hagamos referencia y que el rango de valores considerados es más o menos amplio. Tradicionalmente se ha establecido el grosor (espesor) corneal central como un factor importante al considerar una cornea como normal o patológica, inclusive se utiliza para determinar si un paciente es susceptible de someterse a cirugía refractiva, excluyendo aquellos pacientes que no presenten un valor mínimo paquimétrico. En la presente tesis desafiamos esta práctica, tomando en cuenta nuestros hallazgos de la sección anterior la presente tesis en la que evidenciamos el amplio rango de valores normales paquimétricos de nuestra población. Con el propósito de analizar el comportamiento de un grupo de pacientes con la característica de tener un grosor corneal menor al promedio analizamos su comportamiento postoperatorio y evaluamos parámetros de seguridad y eficacia del procedimiento. Hasta donde es de nuestro

conocimiento, existe poca evidencia publicada en nuestro medio al respecto.

9.2 HIPÓTESIS

Hipotesis de trabajo:

El espesor corneal tiene efecto sobre el resultado refractivo en pacientes sometidos a queratomileusis in situ asistida por laser.

Hipotesis nula:

El espesor corneal no tiene efecto sobre el resultado refractivo en pacientes sometidos a queratomileusis asistida por laser.

9.3 OBJETIVOS.

9.3.1. Objetivo principal

Objetivo General:

Determinar el efecto que el espesor corneal tiene sobre el resultado refractivo en los pacientes sometidos a queratomileusis in situ asistida por laser a largo plazo.

9.3. 2. Objetivos específicos

1. Comparación de resultado refractivos en ablaciones miopicas con corneas limítrofes (delgadas vs gruesas).
2. Comparación de resultado refractivos en ablaciones hipermetropicas en corneas limitrofes.
3. Determinar si el espesor corneal disminuido (paquimetria) es un factor de riesgo independiente en la cirugía refractiva.

9.4 Safety and Efficacy of Myopic LASIK performed on Thin Corneas

Introduction

Laser in situ keratomileusis has been the treatment of choice for correcting corneal refractive errors since its introduction in early 1990 [1,2]. Resulting in immediate high quality visual outcomes and having an excellent efficacy, predictability, stability and safety profiles, it's no wonder why LASIK surgery has become one of today's most popular elective procedures, with more than 28 million procedures performed worldwide [3,4]. As with any other surgical procedure, an increased frequency and widespread use is also associated with a grown incidence of complications.

Although effective methods to treat most of the complications related to LASIK have emerged (either with eye drops or with surgical correction) [5,6] post-LASIK ectasia is one of the most feared complications since its treatment often involves extensive management strategies that go from intrastromal corneal rings [7] and crosslinking⁸ to

keratoplasty [9].

Specific risk factors for developing corneal ectasia after LASIK have been identified and they include deep ablation, residual stromal bed thickness lower than 300 μ m, abnormal topography and central corneal thickness (CCT) less than 500 μ m [10–12]. Randleman et al. also considered factors as young age and high refractive correction to develop an Ectasia Risk Score System (ERSS) with the objective to assess the preoperative risk for developing ectasia after LASIK.¹³ Recently, the role of the percent tissue altered (PTA) has been emphasized by Santhiago et al. as a robust risk indicator for developing ectasia after LASIK in eyes with normal topography [14].

Either directly (ERSS) or indirectly (PTA), thin corneas have been considered as corneas with biomechanical liability and therefore to have an increased risk for developing ectasia after ablative surgery [13,14]. However, recent evidence shows not only that thin corneas (<500 μ m) have not an increased risk for ectasia but that LASIK is as effective, safe and stable as in corneas with 500 μ m or greater [15,16]. In this study we assessed the visual outcomes and safety of myopic LASIK performed in patients with corneas with central thickness below average <540 μ m and normal topography.

9.4.1 Methods

A retrospective analysis was performed on the records of Hispanic patients who underwent myopic LASIK between January 2014 and January 2015, at the

Zambrano-Hellion Medical Center, Tec de Monterrey (Monterrey, México). The analysis followed the tenets of the Declaration of Helsinki, informed consent was obtained from all patients after details of the surgical procedure were explained. Inclusion criteria for the initial treatment were: age over 18 years; stable refraction with spherical component up to -8.50D, a cylindrical component between up to -6.50D; corrected visual acuity $\geq 20/20$ (Snellen visual acuity chart) a central corneal thickness (CCT) $< 540\mu\text{m}$ and at least 12 months follow up. Patients with LASIK surgery general contraindications as autoimmune diseases, diabetes, pregnancy, and ocular diseases including glaucoma, cataract, retinal diseases, and dry eye were excluded.

Preoperative examination included uncorrected distance visual acuity (UDVA), corrected distance visual acuity (CDVA), manifest refraction, cycloplegic refraction, intraocular pressure measurement (Goldmann applanation tonometer), ultrasonic pachymetry (Accutome AccuPach V, Malvern, PA, USA), corneal topography (Orbscan IIz, Bausch and Lomb, Rochester, NY, USA) and slit lamp examination. The CCT was obtained using ultrasonic pachymetry (Accutome 4sight pachymeter module; Accutome, Inc., Malvern, PA, USA). Briefly, the cornea was anesthetized with topical 1% tetracaine and the patient was asked to adopt a face up position on the examination chair and solicited to fixate a target on the ceiling. The pachymeter probe was brought in contact with the cornea centrally and perpendicularly over the visual axis. CCT was recorded as the average of 9 consecutive acquisitions. This process was repeated for every individual CCT measurement.

Postoperative protocol consisted on moxifloxacin 0.5% ophthalmic solution (Vigamoxi [®],

Alcon Laboratories, Fort Worth TX, US) every 6 hours for 7 days and fluorometholone 0.1 ophthalmic suspension (Flumetol, Sophia [®], Jalisco, Mexico) in dose reduction for 2 weeks. Postoperative visits included UDVA, CDVA, manifest refraction, corneal topography, Goldmann tonometry, slit lamp biomicroscopy and Visante AS-OCT (Carl Zeiss Meditec Inc, Version 3.0, Dublin, CA, US) on postoperative week 1 to measure the thickness of the corneal flap.

LASIK procedures were performed by the same surgeon using a Technolas-217 Excimer workstation (Technolas Perfect Vision GmbH, München, Germany) using the standard technique. Briefly, under topical anesthesia with tetracaine chlorhidrate 0.5% (Ponti ofteno, Sophia [®], Jalisco, México), the cornea was marked with gentian violet and a superior hinge was performed using a Hansatome XP Microkeratome (Bausch & Lomb, Rochester, NY). When indicated both eyes were operated the same day, with the refractive target to emmetropia. A 6.0 mm optical zone and a 120 microns flap with a superior hinge and average diameter of 9.5 mm (an 8.5 mm diameter ring was used in eyes with mean keratometry > 45D) was used in every case. Zyoptix Tissue Saving-2 ablation profile was used to ensure a residual stromal bed $\geq 300\mu\text{m}$. Standard visual outcomes and percent tissue altered (PTA) analysis were obtained. The preoperative and postoperative data were compared using Student's t test. Statistical analysis was implemented with the SPSS software (version 20.0, IBM Inc., NY, USA) for Windows, a p value <0.05 was considered statistically significant. Visual acuity was measured using Snellen's visual acuity chart and then converted to LogMAR for statistical analysis.

9.4.2 Results

A total of 51 patients (102 eyes) were included in the study, 56% (n=57) were female. The mean age was 26.52 ± 8.06 (range 18 to 55 years) with a mean follow up of 13.9 ± 1.2 months. Preoperatively, CCT was $515.44 \pm 17.87\mu\text{m}$ (range 452-539 μm), the mean refractive spherical equivalent (MRSE) was -4.06 ± 1.85 D (range -0.75 to -9.75 D) with a mean refractive cylinder of -1.44 ± 1.29 D (range 0.00 to -5.75 D). On postoperative week 1, the mean central thickness of the corneal flap was ($128.66 \pm 17.09\mu\text{m}$). The analysis of PTA showed a mean value of 0.35 ± 0.04 (range 0.22 to 0.44). Figure 1 shows the Standard Graphs for Reporting Refractive Surgery.

The mean predictability of postoperative SEQ was -0.20 ± 0.40 D (range -1.25 to +1.25) at the end of the follow up. Postoperative SEQ was ± 0.50 D in 71% and ± 1.00 D in 93% of the eyes. Preoperative CDVA was 20/20 or better in 93% of the eyes. Postoperative uncorrected distance visual acuity was 20/20 or better in 78% and 20/25 or better 95%. One line of CDVA was lost in 3% of eyes and none of the eyes lost more than 2 lines of CDVA. Over the follow up (from postoperative month 3 to postoperative month 12), only 4% of the eyes changed >0.50 D. A strong squared correlation ($R^2=0.981$) was observed between attempted and achieved SEQ correction.

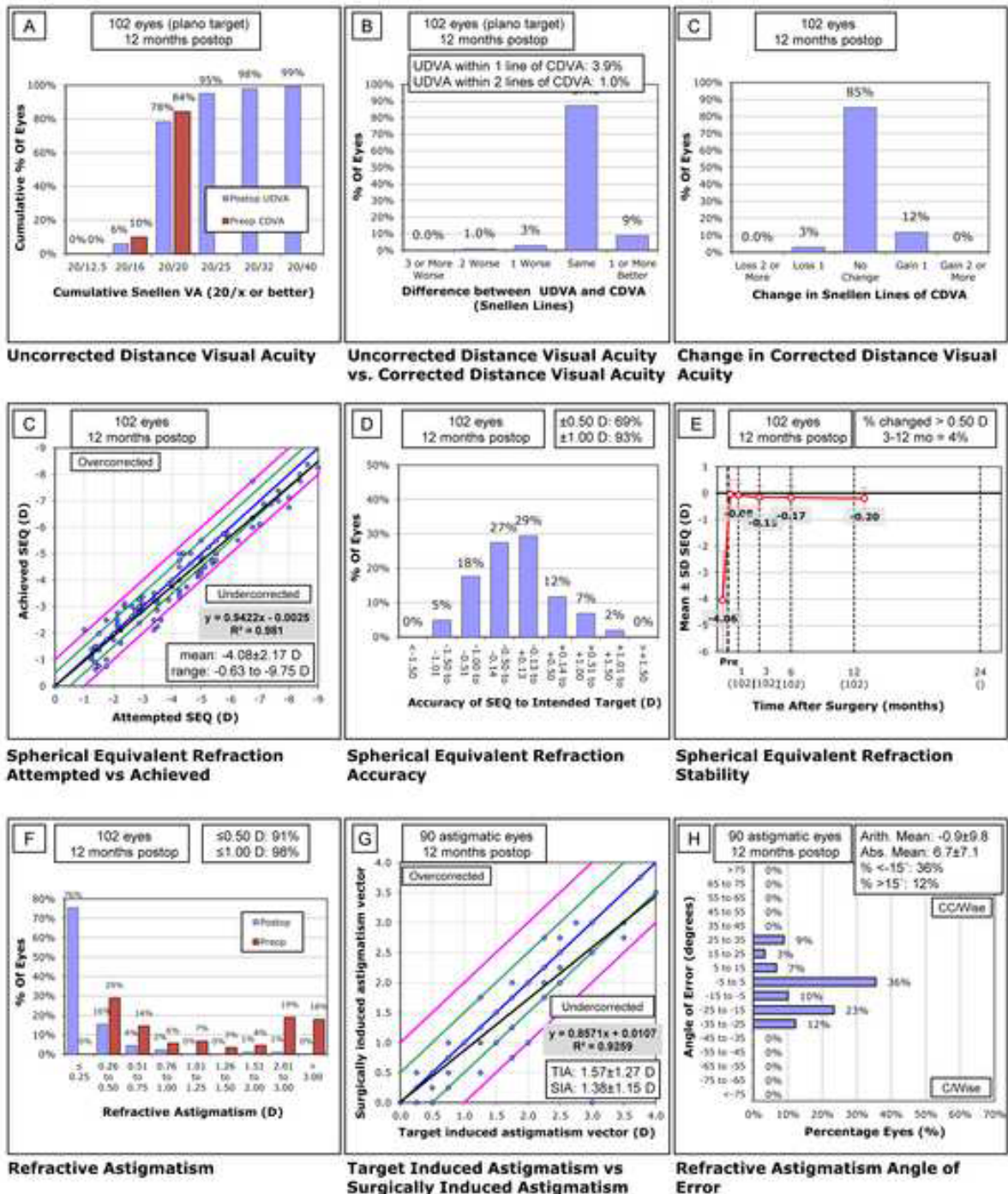


Figure 1. Nine standard graphs for reporting refractive surgery showing the visual and refractive outcomes for 102 myopic eyes treated with Hansatome XP Microkeratome (Bausch & Lomb, Rochester, NY) and Technolas-217 Excimer workstation (Technolas Perfect Vision GmbH, München, Germany), using Zyoptix Tissue Saving-2 ablation. UDVA= uncorrected distance visual acuity; CDVA= corrected distance visual acuity; D = diopters; Postop = postoperative; Preop = preoperative; SEQ = spherical equivalent refraction; TIA = target-induced astigmatism; SIA = surgically induced astigmatism.

Table 1 shows the changes in visual and refractive outcomes before and after the lasik procedure. Intraoperative complications consisted on epithelial defect in 3 cases (3% of total) and flap striae that required flap re-lifting in 1 eye (1%). No ectasia cases were observed during follow-up.

TABLE 1

Visual and Refractive Outcomes Before and After Myopic LASIK in Thin Corneas

Parameter	UDVA (LogMAR) a	CDVA (LogMAR) a	SEQ (D) a	Keratometry (D)
Preoperative	0.84 ± 0.45	0.00 ± .05	-4.06 ± 1.85	-1.44 ± 1.29
6 months FwUp	0.00 ± .08	0.00 ± .04	-0.17 ± 0.41	-0.47 ± 0.40
End point FwUp	0.00 ± .05	0.00 ± .02	-0.20± 0.40	-0.36 ± 0.39
P value ^b	<.001	<.001	<.001	<.001
P value ^c	.78	.81	.13	.08

UDVA = uncorrected distance visual acuity; CDVA = corrected distance visual acuity; D = diopters; FwUp= Follow Up; LogMAR= Logarithm of the Minimum Angle of Resolution; a Values reported as mean ± standard deviation; b Mean comparison between preoperative and 6 months follow up; c Mean comparison between 6 months follow-up and end point follow-up.

9.4.3 Discussion

Post LASIK ectasia is rare, but even with a prevalence rate of 0.02% to 0.6% it remains as one of the most feared complications in refractive surgery [17,18]. Risk factors for developing this condition have been previously identified [12,14], amongst them thin corneas (<500µm) have been historically considered as corneas with biomechanical frailty and therefore as corneas predisposed to develop ectasia [19, 20]. Evidence shows that

factors as race [21,22], age and gender [22,23] altitude [24] and UV light exposure [25] may influence CCT, hence different “normal” corneal thickness have been established among various research groups. In a meta-analysis conducted by Doughty et al. [23] an average CCT of $536 \pm 29 \mu\text{m}$ was established for normal healthy eyes. In a Hispanic population, Valdez et al. observed a mean CCT of $545.69 \pm 36.88 \mu\text{m}$ (mode of $540 \mu\text{m}$) in healthy corneas of Hispanic patients [26]. In this study we evaluate the visual outcome, safety and predictability of LASIK performed on a large cohort of corneas thinner than “normal”, defining the latter as corneas $<540 \mu\text{m}$ accordingly to the reported values (statistical mean and mode) in our population, at a 12 month follow up.

Against the old paradigm that thin corneas have a biomechanical liability, recent evidence has shown not only the absence of keratectasia during follow up but also no difference in visual outcomes, safety and predictability when LASIK is performed on thin corneas with normal topography when compared with preoperative corneas with average or normal thickness. Tomita et al, assessed the 6 year-follow up outcomes of thin-flap LASIK in eyes with thin corneas (CCT $<500 \mu\text{m}$) but normal topography and compared them with the outcomes of LASIK performed on corneas with CCT $500 \mu\text{m}$ or greater [16] They observed no difference in visual, refractive and topographic outcomes at long-term between both groups. At their last follow-up 83% of the eyes in the thin cornea group achieved a UDVA of 20/20 or better, 63% were stable or gained lines of CDVA and had refractive stability with a MRSE change of $-0.17 \pm 0.42 \text{ D}$ over time [16]. Similarly, we observed 78% of the patients with UDVA $\geq 20/20$, 97% of the eyes were stable or gained lines of CDVA at the last follow up and a refractive stability with a MRSE change of $-0.20 \pm$

0.40 over time. Likewise, we observed a non-significant difference on visual and refractive outcomes when comparing 6 month follow-up with the final follow up (Table 1), suggesting visual and refractive stability.

Caster et al, performed a retrospective analysis of 109 eyes with preoperative central corneal thickness of $\leq 500 \mu\text{m}$ and otherwise normal topography that underwent LASIK, having a postoperative follow up of at least 12 months [15]. As in Tomita et al [16], and the present study, refractive stability was observed during the follow up period with no incidence of postoperative keratectasia. Previously, Binder et al. examined a database of 9700 eyes that underwent myopic lasik and he found 117 eyes with corneal pachymetry <500 microns and a follow up of at least 2 years with no report of corneal ectasia [17]. Kymionis et al, also showed the results of 124 eyes with thin corneas less than 500 microns that underwent excimer laser cornea refractive surgery (either PRK or LASIK) observing a good predictability (mean predictability of 0.08 ± 0.40 D for PRK group, 0.14 ± 0.55 D for the LASIK group) and no ectasia during the follow-up (1 year) [27].

Corneal thickness has been considered as an inherent sign of structural stability, hence different authors have included thin corneas as a risk factor to develop postoperative keratectasia after excimer laser corneal refractive surgery [13,17,27–30]. However the question if thin corneas should be considered as “weak” corneas and therefore as an independent risk for post-LASIK ectasia is yet in dispute. Recent evidence, including the present study, has failed to categorize thinner than normal corneas as independent risk for developing keratectasia after LASIK or PRK, since not only thin corneas perform as efficiently and safely than normal thickness corneas after refractive surgery but they have

not showed a trend over time to evolve in to ectasia. Focusing on a flap thickness tailored to the initial corneal thickness and to the amount of ablation has been a more important issue on the debate, since the evidence from the work of Santhiago et al [14], have shown that the percent of tissue altered $\geq 40\%$ (obtained from the quotient of the sum of flap thickness and ablation depth over the central corneal thickness) was a more robust indicator than other individual variables (included CCT $< 510\mu\text{m}$) for the development of corneal ectasia after LASIK in eyes with normal topography. In our series a mean PTA of 0.35 ± 0.04 was achieved and although the recommendation in these patients is to create flaps of precise thickness using the femtosecond laser, we observed an acceptable flap thickness using a mechanical microkeratome (postoperative flap thickness $128.66 \pm 17.09\mu\text{m}$).

A weakness of this study is its retrospective nature and the limited follow up to 13 months. However it is a large retrospective cohort of patients eyes with thinner than “normal” corneas and normal topography that underwent LASIK and along with previous studies of Caster [15] (109 eyes), Kymionis [27] (56 eyes with LASIK and 68 with PRK), Binder [11] (107 eyes) and Tomita [16] (291 eyes, case control) it contributes with evidence arguing against thin corneas as an independent risk factor for keratectasia after ablative corneal surgery.

In conclusion, we observed that LASIK surgery in patients with corneas thinner than “normal” ($< 540\mu\text{m}$) is safe, efficient and predictable at 1 year follow up for myopic refractive corrections with no evidence of postoperative keratectasia. Evidence in this and similar works suggest that LASIK surgery in eyes with preoperative thinner than

normal cornea and normal topography may not be a risk factor if a fair residual stromal bed (at least 300 μm) and a PTA <40% is ensured. Longer follow up and larger cohorts of patients are needed to support and reinforce the proposition that thinner than normal corneas perform as efficiently and safely than normal thickness corneas after excimer refractive surgery.

10. CONCLUSIONES / CONCLUSIONS

Español / Spanish

I. El espesor corneal es determinado por varios factores y entre otros, varía con la etnicidad y edad y existe un amplio rango de valores normales de espesor corneal central en la población estudiada.

II. Un espesor corneal "delgado" no está asociado con patología y no puede ser considerado individualmente como factor de riesgo para cirugía fotorefractiva.

