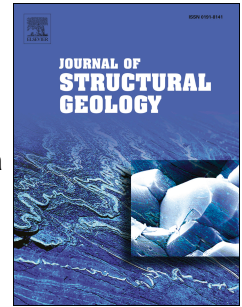


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Shear angle and amount of extension calculations for normal faults emanating from a detachment: Implications on mechanisms to generate rollovers

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1            SHEAR ANGLE AND AMOUNT OF EXTENSION CALCULATIONS FOR  
2            NORMAL FAULTS EMANATING FROM A DETACHMENT: IMPLICATIONS ON  
3            MECHANISMS TO GENERATE ROLLOVERS

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14

15            KEYWORDS

16            Vertical/inclined shear; extension; normal fault; detachment; rollover.

17

## 18 ABSTRACT

19           The reconstruction/restoration/modelling of normal faults (both listric and  
20 planar) emanating from a detachment at depth and their associated rollover folds, using  
21 the vertical or inclined shear method is widely utilized because its simplicity and the  
22 information it can provide. However, it has a rather serious issue derived from the  
23 uncertainty about the shear angle, the type of shear and the amount of extension that  
24 should be employed in each situation. Here we describe a new methodology that, using  
25 easily acquired input data, allows estimation of whether the shear was vertical, antithetic  
26 or synthetic and the values for both the shear dip and the amount of extension. These  
27 calculations rely on the use of graphs of throw versus heave for different horizons  
28 affected by the normal fault and the associated rollover, and are checked using an area-  
29 based method which permits the determination of whether these values are correct.  
30 These graphs may be used as a predictive tool or as a guide to show how the  
31 assumptions deviate, such as distinguishing quickly whether other mechanisms apart  
32 from vertical/inclined shear took place. The effects of syn-extension sedimentation and  
33 reverse fault reactivation on the proposed method are also examined. The analysis of  
34 experimental and natural examples shows that the initiation of some rollovers with a  
35 component of fault-propagation and/or drag folding, and/or development of a crestal  
36 collapse graben cause the estimated shear dips to be smaller than the actual values and  
37 the amounts of extension to be greater. In addition, these analyses show that the shear  
38 dip may increase with increasing extension.

39

## 40 1. Introduction

41 Normal faults emanating from detachments at depth are a type of structure  
42 widely represented in nature and, consequently, the subject of multiple studies. Many of  
43 these analyses are focused on developing techniques to reconstruct the faults and/or  
44 their associated rollover folds from the available data. Diverse methods to calculate the  
45 depth of the detachment from which the fault would emanate have been developed: a)  
46 those based on the lost area rule (adaptation of the Chamberlin (1910) method for  
47 normal faults); b) those that rely on the rotation of rigid blocks along circular faults  
48 (Moretti et al., 1988); c) lost-area diagrams (Groshong, 1994, 1996); and d) graphs of  
49 best linear fit of detachment depths (Bulnes and Poblet, 1999) adapted to normal faults.  
50 There are also techniques allowing the determination of the complete shape of the fault  
51 at depth, such as: a) those based on vertical shear, known as the chevron construction or  
52 constant heave (Verrall, 1981), or on inclined shear both synthetic and antithetic (White  
53 et al., 1986; Dula, 1991), including subsidiary faults (Song and Cawood, 2001), with  
54 layer-parallel strain (Groshong, 1990) or with fault parallel shear (Williams and Vann,  
55 1987); b) those considering constant displacement along the fault (Williams and Vann,  
56 1987); and c) constructions founded on flexural slip (Davison, 1986) or constant  
57 thickness beds (Morris and Ferrill, 1999) (Figure 1). Most of these methods permit also  
58 to model the rollover resulting from the fault activity. This construction is also feasible  
59 from other techniques such as: a) the one for circular faults and rigid blocks (Moretti et  
60 al., 1988), b) models based on fault-bend folds (Groshong, 1989; Xiao and Suppe,  
61 1992), c) finite difference assuming incompressible flow (Waltham, 1989), and d)  
62 hangingwall collapse following the Coulomb criteria comparable to simple shear  
63 (Tearpock and Bischke, 1991). The experimental models generated in the laboratory  
64 have also substantially helped the understanding of normal faults because they allow

65 assessment of parameters such as amount of extension, fault shape, etc. (McClay and  
66 Ellis, 1987a, 1987b; Ellis and McClay, 1988; McClay, 1989, 1990a, 1990b, 1995, 1996;  
67 Schlische et al., 2002 and Henza et al., 2010 amongst others). One of the main issues  
68 derived from the plethora of available procedures is that the resulting reconstructions  
69 obtained may vary enormously depending on the technique employed (Figure 1). Thus,  
70 the selection of one or another method is of great importance.

