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Title: Formulation of resveratrol entrapped niosomes for topical use

Article Type: Full Length Article

Keywords: niosomes, resveratrol, thin film hydration method, ethanol injection method, penetration enhancer, topical use

Corresponding Author: Prof. Carmen Pazos, Ph.D.

Corresponding Author's Institution: University of Oviedo

First Author: Daniel Pando, MsC

Order of Authors: Daniel Pando, MsC; Maria Matos, PhD; Gemma Gutierrez, PhD; Carmen Pazos, Ph.D.

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Niosomes were formulated with Gelot 64 (G64) as surfactant, and two skin-compatible unsaturated fatty acids (oleic and linoleic acids), commonly used in pharmaceutical formulations, as penetration enhancers.

Niosomes were prepared by two different methods: a thin film hydration method with minor modifications followed by a sonication stage (TFH-S), and an ethanol injection modified method (EIM). Niosomes prepared with the EIM method were in the range of 299-402 nm, while the TFH-S method produced larger niosomes in the range of 293-496 nm. Moreover, niosomes with higher RSV entrapment efficiency (EE) and better stability were generated by the EIM method.

Ex-vivo transdermal experiments, carried out in Franz diffusion cells on newborn pig skin, indicated that niosomes prepared by the EIM method were more effective for RSV penetration in epidermis and dermis (EDD), with values up to 21% for both penetration enhancers tested.

The EIM method, yielded the best RSV-entrapped niosomes, seems to be the more suitable for scaling up.

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13. Is your size measurement method sensitive enough to differentiate between the stated mean size values? Please also consider the PDI. I would rather say that the size of the particles is comparable in all of your samples.

Yes, the method is sensitive enough since, as we mentioned in the previous question, the accuracy of the method is $\pm 2\%$ for the aforementioned diameters range (0.3 nm - 10 microns).

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In the present work, we compare niosomes with different formulations, but all niosomes were prepared for each formulation tested with two different preparation methods (see section 2.2 of the manuscript). Therefore, we consider that niosomes of the same formulation with different sizes were properly prepared. From the results obtained, it was concluded that "EIM method produces niosomes with smaller mean sizes, narrower size distributions, higher EE and stability than those prepared with the TFH-S method."

Furthermore, as a general trend, higher RSV penetration corresponded to niosomes with smaller mean size and in other studies a similar behaviour was observed. In those cases, this effect was attributed to the small vesicles size that led to an increase vesicle/skin interface ratio enhancing interactions with skin lipids, leading to increase transdermal fluxes (Verma, et al 2003; Maestrelli, et al 2006; Srisuk, et al 2012; Manca, et al 2013). In any case, this is not a conclusion of our work. Moreover, we would like to emphasize the last conclusion of our work: "No relationship between EE and niosomes mean size, as well as with RSV penetration was found. Further research is needed to have a better understanding of the mechanisms involved in RSV skin delivery through niosomes".

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RESPONSE TO REVIEWER'S COMMENTS

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We would like to thank the reviewers for their useful comments and suggestions on our manuscript entitled *Formulation of resveratrol entrapped niosomes for topical use* (Ms. Ref. No.: COLSUB-D-14-01483). They pose practical and stimulating questions. After careful revision and taking into consideration those comments, several changes have been made. These changes are written in blue color in the revised manuscript and are properly discussed in the following paragraphs.

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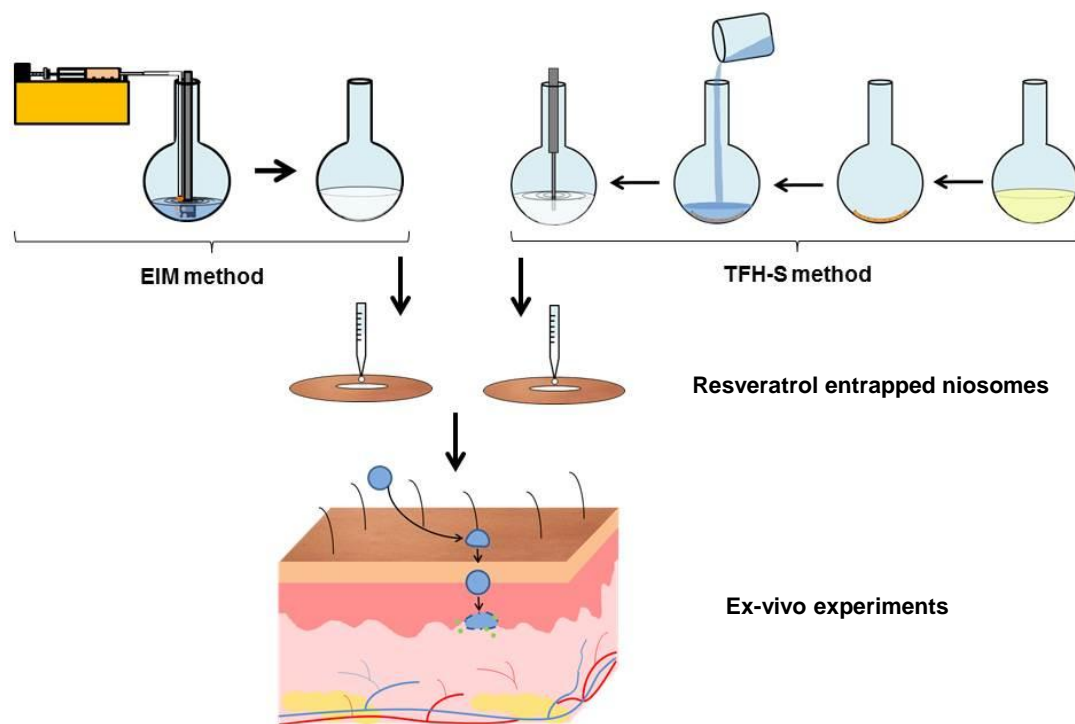
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Graphical abstract



Highlights

- Resveratrol entrapped niosomes for topical use were formulated
- Thin film hydration and ethanol injection (EIM) were used as preparation methods
- Oleic and linoleic acids were compared as skin penetration enhancers
- EIM produced small size niosomes with high encapsulation efficiency
- Smaller niosomes were more effective for resveratrol skin penetration

1 **Formulation of resveratrol entrapped niosomes for topical use**

2 Daniel Pando, María Matos, Gemma Gutiérrez, Carmen Pazos*

3 Department of Chemical and Environmental Engineering, University of Oviedo, Julián
4 Clavería 8, 33006 Oviedo, Spain

5 *Corresponding author. Tel: +34 985103509; fax: +34 985103434. E-mail address:
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7
8 **Abstract**

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10 use is proposed in this work.

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24 The EIM method, yielded the best RSV-entrapped niosomes, seems to be the more
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34 1. Introduction

35 Resveratrol (RSV) is a natural polyphenol found in a wide variety of plants that has
36 both chemopreventive and therapeutic effects, because of its anti-oxidant, anti-
37 inflammatory, cardioprotective, and anti-tumour properties. However, its applications
38 are restricted because it is easily oxidizable, has low solubility in water, short biological
39 half-life, and rapid metabolism and elimination (Caddeo, *et al.* 2013; Pando, *et al.*
40 2013a; Pando, *et al.* 2013b; Scognamiglio, *et al.* 2013; Matos, *et al.* 2014). Moreover, it
41 is an extremely photosensitive molecule, and exposure to light leads to an irreversible
42 change from the active *trans* isomer to the inactive *cis* isomer. Thus, *trans*-resveratrol
43 should be encapsulated before being administered either for food or topical
44 applications.

45 Because of its chemopreventive and antioxidant properties, RSV is considered to be an
46 interesting drug for incorporation into dermatological preparations. Special attention
47 has been paid to its topical application in different physiological and pathological
48 conditions, such as skin cancer prevention or psoriasis treatment (Jang, *et al.* 1997;
49 Caddeo, *et al.* 2013; Scognamiglio, *et al.* 2013). The drug delivery into the skin has the
50 advantage that high drug concentrations are located at specific sites of action. For this
51 reason, the *ex-vivo* percutaneous absorption of RSV in different nanocarriers has been
52 widely investigated in the last years (Sinico and Fadda 2009, Pando, *et al.* 2013b,
53 Scognamiglio, *et al.* 2013, Marianecchi, *et al.* 2014).

54 The intercellular lipids of the human stratum corneum consist mainly of cholesterol,
55 ceramides and free fatty acids, structurally organized into multilamellar bilayers, which
56 dictate the overall skin permeability properties.

57 Liposomal delivery systems have been used as a promising approach to overcome the
58 limited permeability of drug across the stratum corneum of skin (Srisuk, *et al.* 2012).
59 However, over the last two decades, niosomes are preferred over liposomes because
60 of their higher chemical stability, lower cost, and the lower efficiency of liposomes for
61 drug delivery across the skin (Sinico and Fadda 2009, Marianecchi, *et al.* 2012).
62 Niosomes are vesicles formed by the auto-assembling of non-ionic surfactants in
63 aqueous media resulting in closed bilayer structures (Uchegbu, and Vyas, 1998). Non-
64 ionic surfactants can improve the solubility of some poorly soluble drugs enhancing
65 transdermal delivery by **incorporation**. These vesicular systems also provide sustained
66 drug release to prolong its action (Kumar and Rajeshwarrao, 2011).

67 The purpose of this work is to propose a new approach to formulate RSV-entrapped
68 niosomes for topical delivery by comparing two specific methods of preparation: a thin
69 film hydration method with minor modifications, followed by a sonication stage (TFH-S),
70 and an ethanol injection modified method (EIM).

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74 were characterized in terms of size, morphology, and stability. *Ex-vivo* transdermal
75 experiments, carried out in Franz diffusion cells on newborn pig skin, enabled to study
76 the influence of niosomes formulation and preparation method on RSV skin delivery.

77

78 **2. Materials and methods**

79 *2.1. Materials*

80 RSV, OA and LA, all of them with purity >99%, were supplied by Sigma-Aldrich
81 (Germany). G64 was kindly supplied from Gattefossé (France). It consists of a mixture
82 of glycerol monostearate EP/NF and PEG-75 stearate NF/JPE, supplied as semi-solid
83 pellets, and it has a HLB value of 10. Methanol, acetonitrile, 2-propanol, and acetic acid
84 of HPLC-grade were purchased from Sigma-Aldrich (USA). Deionized water was used
85 in all experiments.

86 *2.2. Niosomes preparation*

87 Niosomes containing RSV were prepared by the following methods:

88 *2.2.1. Thin film hydration-sonication method (TFH-S)*

89 The TFH method (Bangham, *et al.* 1965, Baillie, *et al.* 1985) was utilized with minor
90 modifications, followed by a sonication stage (TFH-S).

91 Accurately weighed amounts of G64 and penetration enhancer (OA or LA) in different
92 weight ratios, in the range of 1:0.5 to 1:1.5, were dissolved in 6.25 mL of an absolute
93 ethanol solution containing a known concentration of RSV, and placed in a 100 mL
94 round bottom flask. Then, ethanol was removed at 40 °C under reduced pressure in a
95 rotary evaporator (Buchi, Switzerland). The dried film was hydrated with 12.5 mL of
96 deionized water at 60 °C to achieve a RSV concentration of 1 mg/mL. The resulting
97 solution was further sonicated for 30 minutes (CY-500 sonicator, Optic Ivymen System,
98 Spain), using 45% amplitude, 500 W power, and 20 kHz frequency.

99 *2.2.2. Ethanol injection modified method (EIM)*

100 The conventional ethanol injection method, first described in 1973 (Batzri and Korn,
101 1973), offers advantages such as simplicity, absence of potentially harmful chemicals,
102 and suitability for scaling-up (Wagner, *et al.* 2002; Pham, *et al.* 2012).