III. Sujetos con espesores centrales corneales delgados (menores al promedio de nuestra población), tuvieron un desempeño normal al ser sometidos a Cirugía Fotorefractiva (Queratomileusis in Situ asistida por Laser) y en el tiempo de seguimiento estudiado no se presentó ninguna ectasia corneal.

Inglés / English

I. Corneal thickness is determined by several factors and among others, varies with ethnicity and age and there is a wide range of normal values of central corneal thickness in the population studied.

II. A "thin" corneal thickness is not associated with pathology and can not be considered individually as a risk factor for photorefractive surgery

III. Subjects with thin corneal central thickness (less than the average for the population of the study), had normal performance when undergoing Photorefractive Surgery (Keratomileusis Situ Situated by Laser) and no corneal ectasia was detected within the follow-up of the study.

11. FUTUROS PROYECTOS DE INVESTIGACIÓN

Nuestro conocimiento del comportamiento de corneas consideradas delgadas es poco aun, por lo que consideramos que debemos aumentarlo a través del comportamiento en diferentes situaciones clinicas y con mayor tiempo de seguimiento. Por lo que en un futuro proximo se planea comparar los pacientes con corneas delgadas sometidos a queratomileusis asisitida por laser con aquellos en los que se realizo una fotoqueratectomia refractiva de superfcie. También el valorar aquellas corneas por debajo de 500 micras que es considerado como un parametro ablsoluto para no ser sometido a cirugía fotorefractiva.

12.BIBLIOGRAFÍA.

Sección I.

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Sección III

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13. DIVULGACIÓN CIENTÍFICA

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1. Correlation of age, corneal curvature and spherical equivalent with central corneal thickness.

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2. 3-Year follow-up after Lasik: assessing the risk factors for retreatment. Valdez-García JE, Hernandez-Camarena JC, Martínez-Muñoz R. Int Ophthalmol. 2016 Feb 19;36(1):91–6.

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1. Factors Influencing Central Corneal Thickness. Jorge E. Valdez-Garcia, Judith Zavala-Marcos, Rocio Villafuerte-de la Cruz, Jesus Merayo-Lloves, Eduardo Camacho-Marinez, Eric Reyes-Mendoza. Enviado a Eye en abril 2017. Scimago Journal ranking, SJR: 1.132. H index: 71 Posición que ocupa la revista en el área: 20/109 Cuartil: Q1(oftalmología). ISSN: 095022X,14765454

2. Safety and Efficacy of Myopic LASIK performed on Thin Corneas Jorge E. Valdez-García MD, MA, Jesús Merayo-Lloves MD, PhD, MBA, DO, Denise Loya-García MD, Paloma López-Montemayor BN, Julio C. Hernandez-Camarena MD. BMC Ophthalmology. Enviado a publicación abril 2017. Scimago journal ranking, SJR: 0.938. H index: 29 Posición que ocupa la revista en el área: 29/109 Cuartil: Q2(oftalmología). ISSN: 14712415

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14. ANEXOS

14.1. Correlation of age, corneal curvature and spherical equivalent with central corneal thickness. Jorge E. Valdez-García, Julio C. Hernandez-Camaren, Juan F. Lozano-Ramírez, Judith Zavala, Denise Loya-García, Jesús Merayo-Lloves Revista Mexicana de Oftalmología · June 2016.

14.2. 3-Year follow-up after Lasik: assessing the risk factors for retreatment. Valdez-García JE, Hernandez-Camarena JC, Martínez-Muñoz R. Int Ophthalmol. 2016 Feb 19;36(1):91–6.

14.3. Prevalence of keratoconus in an adolescent population. Jorge E. Valdez-García, Rubén Sepúlveda, Jessica J. Salazar-Martínez, Juan F. Lozano-Ramírez. Revista Mexicana de Oftalmología. 2014;88(3):95---98. Scimago Journal ranking, SJR: 0.101. H index: 5 Posición que ocupa la revista en el área: 100/109 Cuartil: Q4(oftalmología). ISSN: 01874529

14.4. Factors Influencing Central Corneal Thickness. Jorge E. Valdez-Garcia, Judith Zavala-Marcos, Rocio Villafuerte-de la Cruz, Jesus Merayo-Lloves, Eduardo Camacho-Marinez, Eric Reyes-Mendoza. Enviado a Eye en abril 2017.

14.5. Safety and Efficacy of Myopic LASIK performed on Thin Corneas Jorge E. Valdez-García MD, MA, Jesús Merayo-Lloves MD, PhD, MBA, DO, Denise Loya-García MD, Paloma López-Montemayor BN, Julio C. Hernandez-Camarena MD. BMC Ophthalmology. Enviado a publicacion abril 2017.



Revista Mexicana de Oftalmología

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ORIGINAL ARTICLE

Correlation of age, corneal curvature and spherical equivalent with central corneal thickness[☆]

Jorge E. Valdez-García^{a,b,*}, Julio C. Hernandez-Camarena^{a,b},
Juan F. Lozano-Ramírez^a, Judith Zavala^a, Denise Loya-García^b, Jesús Merayo-Llaves^c

^a Ophthalmology Research Chair, Tecnológico de Monterrey, School of Medicine, Mexico

^b Ophthalmology and Visual Sciences Institute, Tecnológico de Monterrey, School of Medicine, Mexico

^c Instituto Universitario Fernández-Vega, Universidad de Oviedo, Fundación de Investigación Oftalmológica, Mexico

Received 17 May 2016; accepted 20 May 2016

KEYWORDS

Central corneal thickness;
Bimodal distribution;
Age;
Keratometry;
Spherical equivalent

Abstract

Objective: To describe the distribution of the central corneal thickness (CCT) measurements on a healthy Hispanic sample population and its correlation with age, mean simulated keratometry (SimK), and mean refractive spherical equivalent (MRSE).

Methods: Retrospective analysis on the records of healthy patients from the Ophthalmology and Visual Sciences Institute, Tecnológico de Monterrey, January 2015 to August 2015. CCT data, age, gender, corneal curvature, and spherical equivalent was obtained. A descriptive analysis and correlation by the Spearman method was performed. The sample was divided by age subgroups: <20 years old, ≥ 20 and ≤ 40 years, and >than 40 years old and correlation analysis with CCT values was determined.

Results: A total of 93 (186 eyes) patients were included. Mean age: 32.54 ± 12.04 years. 43% were women. Mean CCT: $545.69 \pm 36.88 \mu\text{m}$, mean SimK: $43.56 \pm 1.90\text{D}$ and MRSE: $-2.54 \pm 3.15\text{D}$. No correlation was registered between CCT and the variables when analyzed with the Anderson-Darling ($p=0.006$), Shapiro-Wilk ($p=0.043$), and Kolmogorov-Smirnov ($p=0.01$). CCT showed a bimodal distribution with higher density at $540 \mu\text{m}$. Age groups <20 and >40 years showed significant difference in CCT ($p=0.016$), a positive correlation with CCT was observed in the group <20 ($\rho=0.596$, $p=0.001$).

Conclusions: The findings regarding the lack of normality, the bimodal distribution ($540 \mu\text{m}$), and the correlation between age and CCT in younger patients, may lead us to redefine the "normal" CCT value in our population in order to be used properly for clinical purposes.

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[☆] Partial results of this research have been presented as a poster at ARVO, May 5, 2013, Seattle, WA.

* Corresponding author at: 3000 Morones Prieto Ave., Col. Los Doctores, Monterrey, N.L., Mexico. Tel.: +52 81 88882006; fax: +52 81 88882006.

E-mail address: jorge.valdez@itesm.mx (J.E. Valdez-García).

<http://dx.doi.org/10.1016/j.mexoft.2016.05.005>

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Please cite this article in press as: Valdez-García JE, et al. Correlation of age, corneal curvature and spherical equivalent with central corneal thickness. Rev Mex Oftalmol. 2016. <http://dx.doi.org/10.1016/j.mexoft.2016.05.005>

PALABRAS CLAVE

Grosor corneal central;
Distribución bimodal;
Edad;
Queratometría;
Equivalente esférico

Correlación de edad, curvatura corneal y equivalente esférico con el grosor central corneal

Resumen

Objetivo: Describir la distribución de las mediciones del grosor central corneal (GCC) en una población sana de hispanos y analizar su correlación con la edad, queratometría simulada promedio (SimK) y el equivalente esférico refractivo (EE).

Métodos: Análisis retrospectivo, pacientes sanos del Instituto de Oftalmología y Ciencias Visuales, Tecnológico de Monterrey (enero de 2015 a agosto de 2015). Se obtuvo GCC, edad, género, SimK y EE. Se realizó análisis descriptivo de las variables y se utilizó el método de Spearman para correlaciones. La muestra se dividió en 3 subgrupos (<20 años, ≥20 y ≤40, y >40 años) para analizar la correlación entre GCC y edad.

Resultados: Se incluyeron un total de 93 pacientes (186 ojos). Edad promedio: 32.54 ± 12.04 años, 43% mujeres. GCC promedio: 545.69 ± 36.88 μm, SimK promedio: 43.56 ± 1.90 D y el EE promedio: -2.54 ± 3.15 D. No había correlación entre GCC y edad, género, SimK o EE con análisis Anderson-Darling ($p=0.006$), Shapiro-Wilk ($p=0.043$) y Kolmogorov-Smirnov ($p=0.01$). GCC mostró distribución bimodal, pico principal en 540 μm. Los subgrupos <20 años y >40 años, mostraron diferencia significativa ($p=0.016$) al comparar GCC. Se observó correlación positiva entre grupo <20 años y GCC ($p=0.596$, $p=0.001$).

Conclusiones: La falta de normalidad en la distribución del GCC, la distribución bimodal (540 μm) y la tendencia a observar mayor GCC en jóvenes, llevan a redefinir los valores «normales» de GCC en nuestra población, con la finalidad de ajustar su uso para propósitos clínicos.

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Introduction

Central corneal thickness (CCT) is one of the major parameters for measuring corneal health.^{1,2} Its measurement is essential in the assessment, management and follow up of corneal ectatic diseases (i.e. keratoconus, post-LASIK ectasia) and corneal endothelium dysfunction, since the changes in the corneal thickness are directly associated with the severity of the disease.³⁻⁶ CCT measurement is also essential in the management of glaucoma patients, given that applanation tonometry underestimates the intraocular pressure (IOP) in eyes with thin corneas and it overestimates this in thick corneas.^{7,8} CCT has also been used as a predictor of graft survival and cell density measurement after penetrating keratoplasty, thicker corneas have shown a tendency to develop graft failure within 5 years post-surgery.³ Thin corneas, along with low residual stromal bed thickness (<300 μm), deep ablation and abnormal corneal topography, have been considered as preoperative risk factors in corneal refractive surgery for developing corneal ectasia.⁹⁻¹¹ However, there is ongoing debate surrounding the precept that “thinner” corneas are indeed “weaker” corneas with biomechanical liability, since the influence of CCT over the long-term stability of LASIK procedures has not been demonstrated.^{12,13}

Normal CCT values have been established by different research groups.⁷ However, a large variability among different ethnic groups has been reported.¹⁴⁻¹⁷ Age,^{7,18,19} gender,²⁰ the transition from lower to higher humidity, UV radiation exposure, heritability,^{21,22} genetics,^{23,24} altitude

have also been associated with changes and variability in CCT.^{25,26} Additionally, the correlation of different ocular parameters with CCT has been studied, including corneal radius and curvature,²⁷ anterior chamber depth, axial length,²⁸ the spherical equivalent,²⁹ visual acuity, and IOP.³⁰

All the factors mentioned before and the controversial results regarding the use of CCT as a predictive parameter for different ocular procedures indicate that the “normality” concept for CCT needs to be re-evaluated so it can be used appropriately as a clinical parameter. In this study, we aimed to measure the CCT among healthy Hispanic patients, and to determine its correlation with age, gender, curvature, and spherical equivalent.

Materials and methods

A retrospective analysis of pachymetric measurements conducted between February 2012 and November 2012 at the Ophthalmology and Visual Sciences Institute (Tecnológico de Monterrey, School of Medicine, Monterrey, Mexico) was performed. Data from 93 healthy patients were obtained after calculating the optimal sample size using Raosoft® (Raosoft, Inc., Seattle, WA, USA) with a confidence interval (CI) of 90% and an error margin of 5% in a population of 600 patients. Patients with abnormal topography (inferior steepening, irregular pattern, non-orthogonal bowtie), contact lens users or with history of refractive surgery were excluded. The CCT was obtained using ultrasonic pachymetry (AccuPach VI; Accutome, Inc., Malvern, PA, USA). Briefly, the cornea was anesthetized with topical 1%

tetracaine and the patient was asked to adopt a face up position on the examination chair and solicited to fixate a target on the ceiling. The pachymeter probe was brought in contact with the cornea centrally and perpendicularly over the visual axis. CCT was recorded as the average of 9 consecutive acquisitions. This process was repeated for every individual CCT measurement.

Age, gender, mean simulated keratometry (SimK) (Orb-scan II Software version 4.1, Bausch&Lomb, Rochester, NY, USA), and spherical equivalent data were also obtained. Patients with any ocular or corneal pathology as well as history of ocular surgery were excluded. Patients with diagnosis of cataract, but who did not have surgery, were included. Statistical analysis was performed using IBM SPSS® version 21 (IBM Corporation, Armonk, NY, USA). A descriptive analysis and Spearman's correlation of the variables were performed. The mean of the CCT values and their distribution were established via the Anderson–Darling, Shapiro–Wilk, and Kolmogorov–Smirnov tests. The sample was divided by the following age groups: <20 years, ≥20 and ≤40 years, and >than 40 years to perform a descriptive and comparative analysis by analysis of variance (ANOVA), as well as to conduct an independent samples *t*-test.

Results

A total of 93 patients (186 eyes) were included in the study, 43% (*n* = 40) were female. The mean age of the patients was 32.54 ± 12.04 years (range 21–54 years). The mean keratometry was 43.56 ± 1.90 diopters (D) and the mean spherical equivalent was -2.54 ± 3.15 D.

The mean CCT was 545.69 ± 36.88 μm (range 458–640 μm). The CCT showed a bimodal distribution with the first peak occurring at 540 μm and the second at 580 μm (Fig. 1). No association was observed between the pachymetry measurements and the mean keratometry, spherical equivalent, and age when analyzed with the Anderson–Darling (*p* = 0.006), Shapiro–Wilk (*p* = 0.043), and Kolmogorov–Smirnov (*p* = 0.01) tests. Pearson's test showed a correlation of -0.08 between pachymetry and age, 0.099 between pachymetry and keratometry, and 0.033 between pachymetry and the spherical equivalent. The

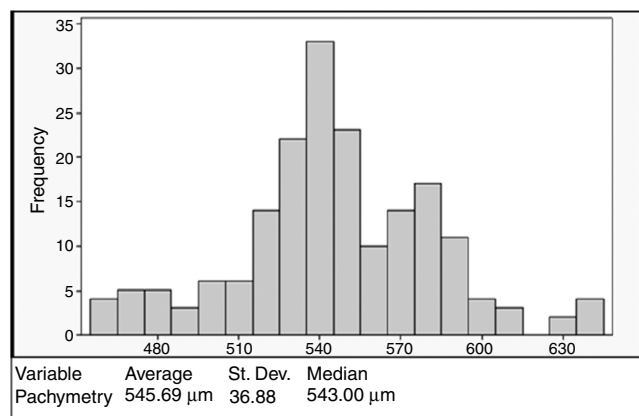


Figure 1 CCT histogram. The analyzed population did not exhibit a normal distribution. The first peak can be noted at 540 μm, and the second at 580 μm.

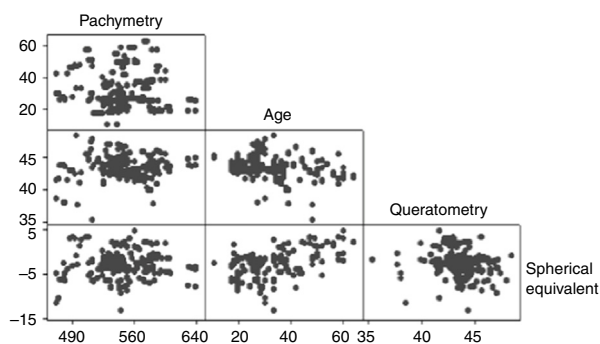


Figure 2 Matrix plot showing the correlation between CCT and the age, keratometry, and spherical equivalent variables.

Table 1 Central corneal thickness by age group.

Age group (years)	<i>n</i>	Mean CCT	Standard deviation	Range (μm)
<20	28	558.82	37.398	507–640
≥20–≤40	114	545.84	36.321	458–640
>40	44	536.93	36.256	458–600

CCT = central corneal thickness, *n* = number.

correlation between age and keratometry was -0.259 and the correlation between age and the spherical equivalent was -0.2 (Fig. 2).

The sample was divided in three age groups: <20 years, from 20 to 40 years, and >40 years (Table 1). Although the mean CCT for the group <20 years was 558.82 ± 37.398 μm, 42.8% (*n* = 12) of the eyes in this group had a CCT ≥580 μm, while 14.4% (*n* = 17) and 14.2% (*n* = 6) of the eyes in the groups from 20 to 40 years and over 40 years had CCT ≥580 μm. The mean CCT between age groups <20 years and >40 years showed a significant difference (*p* = 0.016). No difference was detected between the age groups <20 years and 20–40 years (*p* = 0.094), and >40 years (*p* = 0.17). A positive correlation with CCT was observed in the group <20 years (ρ = 0.596, *p* = 0.001), a negligible correlation between CCT and age was detected in for the age group ≥20 and ≤40 years (ρ = 0.091, *p* = 0.326) and a non-significant positive correlation in the group over 40 years (ρ = 0.255, *p* = 0.103).

Discussion

CCT is a critical parameter in the assessment of IOP in glaucoma patients, and its measurement is also compulsory in patients undergoing corneal refractive surgery and during the postoperative follow up of corneal transplant. It is known that CCT values vary between ethnic groups, and that there are several factors either extrinsic (i.e. UV radiation, altitude, humidity) and intrinsic (age, gender, ethnicity, heritability and genetics) have an effect influence it.^{17,22,24,25,31,32}

We observed an average CCT of 545.69 ± 36.88 μm, similar to that of previous studies conducted with Hispanic subjects. Hahn et al.¹⁹ in 2003 reported a mean CCT of 546.9 μm; Erickson et al.³³ in 2010 obtained a mean CCT of 541.8 μm; and recently, Valbon et al.³⁴ found a CCT of 547.5 μm. Our sample also exhibited a wide range of CCT

values (ranging from 458 to 640 μm), this was superior to the ranks reported by Hahn et al. (479.7–613.4 μm) and Valbon et al. (490–647 μm). Additionally, our results showed a bimodal distribution with the first peak reflecting the mean CCT for the whole sample (545.69 μm) and the second peak attributed to the eyes ($n=35$) with thick corneas (CCT ≥ 580 μm), primarily at the expense of the younger group of patients <20 years (42.8%). Other authors have made similar observations with regard to a trend over a higher prevalence of thicker corneas in younger ages.^{27,35}

The wide range of CCT values, as well as the high frequency in values around 540 μm , might lead us to redefine the concept of “normality” for corneal thickness in our population. Frequently, corneas below 510 μm are considered as thin and, and therefore as corneas with biomechanical liability or weakness for excimer laser refractive procedures (LASIK, PRK).^{10–12,36,37} However, there is increasing evidence with regards to the safety and effectiveness of LASIK surgery in patients with CCT values <500 μm .^{13,38,39} Since collagen tension disruption affects corneal biomechanics in refractive surgery,^{40,41} this contradictory evidence leads us to believe that there are other factors that impact corneal structural stability independently of CCT. In this respect, it has been suggested that ultrastructural changes observed in ectatic corneas are related to mechanical stress, which leads to greater modifications in collagen fibrils and not directly to the CCT.^{42,43} Hence, in order to consider a cornea as “normal”, the entire topography (topographic pattern, pachymetry map and elevation maps) along with the expected CCT for a given population, should be taken into account.