71 The methods based on vertical/inclined shear are the most utilized. Despite the  
72 simplification they imply about the particle motion, they make predictions on the fault  
73 shape and detachment depth (e.g., Verrall, 1981; White et al., 1986), the rollover  
74 morphology (e.g., Matos, 1993), the algorithms to forward model and/or restore the  
75 structures and the deformation undergone (e.g., Matos, 1993; Poblet and Bulnes, 2007)  
76 easily. Furthermore, they have been proved to be suitable methods for modelling both  
77 natural (Groshong, 1990; White and Yielding, 1991; Matos, 1993; Poblet and Bulnes,  
78 2005a) and experimental examples (Groshong, 1990; Poblet and Bulnes, 2005a, 2005b).  
79 Consequently, the vertical/inclined shear methods are considered to be a good  
80 approximation of the behaviour of the hangingwall of normal faults during extension  
81 (McClay et al., 1995). However, the uncertainty about the type of shear and the shear  
82 angle that should be chosen in each case constitutes a crucial disadvantage for their  
83 application, as different angles result in dissimilar results (Figures 1c, 1d and 1e). There  
84 are diverse approaches to estimate the shear angle and its character (synthetic, vertical  
85 or antithetic): a) shear parallel to the rollover axial traces (Xiao and Suppe, 1992), b)  
86 shear parallel to the subsidiary faults associated with the main one (White et al., 1986;  
87 Xiao and Suppe, 1992), c) the trial and error method (White and Yielding, 1991), and d)  
88 quantitative methods that require knowing the amount of layer-parallel strain and the  
89 rollover general dip (Groshong, 1990).

90           The horizontal extension is another parameter that controls greatly the results.  
91   Some of the methods to estimate it from the available data were proposed originally for  
92   contraction, but were adapted to extensional settings: a) comparison between unfolded  
93   bed length and structure width (Gwinn, 1970), b) maximum displacement along the  
94   fault (Chapman and Williams, 1984), c) fault heave (Ziegler, 1982; Jackson and  
95   Galloway, 1984; Barr, 1985), d) mean between the extension estimated using bed length  
96   and the maximum fault displacement (Williams and Vann, 1987), e) rollover axial  
97   traces separation (Xiao and Suppe, 1992), and f) slope of the lost-area best-fit function  
98   (Groshong, 1994, 1996). Dissimilar extension values are obtained depending on the  
99   technique employed (Poblet and Bulnes, 2005a, 2005b), which has important  
100   consequences for the predictions that can be made.

101           We present a new method that provides estimation of the shear properties (dip  
102   and character) and of the amount of extension to model normal faults. The main  
103   difference with previous procedures is that it is able to estimate both parameters using  
104   simply a portion of the main fault offsetting a minimum of, at least theoretically, two  
105   horizons, although to use more horizons is recommended. The method only requires  
106   simple measurements on a geological section across a fault, projecting them on a graph  
107   and finding a best-fit function for the plotted data. Theoretically, this method could be  
108   used as a predictive tool. However, its application to experimental and natural examples  
109   suggests that it supplies minimum shear dips and maximum amounts of extension that  
110   get closer to actual values for faults with high amounts of extension. Checking the  
111   results using a new area-based method, which involves comparison between the area in  
112   the present-day, deformed section, and that in an undeformed section, supports this  
113   conclusion. In addition, the method presented can help in determining how much

114 studied structures deviate from the expected behaviour if they were solely the result of  
115 vertical/inclined shear with a uniform dip.