103 Appropriate weighed amounts of G64 and stabilizer (OA or LA) in different weight
104 ratios, from 1:0.5 to 1:1.5, were dissolved in 6.25 mL of an absolute ethanol solution
105 containing a known concentration of RSV. Then, this solution was injected, with a
106 syringe pump (KDScientific, USA) at a flow of 120 mL/h, into deionized water at 60°C,
107 stirring at 15000 rpm with a homogenizer (SilentCrusher M, rotor model 22G, Heidolph,

108 Germany). Although spontaneous niosomes formation occurs as soon as the organic
109 solution is in contact with the aqueous phase (Pham, *et al.* 2012), vigorous agitation is
110 needed to obtain narrower size distributions. Once niosomes were formed, ethanol was
111 removed at 40 °C under reduced pressure in a rotary evaporator.

112 G64/stabilizer:RSV ratio was 30:1 for both preparation methods.

113 2.3. Niosomes size

114 Mean (Z-Average) size and polydispersity index (PDI) of niosomes were determined
115 via Dynamic Light Scattering (DSL) using a Zetasizer Nano ZS (Malvern Instruments
116 Ltd, UK). Three independent samples were taken from each formulation, and
117 measurements were carried out three times for each sample at room temperature
118 without dilution.

119 2.4. Niosomes morphology

120 Morphological analysis of niosomes was carried out by negative staining transmission
121 electron microscopy (NS-TEM), using a JEOL-2000 Ex II TEM (Japan). A drop of the
122 niosomal formulation was placed on a carbon-coated copper grid, and the sample
123 excess was removed with filter paper. Then a drop of 2% (w/v) PTA (phosphotungstic
124 acid solution) was applied to the carbon grid and left to stand for 2 minutes. Once the
125 excess staining agent was removed with filter paper, the sample was air-dried and the
126 thin film of stained niosomes was observed with the transmission electron microscope.

127 2.5. Niosomes stability

128 The stability of niosomes was determined by measuring backscattering (BS) profiles in
129 a Turbiscan Lab[®] Expert apparatus (Formulation, France) provided with an Ageing
130 Station (Formulation, France). Undiluted niosomes samples were placed in the
131 cylindrical glass test cells and backscattered light was monitored as a function of time
132 and cell height for 15 days, every 3 hours, at 30 °C. The optical reading head scans the
133 sample in the cell, providing BS data every 40 µm in % relative to standards as a
134 function of the sample height (in mm). These profiles build up a macroscopic fingerprint
135 of the niosomes at a given time, providing useful information about changes in
136 niosomes size distribution or appearance of a creaming layer or a clarification front with
137 time (Pando, *et al.* 2013a).

138 2.6. Niosomes entrapment efficiency (EE)

139 Entrapped RSV was removed from free RSV by dialysis. A 2 mL sample was placed
140 into a dialysis bag, immersed in 1000 mL of deionized water at room temperature, and
141 stirred at 500 rpm for 2 hours. Dialyzed and non-dialyzed samples were diluted 1:10
142 (v/v) with methanol to facilitate the rupture of vesicle membrane and to extract RSV
143 from vesicles. Then, RSV was analysed by chromatography (RP-HPLC) (HP series

144 1100 chromatograph, Hewlett Packard, USA). The system was equipped with a UV/VIS
145 absorbance detector HP G1315A and a fluorescence detector 1260 Infinity A (Agilent
146 Technologies, USA). A 305 nm wavelength was used for UV/VIS detector while
147 fluorescence detector used 310/410 nm of $\lambda_{\text{excitation}}/\lambda_{\text{emission}}$ at 310/410 nm. The column
148 was a Zorbax Eclipse Plus C₁₈ of 5 μm particle size, 4.6 mm \times 150 mm (Agilent
149 Technologies, USA).

150 The mobile phase consisted of a mixture of (A) 100% milliQ-water and (B) 100%
151 methanol with gradient elution at 0.8 mL/min. The step gradient started with a mobile
152 phase of 80% (A) running 100% mobile phase (B) in minute 5 for 10 minutes. The
153 mobile phase (B) was fed for 2 minutes after each injection to prepare the column for
154 the next sample. The separation was carried out at 30°C.

155 2.7. *Ex-vivo skin penetration and permeation studies*

156 Experiments were carried out in vertical Franz cells with an effective diffusion area of
157 0.785 cm², and using the skin of newborn pig. The skin, previously frozen at -80°C,
158 was pre-equilibrated in saline solution at 25°C for 1 hour. Then, the skin was placed
159 onto the Franz cell and sandwiched with the stratum corneum (SC) side facing the
160 donor compartment. The receptor container, thermostated at 37 \pm 1°C, was filled with
161 5.5 mL saline solution (0.9% w/v NaCl), and was continuously agitated with magnetic
162 stirring.

163 A 100 μL sample was applied onto the skin, in the donor compartment ($n = 3$ per
164 formulation) during 8 hours. After this period of time and once the skin was removed
165 from the Franz cell, it was gently rinsed with deionized water and dried.

166 To determine the amount of RSV that did not penetrate into the deeper layer of the skin
167 (*i.e.* epidermis and dermis (EDD) passing through the SC), a separation of SC from
168 skin was carried out. This was carried out by stripping the SC layer with adhesive tape
169 (Tesa AG, Germany). The RSV present in SC and EDD was extracted with methanol.
170 This method had been previously validated by histological examination of stripped skin
171 (Manconi, *et al.* 2005).

172 Receiver compartment samples were lyophilized and then methanol was added to
173 extract resveratrol. RSV content, both in skin layer (SC and EDD) and receiver
174 compartment samples, was finally determined by RP-HPLC.

175 2.8. *Statistical analysis*

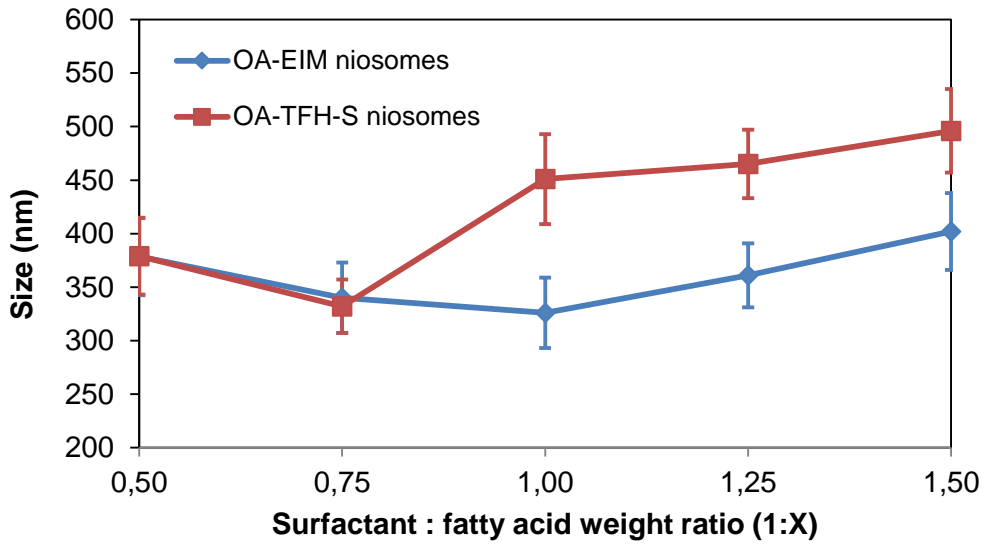
176 All data were expressed as the mean \pm SD (standard deviation) of three independent
177 experiments, and statistical analysis of the data was carried out (ANOVA). Fisher's test
178 ($p < 0.05$) was used to calculate the least significance difference (LSD) using statistical
179 software (Microsoft Excel 2010).

180

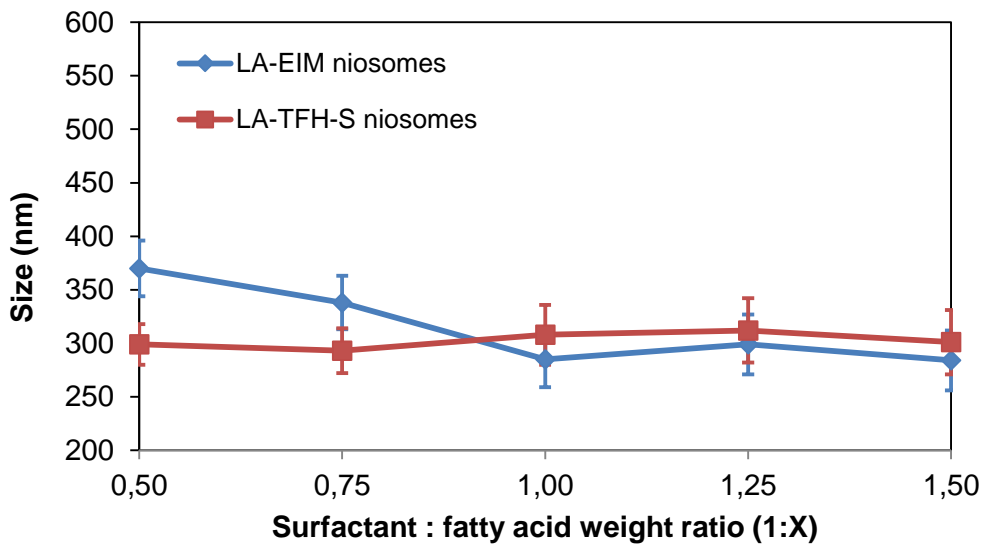
181 3. Results and discussion

182 3.1. Mean size and size distribution of niosomes

183 The mean sizes of niosomes formulated with G64 as surfactant, and OA or LA as
184 penetration enhancer, at different weight ratios are shown in Figure 1.



(a)



(b)

185 Figure 1. Effect of surfactant (G64) to fatty acid weight ratio on niosomes size
186 prepared by EIM and TFH-S methods. (a) Oleic acid (OA); (b) Linoleic acid
187 (LA)

188 Niosomes prepared with LA as penetration enhancer showed smaller sizes than those
189 prepared using OA ($p < 0.05$). Variations in niosome size up to 40% with TFH-S

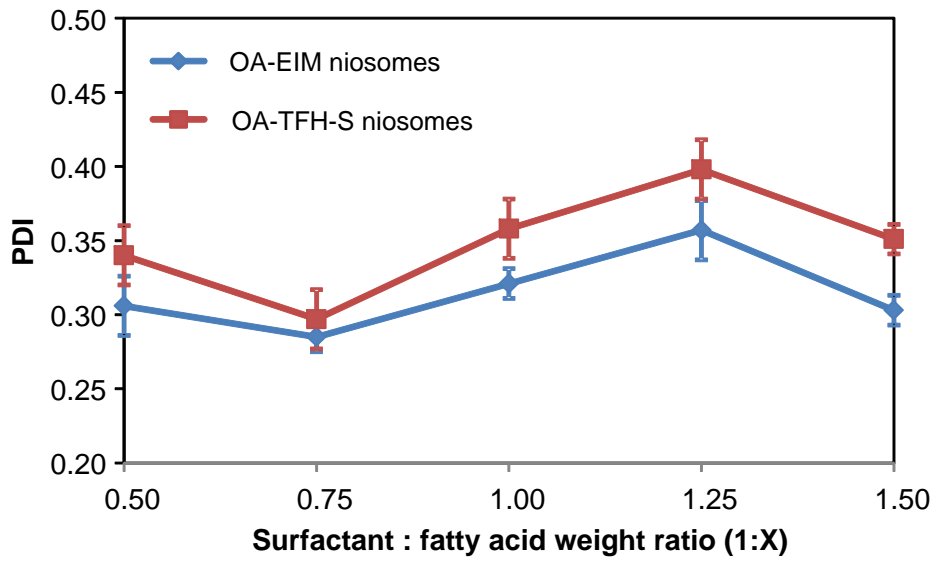
190 method, and up to 30% for EIM method were observed, depending on the type and
191 concentration of fatty acid.