In agreement with other reports,^{28,29} we did not observe a correlation between CCT and the variables age, keratometry, and spherical equivalent. However, when the population was subdivided into age groups, a significant difference was noticed between the CCT of individuals under 20 years and those over 40 years. Younger patients registered thicker corneas with a mean difference of 20 μm from those patients over 40 years, and a positive correlation was observed for both groups (only significant for the group <20 years). This is in accordance with numerous studies that have reported decreasing values of CCT in relation to older age.^{14,44} In a meta-analysis that included populations from different ethnicities, Doughty and Zaman,⁷ reported an inverse relationship between age and CCT for non-white population. This age/CCT correlation could be explained by the decrease in interfibrillar spacing due to age-related non-enzymatic crosslinking, which has been suggested to cause reductions in stromal thickness.^{35,45}

Conclusion

A bimodal distribution in the CCT was observed in this cross-sectional study, with the first peak at 540 μm and a second minor peak at 580 μm , the latter attributed mainly to younger patient measurements. No association between age, corneal curvature and spherical equivalent was observed, but when analyzed by age groups a positive correlation was detected for age group <20 years and age group >40 years. To our knowledge, this is the first study that describes pachymetric values and their correlation

with other factors in this specific population. The findings regarding the lack of normality, the higher frequency of the samples in the first peak, and the relationship between age and decreasing CCT, may lead us to redefine the “normal” pachymetric parameters in our population so they can be used properly for clinical purposes.

Ethical disclosures

Protection of human and animal subjects. The authors declare that no experiments were performed on humans or animals for this investigation.

Confidentiality of data. The authors declare that no patient data appears in this article.

Right to privacy and informed consent. The authors declare that no patient data appears in this article.

Funding

No endorsement of any kind received to conduct this study/article.

Conflict of interest

The authors declare no conflicts of interest.

Acknowledgements

English-language editing of this manuscript was provided by Journal Prep.

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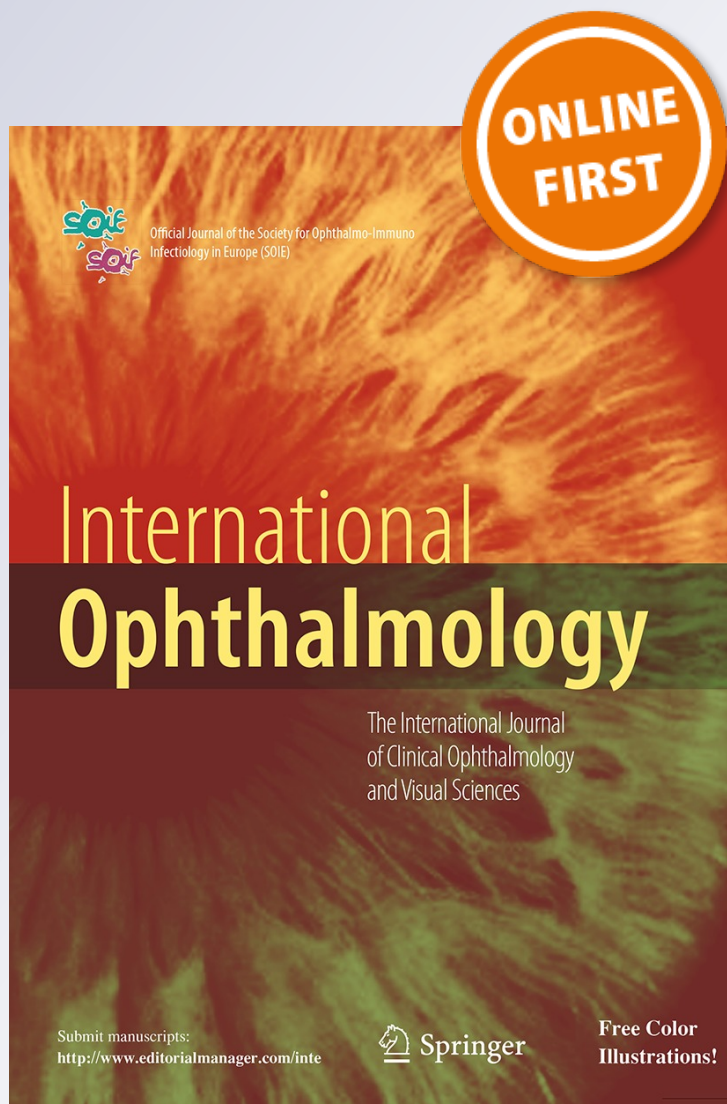
3-Year follow-up after Lasik: assessing the risk factors for retreatment

**Jorge E. Valdez-García, Julio
C. Hernandez-Camarena & Rosa
Martínez-Muñoz**

International Ophthalmology
The International Journal of Clinical
Ophthalmology and Visual Sciences

ISSN 0165-5701

Int Ophthalmol
DOI 10.1007/s10792-015-0084-4



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3-Year follow-up after Lasik: assessing the risk factors for retreatment

Jorge E. Valdez-García · Julio C. Hernandez-Camarena · Rosa Martínez-Muñoz

Received: 26 January 2015 / Accepted: 11 May 2015
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Abstract The purpose of this study was to evaluate the correlation of important risk factors for LASIK retreatment and the retreatment rate. A retrospective cohort study was conducted. Records of patients who underwent LASIK between January 2011 and January 2012 at the Zambrano-Hellion Medical Center, Tec de Monterrey (México), and posteriorly underwent LASIK retreatment were identified and risk factors to receive retreatment were assessed using relative risk. Main outcomes were retreatment rate, risk factors for retreatment, and uncorrected distance visual acuity (UDVA). 482 eyes from 241 patients were available for a 36-month follow-up analysis. 68.5 % had primary myopic LASIK; 37 % were ≤ 2 diopters (D), 52 % were >2 and <6 D, and 11 % were ≥ 6 D of myopia. 31.5 % of the eyes had hyperopic LASIK. Retreatment was performed in 6.85 % eyes. Myopia >6 D (RR 4.13), hyperopic refraction (RR 3.18), and age >40 (RR 3.07) were the most important risk factors for retreatment ($P = 0.004$, $P = 0.007$, $P = 0.006$,

respectively). UDVA was $\geq 20/40$ in 92.1 % and $\geq 20/20$ in 81.6 % of the retreated eyes and 82 % of the eyes within ± 0.50 D of target refraction. Increasing degrees of myopia, followed by hyperopic refraction, and age were the most important associated factors to retreatment. LASIK retreatment was safe and effective.

Keywords LASIK · LASIK retreatment · Risk factors · Refractive surgery

Introduction

Although sometimes overlooked by the clinician, the undercorrection rate is a very important parameter of quality assurance [1–3]. High rates may indicate that changes need to be done to the physician's nomogram and will naturally result in a higher number of unsatisfied patients [3]. Given the high satisfaction rates of LASIK and the widespread use of the procedure, it is quite possible that patients have high expectation. It is therefore important to identify subpopulations of patients with high risk of undercorrection. Awareness of belonging to a high-risk group, as well as the alternative of enhancement and its results, could create more realistic expectations on the patients, therefore, reducing anxiety and dissatisfaction. This paper discusses the correlation of some common, although disputed, risk factors for LASIK

J. E. Valdez-García (✉) · J. C. Hernandez-Camarena
Service of Cornea and Refractive Surgery,
Ophthalmology and Visual Sciences Institute, School of
Medicine of the Tecnológico de Monterrey, Morones
Prieto 3000 Pte., Los Doctores, 64710 Monterrey,
Nuevo Leon, Mexico
e-mail: jorge.valdez@itesm.mx

R. Martínez-Muñoz
School of Medicine of the Tecnológico de Monterrey,
Monterrey, Mexico

retreatment in a Hispanic population with a 3-year follow-up after the initial LASIK treatment and reports the results of the enhancement procedures.

Methods

A retrospective analysis was performed on the records of Hispanic patients who underwent LASIK between January 2011 and January 2012, at the Zambrano-Hellion Medical Center, Tec de Monterrey (Monterrey, México). The analysis followed the tenets of the Declaration of Helsinki, and informed consent was obtained from all patients after details of the procedure were explained. Inclusion criteria for the initial treatment were age over 18 years; stable refraction with spherical component in the range of -10.00 to $+6.50$ D, and a cylindrical component between 0.00 and 6.50 D; corrected visual acuity of 20/20 (Snellen visual acuity chart); and at least 36-month follow-up after the initial treatment. Patients with keratoconus, post-LASIK ectasia, previous eye surgery, and eye trauma were excluded. Patients who underwent LASIK retreatment during this period were identified and charts were analyzed.

Retreatment was defined as a second LASIK procedure due to residual refractive error or patients who were not satisfied with the uncorrected visual acuity due to regression (>0.5 D between two visits separated by at least 2 months) or undercorrection (undercorrection >0.5 D of target refraction the first week after the primary procedure). Initial LASIK procedures were performed by the same surgeon using a Technolas-217 Excimer workstation (Technolas Perfect Vision GmbH, München, Germany) using the standard technique. Briefly, under topical anesthesia with tetracaine chlorhydrate 0.5 % (Ponti ofteno, Sophia®, Jalisco, México), the cornea was marked with gentian violet and a superior hinge was performed using a Hansatome XP Microkeratome (Bausch & Lomb, Rochester, NY). When indicated both eyes were operated the same day, with the refractive target to emmetropia. A 6.0-mm optical zone was used in every case, with a 120 microns flap with a superior hinge, and average diameter of 9.5 mm (an 8.5-mm-diameter ring was used in eyes with mean keratometeries >45) was created. Postoperative medication consisted on moxifloxacin 0.5 % ophthalmic solution (Vigamoxi®, Alcon Laboratories, Fort Worth TX, US) every 6 h for 7 days and

fluorometholone 0.1 ophthalmic suspension (Flumetol, Sophia®, Jalisco, México) in dose reduction for 2 weeks.

The amount of residual refractive error treated was based on subjective refractive measurements. LASIK retreatments were done only when the estimated residual stromal thickness was ≥ 300 μm . Enhancement was performed by identifying and lifting the prior flap using a Fukasaku LASIK spatula. Statistical analysis was performed with the SPSS software (version 20.0, IBM Inc., NY, USA) for Windows, using Relative Risk (RR) and Chi-square test to determine the association between categorical variables. Visual acuity was measured using Snellen's visual acuity chart and then converted to LogMAR for statistical analysis.

Results

A total of 482 eyes from the records of 241 patients were available for a 36-month follow-up analysis. One hundred and fifty patients (62 %) were female and 91 (38 %) were males. The mean age for the primary intervention was 33.3 (± 12.1) years. Patient demographics and refraction at the time of the primary treatment are given on Table 1. Three hundred and thirty eyes (68.5 %) had primary myopic LASIK; 122 eyes (37 %) were ≤ 2 D, 172 eyes (52 %) were >2 and <6 D, and 36 eyes were ≥ 6 D of myopia. One hundred and fifty two eyes (31.5 %) had hyperopic LASIK. The uncorrected distance visual acuity after 1 year of the primary procedure was $\geq 20/40$ in 81.1 % of patients and 20/20 in 72.2 %. Retreatment was performed in 33 (6.85 %) eyes. The age at the time of the retreatment was 39.1 (± 10.9), 45 % were female. The mean time between the primary treatment and the enhancement was 40.5 (± 38.2) months, with a mean follow-up after retreatment of 4.2 (± 2.1) months (Table 2). The mean corneal thickness measured at retreatment time was 540 ± 30.2 μm . Of the retreated eyes, 15 (45.5 %) were myopic and 18 (54.5 %) hyperopic corrections. Of the myopic enhancements, one eye (6.2 %) had a baseline refraction <-2 D, eight eyes (56.2 %) were between -2 D and -6 D, and six eyes (37.6 %) were initially >-6 D. The uncorrected distance visual acuity was $\geq 20/40$ in 92.1 % of the eyes and 20/20 in 81.6 % after 3 months of the enhancement treatment. Of the retreated eyes,

Table 1 Patient demographics and refraction

	Preoperative (<i>n</i> = 482)	1 year postoperative (<i>n</i> = 482)
Gender % (n)	Female 62 % (150)	
Age (years)	33.3 (±12.1)	
UCVA ^a (SD)	0.9 (±0.4) [20/25]	0.1 (±0.3) [20/25]
CDVA ^a (SD)	0.0 (±0.1) [20/20]	0.0 (±0.2) [20/20]
SE ^b (SD; range)	-3.25 (±4.50; +6.25 to -8.50)	-0.50 (±1.50; +2.25 to -1.50)
CYL ^b (SD; range)	-1.75 (±1.50; -0.25 to -5.25)	-0.75 (±0.75; -0.25 to -2.25)

UCVA uncorrected distance visual acuity, CDVA corrected distance visual acuity, SE spherical equivalent, CYL refractive cylinder, SD Standard deviation

^a Expressed in LogMAR/[Snellen]

^b Expressed in diopters (D)

Table 2 Patient demographics and refraction at retreatment and 3 months postoperative

	At retreatment (<i>n</i> = 33)	3 months after retreatment (<i>n</i> = 33)
Gender % (n)	45 % (15)	
Age (years)	39 (±10.9)	
UCVA ^a (SD)	0.2 (±0.3) [20/30]	0.1 (±0.1) [20/25]
CDVA ^a (SD)	0.1 (±0.2) [20/25]	0.09 (±0.1) [20/25]
SE ^b (SD; range)	-0.50 (±1.75; +2.50 to -1.75)	-0.50 (±1.25; +1.00 to -1.00)
CYL ^b (SD; range)	-0.75 (±1.00; -0.25 to -2.25)	-0.55 (±0.55; -0.25 to -1.25)

UCVA uncorrected distance visual acuity, CDVA corrected distance visual acuity, SE spherical equivalent, CYL refractive cylinder, SD Standard deviation

^a Expressed in LogMAR/[Snellen]

^b Expressed in diopters (D)

82 % were within ±0.50 D of the target refraction. None of the patients lost lines of corrected distance visual acuity and none of the patients presented epithelial ingrowth or corneal ectasia at the last follow-up.

An association between undercorrection and increasing degrees of myopia ($P = 0.004$) was observed, with eyes >6 diopters of myopia having four times more likely to be retreated (RR = 4.13). Hyperopic refraction had a RR of 3.18 respective to myopia to receive enhancement ($P = 0.007$). Of the patients with an enhancement treatment, 52 % were over 40 years. Age over 40 years old was also significantly associated to undercorrection ($P = 0.006$), and patients in this

age group were three times (RR = 3.07) as likely to get excimer surgery enhancement (Fig. 1). Post-treatment visual acuity had no effect on the risk for retreatment ($P = 0.99$). There was no gender difference in the risk for retreatment.

Discussion

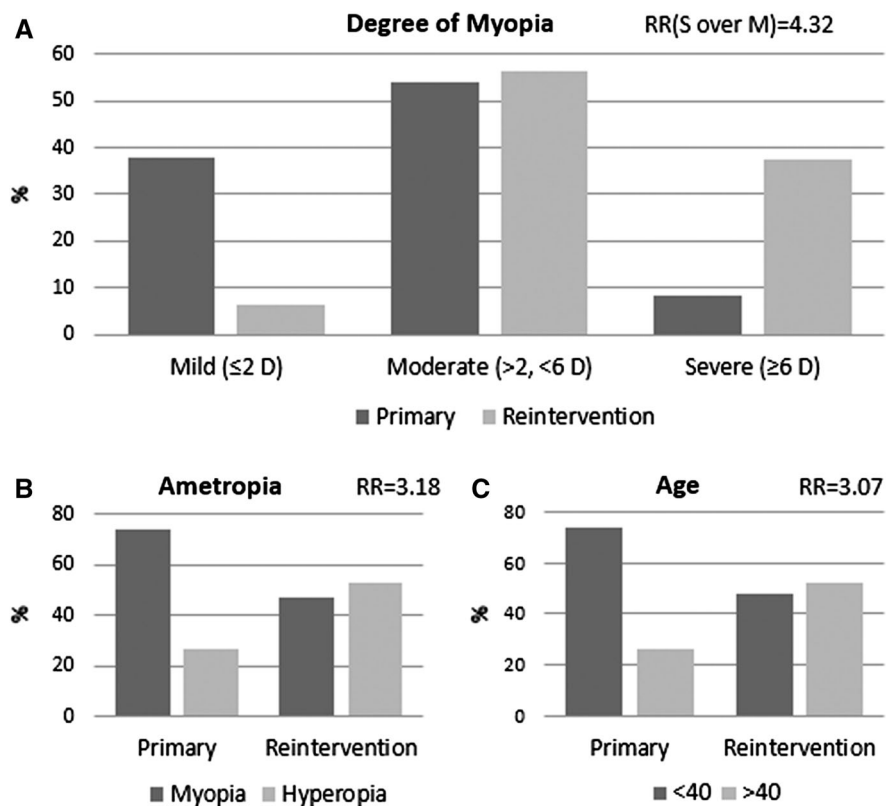
Over the past two decades, LASIK has proved to be a safe, an efficient, and a predictable method to correct myopia, astigmatism, and hyperopia, though the results for the latter are generally less predictable [4–6]. However, enhancement rates still are high

ranging from 3.8 to 30 % in eyes with high myopia or hyperopia [7–10]. Retreatment procedures in an attempt to eliminate residual refractive error or to compensate for refractive regression are mostly encouraged by patient visual dissatisfaction in the postoperative period. The current study analyzes the association of the risk factors found in 482 eyes over a period of 3 years after the primary LASIK to receive an enhancement treatment or retreatment.

The overall retreatment rate in the current study was 6.8 %, which is significantly lower than the reported by other authors for moderate to high myopia (ranging from 20 to 30 %) [7, 8, 10] or hyperopia alone (12.8–29 %) [11, 12] and is slightly higher than the reported by other authors for large cohort of post-LASIK eyes (Watson et al. and Yuen et al., retreatment rates of 4.9 and 3.8 %, respectively) [5, 13]. The latter authors explain their low rate of retreatments, as we also could do so, because of the predominance of low to moderate refractive error since regression (especially in myopic eyes) increases with higher corrections. We found the increasing risk for

retreatment with a baseline hyperopic refraction (RR 3.18), this is in agreement with the findings of Randleman et al. who concluded that eyes with hyperopic refractions or astigmatism (≥ 1 D) were more likely to undergo retreatment [11]. An association between increasing degrees of myopia and retreatment was also found. In this matter, Saeed et al. found that baseline degree of myopia was a significant predictor for regression after both the initial LASIK and retreatment [14]. This is in agreement with Hersh et al. who also defined high initial corrections (>6 D) as risk factors for LASIK retreatment [15]. With regards to age, we found association between older age (>40 years old) and retreatment. In contrast with the results found in the work of Febraro et al. and Randleman et al. who did not find correlation between age and LASIK retreatment, and in agreement with the results of Hersh et al. who found patients over 40 years at greater risk for retreatment [11, 15, 16]. The retreatment rate was not influenced by the gender, this is in agreement with the results reported by other authors [11].

Fig. 1 Risk factors for LASIK retreatment. **a** Eyes with high myopia (≥ 6 diopters) were four times more likely to be retreated (RR 4.32, $P = 0.004$). **b** Eyes with hyperopic refraction were three times more likely to be retreated (RR 3.18, $P = 0.007$). **c** Patients over 40 years old were three times more likely to be retreated (RR 3.07, $P = 0.004$)



The UDVA [Snellen] of the retreated eyes in our study was 20/40 or better in 92.1 % (30 eyes) and 20/20 or better in 81.6 % (27 eyes), with 82 % of the eyes within ± 0.50 D of the target refraction. These results reach an agreement with the reports of Saeed et al. who found a UDVA [Snellen] of 20/30 or better in 88 % of the eyes and 77 % of the eyes within ± 0.50 D of the target refraction, considering that his analysis was over a 4-year follow-up after retreatment [14]. Kashani et al. reported a final UCVA [LogMAR] of 0.06 ± 0.13 (Snellen equivalent 20/22) for myopic retreatment and 0.06 ± 0.16 (Snellen equivalent 20/22) for hyperopic retreatment after a mean follow-up of 17.7 months [17]. This is in accordance with the reported UDVA [LogMAR] of $0.1 (\pm 0.1)$ (Snellen equivalent 20/25) at an early 3-month follow-up in our work. Similarly, McAlinden et al. reported the retreatment results of residual refractive errors with flap lift LASIK. In this study after a 6-month follow-up, 73 and 88.3 % of the eyes had an UDVA [Snellen] of 20/20 and were within ± 0.50 D of emmetropia, respectively [18]. Although it was not the main purpose of the study, since the follow-up time after retreatment is short (3 months) and data are insufficient to assure refractive and visual stability, the short-term visual outcomes were excellent and comparable to the reported results of other authors.