116

## 117 **2. Analysis of the heave, throw and displacement in normal faults with associated** 118 **rollovers**

119 One of the aims of this work is to find a procedure that allows the determination  
120 of: 1) the shear dip, 2) the shear character (antithetic, vertical or synthetic) and 3) the  
121 amount of extension taking as input parameters the heave, throw and displacement of  
122 several horizons along a fault. This makes of capital importance a thorough analysis of  
123 how the fault slip components vary along successions offset by normal faults emanating  
124 from a detachment. In any normal fault the horizon located at the detachment level has a  
125 null throw, which implies that the heave and the displacement are the same and,  
126 assuming no strain within the hangingwall, equal to the extension. To visualize how  
127 these parameters vary for the rest of the horizons, theoretical rollover were created using  
128 the models of vertical shear (Verrall, 1981), inclined shear (White et al, 1986), flexural  
129 slip (Davison, 1986) and constant thickness beds (Morris and Ferrill, 1999). They were  
130 built using different values of extension and fault shapes (ramp-flat, segmented, cubic  
131 or arctangent functions, splines) (Figure 2). The models created are based on a series of  
132 assumptions: a) the hangingwall is deformed as a rollover (fault-bend folding)  
133 according to the inclined or vertical shear mechanisms and combinations of mechanisms  
134 are not considered, b) the shear dip is constant over time and all along the whole  
135 hangingwall, c) the geometry of the fault and the footwall beds does not vary along the  
136 process, and d) compaction is not considered. In this paper we present only the most  
137 significant cases analyzed. For each geological section we measured the heave, throw,

138 displacement, and stratigraphic height with respect to an arbitrary reference level of the  
139 horizons offset by the fault (Figure 3a). Subsequently, we plotted the fault slips of each  
140 horizon versus its stratigraphic height on one graph (Figure 3b), and its throw versus its  
141 heave on another graph (Figure 3c). The later graphs are the foundation of the proposed  
142 methodology as it is more extensively explained in a section below.

143         The simplest case is a planar fault formed by a flat and a constant dip ramp. The  
144 values of heave, throw and displacement for the different horizons, plotted against their  
145 stratigraphic height, are constant for the horizons whose hangingwall cut-off points lay  
146 on the ramp (upper graphs in figure 4). The situation changes for those horizons whose  
147 cut-off points lay directly on the detachment. Irrespective of the type of shear and shear  
148 dip, displacement and throw always decrease stratigraphically downwards, whereas the  
149 behaviour of the heave depends on the shear angle applied to generate the rollover. With  
150 vertical shear (Figure 4a) the heave is constant along the whole stratigraphic succession;  
151 antithetic shear (Figure 4b) implies an increase of heave when moving towards deeper  
152 stratigraphic horizons; and synthetic shear (Figure 4c) shows a decrease of heave values  
153 stratigraphically downwards. In the throw versus heave graphs the points of horizons  
154 whose cut-offs are located on the ramp are superposed, since they all share the same  
155 values for heave and throw (lower graphs in figure 4). On the contrary, the points  
156 representing the horizons whose cut-off points lay on the detachment follow a straight  
157 line that may be vertical (vertical shear) or inclined (antithetic and synthetic shear). The  
158 throw decreases as heave increases with antithetic shear (Figure 4b), whereas  
159 increments of throw imply increases of heave with synthetic shear (Figure 4c).

160         Similar graphs were generated for faults with more complex geometries. For  
161 example, we used listric faults built with the arctangent function (Figure 5). Throw and  
162 displacement diminish towards deeper stratigraphic levels in rollover constructed by



163 vertical shear (upper graph in figure 5a). They show a continuous, smooth variation,  
164 which seems to depend on the fault geometry. Though, the general tendency (i.e.  
165 stratigraphically downwards decrease) is the same as in the ramp-flat fault. The heave  
166 has the same value for each and every horizon that is equal to the extension. It is smaller  
167 than displacement until reaching the detachment horizon where they are equal and  
168 throw is zero. The points representing the heave are located along the following  
169 function:

$$170 \quad x = e \quad (1),$$

171 where  $e$  is the extension. In addition, the curve depicting the displacement along the  
172 fault tends to be asymptotic to the straight line that fits the heave data in the horizons  
173 close to the detachment. Irrespective of the fault shape and amount of extension, the  
174 graphs of throw versus heave (lower graphs in figures 4a and 5a) are virtually identical  
175 (all the horizons have the same heave). This means that all the points are situated along  
176 a vertical straight line that responds to Eq. (1).