192 Figure 1(a) shows the niosome size as a function of the G64 : OA weight ratio for both
193 preparation methods. It was observed that niosomes prepared by the EIM method had
194 smaller size, in the range 326-402 nm. Niosomes prepared with the TFH-S method
195 showed a higher variation with this parameter ($p < 0.05$). Moreover, while similar sizes
196 were obtained with both methods for G64 : OA weight ratios of 1:0.5 and 1:0.75, big
197 discrepancies were observed for larger weight ratios, and larger niosomes were
198 obtained by the TFH-S method.

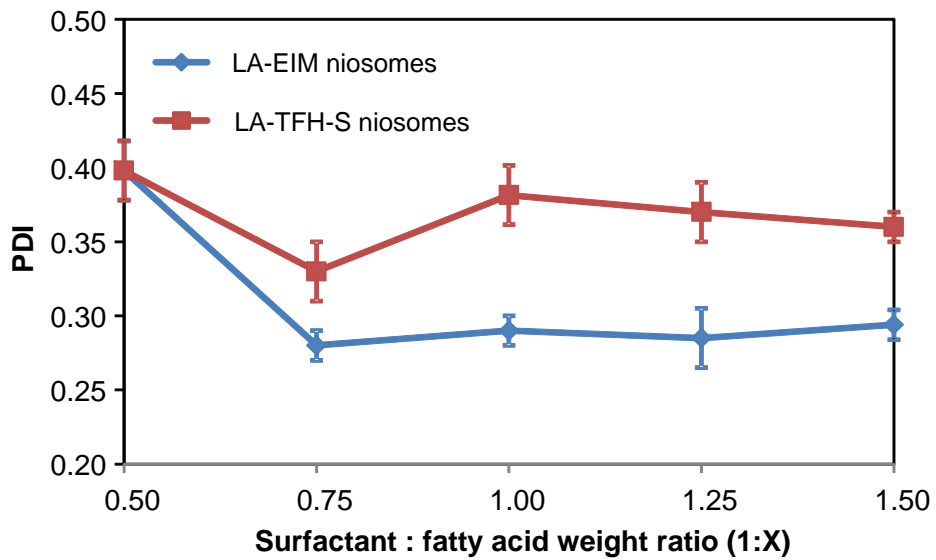
199 As shown in Figure 1(b) an opposite trend was observed when niosome size was
200 plotted versus the G64 : LA weight ratio for both preparation methods. Niosomes
201 prepared by the EIM method exhibited large variations ($p < 0.05$), while no significant
202 differences were found with the TFH-S method for all the G64 : LA weight ratios used.

203 These results show that the selection of LA or OA as penetration enhancer involves
204 significant differences in niosomes size, and are highly dependent on both the
205 surfactant : fatty acid weight ratio and the preparation method.

206 PDI values of niosomes formulated with G64 as surfactant, and OA or LA as
207 penetration enhancer, at different weight ratios are shown in Figure 2.



(a)



(b)

208 Figure 2. Effect of surfactant (G64) to fatty acid weight ratio on PDI of niosomes
 209 prepared using EIM and TFH-S methods. (a) Oleic acid (OA); (b) Linoleic
 210 acid (LA)

211 For niosomes made of G64 and OA as penetration enhancer, Figure 2(a), there was
 212 significant relation between G64 : OA weight ratio and sample PDI ($p < 0.05$) for both
 213 preparation methods. The same trend was observed regarding the best PDI values at a

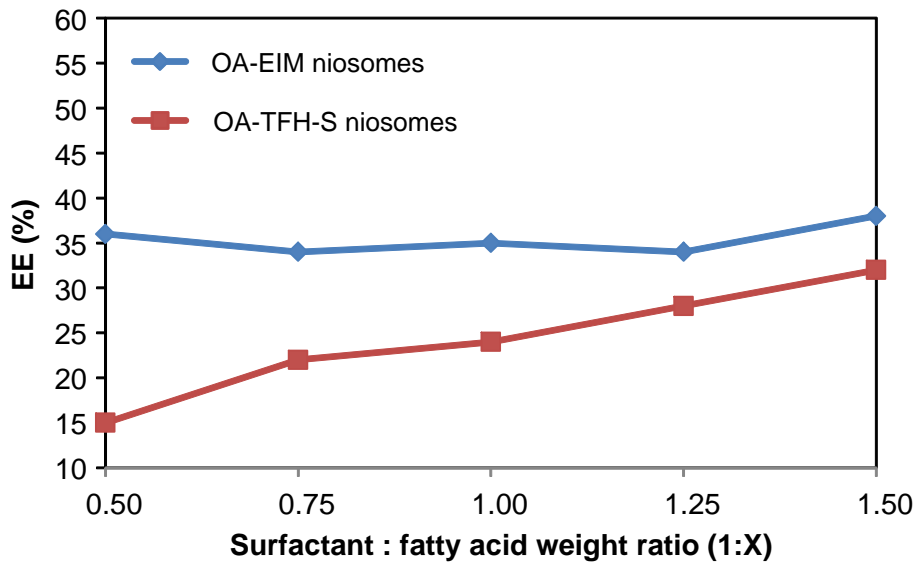
214 weight ratio G64 : OA of 1:0.75 for both methods. For the G64 : OA weight ratio range
215 studied, the EIM method yielded lower PDI values.

216 There was also a significant relation between G64 : LA weight ratio and PDI of the
217 sample ($p < 0.05$) for niosomes made with G64 and LA as penetration enhancer,
218 Figure 2(b). The best PDI values corresponded to the same weight ratio (1:0.75) for
219 both methods. G64–LA niosomes prepared by the EIM method showed lower PDI
220 values than G64–LA niosomes prepared by the TFH-S method.

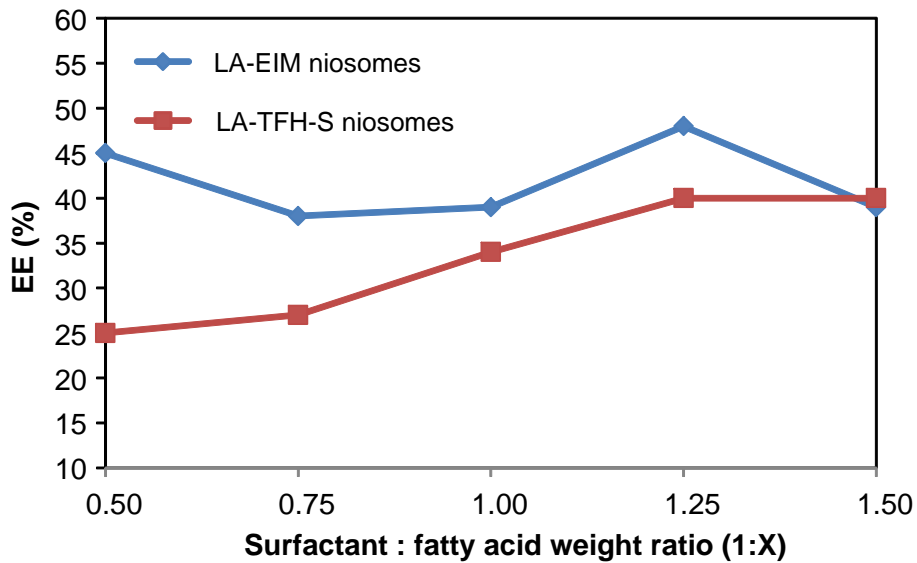
221 These results prove that the G64 : fatty acid weight ratio is significantly relevant in
222 order to reach low PDI value, which involves a monodisperse distribution. The best PDI
223 value is obtained for weight ratio 1: 0.75 independently of the niosomes formulation
224 and method of preparation.

225 *3.2. Niosomes entrapment efficiency (EE)*

226 Figure 3 shows the EE values obtained as a function of G64 : fatty acid weight ratio.



(a)



(b)

227 Figure 3. Effect of surfactant (G64) to fatty acid weight ratio on EE of niosomes
 228 prepared using EIM and TFH-S methods. (a) Oleic acid (OA); (b) Linoleic
 229 acid (LA)

230 EE of RSV showed a clear trend with the amount of OA used as penetration enhancer,
 231 Figure 3(a), for niosomes prepared with the TFH-S method ($p < 0.05$), the higher G64 :
 232 OA weight ratio, the higher EE. However, slight variations were obtained on EE for

233 G64-OA niosomes prepared with the EIM method, which were in all cases higher than
234 those obtained by TFH-S method.

235 For G64-LA niosomes, there was a relationship between EE and amount of LA used,
236 slightly more evident for niosomes prepared by TFH-S method ($p < 0.05$), since EE
237 increased with G64 : LA weight ratio. Also in this case, niosomes prepared by EIM
238 method showed higher EE than niosomes prepared by TFH-S method, except for G64 :
239 LA weight relation of 1:1.5, where similar EE values were obtained with both methods.

240 These results show a clear dependence of EE on the preparation method ($p < 0.05$),
241 higher values being obtained for niosomes prepared with the EIM method. Only the
242 niosomes formulated at G64 : LA weight ratio of 1:1.5 have similar EE values for both
243 methods.

244 It had been previously reported that the presence of the active compound did not
245 change the average size of vesicles (Manca, *et al.* 2013). Moreover, some authors
246 found relation between vesicle size and EE, being the largest vesicles the ones with
247 the highest EE (Maestrelli, *et al.* 2006; Srisuk, *et al.* 2012; Cadena, *et al.* 2013).
248 However, in the present work it was not observed a clear relationship between EE and
249 niosomes mean size.

250 3.3. *Ex-vivo skin penetration and permeation studies*

251 The release of RSV entrapped niosomes across a series of barriers and anatomical
252 structures of the skin, as function of different formulations and preparation methods,
253 was studied. Skin penetration occurs by diffusion of the active compound across the
254 skin layers into the receptor phase, *i.e.* subcutaneous fluids and blood vessels (Pando,
255 *et al.* 2013b).