One important limitation of this study is absence of cycloplegic refraction during the postoperative visits after LASIK; therefore, in the cases of hyperopic treatments, the analysis of whether the retreatment were due to regression or to facultative hyperopia. Finally, larger sample size and longer follow-up will be necessary for a higher certainty in the visual outcomes after LASIK enhancement.

In conclusion, our retreatment rate was low (6.85 %) and as other authors, we can explain this because of the predominance of low to moderate refractive error in our series. Also, the results suggest that in our population increasing degrees of myopia, followed by hyperopic refraction, and age were the most important associated factors to retreatment. These risk factors should always be considered when studying a patient who is a candidate for LASIK surgery in order to set up realistic expectations and to achieve a proper patient selection, finally improving patient satisfaction.

Acknowledgments The authors do not report any funding or grant used in the elaboration of this paper.

Conflict of interest The authors declare that they have no conflict of interest.

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ORIGINAL ARTICLE

Prevalence of keratoconus in an adolescent population



Jorge E. Valdez-García^{a,b,c,*}, Rubén Sepúlveda^c, Jessica J. Salazar-Martínez^c,
Juan F. Lozano-Ramírez^{b,c}

^a Instituto de Oftalmología y Ciencias Visuales - TecSalud. Tecnológico de Monterrey, Monterrey, México

^b Catedra de Oftalmología y Ciencias Visuales. Escuela de Medicina y Ciencias de la Salud, Tecnológico de Monterrey, Monterrey, México

^c Escuela de Medicina y Ciencias de la Salud, Tecnológico de Monterrey, Monterrey, México

Received 12 December 2013; accepted 7 March 2014

Available online 24 May 2014

KEYWORDS

Keratoconus;
Retinoscopy;
Astigmatism;
Cycloplegia;
Keratoplasty;
Epidemiology

Abstract

Introduction: Keratoconus is an idiopathic and progressive disease, where the cornea develops an irregular and conical shape, being the most common form of dystrophy or corneal ectasia, developing between the age of 12 and 20. In Mexico, the epidemiological information about the pathology is scarce.

Purpose: To explore the epidemiology of keratoconus in Mexico among adolescents, and to compare the prevalence with international literature reports. This study identified associated pathologies and examined the management of patients.

Methods: A retrospective study was conducted in an ophthalmology clinic; 500 charts were randomly selected from patients between 10 and 20 years of age in order to acquire information about the identification of the patient; the patient's gender, birthday, and age; three principal diagnoses at the first visit; as well as refraction and visual acuity in both eyes. After this, statistical analysis of the information was done.

Results: The prevalence rate of keratoconus was 1.8%, affecting 66% of females and 33.3% of males. The mean age of presentation was 16.1 years. The most frequently associated refractive error was compound myopic astigmatism (44.4%); 88.8% presented with bilateralism. The majority of patients were being managed conservatively.

Conclusion: Through this study, we found that our statistics matched those of internationally published reports concerning the early age of onset of the disease and its corresponding bilateralism. However, contrary to the international reports, it was evident that this condition was more prevalent among the females in our study sample, and no other associated pathologies were found.

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* Corresponding author at: Av. I Morones Prieto #3000 PTE. Edificio CITES Piso PB Col, Los Doctores Monterrey, NL 64710, Mexico. Tel.: +52 81 8888 2000.

E-mail address: jorge.valdez@itesm.mx (J.E. Valdez-García).

PALABRAS CLAVE

Queratocono;
Retinoscopía;
Astigmatismo;
Cicloplegia;
Queratoplastia;
Epidemiología

Prevalencia de Queratocono en Población Adolescente**Resumen**

Introducción: El queratocono es una enfermedad idiopática y progresiva, donde la cornea adquiere una forma irregular y cónica, siendo la forma más común de distrofia o ectasia corneal desarrollándose entre los 12 y 20 años de edad. En México no se cuenta con suficiente información epidemiológica en cuanto a la patología.

Objetivo: Explorar la epidemiología del queratocono en México en adolescentes y comparar la prevalencia con reportes internacionales. Este estudio identificó patologías asociadas y evaluó el manejo de estos pacientes.

Métodos: Estudio retrospectivo en una clínica oftalmológica; 500 expedientes fueron aleatoriamente seleccionados de pacientes entre 10 y 20 años de edad, obteniendo: identificación del paciente, sexo, fecha de nacimiento y edad, tres diagnósticos principales en su primer visita, refracción y agudeza visual en ambos ojos. Posterior a la captura de datos, se realizó el análisis estadístico.

Resultados: La tasa de prevalencia de queratocono fue de 1.8%, afectando al 66% de las mujeres y el 33% de hombres. La edad media de presentación fue de 16.1 años de edad. El error refractivo asociado a queratocono más común fue astigmatismo miópico compuesto (44.4%); 88.8% se presentó con bilateralidad. La mayoría de los pacientes se trató de manera conservadora.

Conclusión: A través de este estudio, encontramos que nuestros resultados concuerdan con lo publicado internacionalmente en referencia al inicio temprano del queratocono y la bilateralidad. Pero, contrario a lo reportado, fue evidente que esta condición es más prevalente entre las mujeres de nuestra muestra, además no se encontraron patologías asociadas a queratocono. © 2013 Sociedad Mexicana de Oftalmología. Publicado por Masson Doyma México S.A. Todos los derechos reservados.

Introduction

Keratoconus is a progressive and idiopathic disease in which the cornea develops into an irregular and conic shape. The clinical signs include thinning of the cornea in its central or paracentral region, an apical protrusion, or an elevation of the central zone with an irregular astigmatism, and this condition can progress to the point of corneal perforation in extreme cases¹; all of these issues can make it difficult to achieve adequate visual correction with the simple use of glasses.² Keratoconus is a rare disease, as classified by the Office of Rare Diseases of the National Institute of Health. Despite this, keratoconus is the most common form of dystrophy or corneal ectasia, with an incidence of 50–230 per 100,000 persons.³

Some initial studies revealed a greater prevalence of keratoconus among women than men. Today, studies have not been able to find a significant difference between genders, and some studies have even found higher prevalence rate among males.^{4,5}

Some reports have documented the age of onset as being as early as birth and up to 51 years of age. However, the vast majority of patients develop the disease between 12 and 20 years of age (it is diagnosed in adolescence and reaches its most severe form between the second and fourth decades of life).^{4,5} Based on these reports, we find the need to study this age population in order to define future strategies for diagnosis and treatment.

Keratoconus is a disease that is almost exclusively bilateral, yet asymmetric, as it begins in one eye and after 2–6 years it affects the contralateral eye. It is rare to

find a purely unilateral disease. Hall reported that from a total of 288 patients, eight cases were unilateral, while Tuft reported that 4.3% of his sample exhibited unilateral keratoconus.^{6,7}

In Mexico, the research on keratoconus is scarce; the articles published show statistics that are similar to those in international literature, which indicates a higher prevalence in male patients with a mean age of 24.5 years.⁸ This study will examine a specific population – adolescents. There is debate concerning the prevalence of keratoconus in the general population, but our focus is on the prevalence of keratoconus in the adolescent population visiting an ophthalmology concentration clinic.

Objective

To explore the epidemiology of keratoconus in Mexico among an adolescent population, and to compare the prevalence rates of this condition with international literature reports.

Methods

This study is a retrospective clinical study, which will examine the prevalence rates of keratoconus, for which 500 records were randomly selected. The calculated sample was 221 patients, using Raosoft®, with a 5% margin of error, a 90% confidence interval, and a population of 1200 patients. The patient records used were from patients between the ages of 10–20 years. A database was developed using Microsoft® Excel in which patients' identification, gender, age, three

Table 1 Data concentrate for diagnosis of keratoconus.

	Number of Patients	500
<i>Keratoconus diagnosis</i>		
General prevalence	9	1.8%
Female	6	66.6%
Male	3	33.3%
<i>Mean age at diagnosis</i>		
General	16.1 years	
Female	15.5 years	
Male	17.3 years	
<i>Refractive errors</i>		
Simple Myopia	2	
Compound Myopic Astigmatism	4	
Simple Myopic Astigmatism	2	
Mixed Astigmatism	1	
<i>Treatment</i>		
Corneal transplant	3	
RGP ^a contact lens	5	
Loss to follow-up	1	

^a RGP = rigid gas permeable.

main diagnoses at fist clinic visit, as well as refraction and visual acuity for both eyes were documented. After this, descriptive statistical analysis was performed for prevalence and means. All of the refractions that were documented were performed via skiascopy under cycloplegia. Since this was a general population, no exclusion criteria were developed for this study. Before initiating, we received approval from the ethics committee, and the study adheres to the tenets of the Declaration of Helsinki.

Results

Of the 500 records that were examined, keratoconus was diagnosed in 9 patients, with a prevalence rate of 1.8% (Table 1). The proportion of keratoconus per gender was 6 (66.6%) females and 3 (33.3%) males. The mean age at diagnosis was 16.1 years, with 17.3 years of age for males and 15.5 years of age for females.

The refractive errors detected were 2 patients with simple myopia, 2 with simple myopic astigmatism, 4 with compound myopic astigmatism, and 1 with mixed astigmatism. Of the detected patients, 3 (33.3%) had a penetrating keratoplasty and 5 (55.5%) were managed conservatively with rigid gas permeable contact lenses, with periodic adjustments. There was loss to follow-up of 1 (11.1%) patient, after the second visit and no management was appropriately delivered.

Discussion

Our study on keratoconus cannot be compared to an equally designed study from Mexico because no other studies use a similar population. Our 1.8% prevalence rate compares to that of Jonas et al. in India, where they obtained a 2.7%

Table 2 Comparative table indicating keratoconus prevalence per gender in different studies.^{5,7,13-15}

Author	Male with KC ^a	Female with KC
Buxton ¹³	62%	38%
Woodward ¹⁴	61%	39%
Palimeris ¹⁵	68.9%	30.2%
Kennedy ⁵	54.7%	45.3%
Tuft ⁷	1.92:1	1:1

^a KC = keratoconus.

prevalence rate, sample of 4711 subjects, and in a study conducted in Jerusalem by Millodot et al., who reported a prevalence rate of 2.34% in a sample of 981 volunteers.^{9,10} Even though these studies exhibit slightly higher prevalence rates, our results can be regarded as being somewhat similar to those reported in these studies, especially if we compare our prevalence rates to those found by Ihalainen (0.03%).¹¹

In relation to gender, we found prevalence rates of 66.6% and 33.3% for women and men, respectively. When we compare these results to those of the study conducted in Cuba by Diaz et al. – who reported similar prevalence rates per gender at 66% for females and 34% for males, had a sample of 73 patients – it is evident that these results are in contrast to those observed in several studies from the United States (Table 2).^{5,7,12-15}

The mean age at diagnosis noted in our study was 16.1 years, which can be compared to the mean age at diagnosis found by Olivares and Guerrero, which was 15.39 ± 3.95 years, which had a sample of 74 patients. Even though the mean age reported in our study indicated a younger age, we obtained results that were similar to those found in the general population.² When comparing our study's results with those reported by Ruiz-Morales et al., they obtained an age at diagnosis of 24.5 years, study with a sample of 166 patients. While this indicates an older age at diagnosis, it should be considered that the study was conducted on post-transplant patients.⁸

Bilaterality is an important aspect to consider when analyzing a patient with suspected keratoconus. Our study demonstrated bilaterality in 8 (88.8%) patients, although it was asymmetric. Kennedy et al. found an incidence of bilateral involvement to be in 38 (59%) patients at the time of diagnosis.⁵ Our results obtained, could indicate a late approach to these patients in our clinic

Theories surrounding the diseases associated with keratoconus can be found in the literature. Some of these theories are based on the effects of hormonal stimulation as the genesis of this pathology. Also, keratoconus is associated with Trisomy 21 and allergic processes, but in our study, no relationships with other diseases were detected.²

The relevance of the management of these patients is important to note, as 33.3% of patients ended their treatment in penetrating keratoplasty in our study, clearly indicating that patients are being subjected to radical treatments. The reason behind this is based on the fact that patients at our clinic have already been to other clinics, and they are referred to us (a concentration clinic). Other factors include the fact that this is a population of low socioeconomic status and poor education level; these

factors are often seen in the records in which patients' visual acuity has been significantly affected, and where keratoconus is present and cannot be treated by noninvasive methods.

As the first study using the inclusion criteria specified above, we should highlight the need to perform additional studies. Our study was conducted with a calculated sample of 221 patients. The results are supported by our data, but in order to better compare and extrapolate our epidemiological data, a study that involves a higher number of subjects needs to be performed. In addition to having a larger sample size, other variables should be included such as family history, data concerning atopy, and factors concerning environmental exposure.

The importance of performing similar protocols in populations of this age group is necessary to establish statistics that can help identify the incidence and prevalence of keratoconus in populations of similar geographic areas (this study was conducted in the north of Mexico). Importantly, we can use these studies to establish etiologies, assess proper characteristics, and develop guidelines for the treatment of keratoconus.

Conclusion

Keratoconus identification, diagnosis and treatment are of high importance for primary care physicians, optometrist and ophthalmologist when screening patients in this age group. The incorporation of epidemiology and public health organizations into a future project to identify probable causes can help us understand risk factors for our population, and the best method for detection and timely management.

Funding

The authors received no sponsorship to carry out this article.

Conflict of interest

The authors declare no conflict of interest.

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1 **Factors Influencing Central Corneal Thickness**

2 **Jorge E. Valdez-García, MD**

3 Corresponding author

4 Tecnológico de Monterrey, School of Medicine and Health Sciences, Ophthalmology Research

5 Chair, Monterrey, NL, México.

6 Ophthalmology and Visual Sciences Institute, Tecnológico de Monterrey, School of Medicine,

7 Mexico

8 Address: 3000 Morones Prieto Ave., Col. Los Doctores, Monterrey, N.L., México.

9 Tel.: +52 81 88882066

10 E-mail: jorge.valdez@itesm.mx

11

12 **Judith Zavala, PhD**

13 Ophthalmology Research Chair, Monterrey, NL, México.

14 Address: 3000 Morones Prieto Ave., Col. Los Doctores, Monterrey, N.L., México.

15 Tel.: +52 81 88882066

16 E-mail: judith.zavala@itesm.mx

17

18 **Rocio Villafuerte-de la Cruz, MD**

19 Developmental biology

20 Address: 3000 Morones Prieto Ave., Col. Los Doctores, Monterrey, N.L., México.

21 Tel.: +52 8188882066

22 E-mail: rociogenetica@gmail.com

23

24 **Jesús Merayo-Lloves, MD, PhD, MBA**

25 Associate Professor of Ophthalmology. University of Oviedo.

26 Senior Scientist. Ocular Surface & Inflammation Group.
27 Director. Instituto Universitario Fernández-Vega. Universidad de Oviedo. Fundación de
28 Investigación Oftalmológica.
29 Avda Dres Fernández-Vega núm. 34. Oviedo. E-33012 Principado de Asturias. Spain
30 Phone: (+34) 985240141
31 E-mail: merayo@fio.as

32

33 **Eduardo Camacho-Martínez, MD**

34 Address: 3000 Morones Prieto Ave., Col. Los Doctores, Monterrey, N.L., México.
35 Tel.: +52 81 88882066
36 E-mail: a01180527@itesm.mx

37

38 **Eric Reyes-Mendoza, MD**

39 Address: 3000 Morones Prieto Ave., Col. Los Doctores, Monterrey, N.L., México.
40 Tel.: +52 81 88882066
41 E-mail: rrm.eric@gmail.com

42

43 **Conflict of interest:**

44 The authors have no conflicts of interest or funding sources to declare.

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47 **Abstract:**

48 Many factors determine the corneal thickness and our understanding of them is not sufficient. This
49 is of main interest given the significance of this ophthalmological parameter in the management of
50 several clinical conditions like: refractive surgery and high intraocular pressure (IOP). Thin corneas
51 are considered weak and not suitable for surgical procedures, though several studies demonstrate no
52 correlation between thin CCT and development of post-surgical ectasia. Subjacent molecular
53 mechanisms that influence stromal biomechanics, including strength and stability, comprise the role
54 of collagen fibers disposition, keratan sulfate, and proteoglycans. Genetic population studies
55 demonstrate the effect of four specific genes (*COL5A1*, *FOXO1*, *AVGR8*, and *ZNF469*) and several
56 other gene SNPs over CCT. Additionally, factors such as UV radiation, age, humidity, altitude, and
57 certain diseases affect CCT. The analysis of each potential factor that modifies CCT bring us closer
58 to understanding the underlying mechanisms responsible for corneal biomechanics. This will
59 provide a global vision of the way cornea behaves and the effect of surgical treatments or
60 diseases. This review summarizes the factors known to have a role over CCT and the recent genetic
61 analyses that lead to better understanding corneal mechanical properties.

62 **Key Words:**

63 Corneal Thickness

64 Corneal Pachymetry

65 Corneal Thickness Measurement

66 Biomechanics

67 Genetics

68 **Methodology:**

69 The literature review for this article was conducted using a Medline with Pubmed search of
70 references using the following key words: corneal thickness, central corneal thickness, or CCT in
71 combination with genetics, genes, modifiers, factors influencing, normal values, epidemiology. The

72 search also included non-English language articles. Abstracts of the articles were reviewed, and for
73 the ones considered relevant to the review, the full-length articles were reviewed in detail. Manual
74 search of the references of the articles considered relevant was also done. Select articles published
75 before 1990 are included for historical purposes, but the review is based mainly on articles
76 published in the past decade.

77

78

79 **1. Introduction**

80 Central corneal thickness (CCT) is a parameter of high clinical relevance in ophthalmology. The
81 measurement of corneal thickness, also called pachymetry, is not done just because it is something
82 available at hand, but because of the relevance it can have in several fields of study. CCT can be
83 used as an indirect method to evaluate functional status of the endothelial cell layer,(1) and it has
84 been identified as an independent risk factor for developing glaucoma.(2) It also plays an important
85 role in the management of ocular hypertension, since its measurement with some methods such as
86 applanation tonometry can be altered by differences in CCT.(3) Corneal thickness is also of great
87 relevance in the preoperative management of candidate patients for refractive surgery in order to
88 determine which procedure is most suitable for each patient.(4,5) Conditions such as keratoconus
89 and some corneal dystrophies have also been associated with decreased CCT.(6,7) Given the
90 numerous areas in which corneal thickness plays a relevant role, there has been research done about
91 each of these topics in particular. However, there is a gap in the literature when addressing the
92 subject of corneal thickness, the majority of the articles published address very particular
93 populations or specific problems in which corneal thickness play a part, but there is a lack of
94 articles that give a general view of what is central corneal thickness, all the factors that can affect it
95 and its clinical implications. The purpose of the present review is to fill in this gap by approaching
96 the subject of CCT from a stand point that covers all aspects related to central corneal thickness
97 form the anatomical and physiological basis behind it, to the field of biomechanics and corneal
98 topography, it's so called “normal” values, the genetic implications behind it and the factors
99 influencing it. **Figure 1** illustrates some of the factors influencing CCT and the way they relate to
100 thickness, these will be further analyzed throughout the article.