177 For rollover folds constructed using inclined shear and the same fault shape as  
178 above, the functions of throw and displacement versus their stratigraphic height (upper  
179 graphs in figures 5b and 5c) are analogous to those of vertical shear. Both the  
180 displacement and the throw decrease towards the detachment. Nevertheless, contrary to  
181 what happened with vertical shear, the heave varies along the stratigraphic succession  
182 and its variation allows us to recognise whether the shear is antithetic or synthetic and  
183 its dip. Antithetic shears imply a heave increment stratigraphically downwards (upper  
184 graph in figure 5b), whereas synthetic shears mean a heave decreasing down section  
185 (upper graph in figure 5c). The inclination of the best-fit line for the heave data depends  
186 on the shear dip. Shear dips close to  $90^\circ$  will be reflected in almost vertical straight

187 lines, whereas gentler shear dip values will result in functions of greater curvatures and  
 188 lesser slopes. As with the vertical shear, the displacement and heave curves tend to be  
 189 asymptotic when approaching the detachment. In the graphs of throw versus heave  
 190 (lower graphs in figures 5b and 5c) the points representing the different horizons may  
 191 be fitted by the following linear function:

$$192 \quad y = m \cdot x + n, \quad (2)$$

193 where  $m$ :

$$194 \quad m = \tan(\alpha) \quad (3),$$

195  $\alpha$  being the shear angle measured respect to the horizontal (i.e., the shear dip), and  $m <$   
 196  $0$  for antithetic shears (lower graph in figure 5b) and  $m > 0$  for synthetic ones (lower  
 197 graph in figure 5c). The intersection with the abscissae axis:

$$198 \quad x = -n/m \quad (4),$$

199 is the value of the extension, equal to the displacement of the horizon along the  
 200 detachment. The explanation of this relation is shown in figure 6. In the case of  
 201 antithetic shear, the larger the throw the smaller the heave (Figure 6a), because

$$202 \quad h = e - t \cdot \tan(\alpha) \quad (5),$$

203 where  $h$  is the heave,  $e$  is the extension,  $\alpha$  is the shear dip and  $t$  is the throw. In synthetic  
 204 shear (Figure 6b) greater throws lead to larger heaves,

$$205 \quad h = e + t \cdot \tan(\alpha) \quad (6).$$

206 The parameter that relates both values is the shear dip. From the expressions above we  
 207 can deduce that the heave equals the extension when the throw is zero, i.e. at the  
 208 detachment.

209           The method presented in the next section is not thought to be applied to rollovers  
210 built by flexural slip, in which bed thickness and lengths are maintained. Nevertheless,  
211 the analysis of the resulting graphs for these types of folds is interesting due to the  
212 information they may supply. We elaborated models of rollovers developed over listric  
213 normal faults formed by segments of constant dip following the method of Morris and  
214 Ferrill (1999) and others using the method of Davison (1986). In the graphs derived  
215 from the analysis of Morris and Ferrill (1999) structures, both the displacement along  
216 the fault and the throw tend to diminish when descending in the stratigraphic succession  
217 (upper graph in figure 7a). This variation is not continuous but shows steps as result of  
218 the segmented fault geometry. The heave behaves differently; it does not show a  
219 consistent trajectory, because, at least in the examples built, it increases downwards in  
220 the upper part of the stratigraphic succession and decreases downwards, broadly, in the  
221 lower one (upper graph in figure 7a). The behaviour of the throw versus heave function  
222 in the folds constructed using the Morris and Ferrill (1999) method would be equivalent  
223 to a variation in the shear character along the stratigraphic succession (lower graph in  
224 figure 7a), being comparable to antithetic (upper part of the graph) and synthetic (lower  
225 part). In the graphs obtained for the structures constructed following the method of  
226 Davison (1986), both the throw and displacement tend to decrease when moving down  
227 through the stratigraphic succession (upper graph in figure 7b). The heave takes values  
228 approximately constant in the upper part of the stratigraphic succession, but downwards  
229 it diminishes initially to grow at deeper levels. The throw versus heave function (lower  
230 graph in figure 7b) shows three different regions, an upper one in which heave is more  
231 or less invariable (as if vertical shear acted), a middle one where it decreases  
232 downwards (comparable to synthetic shear) and a lower one in which it increases  
233 downwards (as when antithetic shear acts).