256

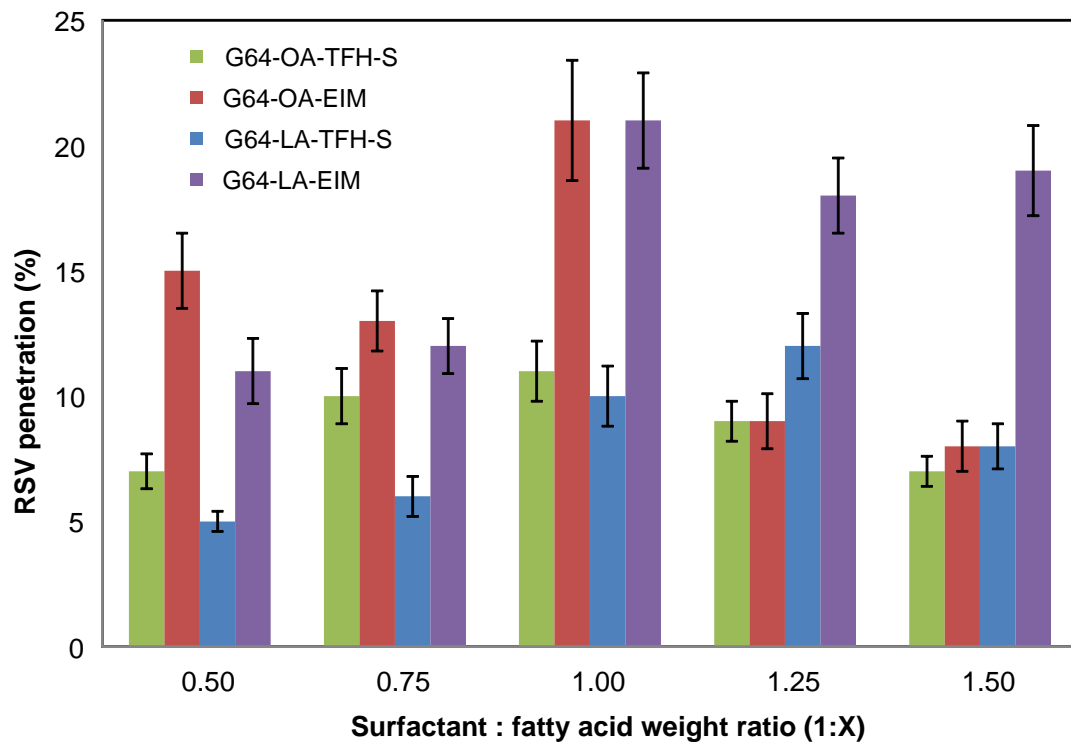
257 Release experiments were carried out using skin of newborn pig, as it is known that pig
258 skin is a good substitute in *ex-vivo* permeation experiments due to its similarity with the
259 SC of human skin in terms of lipid composition. Although it presents a marked
260 difference in thickness, newborn pig SC is considerably thinner than that of adult pigs,
261 and more similar to the human skin, even if the number of hair follicles is higher
262 (Pando, *et al.* 2013b). Several studies have been carried out with newborn pig skin,
263 confirming its suitability for skin permeation screenings (Manconi, *et al.* 2011).

264

265 RSV effects on the skin only appear when RSV penetrates to the deeper layers of skin
266 (EDD), being necessary to cross the SC. Due to the difficulty to precisely separate
267 epidermis and dermis, in this study three parts were distinguished: SC, EDD and
268 receptor fluid (RC).

269 The amount of RSV accumulated into these parts (SC, EDD and RC) was analysed by
270 RP-HPLC. These measurements enabled to make a mass balance of RSV in the
271 system to reinforce the method applied, since total RSV lost was less than 10% in all
272 cases.

273 RSV penetration into the deeper layers of skin (EDD), using different formulations and
274 niosomes preparation methods, are shown in Figure 4.
275



276
277 *Figure 4. RSV penetration in epidermis and dermis (EDD): influence of formulation and*
278 *niosomes preparation method*

279
280 A close correlation between the amount of fatty acid used and RSV penetration ($p <$
281 0.05) was observed, being stronger for niosomes prepared with the EIM method, for
282 both penetration enhancers, OA and LA. **Therefore, less RSV accumulation in the SC**
283 **was found when this method was applied.**

284 It was also clear the dependence between RSV penetration in EDD and the niosomes
285 preparation method ($p < 0.05$), being the EIM more effective for all formulations tested
286 ($p < 0.05$). Niosomes prepared with this method at a weight ratio of 1:1 for both
287 penetration enhancers were the most effective, showing RSV penetration values up to
288 21%. **In these cases, the RSV accumulation in the SC was in the range of 5.4% -**
289 **27.7%.** In order to compare RSV penetration with niosomes size, PDI and EE values,
290 all these data are summarized in Table 1.

291

292

293

294

295 Table 1. Mean size (Z-average), PDI, EE, and RSV penetration into the EDD layer for niosomes
 296 formulated with G64 as surfactant and OA or LA as penetration enhancer, using TFH-S
 297 or EIM as preparation methods

Preparation method	Fatty acid (FA)	G64 : FA weight ratio	Mean size (nm)	PDI	EE (%)	RSV penetration (%)
TFH-S	OA	1:0.5	379 ± 36	0.34 ± 0.01	15 ± 1	7.0 ± 0.7
		1:0.75	332 ± 25	0.30 ± 0.01	22 ± 2	10.0 ± 1.1
		1:1	451 ± 42	0.36 ± 0.02	24 ± 2	11.0 ± 1.2
		1:1.25	465 ± 32	0.40 ± 0.02	28 ± 2	9.0 ± 0.8
		1:1.5	496 ± 39	0.35 ± 0.02	32 ± 2	7.0 ± 0.6
	LA	1:0.5	299 ± 19	0.40 ± 0.02	25 ± 2	5.0 ± 0.4
		1:0.75	293 ± 21	0.33 ± 0.02	27 ± 2	6.0 ± 0.8
		1:1	308 ± 28	0.38 ± 0.02	34 ± 2	+10.0 ± 1.2
		1:1.25	312 ± 30	0.37 ± 0.02	40 ± 3	12.0 ± 1.3
		1:1.5	301 ± 30	0.36 ± 0.01	40 ± 3	8.0 ± 0.9
EIM	OA	1:0.5	378 ± 36	0.31 ± 0.01	36 ± 2	15.0 ± 1.5
		1:0.75	340 ± 33	0.29 ± 0.01	34 ± 3	13.0 ± 1.2
		1:1	326 ± 33	0.32 ± 0.01	35 ± 2	21.0 ± 2.4
		1:1.25	361 ± 30	0.36 ± 0.02	34 ± 2	9.0 ± 1.1
		1:1.5	402 ± 36	0.30 ± 0.02	38 ± 2	8.0 ± 1.0
	LA	1:0.5	370 ± 26	0.40 ± 0.02	45 ± 3	11.0 ± 1.3
		1:0.75	338 ± 25	0.28 ± 0.01	38 ± 3	12.0 ± 1.1
		1:1	285 ± 26	0.29 ± 0.01	39 ± 3	21.0 ± 1.9
		1:1.25	299 ± 28	0.28 ± 0.02	48 ± 3	18.0 ± 1.5
		1:1.5	284 ± 28	0.29 ± 0.01	39 ± 2	19.0 ± 1.8

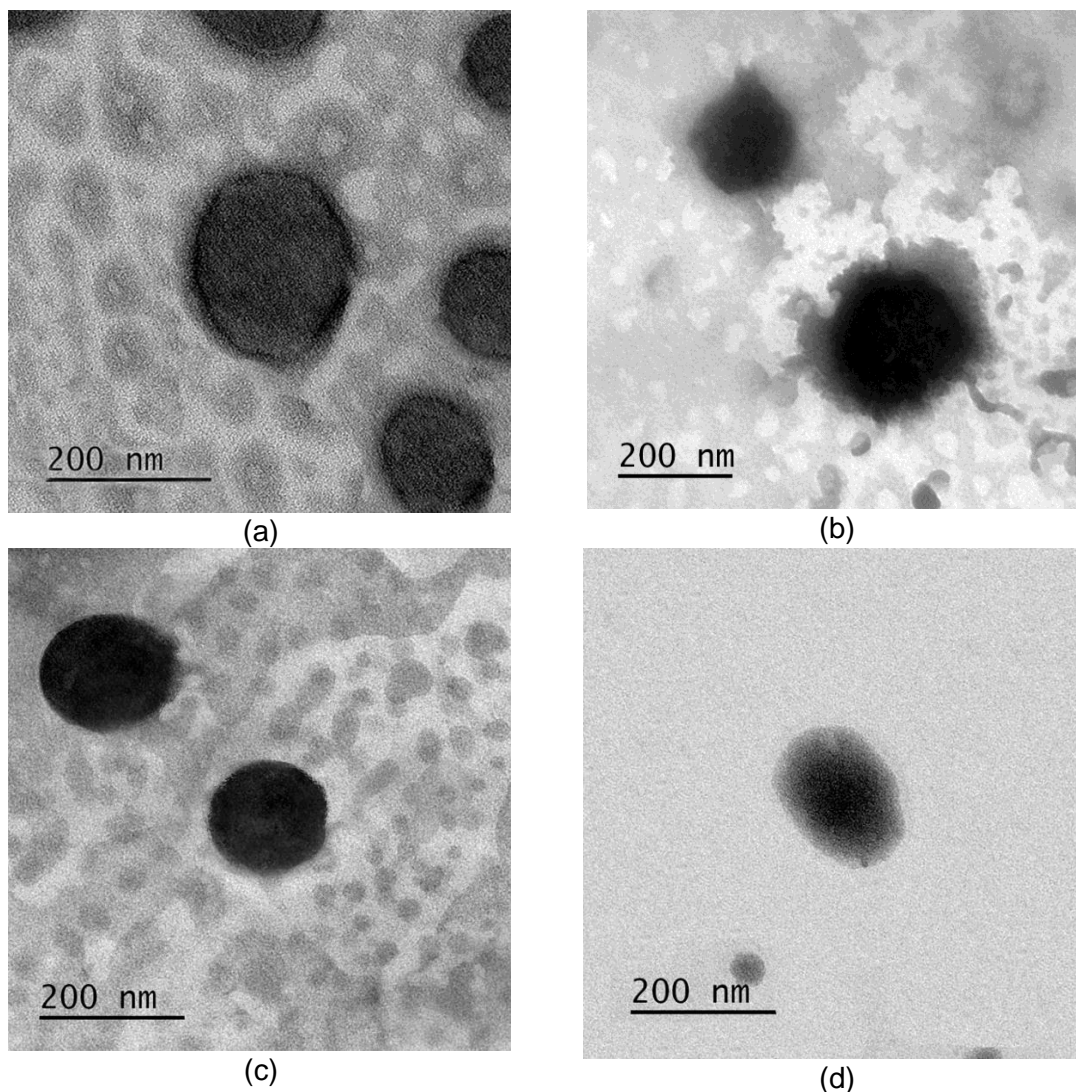
298 As a general trend, higher RSV penetration corresponded to niosomes with smaller
 299 mean sizes. A similar behaviour had been reported by other authors who attributed this
 300 effect to the size of the small vesicles that led to an increase vesicle/skin interface
 301 enhancing interactions with skin lipids, and increasing transdermal fluxes (Verma, *et al.*
 302 2003; Maestrelli, *et al.* 2006; Srisuk, *et al.* 2012; Manca, *et al.* 2013).

303 However, it was observed that, **not in all cases**, formulations with the best EE were not
 304 the most suitable regarding RSV penetration, as it had been previously reported by
 305 other authors (Maestrelli, *et al.* 2006; Srisuk, *et al.* 2012; Kong, *et al.* 2013).

306 3.4. Characterization of the optimum niosomes

307 Stability with time was examined for the best formulations to compare the effect of both
 308 OA and LA as penetration enhancers, as well as niosomes preparation method. Hence,
 309 a G64 : fatty acid weight ratio of 1:1 was selected, since this ratio showed successful
 310 results with respect to EE and RSV transdermal delivery. Morphology of these
 311 niosomes was also confirmed by TEM.