101 **2. Cornea: physiology, structure, function**

102 The cornea represents the most anterior part of the human eye. It is a highly specialized transparent
103 tissue situated in front of the iris and the pupil, and inserts into the sclera at the limbus. In the adult,
104 the average horizontal diameter is 11.3–12.1mm(8) and it is approximately 0.8 mm greater than the
105 mean vertical diameter.(9) The cornea is flatter in the periphery and steeper centrally, giving it a
106 shape that makes an aspheric optical system. The cornea is an avascular and highly ordered tissue.
107 Physiologically, the periphery depends more on the blood supply from the vessels that provide
108 oxygen and some nutrients, while the central cornea depends mostly on surrounding fluids, aqueous
109 humor and tear film.(10)

110 There are five layers distinguished in the cornea, from external to internal: the corneal epithelium,
111 Bowman's membrane, the stroma, Descemet's membrane, and the endothelium. The epithelium acts
112 as a barrier of the cornea; it has five or six layers of cells of non-keratinized, non-secretory,
113 stratified squamous epithelium, which give it a thickness of 58 μ m. The epithelium is covered with a
114 tear film of 5 μ m.(11) Together, the tear-air border and the underlying cornea are responsible of
115 two-thirds of the total refractive power of the eye. The corneal epithelium is constantly being
116 regenerated and repaired, complete renewal of corneal epithelial cells is estimated to occur every 7–
117 10 days.(12) The Bowman's membrane is one of the two acellular layers of the cornea. It is a
118 modified portion of the stroma with a tightly intertwined meshwork of collagen fibrils that separates
119 the epithelium from the stroma.(13) Underneath the Bowman's membrane is the corneal stroma,
120 which accounts for about 90% of the corneal thickness (460-500 μ m).(14,15) It is composed by
121 extracellular matrix, keratocytes, and proteins.(16) It is responsible of corneal transparency and
122 along with proteins as proteoglycans provide the strength and hydration needed for proper sight
123 function.(17,18) The Descemet's membrane is a discrete layer composed of a fine strips mostly of
124 type IV collagen, laminin, and fibronectin secreted by the corneal endothelium.(19) It is known to
125 have regenerative potential,(20) but its function is not entirely known. The endothelium is the
126 posterior corneal monolayer of hexagonal cells that functions as a system through which nutrients

127 pass in and waste is removed through simple and facilitated diffusion and active transport. Its main
128 function is to regulate corneal hydration through active ATP bicarbonate-dependent pump, which
129 allows the eye to perform its visual function.(21,22)

130 The existence of a sixth corneal layer has been proposed in recent years. This new corneal layer was
131 discovered thanks to the big bubble technique of deep anterior lamellar keratoplasty (DALK), and is
132 called Dua's layer. It has been defined as an acellular, strong layer in the pre-Descemet's cornea of
133 10.15 ± 3.6 microns composed of 5 to 8 lamellae of predominantly type-1 collagen bundles
134 arranged in transverse, longitudinal, and oblique directions.(23) The discovery of this layer has
135 raised controversy, with some surgeons stating this discovery brings further insight on the field of
136 DALK surgery,(24) while others question the existence of the Dua's layer stating it is stroma and
137 not a new corneal layer.(25) Further research on this subject is needed in order to reach a consensus
138 on the existence of this corneal layer.

139 As stated above, the stroma is the corneal layer that accounts for most of the corneal thickness. Its
140 extracellular matrix is composed mainly of collagen fibrils. Corneal collagen is synthesized by
141 keratocytes in the form of procollagen with two additional peptides, one at each end. Procollagen
142 proteinases located in the extracellular space remove the extension peptides from the precursor
143 molecule and transform it to collagen. The enzyme lysyl oxidase deaminates the lysine or
144 hydroxylysine of the end chains, allowing collagen to form cross-links between fibrils, which then
145 convert during maturation to trivalent cross-links.(26–29) Corneal fibrils are composed mostly of
146 type I collagen that co-assemble into a complex with heterotypic fibrils of type V collagen. The
147 ratio of type V to type I collagen seems to regulate the fibril diameter and the thickness of the
148 corneal stroma.(30,31) Type V collagen co-aggregates with type I collagen and the protruding NH_2
149 terminal domains of this aggregate cause steric hindrance to prevent accretion of more molecules
150 onto the fibril surface. This limits the diameter of the fibrils in the cornea, from 31 to 34 nm.(32)
151 Corneal collagen fibrils are packed in parallel bundles extending from limbus to limbus.(30) These

152 bundles arrange in layers known as lamellae, which assemble in the middle and posterior regions of
153 the stroma at approximate right angles, and those in the anterior stroma at less than right angles.
154 The small diameter of the collagen fibrils and their close, regular packing are responsible for the
155 ability of the cornea to scatter 98% of incoming light. The lamellar organization of the stroma also
156 allows the cornea to maintain intraocular pressure and the appropriate curvature.(30) The difference
157 in the organization of the collagen bundles in the anterior stroma may contribute to a tighter
158 cohesive strength in this area, and may explain why anterior curvature resists change to stromal
159 hydration more than posterior stroma.(1) Another mechanism of cross-linking that influences the
160 strength of the stromal tissue is nonenzymatic glycation, in which prolonged exposure to
161 monosaccharides results in bonding between the reducing sugar and the amino group of a
162 protein.(26,28,33,34)

163 Keratan sulfate proteoglycans are the predominant proteoglycans within the corneal stroma.
164 Lumican and keratocan are the core proteins of keratan sulfate proteoglycans, lumican being a
165 regulatory protein for keratocan expression. These molecules are regulators of collagen matrix
166 organization an assembly in the corneal stroma.(35) Lumican, keratocan and mimecam are believed
167 to play a significant role in corneal transparency due to their specific collagen binding sites.(36)
168 Proteoglycans bind to the exterior surfaces of collagen fibrils, and their glycosaminoglycan side
169 chains attract cations and water molecules, which may cause swelling pressure on collagen fibrils
170 that is balanced by interactions between collagen types I and XII.(30) Keratocytes are the principal
171 cell type of the stroma. They produce the collagen and ground substance and are arranged parallel
172 to the corneal surface and located between the collagen lamellae. There have been differences
173 identified between the anterior and posterior stromal keratocytes, such as fenestrations that indicate
174 heterogeneous functions including facilitating of diffusion and mechanical attachment of collagen
175 fibers. The organization of keratocytes forming closed sheets of communication create equal

176 chances for all light rays to pass and minimize variation in light scattering over the entire
177 cornea.(37)

178 As mentioned, part of the corneal endothelium function is to regulate corneal hydration and as a
179 direct consequence of this, corneal transparency.(21) This pump function of the corneal
180 endothelium is mainly in charge of the transport protein Na^+/K^+ -ATPase. A healthy cornea has a
181 density of 4.4 trillion ATPase sites/ mm^2 , and the cornea has compensatory mechanisms to prevent
182 corneal edema such as increasing the activity or density of the pump sites. Its function can even be
183 clinically assessed by measuring changes in corneal thickness (pachymetry). The point at which the
184 compensatory mechanisms of the corneal endothelium fail, and corneal edema results, is when the
185 central endothelial cell density reaches around 700-400 cells/ mm^2 .(38,39)

186 The cornea is one of the most innervated and sensitive tissues of the human body.(40–42) Epithelial
187 nerve density of the cornea is 300-600 times that of the skin, with corneal sensitivity being most
188 acute in the central cornea and along the horizontal meridian and least sensitive in the vertical
189 meridian.(43,44) Most of the corneal nerves are sensory in origin and are derived from the
190 nasociliary branch of the ophthalmic division of the trigeminal nerve.(40,42) Corneal nerves
191 respond to mechanical, thermal, and chemical stimulation of the cornea, hence protecting the cornea
192 from external threats and stimuli by initiating nerve reflex mechanisms.(37,41) In addition to their
193 sensitive function, corneal nerves have a role in the maintaining of the functional integrity of the
194 ocular surface by releasing trophic substances, such as neuropeptides and growth factors, that
195 promote epithelial homeostasis and by activating brainstem circuits that stimulate tear production
196 and blinking.(41,43) Central corneal nerves do not have a myelin sheath in order to maintain
197 corneal transparency. Also, thick stromal nerve trunks move radially from the periphery towards the
198 center below the anterior third of the stroma in order to preserve the organization of the collagen
199 lamellae.(42)

200 3. Corneal Topography

201 The cornea has a complex geometric structure. There are basic anatomic components of the cornea:
202 thickness, radius of curvature and surface irregularity.(45) The measurement and quantification of
203 these components are essential to know the physiologic functions, the diagnosis of corneal diseases
204 and as a screening tool for corrective surgery. Some technologies like corneal tomography, very
205 high frequency ultrasound (VHF), slit scanning, and high-speed anterior segment optical coherence
206 tomography (OCT) are used to measure these components. The ultrasound pachymetry, used to
207 measure the thickness of the cornea, has been considered the gold standard for years.(46)

208 Typically, the shape of the cornea is not spherical; instead, it is considered to have a toroidal shape.
209 Topographically, the anterior cornea is divided in three zones: the apical, peripheral, and limbal
210 zone.(45) The apical zone is also named as the central region of the cornea with a constant radius of
211 curvature, which shows a gradual flattening resulting in an aspheric surface called peripheral zone,
212 and the limbal zone is defined as the junction of the cornea with the sclera.

213 The cornea is characterized by its complex nonlinear anisotropic elastic and viscoelastic
214 properties(47) and the maintenance of the corneal shape and curvature are governed by the intrinsic
215 biomechanical structure and extrinsic environment in a dynamic equilibrium. The intraocular
216 pressure that exerts a force on the inside face of the cornea is the most important extra-corneal
217 factor; less important factors are the external atmospheric pressure, the lids, extraocular and ciliary
218 muscles during accommodation that induce a change in its curvature during accommodation.(48–
219 50) The stroma is responsible for the majority of the cornea’s tensile strength and its mechanical
220 properties. It has been established that the most anterior part (120 μ m) is responsible for the stability
221 and maintenance of its curvature.(51) It has also been discussed if the Bowman’s layer has a real
222 function for the maintenance of the corneal curvature, suggesting that it constitutes only a visible
223 indicator of ongoing stromal-epithelial interactions.(52)

224 Corneal topography is the measurement of the corneal shape. There are two different ways of
225 studying topography, one method is called videokeratoscopy and the other is elevation based

226 topography. Videokeratoscopy, also known as Placido-based topography, studies corneal shape by
227 analyzing rings reflected off the corneal surface.(53) Even though this method is better than its
228 precursor keratometry it has some disadvantages.(54) Videokeratoscopy evaluates only about 60%
229 of the total corneal area, which can leave out relevant data of peripheral or para-central pathologies
230 such as keratoconus.(53,55) Another disadvantage of videokeratoscopy is that it doesn't provide
231 information about the posterior corneal surface, which can give information on ectatic disorders
232 before they present on the anterior corneal surface and is key in the development of pachymetric
233 maps, as well as in reconstruction of corneal surface.(53,56) The other method used for the study of
234 corneal topography is called elevation-based topography, and it uses a stereo-triangulation
235 technique to make direct measurements of the corneal surface. Elevation-based topography uses
236 optical cross sectioning to triangulate both the anterior and posterior corneal surfaces, which offers
237 important advances over Placido-based devices, such as the ability to produce pachymetric maps, as
238 well as being more accurate in determining morphology as well as identifying keratoconus.(57–61)
239 This method of topography allows clinicians to view elevation data compared with a best fit sphere,
240 which gives the most useful qualitative map.(53)

241 **4. Corneal Biomechanics**

242 Biomechanics is the development, extension and application of mechanics for the better
243 understanding of the physiology and physiopathology, as well as the diagnosis and treatment of
244 disease and injury. The aim of biomechanical modelling of human tissues is to predict the results or
245 effects of different surgical treatments or therapies.(62) Corneal biomechanics includes the
246 measurement of central pachymetry, but it also englobes other parameters such as viscosity,
247 elasticity, hydration, regional pachymetry and other factors.(63) As exposed in the corneal
248 physiology section, pachymetry is given mainly by the corneal stroma and its components. Corneal
249 elasticity, curvature and transparency are related to the way collagen fibrils are arranged.
250 Proteoglycans and its relationship with collagen types I and XII are related with corneal

251 hydration,(35) as are endothelial integrity and function.(64) Recalling the anatomical structure of
252 the cornea is important since alteration of the components can affect corneal biomechanics, as can
253 be seen with collagen tension disruption in refractive surgery.(65,66) **Figure 2** summarizes the
254 factors that influence corneal biomechanics.

255 To date, there are only 2 devices available for providing corneal biomechanical data in a clinical
256 setting, the Ocular Response Analyzer (ORA) (Reichert Technologies, Buffalo, New York, USA), a
257 dynamic bidirectional applanation device, and the Corvis ST (Oculus Optikgeräte GmbH, Wetzlar,
258 Germany), a dynamic Scheimpflug analyzer device.(62) Both of these devices report a dynamic
259 assessment of corneal biomechanical properties such as corneal hysteresis, which reflects corneal
260 viscosity, and corneal resistance factor, that relates to the elastic properties of the cornea.(67)

261 Understanding of corneal biomechanical parameters is important because minimal changes in the
262 corneal shape can induce significant variations in the optical properties of the eye. Changes due to
263 refractive surgery or corneal diseases also occur in the mechanical properties of the cornea, not just
264 the optical properties. It is essential to understand the consequences of modifications in geometry of
265 the cornea to improve the diagnosis and management of ectatic corneal disorders such as
266 keratoconus, and to understand the biomechanics of intraocular pressure after surgical procedures.

267 Decreases in corneal hysteresis and corneal resistance factor have been reported after refractive
268 surgery. These findings may be related with weakening of the corneal structure induced by laser
269 ablation. Alteration of corneal biomechanics by LASIK flap creation and excimer laser ablation
270 affects the postoperative measurement of intraocular pressure by Goldmann applanation tonometry;
271 however, other devices like the ORA have lower standard deviations in its measurements and
272 provide useful complementary clinical data.(67) It has also been frequently considered that corneas
273 with CCT below 510 μ m have a greater weakness for excimer laser refractive procedures,(68)
274 however other reports have shown safety and effectiveness in patients with CCT values
275 <500 μ m.(69)

276 The understanding of corneal anatomy and physiology are useful for the understanding of the
277 underlying mechanisms responsible for corneal biomechanics. It is of great importance to further
278 study corneal biomechanics because minimal changes can alter optical properties of the cornea and
279 weaken its structure making the cornea more susceptible to conditions such as ectasia or alter
280 postoperative measurement of parameters such as intraocular pressure. Corneal biomechanics give a
281 global vision of the way the cornea behaves and the effects surgical treatments or diseases have on
282 the cornea; however, this is a field still growing with new findings changing the boundaries of what
283 is known and can be done with safety regarding corneal stability.

284 **5. Clinical significance of CCT values**

285 Corneal thickness is a determinant of corneal refractive power, which contributes to normal
286 vision,(31) and variations in this parameter have relevance in several ophthalmologic conditions.
287 Certain eye conditions seem to have an association with thinner or thicker corneas. For example,
288 eyes with congenital glaucoma may have thinner corneas, while eyes that have had cataract surgery,
289 Sturge-Weber Syndrome, or aniridia, often have thicker corneas.(70) Reduced CCT is also
290 important for the diagnosis and progression of primary open-angle glaucoma.(71) Thin corneas are
291 also present in keratoconus, a corneal ectasia with a prevalence of 1:2000 in general population.(7)
292 CCT could be abnormal in corneal dystrophies, some genetic diseases like Ehlers-Danlos syndrome,
293 Brittle corneal syndrome (BCS) or Osteogenesis Imperfecta, as well as seen in herpes simplex
294 keratitis.(72) CCT is also important in determining person's suitability for laser refractive surgery,
295 and in the assessment of intraocular pressure (IOP) values in patients undergoing refractive and
296 corneal transplant surgery, as well as in contact lens wearers.(73,74) **Table 1** summarizes some of
297 the main clinical implications of CCT. This section will give a more in depth review of these
298 subjects.

299 Perhaps one of the most studied implications of CCT is its impact on the assessment of IOP and on
300 the diagnosis and management of glaucoma.(3) Applanation tonometry is influenced by CCT.

301 Thicker corneas give an overestimation of IOP readings when measured with applanation
302 tonometry.(75) In a similar sense, thin corneas lead to an underestimation of intraocular
303 pressure.(73) Findings such as these, have made the use of corneal pachymetry in the management
304 of patients at risk for or with glaucoma increasingly recognized as important and necessary.(76)
305 Several correction algorithms have been described, however the consensus is that regardless of the
306 models and correction algorithms studied, adjustments for IOP based on CCT are critical for
307 clinical management.(2)

308 The Ocular Hypertension Treatment Study, a multicenter randomized trial designed to evaluate
309 safety and efficacy of topical ocular hypotensive medication in delaying or preventing the onset of
310 primary open-angle glaucoma, showed that a thin CCT measurement was a strong predictor for the
311 development of primary open-angle glaucoma in patients with ocular hypertension.(3) For every
312 40 μ m decrease in CCT the relative risk was 1.71, and individuals with CCTs of 555 μ m or less
313 were found to have 3times greater risk of developing glaucoma compared with patients with CCTs
314 of greater than 588 μ m.(2)

315 Another aspect in which CCT has various implications is in patients undergoing refractive surgery.
316 One of the options available for refractive surgery is LASIK. Given the high satisfaction rates of
317 LASIK and its widespread use, patients have high expectations of this procedure; however, there
318 are several risk factors that can lead to complications or retreatment of the patients.(77) Among the
319 complications of this procedure is the development of corneal ectasia, which has been defined as a
320 progressive steepening and thinning of the cornea after excimer laser corneal refractive surgery that
321 reduces uncorrected and even best spectacle-corrected visual acuity. This complication has been
322 frequently reported in patients with risk factors such as keratoconus, forme fruste keratoconus and
323 high myopia;(5) however, it has also been described in patients without these risk factors, leading
324 to the development of scores to predict the development of ectasia. One such score is the Ectasia
325 Risk Score System proposed by Randleman et al. which among its parameters considers

326 preoperative corneal thickness and residual stromal bed thickness.(4) Santhiago also described a
327 relationship between the percent tissue altered and the risk of developing ectasia in patients with
328 normal preoperative topographic pattern. The percent tissue altered calculation also takes into
329 account the patient's preoperative central corneal thickness.(78) These data demonstrate that
330 corneal thickness is a relevant parameter in determining if a patient is a candidate for LASIK
331 surgery or should undergo a different procedure. Patients with thin corneas where stromal residual
332 bed after LASIK would be less than 300 μm and patients with flat or steep corneas, are considered
333 better candidates for photorefractive keratectomy (PRK).(79) However, patients with a final central
334 corneal thickness $<400 \mu\text{m}$ are not considered candidates for PRK of LASIK. These limits are
335 controversial and different corneal thickness cutoffs have been proposed. Frequently, corneas below
336 510 μm are considered as thin and therefore as corneas with biomechanical liability or weakness for
337 excimer laser refractive procedures (LASIK, PRK). However, there is increasing evidence
338 concerning the safety and effectiveness of LASIK surgery in patients with CCT values $<500\mu\text{m}$,
339 which suggest that there are other factors that affect corneal structural stability independently of
340 CCT. Hence, in order to consider a cornea as "normal", the entire topography (topographic pattern,
341 pachymetry map and elevation maps) along with the expected CCT for a given population, should
342 be taken into account.(80)

343 In line with the topic of refractive surgery, there is a growing concern that the process of removing
344 corneal tissue during this surgery will lead to an increased difficulty in diagnosing glaucoma. Since
345 removing of corneal tissue leads to a thinner corneal thickness, this surgery tends to alter IOP
346 measurements and may in turn require greater emphasis on the assessment of the optic disc and
347 visual fields for the diagnosis and treatment of glaucoma.(6)

348 Corneal thickness is also an important feature of keratoconus, a condition in which the cornea
349 assumes an irregular conical shape secondary to non-inflammatory thinning of the corneal stroma.
350 The thinning of the cornea induces irregular astigmatism, myopia and protrusion, leading to

351 impairment in the quality of vision.(7,81) In fact, one of the treatment options available for this
352 condition is cross-linking, which uses riboflavin and UVA light in order to form new covalent
353 bonds, or cross-links, between collagen fibrils thus strengthening and stabilizing the cornea.(28)
354 The result of such treatment is an increase in resistance against enzymatic degeneration of the
355 cornea, increase in the diameter of collagen fibrils and improvement in visual acuity.(82) There
356 have been several studies about the genetics behind keratoconus, and while there is still work to be
357 done to confirm the specific roles of the genes implicated in the disease, among the genes that have
358 been identified are visual system homeobox1 (*VSX1*) and superoxide dismutase 1 (*SOD1*), collagen
359 crosslinking enzyme lysyl oxidase (*LOX*), *COL5A1*, *FOXO1*, zinc finger protein 469 (*ZNF469*),
360 among others.(31,83–86)

361 Reduced CCT has also been associated with some genetic diseases such as congenital glaucoma,
362 osteogenesis imperfecta, Down syndrome, X-linked megalocornea, keratoconus, Marfan syndrome,
363 and Ehlers-Danlos syndrome, whereas increased CCT has been found in patients with congenital
364 aniridia.(70)

365 Taking on account the different conditions presented, it can be seen that CCT has importance in
366 several scenarios, from being a factor influencing in the measurement of clinical parameters, to
367 being a risk factor for certain diseases, or determining if a patient can undergo a certain type of
368 surgery or not. These implications encourage to the establishment of pachymetry as an important
369 element when approaching the ophthalmologic patient. The broad spectrum of implications of this
370 parameter encourages further investigation of the factors involved in its expression and other
371 clinical implications it may have, not just in the corneal and refractive surgery field but in other
372 areas of ophthalmology as well.