234

235 **3. Shear and extension determination via graphs and checking through area**236 **balancing**

237 In the graphs of slip versus stratigraphic height we could not find a universal  
238 best-fit function which allowed, from the available data, to extrapolate the function and  
239 obtain parameters as, for instance, the detachment depth. However, the evolution of the  
240 heave permits to recognize if vertical or inclined shear has acted, giving, in addition,  
241 qualitative information on the shear dip (the smaller the slope of the heave function the  
242 gentler the shear dip) and its synthetic or antithetic character (heave decreases down  
243 section with synthetic shear and increases with antithetic shear). These graphs may also  
244 help to find out whether the main mechanism responsible for the structure formation is  
245 different from vertical or inclined shear. In listric normal faults, if vertical or inclined  
246 shear acted the throw should decrease down section, together with the displacement  
247 (except for the horizons close to the detachment in which they may be constant or even  
248 increase slightly). Heave may increase, be constant or decrease (only one of the three  
249 options along the whole stratigraphic sequence) (Figure 5). Conversely, in ramp-flat  
250 normal faults the three parameters should remain constant except for the horizons lying  
251 directly on the detachment (Figure 4). If these premises are not met it is probable that a  
252 different mechanism has acted, alone or in conjunction with vertical/inclined shear.  
253 Despite that a graph derived from field, subsurface or experimental data exhibits similar  
254 characteristics to those described above, it does not ensure that vertical or inclined shear  
255 has really acted, as other mechanisms may provide similar results, at least along certain  
256 portions of the stratigraphic succession (upper graph of figure 7a).

257 Throw versus heave graphs do offer quantitative information. The arctangent of  
258 the slope of the best-fit linear function would be the shear dip, indicating also its  
259 vertical (infinite slope), antithetic (negative slope) or synthetic (positive slope) character  
260 (Figure 8). The amount of extension would be the intersection between the function and  
261 the x-axis (Figure 8), i.e. the heave value when the throw is zero. Once this information  
262 has been obtained, well-known techniques mentioned in the introduction section may be  
263 employed to reconstruct the geometry of the fault at depth from the rollover, or vice  
264 versa, to calculate the detachment depth, to choose the best restoration and/or forward  
265 modelling algorithm, and/or to estimate the strain.

266 The application of this procedure to rollover folds formed by mechanisms  
267 different from vertical or inclined shear will result in graphs of throw versus heave that  
268 cannot be fitted adequately by a linear function. In such case the structure should not be  
269 modelled employing vertical or inclined shear solely and a different mechanism or  
270 combinations of mechanisms must be invoked to explain its origin.

271 For those examples of structures in which the full geometry of the fault is  
272 available, the results can be checked using an area-based test that assumes plane strain.  
273 This procedure we propose consists of overlapping the present-day, deformed section  
274 (Figure 9a), on top of a theoretical, perfect undeformed section (Figure 9b) in such a  
275 way that the horizontal distance between the fault in the deformed section and that in  
276 the undeformed section is the extension value estimated (Figures 9c, 9d, 9e and 9f).  
277 This allows to recognize: 1) an approximately triangular region in the upper part of the  
278 overlapped sections (in dark grey in figures 9c, 9d, 9e and 9f) and 2) another region in  
279 the lower part of the overlapped sections (in light grey in figures 9c, 9d, 9e and 9f). If  
280 the area of these two regions is identical (Figure 9e), the application of the estimated  
281 shear to the undeformed horizons would lead to the formation of the rollover observed

282 in the present-day, deformed section. This means that the values of shear dip and  
283 extension, estimated using the method proposed in this paper, are correct. That the area  
284 of the upper region is smaller than the area of the lower region (Figure 9f), would imply  
285 that the shear type deduced is correct but the estimated dip is less than the actual one  
286 and the estimated extension exceeds the actual one. In this case, a forward model of a  
287 rollover fold using the calculated values and the undeformed section as input data would  
288 not correctly simulate the structure in the present-day section. When the area of the  
289 upper, triangular region is greater than the area of the lower region, it means that the  
290 estimated parameters are not correct either (Figures 9c and 9d). This phenomenon  
291 occurs when the shear type deduced is incorrect or when it is correct but the estimated  
292 dip is larger than the actual value and the amount of extension is less than the actual  
293 one. As before, a forward model created with these values would not reproduce  
294 correctly the deformed section. The larger the difference between the area of the upper,  
295 triangular zone and that of the lower zone, the more erroneous the shear dip and  
296 extension values estimated. The implementation of this area-based verification method  
297 may allow to determine whether the values obtained using the slips-based method  
298 proposed in this paper are correct, and to constrain to some extent the range of correct  
299 values of shear dip and extension usually influenced by the erratic behaviour of the slips  
300 functions obtained.