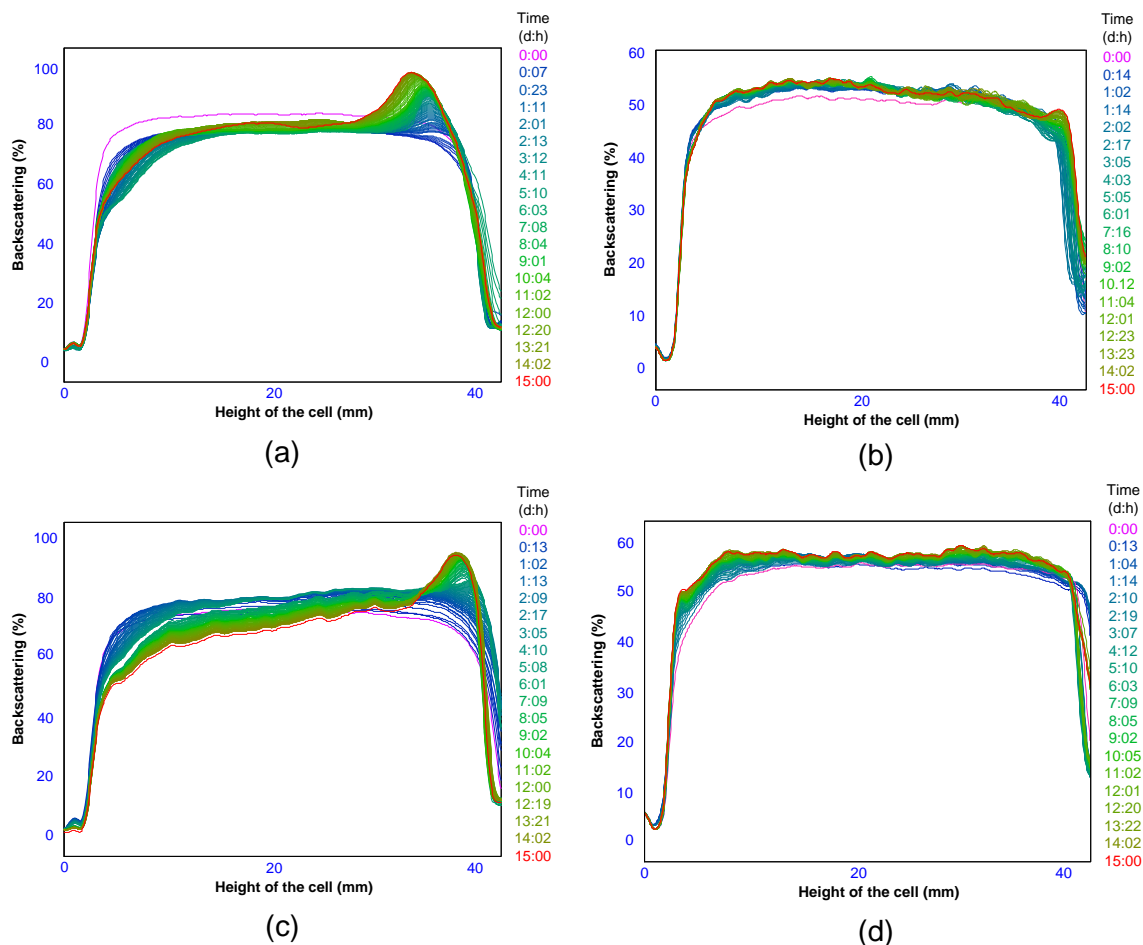
312 Figure 5 shows four negative stain micrographs of RSV entrapped niosomes obtained
 313 with the best aforementioned formulations.



314 *Figure 5. TEM micrographs of different niosomes formulated with a surfactant : fatty*
 315 *acid weight ratio of 1:1. (a) G64-OA-TFH-S; (b) G64-OA-EIM; (c) G64- LA-*
 316 *TFH-S; (d) G64-LA-EIM*

317 Dark-stained niosomes were obtained as a result of the strong interactions between
 318 surfactant and phosphotungstic acid, allowing a selective electrons deposit in the
 319 sample, which enhanced structural details. Micrographs showed circular and dark
 320 structures corresponding to spherical niosomes of approximately 300-400 nm,
 321 according to DLS measurements. It can be clearly observed that mean size of
 322 niosomes prepared by EIM method, Figures 5(b) and 5(d), were smaller than those
 323 prepared by TFH-S method, Figures 5(a) and 5(c). Niosomes formulated with OA,
 324 Figures 5(a) and 5(b) also presented larger values.

325 Figure 6 shows the BS profiles of different niosomal samples examined for 15 days
 326 every 3 hours.



327 Figure 6. BS profiles of different niosomes formulated with a surfactant : fatty acid
 328 weight ratio of 1:1. (a) G64-OA-TFH-S; (b) G64-OA –EIM; (c)G64- LA-TFH-S;
 329 (d) G64-LA-EIM

330 Figures 6(b) and 6(d) indicate the higher stability of niosomes prepared by EIM
 331 method, using either OA or LA. In both cases, BS variation (Δ BS) was lower than 10%
 332 being homogenous along the cell, which means that there were no significant changes
 333 in niosomes size, remaining the sample stable with no destabilization phenomena,
 334 such as aggregation or coalescence. Moreover, not significant creaming or
 335 sedimentation phenomena were observed in these samples during the monitoring time.

336 However, niosomes prepared using TFH-S method, Figures 6(a) and 6(c), showed
 337 creaming phenomena evidenced by an increase of BS at the top of the cell, and a
 338 simultaneous decrease at the bottom, with Δ BS up to 20%. For niosomes formulated
 339 with OA this effect could be attributed to their larger sizes, and hence migration
 340 phenomena could easily take place due to differences between densities of niosomes
 341 and aqueous external phase. Similar behaviour has been found in other colloidal
 342 systems (Gutiérrez, *et al.* 2014).

343

344

345 **4. Conclusions**

346 The present work indicates that the EIM method produces niosomes with smaller mean
347 sizes, narrower size distributions, higher EE and stability than those prepared with the
348 TFH-S method. Furthermore, the EIM method is more suitable for scaling up.

349 A clear dependence was observed between RSV penetration in EDD and niosomes
350 preparation method, being the EIM method more effective for all formulations tested,
351 which can be related to the smaller niosomes mean size obtained with this method.

352 An optimum dosage of penetration enhancer is needed to obtain high RSV penetration
353 values, although no significant differences were observed between both, OA and LA,
354 enhancers tested.

355 No relationship between EE and niosomes mean size, as well as with RSV penetration
356 was found. Further research is needed to have a better understanding of the
357 mechanisms involved in RSV skin delivery through niosomes.

358

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368

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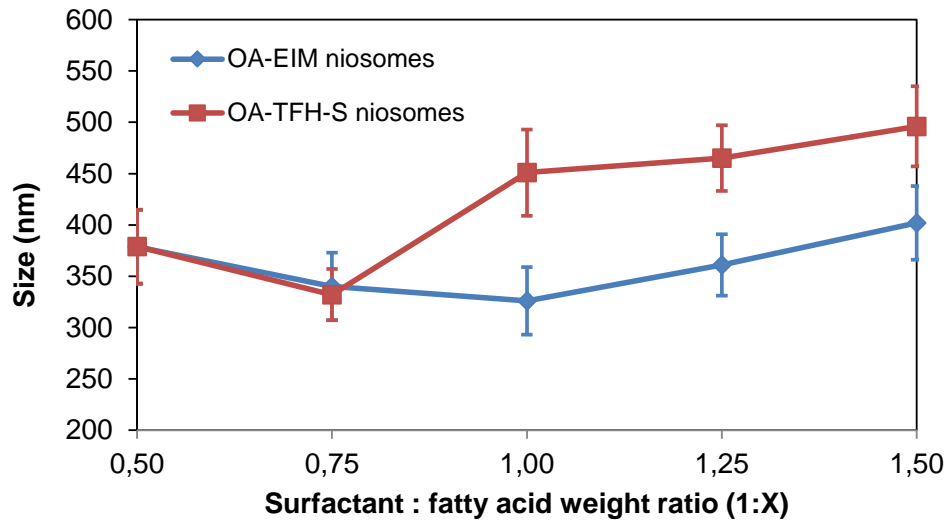
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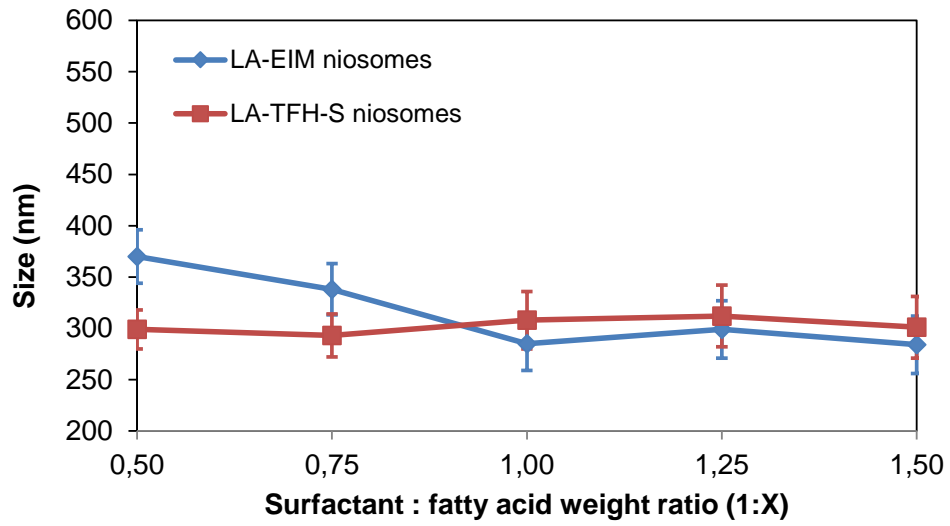
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Figure captions

- Figure 1. Effect of surfactant (G64) to fatty acid weight ratio on niosomes size prepared by EIM and TFH-S methods. (a) Oleic acid (OA); (b) Linoleic acid (LA)
- Figure 2. Effect of surfactant (G64) to fatty acid weight ratio on PDI of niosomes prepared using EIM and TFH-S methods. (a) Oleic acid (OA); (b) Linoleic acid (LA)
- Figure 3. Effect of surfactant (G64) to fatty acid weight ratio on EE of niosomes prepared using EIM and TFH-S methods. (a) Oleic acid (OA); (b) Linoleic acid (LA)
- Figure 4. RSV penetration in epidermis and dermis (EDD): influence of formulation and niosomes preparation method
- Figure 5. TEM micrographs of different niosomes formulated with a surfactant : fatty acid weight ratio of 1:1. (a) G64-OA-TFH-S; (b) G64-OA-EIM; (c) G64- LA-TFH-S; (d) G64-LA-EIM
- Figure 6. BS profiles of different niosomes formulated with a surfactant : fatty acid weight ratio of 1:1. (a) G64-OA-TFH-S; (b) G64-OA -EIM; (c)G64- LA-TFH-S; (d) G64-LA-EIM

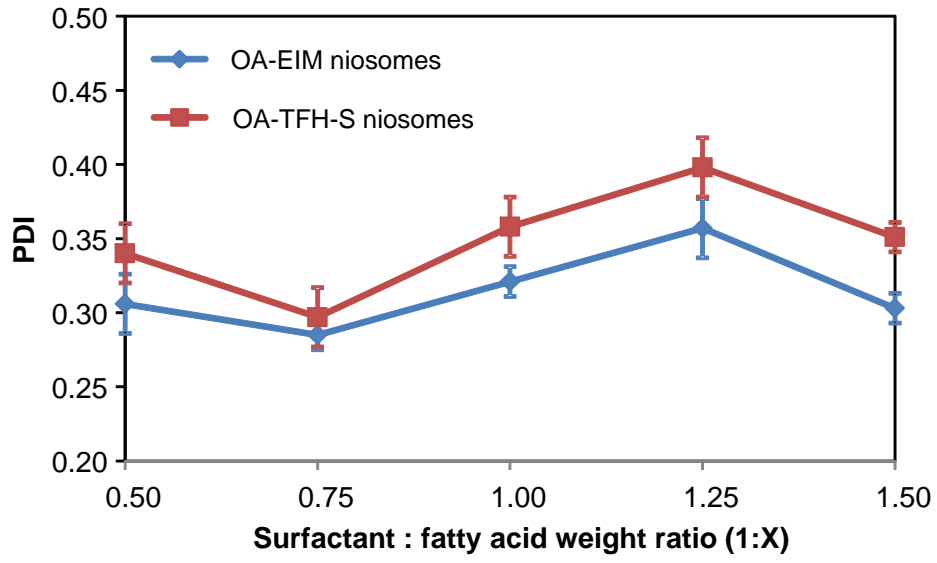


(a)