373 **6. “Normal” CCT values**

374 Once the clinical importance of the CCT has been discussed, the question that comes to mind is:
375 what are the “normal” parameters of corneal thickness? It is known that CCT values vary between
376 ethnic groups, and that there are several factors either extrinsic or intrinsic that can influence it
377 (these factors are discussed in another section of the review), however several studies have been
378 made trying to find a value for what can be taken as a normal CCT.

379 Although there are racial variations, the average adult CCT is 550 μ m.(87) In a meta-analysis by
380 Doughty and Zaman they reported CCT value in normal eyes with a mean of 536 \pm 31 μ m.(75) It has
381 been questioned if corneal thickness by itself could affect the measurement of IOP and vice versa.
382 This meta-analysis also revealed a significant association between the interrelationship of IOP and
383 CTT; it was found that the difference in IOP was significant in patients in the category with
384 “chronic disease”, highly variable in patients with acute onset disease and this difference was
385 smaller for eyes designated as healthy.(75)

386 Doughty & Zaman established that it is hard to compare the CCT of different races since some
387 conditions (such glaucoma, hypertension and diabetes) and their prevalence are known to cause
388 changes in CCT.(75) Currently, there’s considerable research dedicated to investigate the mean
389 CCT value of different ethnic groups and populations that indicate strong evidence of ethnic
390 differences in CCT. **Table 2** summarizes most of the populations studies conducted in this
391 regard(80,88–103). There are differences between ethnic groups that have been measured using
392 ultrasound pachymetry showing a wide distribution between the ethnic groups, for example the
393 Turkish population had the lowest CCT (500 \pm 347) while the Chinese subjects the thickest (555.96
394 \pm 32.41). It is essential to compare information obtained from studies using similar methods in aim
395 to draw meaningful assumptions. It has been recognized that genetic classifications of ancestry
396 could serve as a more accurate estimate of ethnicity groups to detect true biological
397 differences.(104)

398 7. Genetic aspects of CCT

399 Studies have been made with genes affecting corneal architecture, in which a relation between
400 genes and the CCT has been found. Of the first candidate genes to be studied were the ones related
401 with the corneal architecture and that were associated with genetic diseases such as osteogenesis
402 imperfecta or Ehlers Danlos. Genes associated with the development of the anterior segment have
403 also been studied, such as *PAX6*, forkhead box 01 (*FOXC1*) and zinc finger 469 (*ZNF469*).

404 Genome-wide association studies (GWAS) have identified some candidate genes, such as *COL5A1*
405 and *ZNF469*, both have been described in diverse population. Others have been described in
406 specific population, such as autogenous vein graft remodeling associated protein 8 (*AVGR8*), which
407 has been associated to Caucasians, *COL8A2* to Asians and American Caucasians; *IBTK* to Asians;
408 *AKAP13* to Caucasians; *CHSY1* to Asians, and *FOXO1* to Caucasians and Latino (USA).(71)

409 From those mentioned above, there are four main genes known to influence CCT: *COL5A1*,
410 *FOXO1*, *AVGR8*, and *ZNF469*.

411 Collagen is the most abundant protein in the body and its fibrils are responsible for the functional
412 integrity of tissues and contribute a framework within which the tissue functions. They closely
413 relate to proteoglycans, hybrid-protein-polysaccharide molecules that form an interfibrillary matrix.
414 The relative proportion of collagen to interfibrillary matrix and the nature of this interaction impart
415 characteristic features to tissues, also accounting for the water content of the tissue. Particular
416 chemical groupings on the collagen molecule determines its physiological characteristics and the
417 methods by which they impart tissue specificity.(105) In the cornea, collagen is the principal
418 component of the stroma. The arrangement of the regularly orientated collagen fibrils, which is
419 maintained by chondroitin sulphate and keratan sulphate with interspaced keratocytes; is critical to
420 optical clarity.(19) Collagen type 5 determines the diameter of the corneal collagen fibrils. *COL5A1*
421 (OMIM: *120215) is located at 9q34.3, has 66 exons and encodes for $\alpha 1$ (V) chain of type V
422 collagen. *COL5A2* (OMIM: *120190) is located at 2q32.2, has 54 exons and encodes for $\alpha 2$ (V)
423 chain of type V collagen. These genes are present in over 50% of the families with classic Ehlers

424 Danlos. Collagen V determines 15-20% of the fibrillary collagens in corneal tissue.(106) In the
425 Col5a1 +/- mouse cornea, type V collagen content decrease by approximately 49 % and stromal
426 thickness by approximately 26%. Total collagen deposition in Col5a1 (+/-) corneas also decrease.
427 Collagen fibril diameters are increased, but fibril density decrease throughout the stroma at all
428 developmental stages.(71,106,107) In patients with classic Ehlers-Danlos syndrome, the mean CCT
429 is $435.75\mu\text{m} \pm 12.51 \mu\text{m}$ (range, 415–448 μm), the corneas are thin, steep and transparent with
430 floppy eyelids.(106)

431 Additionally, there are reports of two SNPs, rs1536482 and rs7044529, located near and within
432 COL5A1 associated with reduced CCT.(31,108) In a study conducted with three independent
433 cohorts of patients in which selected SNPs located within or near *COL5A1* (including those
434 associated with CCT) for genotyping for association with keratoconus, rs1536482 and rs7044529
435 SNPs were found to be associated with keratoconus and CCT.(109) Corneal thinning is one of the
436 hallmarks of keratoconus; however, it is not clear whether the *COL5A1* association with
437 keratoconus is an independent finding or is due to association with corneal thinning in general. In
438 this study, although the difference in CCT between the genotypes was not statistically significant
439 for rs1536482 and rs7044529, the effect size of the risk allele was -3 and $-10\mu\text{m}$ respectively,
440 suggesting that the association between keratoconus and this gene may be independent of CCT.

441 *ZNF469* (OMIM *612078) is located at 16q24, has a single exon and encodes for a zinc protein
442 finger 469. Its function is unknown. However, this protein has a 30% homology to the helical parts
443 of COL1A2, COL4A1, COL1A1, all which are highly expressed in the cornea. The transparency
444 and strength of the cornea requires maintenance of structural organization, as well as the precise
445 regulation of fibril and matrix assembly. *ZNF469* either could act as a nuclear transcription factor or
446 as an extra-nuclear regulatory molecule involved in the synthesis and/or organization of these
447 collagen fibers.(110) There is another gene related to brittle cornea syndrome (BCS), *PRDM5*
448 (OMIM *614161), located at 4q27, which encodes for a transcription factor, but still has not been

449 identified by GWAS as a contributor to CCT. It is the most frequent genetic cause of BCS,(111)
450 and close variants may contribute to CCT variation.(72) Mutations in *PRDM5* and *ZNF469* have
451 been correlated with disarray of collagens I and III, fibronectin, and their receptor $\alpha 2\beta 1$ and $\alpha 5\beta 1$
452 integrin in vitro through shared molecular pathways.(112) In keratoconus, heterozygous alleles of
453 *ZNF469* have been associated with the disease development with a relative risk of 12.0.(113) This
454 evidence highlights *ZNF469* as the main genetic factor of keratoconus.

455 *FOXO1* (OMIM *136533) is a protein coding gene located at 13q14. Its protein is the main target of
456 insulin signaling and regulates metabolic homeostasis in response to oxidative stress. *FOXO1*
457 expresses in the cornea, although it has no proven function in ocular development it is one of the
458 targets for transcriptional regulation by *FOXCI*, which play a critical role in corneal development.
459 Mutations in *FOXCI* are associated with various anterior segment malformations and glaucoma in
460 Axenfeld-Rieger syndrome. Two recent different studies conducting GWAS have reported the SNP
461 rs2721051 in the genomic region of *FOXO1* with strong association with a risk of keratoconus (odd
462 ratio of 1.62 and 1.4).(86,114) Further evaluation of the clinical relevance of these SNP along with
463 analysis implicating the collagen and extracellular matrix in the regulation of CCT will allow
464 understanding the molecular pathways of CCT.

465 Vitart described the locus defined by rs1034200 as a factor related with CCT.(31) This locus was
466 found 5kb from *AVGR8* gene, encoding a putative transcription factor with typical ZNF and KRAB
467 domains, in chromosomal region 13q12.11. The *AVGR8* gene appears to be a transcription factor of
468 unknown function with a Krueppel-associated box (KRAB) domain and at least five prototypical
469 C2H2 ZNF domains. Although only a few genes regulating corneal gene expression are known, it is
470 believed that *AVGR8* could play a role in the correct assembly and organization of the corneal
471 structure. In a study reported in 2012, the same locus rs1034200 near from *AVGR8* showed relation
472 with Fuchs dystrophy. However, the effect was much large in CCT than in Fuchs. This study

473 estimated that along with three SNPs in *ZNF469* and with rs1409832 between *COL5A1* and *RXRA*,
474 *AVGR8* is associated with an 8- to 16- μ m change in corneal thickness.(115)

475 Additional GWAS have identified a number of genes and SNPs associated with CCT, **Table 3**
476 summarizes the findings of the genes described as well as some of the reports on these other genes
477 and SNPs that could be related to CCT(115–121). Together with *COL5A1*, *FOXO1*, *AVGR8*, and
478 *ZNF469*, the analysis of the influence of these genes over CCT will eventually provide a catalog of
479 common genetic variation affecting corneal structure and their relevance in the treatment of corneal
480 diseases.

481 **8. Factors influencing CCT**

482 In addition to the influence of genetic factors on CCT, several other extrinsic factors are known to
483 have influence on CCT. Among these factors are the age of the patient, physiologic diurnal
484 variations, UV radiation, altitude, chronic contact lens use, and various diseases. This section will
485 briefly review the evidence reported regarding the influence of some of these factors on CCT.

486

487 Age. Reports on a relationship between CCT and age are contradictory. While some studies report a
488 statistically significant inverse relationship between these variables,(88) others indicate there is no
489 statistical significant relationship between these variables.(96) Overall, the evidence from published
490 studies made in whites suggests that for the majority of individuals there is no substantial change in
491 CCT beyond the infant years, however studies done in different ethnic groups like those of Japanese
492 and Eskimo prove there is a significant difference.(75,88)

493 Diurnal variation of CCT. Corneal thickness can also increase due to net water influx. Pachymetry
494 indirectly reflects endothelial function because the endothelium maintains corneal thickness and
495 transparency by regulating the flux of water and solutes across the posterior corneal surface.(1,64)
496 Because of the changes in corneal thickness due to hydration, there is a diurnal physiological

497 variability on CCT. Data confirm an increase of corneal thickness during sleep having its peak
498 value at 4 am, but considerable variation during waking hours has also been reported.(122) Corneal
499 thickness may increase immediately after waking up due to overnight corneal hydration. This is
500 consequence of diminished evaporation of water from closed lids and reduced nocturnal metabolic
501 activity of the endothelium. Corneal hydration during sleep is caused because the cornea
502 experiences hypoxia beneath closed eyelids. This reduction in oxygen increases anaerobic
503 metabolism causing accumulation of lactate within the stroma, followed by an osmotic influx of
504 water.(123) Reports about the percentage of diurnal changes in CCT vary depending on the study,
505 however it is consistently found to be significant.(123–125) A 5.5% overnight increase, with a 7.2%
506 of diurnal variation was reported in 1996 by Harper and collaborators.(123) Du Toit and
507 collaborators reported in 2003 a variation of 3.9% over 24 hours with an overnight swelling of
508 around 2.9%, concluding that baseline CCT can be measured at any time from 7 hours of eye
509 opening.(124)

510 UV radiation: The entire anterior eye segment can be damaged when exposed to UV-B, the parts
511 that receive most damage are the cornea and the lens. Repeated exposure to UV-B radiation has
512 shown to damage the corneal epithelium and disturb corneal metabolites.(126) It has been known
513 that UV radiation may cause photokeratitis, also known as snow blindness, which is a transitory
514 inflammatory condition caused by damage to the corneal epithelium.(87,127) UV-B irradiation may
515 also cause or promote changes in the endothelium associated with aging.(128) Another effect of UV
516 radiation on the cornea is an increase in biomechanical stiffness when used in combination with
517 riboflavin.(28,129) This effect is due to the increase in the collagen crosslinks in the corneal stroma,
518 this technique has been exploited specially in the treatment of conditions such as
519 keratoconus.(28,130)

520 Altitude: The human eye, like several other organs, is affected by hypoxia at high altitude. Hypoxia
521 makes the cornea shift to anaerobic metabolism, with a subsequent increase in extracellular

522 metabolic byproducts, causing a hydration pressure shift into the extracellular stromal spaces.(131)
523 This hydration secondary to hypoxia results in increased CCT. The cornea returns to its initial
524 thickness after descent. In other studies, individuals with more acute mountain sickness-related
525 symptoms have been found to have thicker corneas, suggesting that CCT could be used as a
526 parameter to indicate if a person is susceptible to acute mountain syndrome.(132)

527 Chronic contact lens use: Chronic use of contact lenses and dry eye can also increase CCT.(122)
528 Differences between morning and afternoon CCT readings may be exaggerated in contact lens
529 wearers. The lens type and the period of lens wear can be important factors for the changes in CCT
530 after contact lens use.(75)

531 Diseases: Corneal thickness vary in several diseases, or can have impact on the severity of an
532 ophthalmologic condition. One such disease is glaucoma, in which as presented before, lower CCT
533 is associated with worsened advanced glaucoma and greater risk of developing glaucoma.(2,133)
534 Another condition associated with abnormalities in corneal thickness is keratoconus. This is a non-
535 inflammatory disease of the cornea that mainly affects the central cornea and is characterized by
536 thinning and ectasia.(134) Corneal thinning in this condition is a result of the loss of its structural
537 components.(7) With increasing keratoconus severity, the cornea becomes thinner, and as presented
538 above, this thinning of the cornea induces irregular astigmatism, myopia and protrusion, leading to
539 impairment in the quality of vision. Diabetes has been associated with alterations in the corneal
540 endothelium. Among the disorders observed in diabetic patients are decreased endothelial cell
541 density, glycation of membrane ATPases, and a decrease in Na⁺/K⁺-ATPase activity.(135) These
542 changes influence the endothelial pump action and hence induce dysfunction. As presented above,
543 the pump function of the endothelial layer is responsible for the active dehydration of the cornea
544 and its alteration correlates with thickening of the cornea.(64) CCT in diabetic patients is
545 significantly thicker than in control groups,(136) and there has also been a correlation between
546 duration of diabetes mellitus and CCT.(135,137) Congenital glaucoma also relates with changes in

547 corneal thickness. Pediatric patients with congenital cataract have been reported to have thicker
548 central corneas when compared to contralateral healthy eye and a normal population.(138,139) This
549 increase in corneal thickness in the eyes with cataract may be a consequence of delayed
550 development and maturation of the cornea.(140) Another ophthalmologic disease related with
551 endothelial cell dysfunction is Fuch's endothelial corneal dystrophy. The endothelial dysfunction
552 present in this disease results in corneal edema and hence an increase in CCT.(141) Thickening
553 presents mainly in the later stages of the disease and its physiological basis has been attributed to
554 alteration in Na⁺/K⁺-ATPase activity and breakdown in the barrier function of the
555 endothelium.(142) It has been found that the point at which the compensatory mechanisms of the
556 corneal endothelium fail in Fuch's dystrophy, and corneal edema results, is when the central
557 endothelial cell density reaches around 700-400 cells/mm².(38,39) Other ophthalmologic diseases
558 that are related with changes in CCT are Behçet's disease and retinal vein occlusion. Eyes with
559 active Behçet's disease have increased CCT probably related to active inflammation that returns to
560 normal after treatment.(143) Patients with central retinal vein occlusion have thinner CCT than
561 controls, however the pathophysiology underlying this association is unclear.(144)

562 While some of the factors influencing CCT are related with delayed development, inflammation or
563 altered arrangement of collagen fibrils, most of them are related to alteration in endothelial integrity
564 and permeability. Diurnal variation, altitude and contact lens related changes in CCT directly relates
565 with corneal hydration. Likewise, the effects of UV-B radiation relate to endothelial damage and the
566 consequent increased corneal hydration. Even some of the diseases studied, such as diabetes and
567 Fuch's endothelial corneal dystrophy, also increase CCT by altering the endothelium's barrier or
568 pump function. This is in line with the statement that pachymetry indirectly reflects endothelial
569 function.(64) However, there remains work to be done to fully understand the pathophysiology
570 underlying the relationship with other factors such as central vein occlusion.

571 **9. Heritability**

572 Corneal central thickness is highly heritable. There is no clear genetic correlation between a thinner
573 cornea and primary open angle glaucoma (POAG). There are genetic variants that had been proved
574 to contribute to CCT, most of which are population specific. Further genomic studies from each
575 population will lead to the finding of genetic variants associated to CCT. Therefore, these studies
576 are useful as tools to evaluate corneal health status. Additive genetic effects appear to be the major
577 contributor to the variation of CCT.(70,145) Familial and twin studies suggest CCT heritability
578 could be as high as 0.95.(70) Further data supporting heritability is the high prevalence of
579 glaucoma in some populations in which the CCT tends to be lower, compared against other groups.
580 At first, it was thought that CCT and POAG could be genetically related, because of their close
581 relation. Thus, if CCT genes were found, it could be possible to find POAG genes also. However,
582 no such relation has been found so far.

583 **10. Conclusion**

584 Corneal thickness is a parameter of the cornea that has important implications in several aspects of
585 ophthalmology, from its effect on the measurement of IOP to its impact on refractive surgery and
586 diseases like keratoconus. This review has addressed the subject of corneal thickness trying to
587 broadly cover all parameters that influence or have implications in CCT in order to fill in the gap
588 between scholar articles and more specific and advanced ones found in the literature. In order to do
589 so, general concepts regarding corneal anatomy and physiology were reviewed initially for the
590 better understanding of their relevance in the areas of corneal biomechanics and corneal
591 topography, which are helpful tools in the study of corneal structure and the effects surgical
592 treatment and therapies have on the physiological conditions of human cornea such as its optical
593 properties and general structure. The importance of CCT in several clinical scenarios was reviewed,
594 with it being a factor influencing in the measurement of clinical parameters, to being a risk factor
595 for several diseases, and determining if a patient can undergo a certain type of surgery or not. A
596 revision of the studies about average adult CCT was done, showing a comparison between different

597 population studies in order to reflect the variations among different ethnicities as well as illustrating
598 what is generally considered as an average CCT value. An analysis of the genes known to influence
599 CCT was done, with main emphasis in COL5A1, FOXO1, AVGR8, and ZNF469, which are the
600 most related with corneal thickness, however other promising genes in this field were also
601 mentioned. In addition to the influence of genetics, several other extrinsic factors known to have
602 influence on CCT were reviewed including the age of the patient, physiologic diurnal variations,
603 UV radiation, altitude, chronic contact lens use, and various diseases. While some of the factors
604 influencing CCT are related with delayed development, inflammation or altered arrangement of
605 collagen fibrils, most of them are related to a lack of endothelial integrity, permeability and corneal
606 hydration.

607 Even though extensive research on this topic has been done, the broad spectrum of clinical
608 implications of CCT encourages further investigation of the factors involved in its expression and
609 other clinical implications it may have, not just in the corneal and refractive surgery field but in
610 other areas of ophthalmology as well. There is still work to be done especially in areas like
611 biomechanics, which continue to push the boundaries of what is known about structure and
612 functioning, as well as about what is done in terms of safety regarding surgical procedures.

613

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968 thickness in Chinese: the Guangzhou Twin Eye Study. *Invest Ophthalmol Vis Sci*. 2008 Oct
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972 **Titles and legends to figures:**

973

974 Figure 1. Factors influencing CCT

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976 Figure 2. Factors influencing corneal topography and biomechanics. Figure presenting the factors
977 that influence corneal topography by dividing them in external factors, internal factors and corneal
978 factors. Corneal factors are directly related to the components of the corneal stroma. Biomechanical
979 properties of the cornea such as central corneal thickness and hysteresis, are in turn also dependent
980 on these components.