301

#### 302 **4. Effects of tectonic inversion**

303 The simplest case is when a normal fault developed by vertical or inclined shear  
304 is reactivated with a reverse sense of movement and with the same shear angle as that  
305 employed in the extensional event. In this case, the resulting graphs should be equal in

306 shape to those obtained for normal faults without reverse reactivation (Figures 4 and 5).  
307 The reason is that the extensional process is partially, or totally, reverted. If the  
308 inversion is partial, the graphs allow to calculate the shear dip and the remnant  
309 extension after the contraction. If, on the contrary, the contraction exceeds the  
310 extension, so that horizons exhibit reverse fault displacements (Figure 10), the throw  
311 versus heave graph would be slightly different. The shear dip will still be the arctangent  
312 of the slope of the function, but now there will be no horizon with throw equal to zero  
313 (lower graph in figure 10a). However, the intersection of the best-fit function with the x-  
314 axis represents the value of the net contraction, i.e. difference between contraction and  
315 previous extension (about 5.60 units in the case of the example in figure 10a). These  
316 graphs are equal to those derived from fault-bend folds developed over thrusts or  
317 reverse faults in a purely compressive setting constructed using vertical/inclined shear.  
318 In the graphs of slips versus stratigraphic height the heave increases stratigraphically  
319 downwards, whereas the throw and the displacement diminish (upper graph in figure  
320 10a).

321 Another option is that the reactivation is produced by vertical or inclined shear  
322 but with a shear dip different to that of the extension. The resulting graphs for a total  
323 inversion situation (Figure 10b) show a more erratic behaviour than those discussed  
324 above. For example, the throw does not decrease consistently along the stratigraphic  
325 sequence but it increases its value in some zones and diminishes in others. In the graph  
326 of throw versus heave the points are not aligned, as would be expected if the shear dip  
327 had remained constant during extension and contraction. This prevents the use of the  
328 method proposed here for such situations.

329 The graphs in figure 10c correspond to an extensional rollover formed according  
330 to the method of Davison (1986) developed on a normal fault subsequently reactivated

331 using inclined shear until reaching total inversion. As expected, these graphs do not  
332 permit any estimation about the shear during the contraction or the amount of  
333 contraction because of the complex functions obtained.

334

## 335 **5. Effects of syntectonic sedimentation**

336 To check the possible incidences caused by sedimentation simultaneous to the  
337 fault activity we created two models, a growth normal fault with sedimentation during  
338 extension including pre-extension and syn-extension beds (Figure 11) and a growth  
339 normal fault with partial inversion in which pre-extension, syn-extension, post-  
340 extension pre-inversion, and syn-inversion beds were included (Figure 12).

341 In the fault slips versus stratigraphic height graph constructed for the growth  
342 normal fault (Figure 11a) two different tectono-stratigraphic units can be identified. The  
343 parameters of the lower unit, which corresponds to the pre-extension horizons, show the  
344 typical trajectory described above for rollover folds constructed with antithetic shear.  
345 On the contrary, the fault slips for the syn-extension horizons decrease stratigraphically  
346 upwards towards the top of the succession. The same tectono-stratigraphic units can be  
347 individualized in the throw versus heave graph (Figure 11b). The points corresponding  
348 to the pre-extension horizons follow a straight line whose slope indicates the shear dip  
349 used to create the rollover fold. In contrast, the syn-extension horizons do not exhibit a  
350 rectilinear trajectory, but they follow a curve. These horizons are not useful to  
351 determine the shear or the amount of extension using the method presented here. Thus,  
352 we conclude that any estimation should be exclusively performed using the pre-  
353 extension horizons.