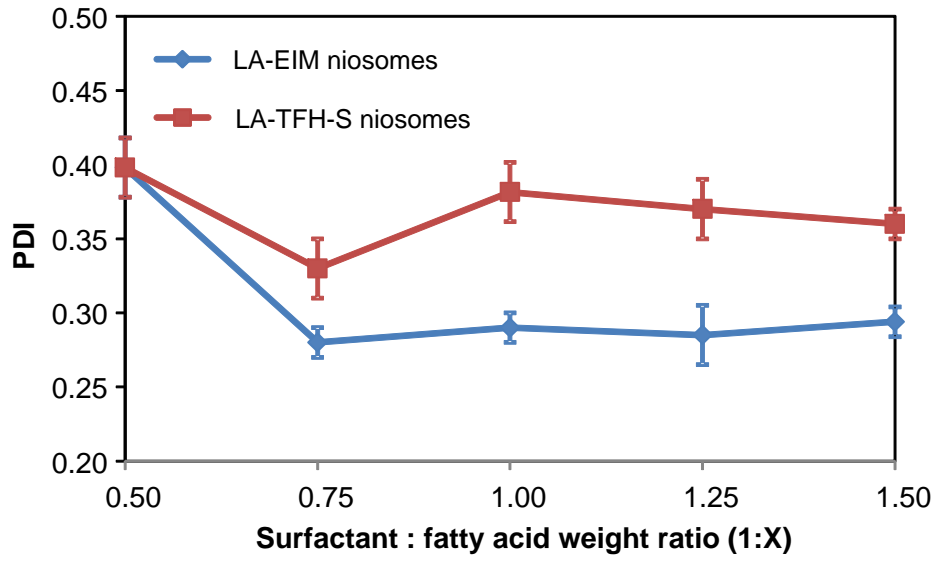


(b)

Figure 1

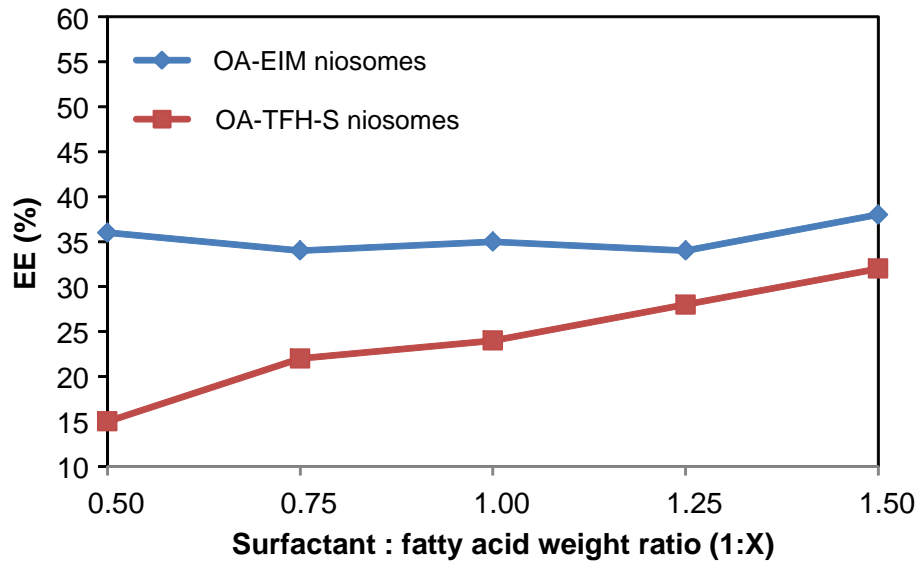


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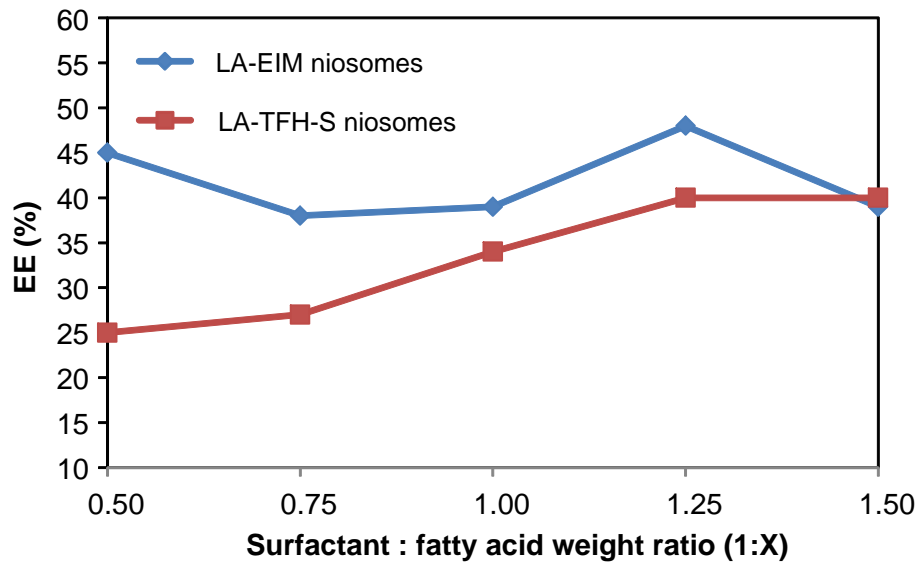


(b)

Figure 2



(a)



(b)

Figure 3

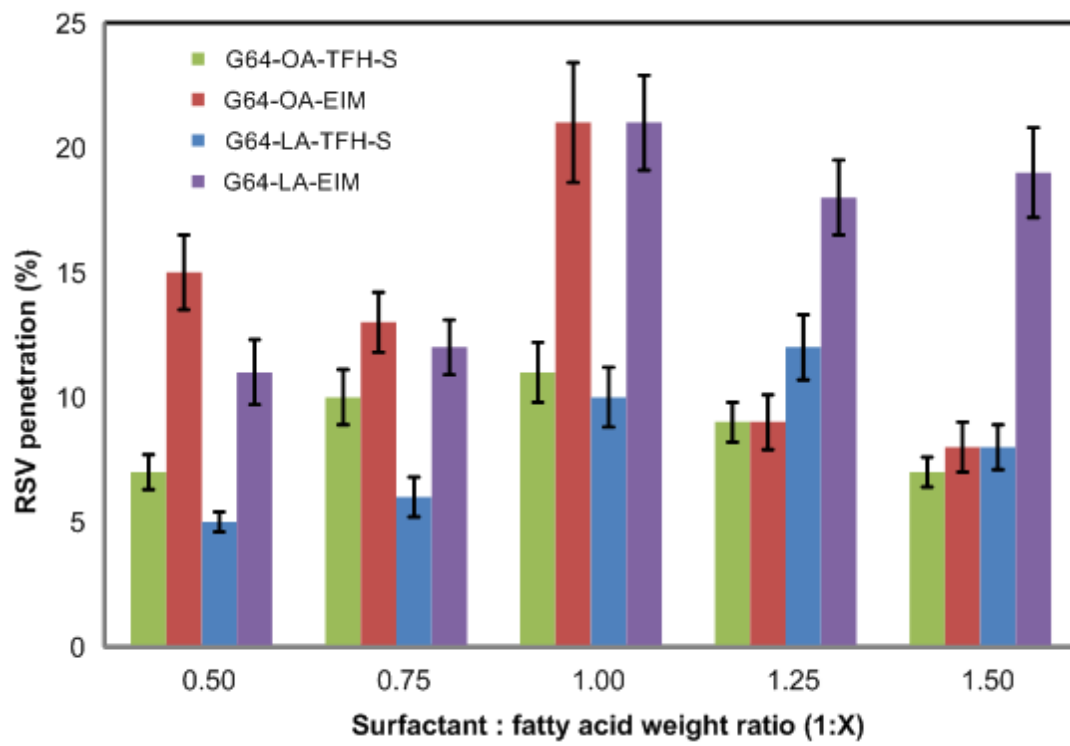
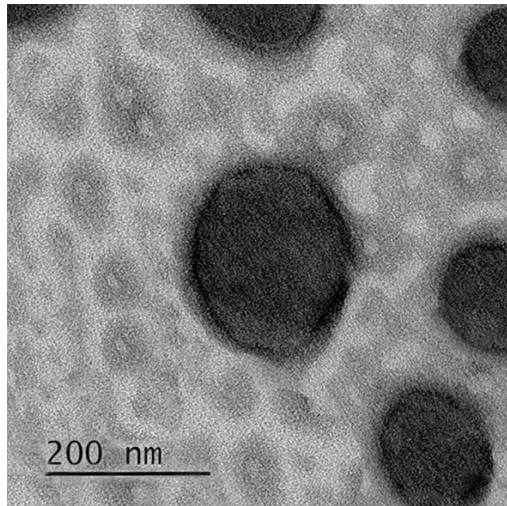
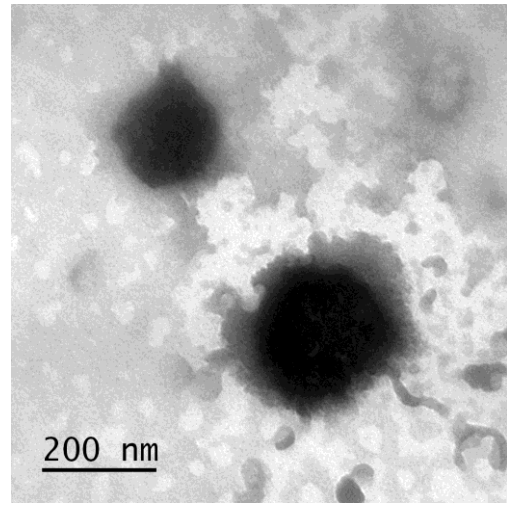


Figure 4

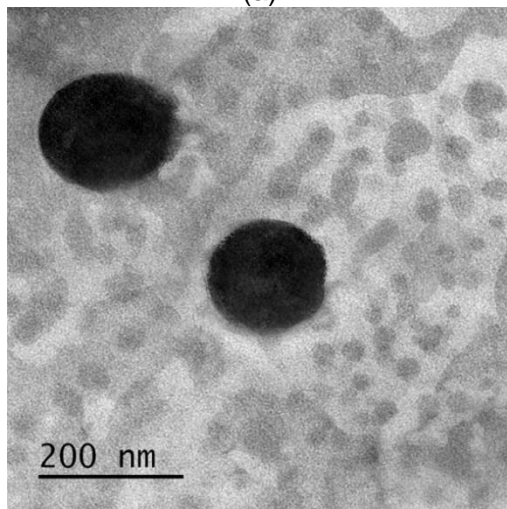
Figure 5



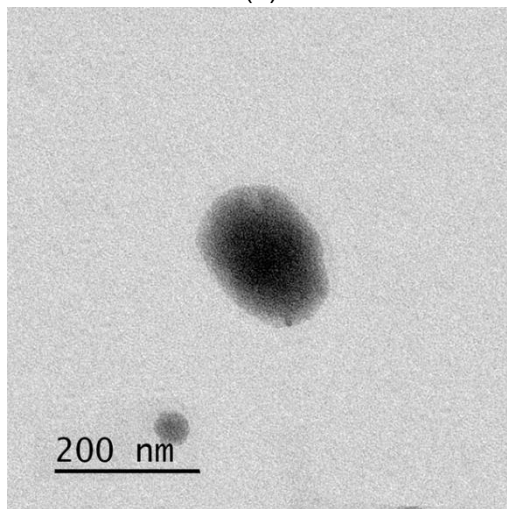
(a)