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Ophthalmology Research Chair
School of Medicine and Health Sciences
Tecnologico de Monterrey
Monterrey, N.L., Mexico.
E-mail: jorge.valdez@itesm.mx

Andrew J Lotery, MD
Editor
Eye

March 28, 2017

Dear Dr. Lotery:

Please find enclosed the outline of a review article entitled “**Factors Influencing Central Corneal Thickness**” we are submitting for consideration of publication in the Journal *Eye*.

In this article we provide a review of the subject of central corneal thickness (CCT) from a stand point that covers all aspects related to it. These include the anatomical and physiological basis behind it, its impact in the field of biomechanics and corneal topography, the so called “normal” CCT values in different populations, the genetic implications surrounding it, and the different factors influencing it. To our knowledge there isn’t an article in the literature that covers individually a similar spectrum of topics related to CCT.

We consider this manuscript is adequate for *Eye* given that it offers a comprehensive, updated revision of all the aspects related to CCT. We believe this article will be of interest to readers because of the broad clinical fields in which CCT is implicated; from its role as a risk factor for developing glaucoma, its impact in management of ocular hypertension and its importance in management of candidate patients for refractive surgery.

Following this letter, you will find the proposed outline we have considered in this review.

Thank you for your consideration.

Sincerely,

Jorge E. Valdez-García, MD

Outline:

1. Introduction
2. Cornea: physiology, structure and function. Figure 1 illustrates some of the factors influencing CCT and the ways in which they relate to thickness (genetics, age, UV radiation, and diseases).
3. Corneal Topography. Figure 2 summarizes the factors that influence corneal topography and biomechanics (external such as atmospheric pressure; internal, such as intraocular pressure, hysteresis, elasticity, and thickness)
4. Corneal Biomechanics.
5. Clinical significance of CCT values. Table 1 summarizes some of the main clinical implications of CCT (glaucoma, ocular hypertension, refractive surgery, among others).
6. “Normal” CCT values. Table 2 summarizes most of the populations studies conducted in this regard.
7. Genetic aspects of CCT. Discussion of the four main genes (*COL5A1*, *FOXO1*, *AVGR8*, and *ZNF469*) and several other gene single-nucleotide polymorphisms (SNPs) known to influence CCT. Table 3 summarizes the findings of the genes described, as well as some of the reports on additional Genome-wide association studies (GWAS) and SNPs that could be related to CCT.
8. Factors influencing CCT. Discussion of the role of age, UV radiation, altitude, chronic contact lens use, and disorders that impact over CCT.
9. Heritability. Brief discussion about the additive genetic effect over the variation of CCT.
10. Conclusion

Manuscript Number:	BOPH-D-17-00219
Full Title:	Safety and Efficacy of Myopic LASIK performed on Thin Corneas
Article Type:	Research article
Section/Category:	Cataract and refractive surgery
Funding Information:	
Abstract:	<p>Background: Thin corneas have been historically considered as corneas with biomechanical liability and therefore to have an increased risk for developing ectasia after LASIK surgery. However, recent evidence suggests that thin corneas (with normal topography) performance after ablative refractive surgery is as effective, safe and stable as in corneas with "normal" thickness. The purpose of this manuscript is to report on the visual outcomes and safety of myopic LASIK performed in patients with corneas with central thickness below average (<540µm) and normal topography.</p> <p>Methods: Retrospective cohort study at a private practice setting. Mexican Hispanic patients who underwent myopic LASIK between January 2014 and January 2015. Analysis of records, patients >18 years-old with previous normal topography, stable refraction, corrected visual acuity ≥ 20/20 (Snellen), central corneal thickness (CCT) < 540µm and at least 12 months follow up after surgery.</p> <p>Main outcome measures: Standard visual outcomes (efficacy, safety, refractive stability), percent tissue altered analysis.</p> <p>Results: A total of 51 patients (102 eyes) were included, 56% (n=57) were female. Mean age: 26.52 ± 8.06 (range 18-55 years), mean follow up: 13.9 ± 1.2 months. Preoperative CCT: 515.44 ± 17.87µm (range 452-540µm), mean refractive spherical equivalent (SEQ): -4.08 ± 2.17 D (range -0.75 to -9.75 D), mean refractive cylinder: -1.44 ± 1.29 D (range 0.00 to -6.00 D). Mean predictability of postoperative SEQ: -0.20 ± 0.40 D (range -1.25 to +1.25). Postoperative SEQ: ±0.50 D in 71%, ±1.00 D in 93% of the eyes. Postoperative uncorrected distance visual acuity: ≥20/20 in 78% and ≥20/25 in 95%. One line of CDVA was lost in 3% of the eyes, no eyes lost ≥2 lines. No ectasia cases were observed during follow-up.</p> <p>Conclusions: LASIK surgery in Mexican Hispanic patients with thinner than "normal" corneas (<540 µm) is safe, efficient and predictable at 1 year follow up for myopic refractive corrections with no evidence of postoperative keratectasia.</p>
Corresponding Author:	Jorge E Valdez-Garcia, MD, MA Instituto Tecnológico y de Estudios Superiores de Monterrey Escuela de Medicina Ignacio A Santos MEXICO
Corresponding Author Secondary Information:	
Corresponding Author's Institution:	Instituto Tecnológico y de Estudios Superiores de Monterrey Escuela de Medicina Ignacio A Santos
Corresponding Author's Secondary Institution:	
First Author:	Jorge E Valdez-Garcia, MD, MA
First Author Secondary Information:	
Order of Authors:	Jorge E Valdez-Garcia, MD, MA
	Julio C Hernandez-Camarena, MD
	Denise Loya-García, MD
	Paloma Lopez-Montemayor, BN
	Jesus Merayo-Llives, MD, PhD, MBA, DO

Order of Authors Secondary Information:	
Opposed Reviewers:	

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65**ORIGINAL ARTICLE – CLINICAL SCIENCE****Safety and Efficacy of Myopic LASIK performed on Thin Corneas**

Jorge E. Valdez-García MD, MA¹, Julio C. Hernandez-Camarena MD¹, Denise Loya-García MD¹, Paloma López-Montemayor BN¹, Jesús Merayo-Llotes MD, PhD, MBA, DO²

Affiliations:

¹ Ophthalmology and Visual Sciences Institute. School of Medicine, Tecnológico de Monterrey, Monterrey, Mexico.

² Instituto Universitario Fernández-Vega. Universidad de Oviedo. Fundación de Investigación Oftalmológica, Oviedo, Spain.

Corresponding author

Jorge E. Valdez-García, MD
Dean of the School of Medicine
Ophthalmology Associate Professor
Service of Cornea and Refractive Surgery
Ophthalmology and Visual Sciences Institute
School of Medicine of the Tecnológico de Monterrey
112 Batallon de San Patricio, 1st Floor East
San Pedro Garza García, Nuevo Leon, Mexico, 66278
+52(81) 88880550
Email: jorge.valdez@itesm.mx

Short running title: LASIK performed on Thin Corneas

Abstract

Background: Thin corneas have been historically considered as corneas with biomechanical liability and therefore to have an increased risk for developing ectasia after LASIK surgery. However, recent evidence suggests that thin corneas (with normal topography) performance after ablative refractive surgery is as effective, safe and stable as in corneas with “normal” thickness. The purpose of this manuscript is to report on the visual outcomes and safety of myopic LASIK performed in patients with corneas with central thickness below average (<540 μ m) and normal topography.

Methods: Retrospective cohort study at a private practice setting. Mexican Hispanic patients who underwent myopic LASIK between January 2014 and January 2015. Analysis of records, patients >18 years-old with previous normal topography, stable refraction, corrected visual acuity \geq 20/20 (Snellen), central corneal thickness (CCT) < 540 μ m and at least 12 months follow up after surgery.

Main outcome measures: Standard visual outcomes (efficacy, safety, refractive stability), percent tissue altered analysis.

Results: A total of 51 patients (102 eyes) were included, 56% (n=57) were female. Mean age: 26.52 ± 8.06 (range 18-55 years), mean follow up: 13.9 ± 1.2 months. Preoperative CCT: $515.44 \pm 17.87\mu$ m (range 452-540 μ m), mean refractive spherical equivalent (SEQ): -4.08 ± 2.17 D (range -0.75 to -9.75 D), mean refractive cylinder: -1.44 ± 1.29 D (range 0.00 to -6.00 D). Mean predictability of postoperative SEQ: -0.20 ± 0.40 D (range -1.25 to +1.25). Postoperative SEQ: ± 0.50 D in 71%, ± 1.00 D in 93% of the eyes. Postoperative uncorrected distance visual acuity: $\geq 20/20$ in 78% and $\geq 20/25$ in 95%. One line of CDVA was lost in 3% of the eyes, no eyes lost ≥ 2 lines. No ectasia cases were observed during follow-up.

Conclusions: LASIK surgery in Mexican Hispanic patients with thinner than “normal” corneas (<540 μ m) is safe, efficient and predictable at 1 year follow up for myopic refractive corrections with no evidence of postoperative keratectasia.

Key words: LASIK, Central Corneal Thickness, thin corneas, post-LASIK ectasia

78 **Background**

79 Laser *in situ* keratomileusis has been the treatment of choice for correcting corneal refractive errors since its
80 introduction in early 1990 [1,2]. Resulting in immediate high quality visual outcomes and having an excellent
81 efficacy, predictability, stability and safety profiles, it's no wonder why LASIK surgery has become one of
82 today's most popular elective procedures, with more than 28 million procedures performed worldwide [3,4].
83 As with any other surgical procedure, an increased frequency and widespread use is also associated with a
84 grown incidence of complications.

85 Although effective methods to treat most of the complications related to LASIK have emerged (either with
86 eye drops or with surgical correction) [5,6] post-LASIK ectasia is one of the most feared complications since
87 its treatment often involves extensive management strategies that go from intrastromal corneal rings [7] and
88 crosslinking [8] to keratoplasty [9].

89 Specific risk factors for developing corneal ectasia after LASIK have been identified and they include deep
90 ablation, residual stromal bed thickness lower than 300µm, abnormal topography and central corneal
91 thickness (CCT) less than 500µm [10–12]. Randleman et al. also considered factors as young age and high
92 refractive correction to develop an Ectasia Risk Score System (ERSS) with the objective to assess the
93 preoperative risk for developing ectasia after LASIK [13]. Recently, the role of the percent tissue altered
94 (PTA) has been emphasized by Santhiago et al. as a robust risk indicator for developing ectasia after LASIK
95 in eyes with normal topography [14].

96 Either directly (ERSS) or indirectly (PTA), thin corneas have been considered as corneas with biomechanical
97 liability and therefore to have an increased risk for developing ectasia after ablative surgery [13,14].

98 However, recent evidence shows not only that thin corneas (<500µm) have not an increased risk for ectasia
99 but that LASIK is as effective, safe and stable as in corneas with 500µm or greater [15,16]. In this study we
100 assessed the visual outcomes and safety of myopic LASIK performed in patients with corneas with central
101 thickness below average <540 µm and normal topography.

103 **Methods**

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4 104 A retrospective analysis was performed on the records of Hispanic patients who underwent myopic LASIK
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6 105 between January 2014 and January 2015, at the Zambrano-Hellion Medical Center, Tec de Monterrey
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8 106 (Monterrey, México). The analysis followed the tenets of the Declaration of Helsinki, informed consent was
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10 107 obtained from all patients after details of the surgical procedure were explained. Inclusion criteria for the
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12 108 initial treatment were: age over 18 years; stable refraction with spherical component up to -8.50D, a
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14 109 cylindrical component between up to -6.50D; corrected visual acuity $\geq 20/20$ (Snellen visual acuity chart) a
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16 110 central corneal thickness (CCT) $< 540\mu\text{m}$ and at least 12 months follow up. We defined a cornea thinner than
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18 111 “normal” as corneas $< 540\mu\text{m}$ accordingly to the reported values (statistical mean and mode) in our
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20 112 population [26]. Patients with LASIK surgery general contraindications as autoimmune diseases, diabetes,
21
22 113 pregnancy, and ocular diseases including glaucoma, cataract, retinal diseases, and dry eye were excluded.
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25 114 Preoperative examination included uncorrected distance visual acuity (UDVA), corrected distance visual
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27 115 acuity (CDVA), manifest refraction, cyclopegic refraction, intraocular pressure measurement (Goldmann
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29 116 applanation tonometer), ultrasonic pachymetry (Accutome AccuPach V, Malvern, PA, USA), corneal
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31 117 topography (Orbscan IIz, Bausch and Lomb, Rochester, NY, USA) and slit lamp examination. The CCT was
32
33 118 obtained using ultrasonic pachymetry (Accutome 4sight pachymeter module; Accutome, Inc., Malvern, PA,
34
35 119 USA). Briefly, the cornea was anesthetized with topical 1% tetracaine and the patient was asked to adopt a
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37 120 face up position on the examination chair and solicited to fixate a target on the ceiling. The pachymeter probe
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39 121 was brought in contact with the cornea centrally and perpendicularly over the visual axis. CCT was recorded
40
41 122 as the average of 9 consecutive acquisitions. This process was repeated for every individual CCT
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43 123 measurement.
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46 124 Postoperative protocol consisted on moxifloxacin 0.5% ophthalmic solution (Vigamoxi ®, Alcon
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48 125 Laboratories, Fort Worth TX, US) every 6 hours for 7 days and fluorometholone 0.1 ophthalmic suspension
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50 126 (Flumetol, Sophia ®, Jalisco, Mexico) in dose reduction for 2 weeks. Postoperative visits included UDVA,
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52 127 CDVA, manifest refraction, corneal topography, Goldmann tonometry, slit lamp biomicroscopy and Visante
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54 128 AS-OCT (Carl Zeiss Meditec Inc, Version 3.0, Dublin, CA, US) on postoperative week 1 to measure the
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56 129 thickness of the corneal flap.
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4 130 LASIK procedures were performed by the same surgeon using a Technolas-217 Excimer workstation
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6 131 (Technolas Perfect Vision GmbH, München, Germany) using the standard technique. Briefly, under topical
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8 132 anesthesia with tetracaine chlorhydrate 0.5% (Ponti ofteno, Sophia ®, Jalisco, México), the cornea was
9
10 133 marked with gentian violet and a superior hinge was performed using a Hansatome XP Microkeratome
11
12 134 (Bausch & Lomb, Rochester, NY). When indicated both eyes were operated the same day, with the refractive
13
14 135 target to emmetropia. A 6.0 mm optical zone and a 120 microns flap with a superior hinge and average
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16 136 diameter of 9.5 mm (an 8.5 mm diameter ring was used in eyes with mean keratometry > 45D) was used in
17
18 137 every case. Zyoptix Tissue Saving-2 ablation profile was used to ensure a residual stromal bed $\geq 300\mu\text{m}$.
19
20 138 Standard visual outcomes and percent tissue altered (PTA) analysis were obtained. The preoperative and
21
22 139 postoperative data were compared using Student's t test. Statistical analysis was implemented with the SPSS
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24 140 software (version 20.0, IBM Inc., NY, USA) for Windows, a p value <0.05 was considered statistically
25
26 141 significant. Visual acuity was measured using Snellen's visual acuity chart and then converted to LogMAR
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28 142 for statistical analysis.
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144 **Results**

145 A total of 51 patients (102 eyes) were included in the study, 56% (n=57) were female. The mean age was
146 26.52 ± 8.06 (range 18 to 55 years) with a mean follow up of 15.9 ± 1.2 months. Preoperatively, CCT was
147 $515.44 \pm 17.87\mu\text{m}$ (range 452-539 μm), the mean refractive spherical equivalent (MRSE) was -4.06 ± 1.85 D
148 (range -0.75 to -9.75 D) with a mean refractive cylinder of -1.44 ± 1.29 D (range 0.00 to -5.75 D). On
149 postoperative week 1, the mean central thickness of the corneal flap was ($128.66 \pm 17.09\mu\text{m}$). The analysis of
150 PTA showed a mean value of 0.35 ± 0.04 (range 0.22 to 0.44). Figure 1 shows the Standard Graphs for
151 Reporting Refractive Surgery.

152 The mean predictability of postoperative SEQ was -0.20 ± 0.40 D (range -1.25 to +1.25) at the end of the
153 follow up. Postoperative SEQ was ± 0.50 D in 71% and ± 1.00 D in 93% of the eyes. Preoperative CDVA was
154 20/20 or better in 93% of the eyes. Postoperative uncorrected distance visual acuity was 20/20 or better in
155 78% and 20/25 or better 95%. One line of CDVA was lost in 3% of eyes and none of the eyes lost more than
156 2 lines of CDVA. Over the follow up (from postoperative month 3 to postoperative month 12), only 4% of the

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4 157 eyes changed $>0.50D$. A strong squared correlation ($R^2=0.981$) was observed between attempted and
5
6 158 achieved SEQ correction. Table 1 shows the changes in visual and refractive outcomes before and after the
7
8 159 lasik procedure. Intraoperative complications consisted on epithelial defect in 3 cases (3% of total) and flap
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10 160 striae that required flap re-lifting in 1 eye (1%). No ectasia cases were observed during follow-up.
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15 162 **Discussion**

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18 163 Post LASIK ectasia is rare, but even with a prevalence rate of 0.02% to 0.6% it remains as one of the most
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20 164 feared complications in refractive surgery [17,18]. Risk factors for developing this condition have been
21
22 165 previously identified [12,14], amongst them thin corneas ($<500\mu\text{m}$) have been historically considered as
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24 166 corneas with biomechanical frailty and therefore as corneas predisposed to develop ectasia [19, 20]. Evidence
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26 167 shows that factors as race [21,22], age and gender [22,23] altitude [24] and UV light exposure [25] may
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28 168 influence CCT, hence different “normal” corneal thickness have been established among various research
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30 169 groups. In a meta-analysis conducted by Doughty et al. [23] an average CCT of $536 \pm 29 \mu\text{m}$ was established
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32 170 for normal healthy eyes. In a Hispanic population, our group observed a mean CCT of $545.69 \pm 36.88 \mu\text{m}$
33
34 171 (mode of $540 \mu\text{m}$) in healthy corneas of Hispanic patients [26]. In this study we evaluate the visual outcome,
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36 172 safety and predictability of LASIK performed on a large cohort of corneas thinner than “normal”, defining the
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38 173 latter as corneas $<540 \mu\text{m}$ accordingly to the reported values (statistical mean and mode) in our population, at
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40 174 a 12 month follow up.
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43 175 Against the old paradigm that thin corneas have a biomechanical liability, recent evidence has shown not only
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45 176 the absence of keratectasia during follow up but also no difference in visual outcomes, safety and
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47 177 predictability when LASIK is performed on thin corneas with normal topography when compared with
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49 178 preoperative corneas with average or normal thickness. Tomita et al, assessed the 6 year-follow up outcomes
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51 179 of thin-flap LASIK in eyes with thin corneas ($\text{CCT}<500 \mu\text{m}$) but normal topography and compared them with
52
53 180 the outcomes of LASIK performed on corneas with CCT $500 \mu\text{m}$ or greater [16] They observed no difference
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55 181 in visual, refractive and topographic outcomes at long-term between both groups. At their last follow-up 83%
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57 182 of the eyes in the thin cornea group achieved a UDVA of 20/20 or better, 63% were stable or gained lines of
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59 183 CDVA and had refractive stability with a MRSE change of $-0.17 \pm 0.42 D$ over time [16]. Similarly, we
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4 184 observed 78% of the patients with UDVA $\geq 20/20$, 97% of the eyes were stable or gained lines of CDVA at
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6 185 the last follow up and a refractive stability with a MRSE change of -0.20 ± 0.40 over time. Likewise, we
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8 186 observed a non-significant difference on visual and refractive outcomes when comparing 6 month follow-up
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10 187 with the final follow up (Table 1), suggesting visual an refractive stability.