(b)



(c)



(d)

Figure 5

Figure 6 Colour

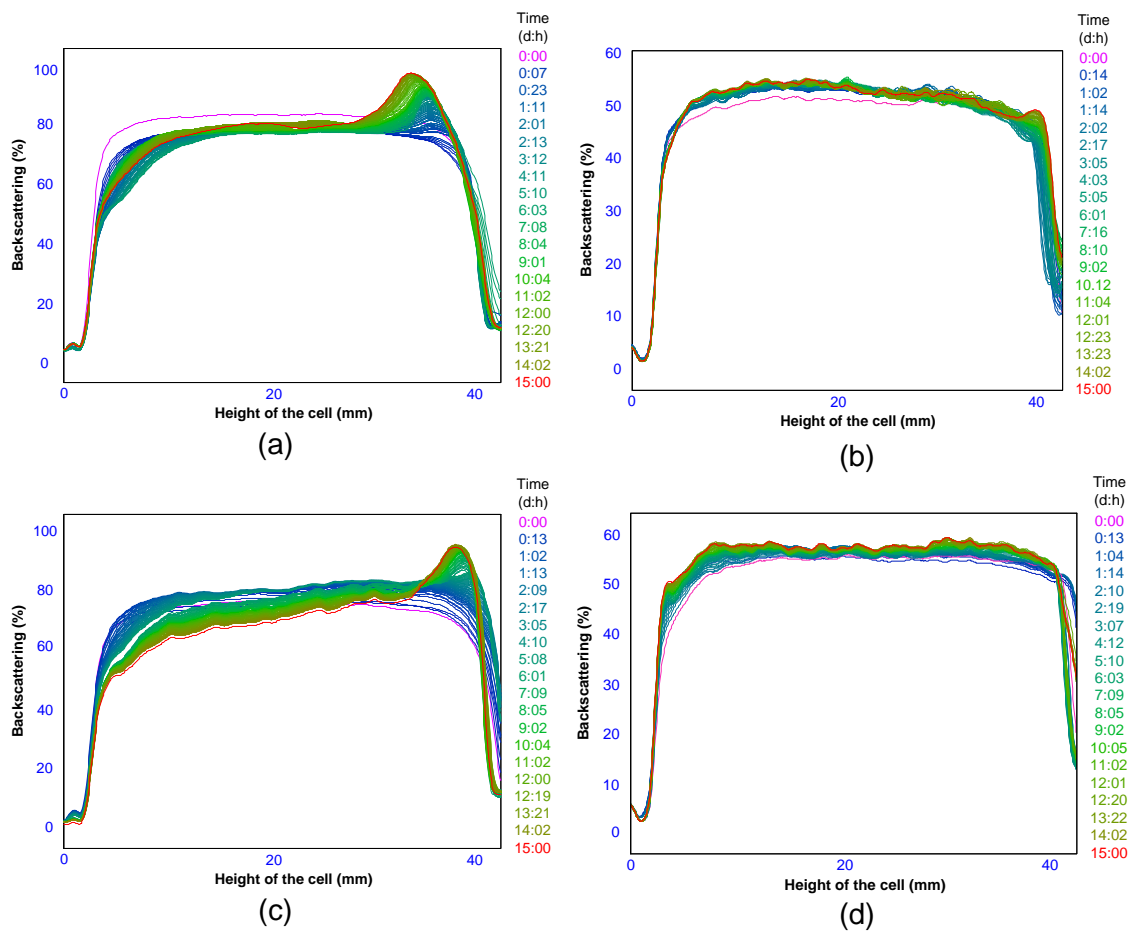
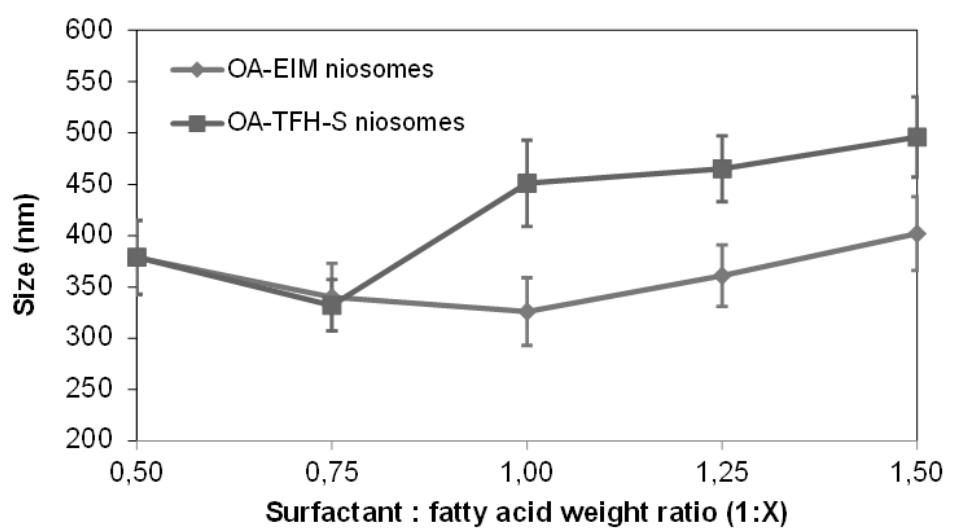


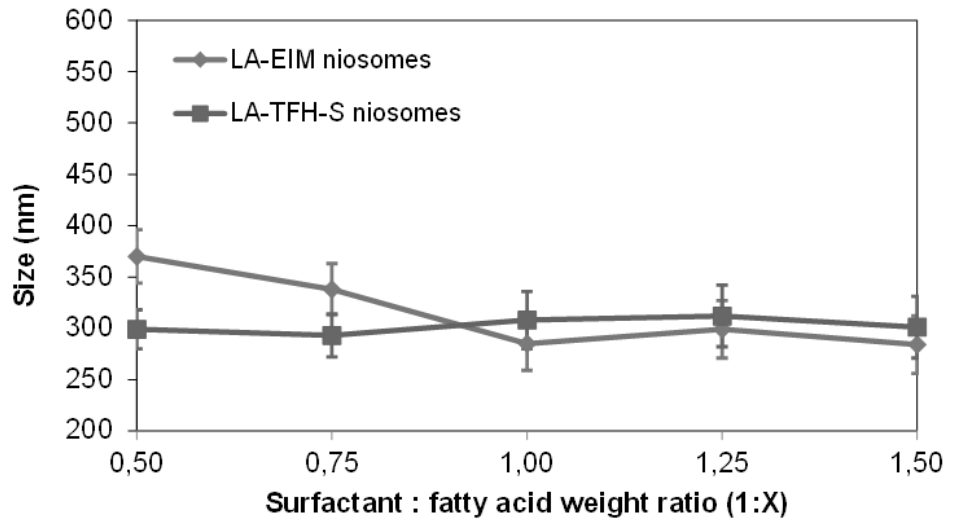
Figure 6

Table 1. Mean size (Z-average), PDI, EE, and RSV penetration into the EDD layer for niosomes formulated with G64 as surfactant and OA or LA as penetration enhancer, using TFH-S or EIM as preparation methods

Preparation method	Fatty acid (FA)	G64 : FA weight ratio	Mean size (nm)	PDI	EE (%)	RSV penetration (%)
TFH-S	OA	1:0.5	379 ± 36	0.34 ± 0.01	15 ± 1	7.0 ± 0.7
		1:0.75	332 ± 25	0.30 ± 0.01	22 ± 2	10.0 ± 1.1
		1:1	451 ± 42	0.36 ± 0.02	24 ± 2	11.0 ± 1.2
		1:1.25	465 ± 32	0.40 ± 0.02	28 ± 2	9.0 ± 0.8
		1:1.5	496 ± 39	0.35 ± 0.02	32 ± 2	7.0 ± 0.6
	LA	1:0.5	299 ± 19	0.40 ± 0.02	25 ± 2	5.0 ± 0.4
		1:0.75	293 ± 21	0.33 ± 0.02	27 ± 2	6.0 ± 0.8
		1:1	308 ± 28	0.38 ± 0.02	34 ± 2	10.0 ± 1.2
		1:1.25	312 ± 30	0.37 ± 0.02	40 ± 3	12.0 ± 1.3
		1:1.5	301 ± 30	0.36 ± 0.01	40 ± 3	8.0 ± 0.9
EIM	OA	1:0.5	378 ± 36	0.31 ± 0.01	36 ± 2	15.0 ± 1.5
		1:0.75	340 ± 33	0.29 ± 0.01	34 ± 3	13.0 ± 1.2
		1:1	326 ± 33	0.32 ± 0.01	35 ± 2	21.0 ± 2.4
		1:1.25	361 ± 30	0.36 ± 0.02	34 ± 2	9.0 ± 1.1
		1:1.5	402 ± 36	0.30 ± 0.02	38 ± 2	8.0 ± 1.0
	LA	1:0.5	370 ± 26	0.40 ± 0.02	45 ± 3	11.0 ± 1.3
		1:0.75	338 ± 25	0.28 ± 0.01	38 ± 3	12.0 ± 1.1
		1:1	285 ± 26	0.29 ± 0.01	39 ± 3	21.0 ± 1.9
		1:1.25	299 ± 28	0.28 ± 0.02	48 ± 3	18.0 ± 1.5
		1:1.5	284 ± 28	0.29 ± 0.01	39 ± 2	19.0 ± 1.8