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13 188 Caster et al, performed a retrospective analysis of 109 eyes with preoperative central corneal thickness of \leq
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15 189 500 μm and otherwise normal topography that underwent LASIK, having a postoperative follow up of at least
16
17 190 12 months [15]. As in Tomita et al [16], and the present study, refractive stability was observed during the
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19 191 follow up period with no incidence of postoperative keratectasia. Previously, Binder et al. examined a
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21 192 database of 9700 eyes that underwent myopic lasik and he found 117 eyes with corneal pachymetry < 500
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23 193 microns and a follow up of at least 2 years with no report of corneal ectasia [17]. Kymionis et al, also showed
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25 194 the results of 124 eyes with thin corneas less than 500 microns that underwent excimer laser cornea refractive
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27 195 surgery (either PRK or LASIK) observing a good predictability (mean predictability of 0.08 ± 0.40 D for PRK
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29 196 group, 0.14 ± 0.55 D for the LASIK group) and no ectasia during the follow-up (1 year) [27].

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32 197 Corneal thickness has been considered as an inherent sign of structural stability, hence different authors have
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34 198 included thin corneas as a risk factor to develop postoperative keratectasia after excimer laser corneal
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36 199 refractive surgery [13,17,27–30]. However, the question if thin corneas should be considered as “weak”
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38 200 corneas and therefore as an independent risk for post-LASIK ectasia is yet in dispute. Recent evidence,
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40 201 including the present study, has failed to categorize thinner than normal corneas as independent risk for
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42 202 developing keratectasia after LASIK or PRK, since not only thin corneas perform as efficiently and safely
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44 203 than normal thickness corneas after refractive surgery but they have not showed a trend over time to evolve in
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46 204 to ectasia. Focusing on a flap thickness tailored to the initial corneal thickness and to the amount of ablation
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48 205 has been a more important issue on the debate, since the evidence from the work of Santhiago et al [14], have
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50 206 shown that the percent of tissue altered $\geq 40\%$ (obtained from the quotient of the sum of flap thickness and
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52 207 ablation depth over the central corneal thickness) was a more robust indicator that other individual variables
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54 208 (included CCT $< 510\mu\text{m}$) for the development of corneal ectasia after LASIK in eyes with normal topography.
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56 209 In our series a mean PTA of 0.35 ± 0.04 was achieved and although the recommendation in these patients is
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58 210 to create flaps of precise thickness using the femtosecond laser, we observed an acceptable flap thickness
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60 211 using a mechanical microkeratome (postoperative flap thickness $128.66 \pm 17.09\mu\text{m}$).

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4 212 A weakness of this study is its retrospective nature and the limited follow up to 13 months. However it is a
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6 213 large retrospective cohort of patients eyes with thinner than “normal” corneas and normal topography that
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8 214 underwent LASIK and along with previous studies of Caster [15] (109 eyes), Kymionis [27] (56 eyes with
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10 215 LASIK and 68 with PRK), Binder [11] (107 eyes) and Tomita [16] (291 eyes, case control) it contributes with
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12 216 evidence arguing against thin corneas as an independent risk factor for keratectasia after ablative corneal
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14 217 surgery. A control group with normal corneas for our population ($\geq 540\mu\text{m}$) could also potentially enhance
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16 218 the power of the study by eliminating of isolating confounding variables and bias.
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22 220 **Conclusions**

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24 221 In conclusion, we observed that LASIK surgery in patients with corneas thinner than “normal” ($< 540\mu\text{m}$) is
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26 222 safe, efficient and predictable at 1 year follow up for myopic refractive corrections with no evidence of
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28 223 postoperative keratectasia. Evidence in this and similar works suggest that LASIK surgery in eyes with
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30 224 preoperative thinner than normal cornea and normal topography may not be a risk factor if a fair residual
31
32 225 stromal bed (at least $300\mu\text{m}$) and a PTA $< 40\%$ is ensured. Longer follow up and larger cohorts of patients
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34 226 are needed to support and reinforce the proposition that thinner than normal corneas perform as efficiently
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36 227 and safely than normal thickness corneas after excimer refractive surgery.
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4 229 **Declarations**

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8 231 **Ethics approval and consent to participate:** The study followed the tenets of the Declaration of Helsinki,

9
10 232 was approved by the Tecnologico de Monterrey School of Medicine (Monterrey, Mexico) Ethics and

11
12 233 Research Committees. Informed consent was obtained from all the participating patients.

13
14 234 **Consent for publication:** Not applicable.

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16 235 **Availability of data and material:** The datasets used and/or analysed during the current study are available

17
18 236 from the corresponding author on reasonable request.

19
20 237 **Competing interests:** The authors declare that they have no competing interest.

21
22 238 **Declaration of funding sources:** This research received no specific grant from any funding agency in the

23
24 239 public, commercial or not-for-profit sectors.

25
26 240 **Authors' contributions:** Based on the ICJME guidelines, all authors had: 1) substantial contributions to

27
28 241 conception and design, acquisition of data, or analysis and interpretation of data; 2) drafting the article or

29
30 242 revising it critically for important intellectual content; and 3) final approval of the version to be published.

31
32 243 **Acknowledgements:** Not applicable.

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319 **TABLES**

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TABLE 1

Visual and Refractive Outcomes Before and After Myopic LASIK in Thin Corneas				
Parameter	UDVA (LogMAR) ^a	CDVA (LogMAR) ^a	SEQ (D) ^a	Keratometry (D) ^a
Preoperative	0.84 ± 0.45	0.00 ± .05	-4.06 ± 1.85	-1.44 ± 1.29
6 months FwUp	0.00 ± .08	0.00 ± .04	-0.17 ± 0.41	-0.47 ± 0.40
End point FwUp	0.00 ± .05	0.00 ± .02	-0.20 ± 0.40	-0.36 ± 0.39
P value^b	<.001	<.001	<.001	<.001
P value^c	.78	.81	.13	.08

321 UDVA = uncorrected distance visual acuity; CDVA = corrected distance visual acuity; D = diopters;

322 FwUp= Follow Up; LogMAR= Logarithm of the Minimum Angle of Resolution; ^a Values reported as323 mean ± standard deviation; ^b Mean comparison between preoperative and 6 months follow up; ^c

324 Mean comparison between 6 months follow-up and end point follow-up.

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4 328 **Legends**

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10 330 **Figure 1.** Nine standard graphs for reporting refractive surgery showing the visual
11 and refractive outcomes for 102 myopic eyes treated with Hansatome XP
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13 331 Microkeratome (Bausch & Lomb, Rochester, NY) and Technolas-217 Excimer
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15 332 workstation (Technolas Perfect Vision GmbH, München, Germany), using Zyoptix
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17 333 Tissue Saving-2 ablation. UDVA= uncorrected distance visual acuity; CDVA=
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19 334 corrected distance visual acuity; D = diopters; Postop = postoperative; Preop =
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21 335 preoperative; SEQ = spherical equivalent refraction; TIA = target-induced
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23 336 astigmatism; SIA = surgically induced astigmatism.
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TABLES

TABLE 1

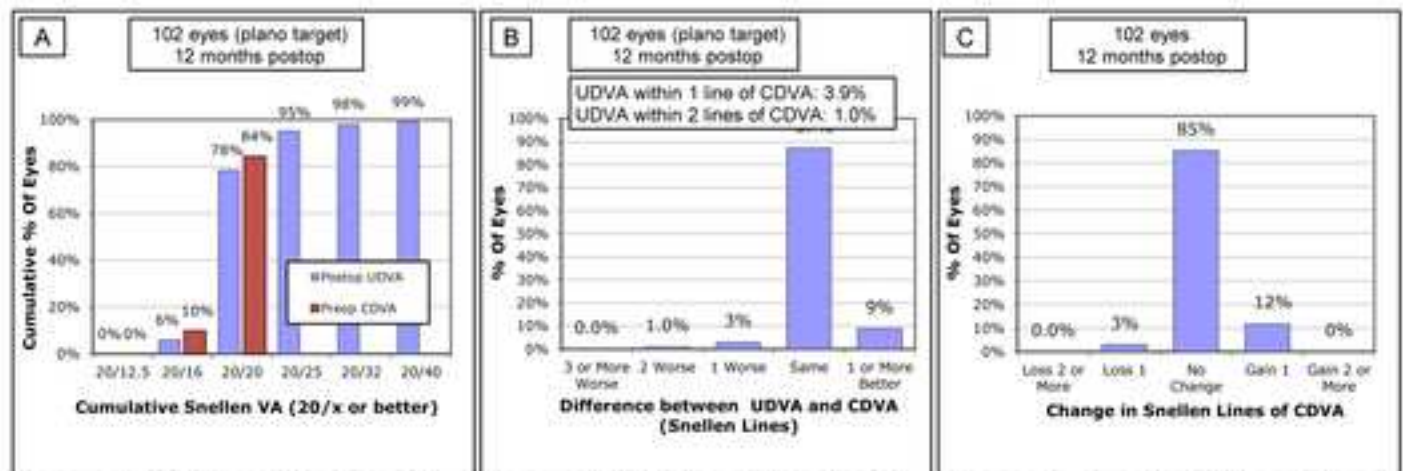
Visual and Refractive Outcomes Before and After Myopic LASIK in Thin Corneas				
Parameter	UDVA (LogMAR) ^a	CDVA (LogMAR) ^a	SEQ (D) ^a	Keratometry (D) ^a
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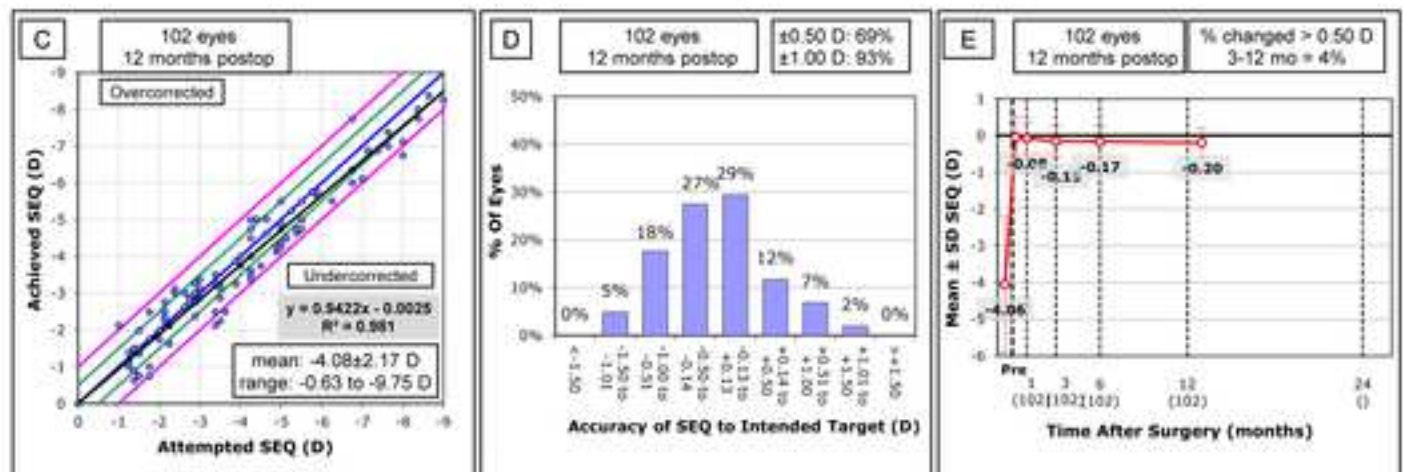
Mean comparison between 6 months follow-up and end point follow-up.



Uncorrected Distance Visual Acuity

Uncorrected Distance Visual Acuity vs. Corrected Distance Visual Acuity

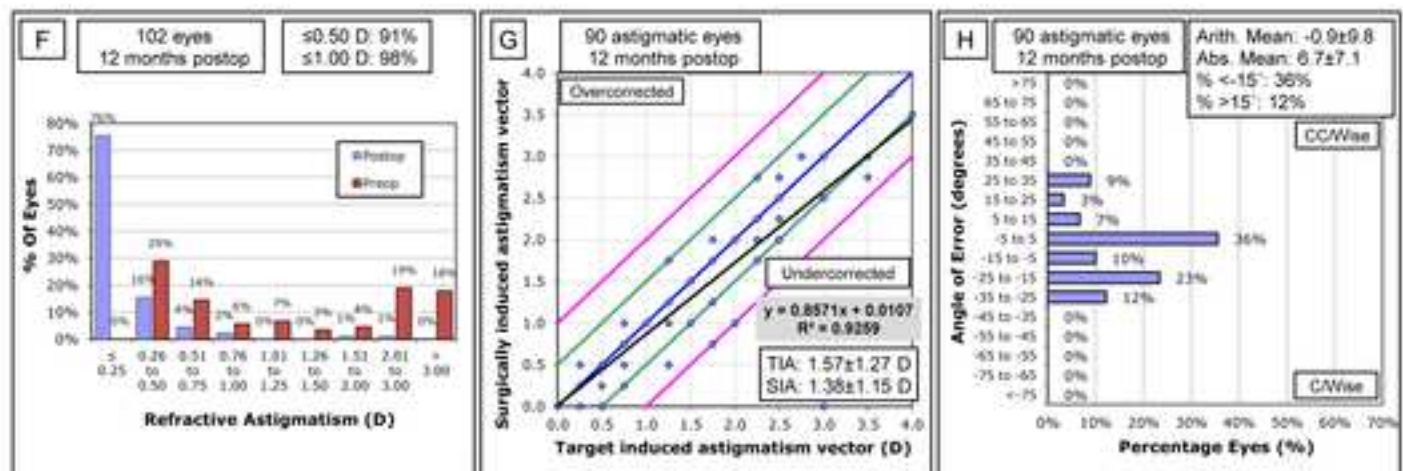
Change in Corrected Distance Visual Acuity



Spherical Equivalent Refraction Attempted vs Achieved

Spherical Equivalent Refraction Accuracy

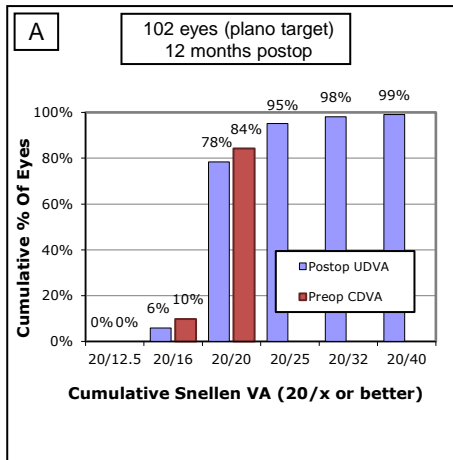
Spherical Equivalent Refraction Stability



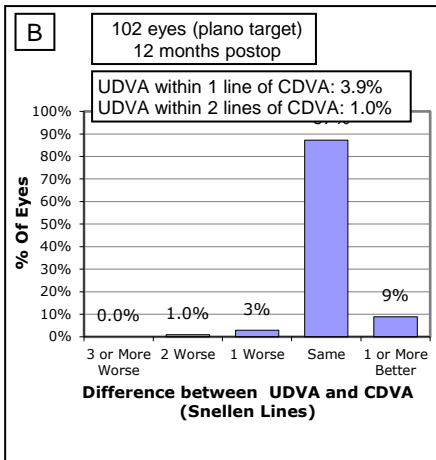
Refractive Astigmatism

Target Induced Astigmatism vs Surgically Induced Astigmatism

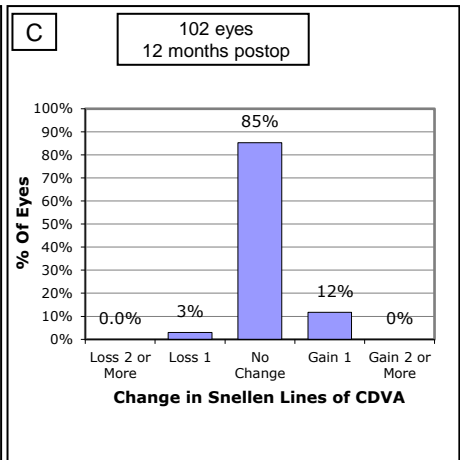
Refractive Astigmatism Angle of Error



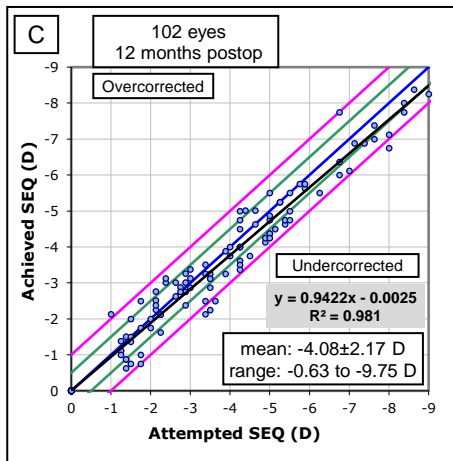
Uncorrected Distance Visual Acuity



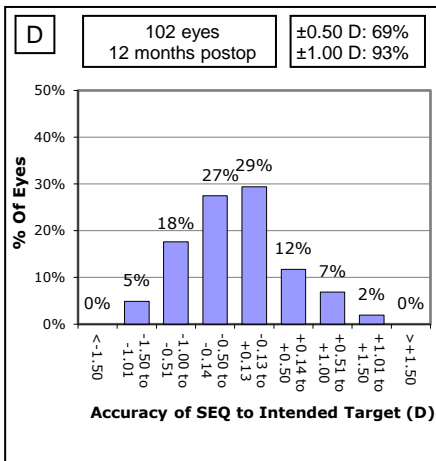
Uncorrected Distance Visual Acuity vs. Corrected Distance Visual Acuity



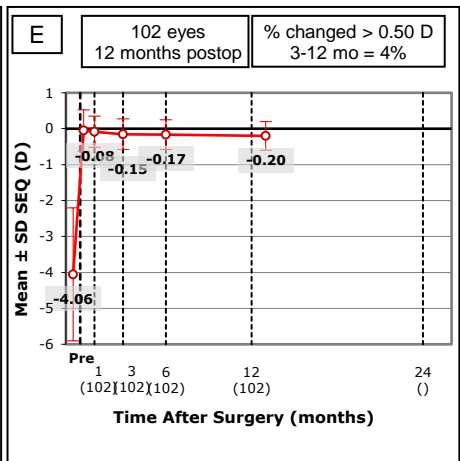
Change in Corrected Distance Visual Acuity



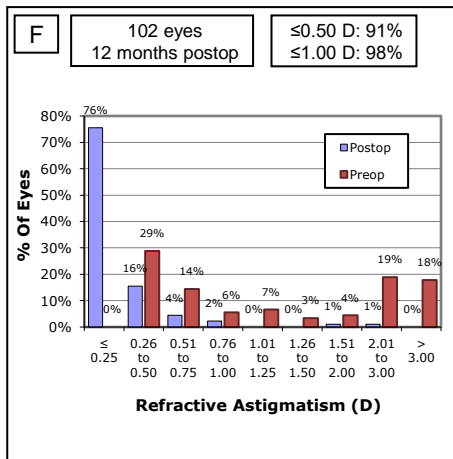
Spherical Equivalent Refraction Attempted vs Achieved



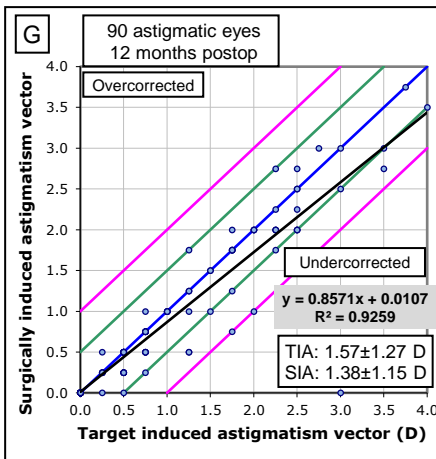
Spherical Equivalent Refraction Accuracy



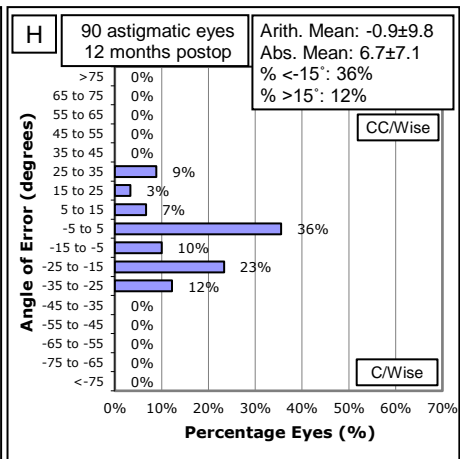
Spherical Equivalent Refraction Stability



Refractive Astigmatism



Target Induced Astigmatism vs Surgically Induced Astigmatism



Refractive Astigmatism Angle of Error