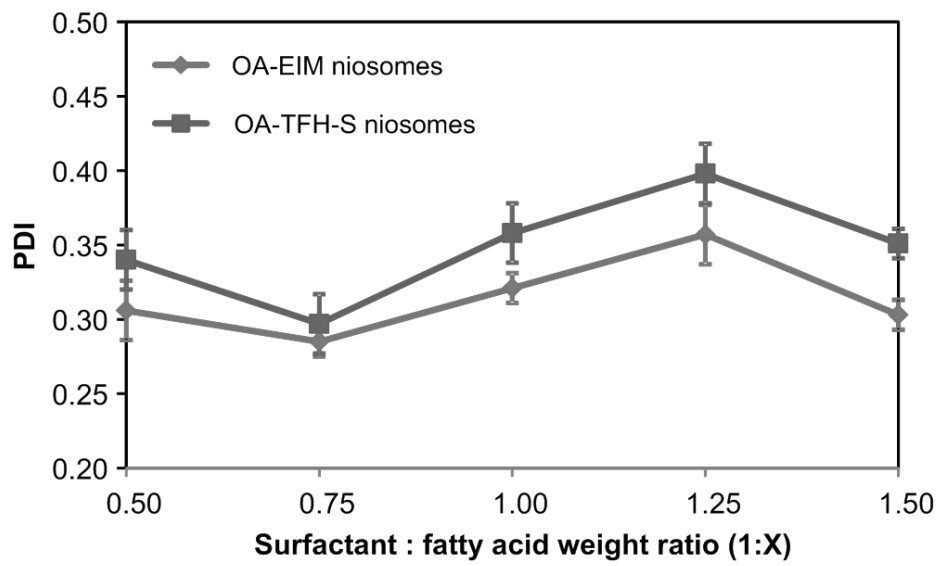


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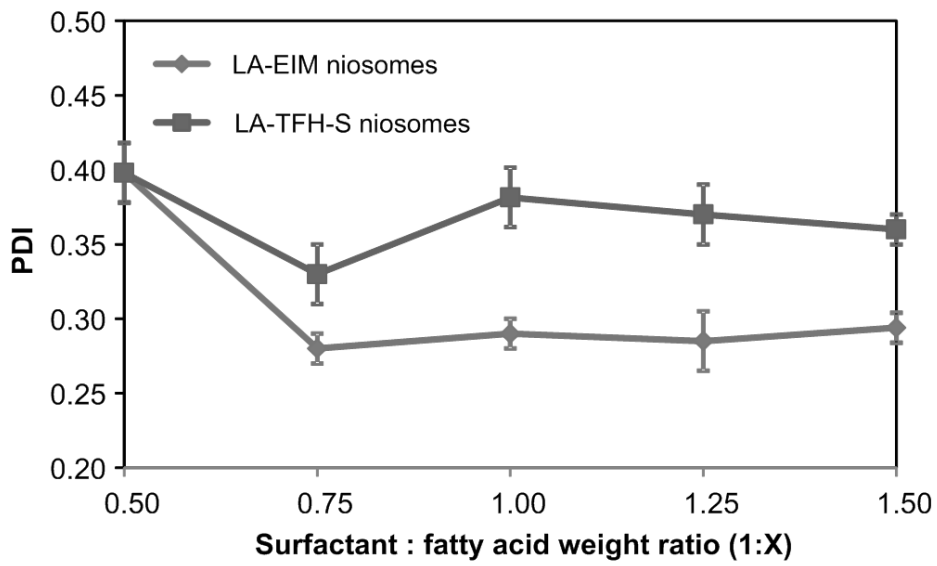


(b)

Figure 1

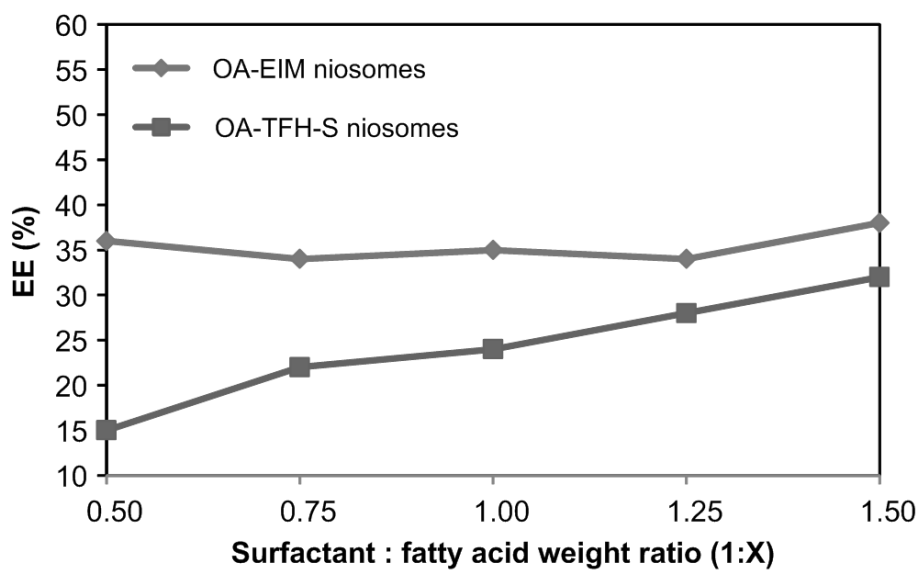


(a)

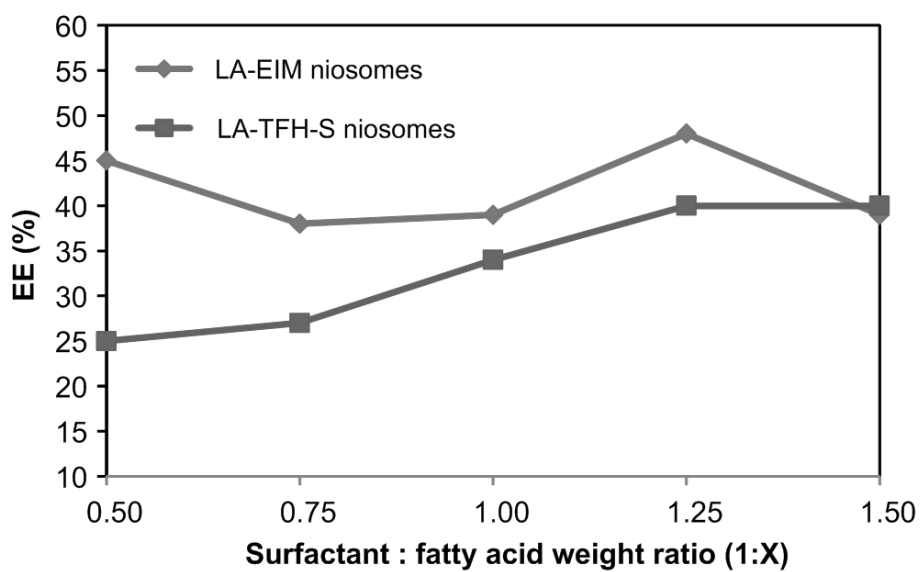


(b)

Figure 2



(a)



(b)

Figure 3

Figure 4 B&W

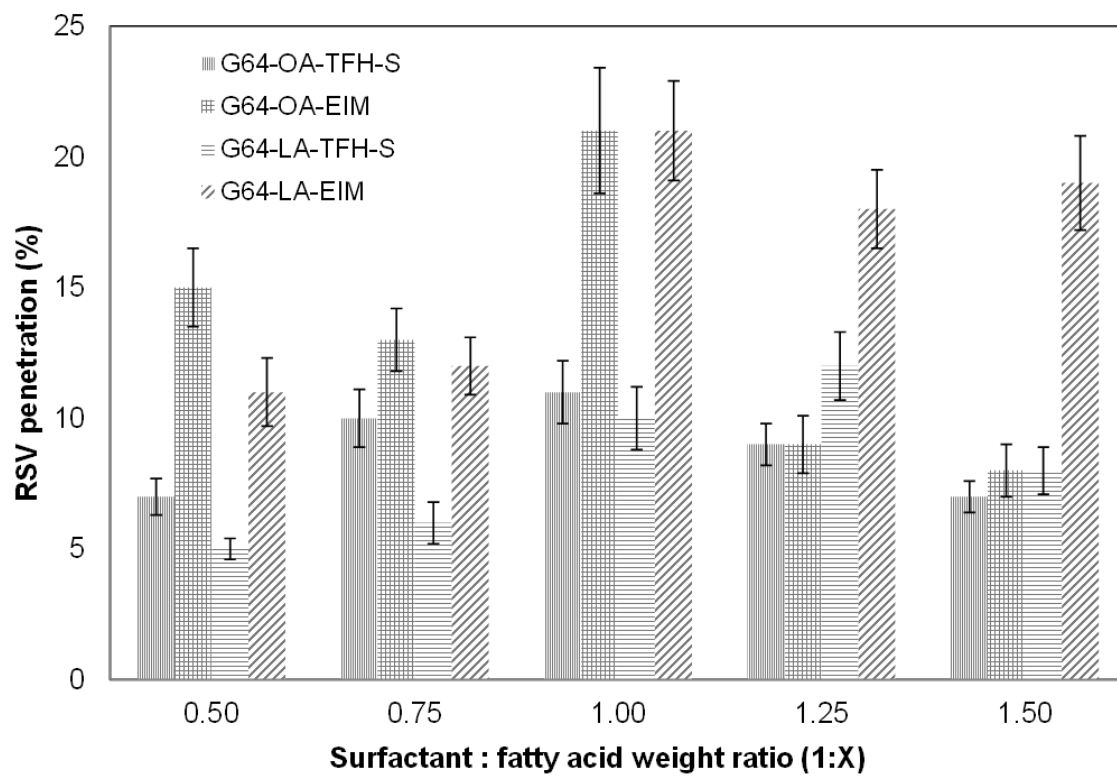


Figure 4

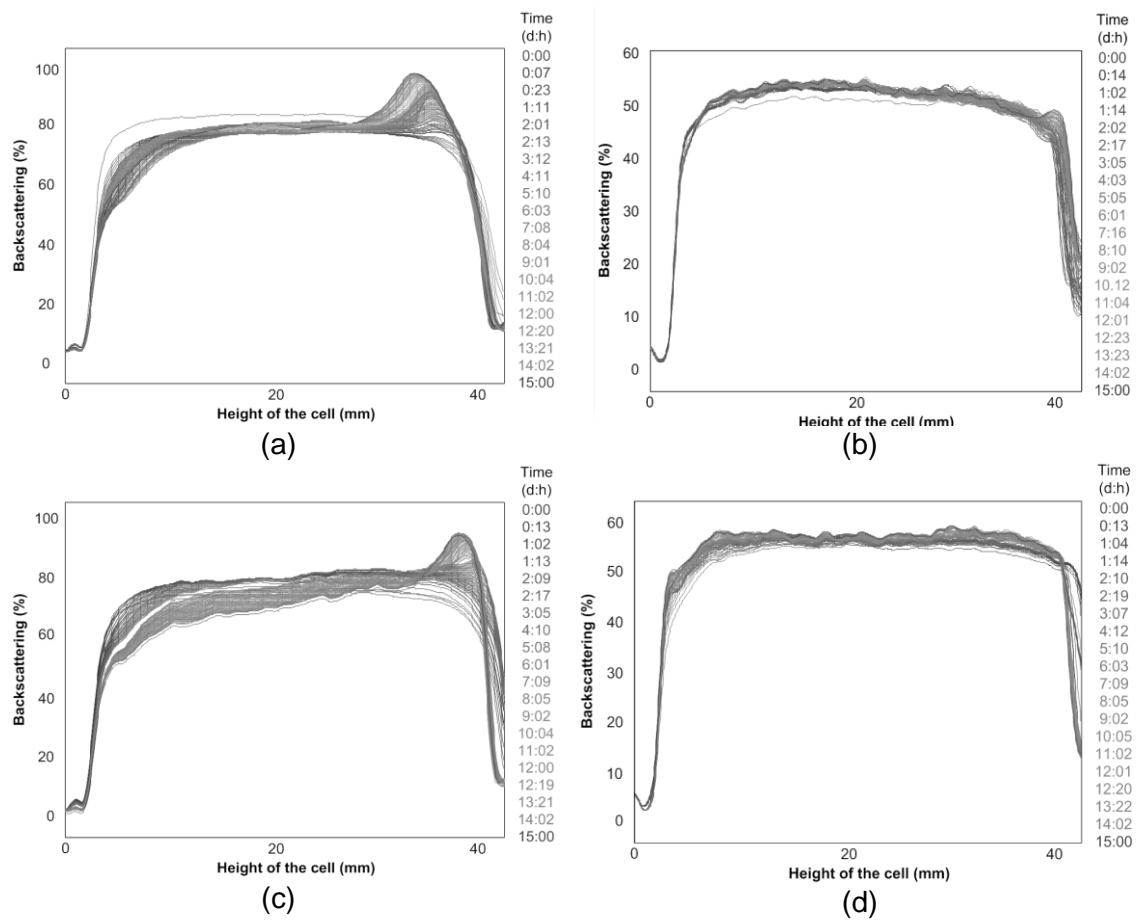


Figure 6