354 In figure 12 a model of a growth normal fault, with subsequent inversion and the  
355 same shear dip and dip sense for both the extensional and contractional events, has been  
356 analyzed. The reverse slips in the graphs in figure 12 have been depicted as negative. In  
357 the slip versus stratigraphic height graph (Figure 12a) four different zones may be  
358 identified and used to separate four tectono-stratigraphic units. The pre-extension  
359 horizons exhibit the behaviour previously observed (Figures 4 and 5) when no  
360 syntectonic sedimentation occurred. In a graph of throw versus heave for only the pre-  
361 extension horizons (Figure 12c) we can calculate the shear dip, its character and the  
362 remnant extension after the inversion (intersection of the best-fit function with the x-  
363 axis). The boundary between the pre-extension and the syn-extension beds would  
364 correspond to the point that displays the greatest normal displacement along the fault  
365 (Figure 12a), or that after which the points of the throw versus heave graph (Figure 12b)  
366 depart from a rectilinear trajectory. Stratigraphically below the null point, the situation  
367 is the same as one can expect in a growth normal fault (Figure 11). The points in the  
368 throw versus heave graph representing the syn-extension horizons cannot be fit by a  
369 linear function since they show a curvilinear trajectory (Figure 12b). These horizons are  
370 useful for calculating neither the shear dip nor the amount of extension. These beds are  
371 overlain by layers deposited during a period of tectonic quiescence after the extension  
372 and prior to the inversion event. The absolute value of heave decreases stratigraphically  
373 upwards within these horizons, whereas the absolute values of throw and displacement  
374 increase (Figure 12a). In a throw versus heave graph for these horizons the points fall  
375 onto a straight line whose slope supplies information about the shear dip and its  
376 character (Figure 12d). The intersection between this function and the x-axis would  
377 indicate the amount of contraction (negative value). In the upper part of the stratigraphic  
378 sequence, the syn-inversion beds show a progressive loss of displacement, becoming

379 null for the horizon deposited at present-day (Figures 12a and 12b). The points  
380 representing these horizons in the graphs seem to follow a straight line, however, this is  
381 probably because they have been transported along a constant dip segment of the fault.  
382 These syn-tectonic horizons should not be employed to calculate the shear angle, its  
383 character or the amount of contraction using the methodology described here.

384 According to the results obtained from figure 10b, if the contraction were  
385 produced through vertical or inclined shear whose dip were different to that of the shear  
386 during the extensional event, only data for the compressive stage (shear dip and  
387 character and amount of contraction) could be obtained utilizing the post-extension pre-  
388 inversion horizons.

389

## 390 **6. Application to experimental and natural examples**

391 The possible applicability of the method proposed in this study has been tested  
392 using experimental and natural examples of listric normal faults. We have chosen  
393 sequential physical experiments in order to compare the results obtained to different  
394 temporal stages of development of the same structure.

395 The first example corresponds to a clay experiment presented in Dula (1991).  
396 We used two sections constructed by the author (Figures 13a and 13d) derived from two  
397 photographs of different stages of the experiment (Figures 5d and 7a of Dula, 1991). In  
398 the graph of slips versus stratigraphic height obtained for the less extension stage  
399 (Figure 13a) the throw decreases slightly with depth, whereas the heave and the  
400 displacement tend to grow slowly with depth (Figure 13b). The fact that the increase in  
401 the displacement is slightly greater in the stratigraphically lowest horizon (this horizon  
402 practically rests on the detachment) might be indicative of antithetic shear according to

403 the theoretical models studied above. According to the linear best-fit of the throw versus  
404 heave data (Figure 13c) the shear obtained is antithetic and dips  $25^\circ$ , notably smaller  
405 than that proposed by Dula (1991) estimated using the particle paths, whereas the  
406 extension is larger than the actual one (3.9 centimetres versus 2 centimetres). The  
407 extension calculated by Poblet and Bulnes (2005a) for this stage of the experiment using  
408 the shear angle suggested by Dula (1991) on different horizons ranges from 1.6 to 2.3  
409 centimetres. The application of the area method presented above to this stage of the  
410 experiment also indicates that the estimated shear dip is substantially less than the  
411 correct one and that the estimated extension is larger than the actual one (Figure 14a).  
412 As a consequence, the model generated with these parameters fails to reproduce  
413 correctly the original shape of the clay experiment (Figure 13a). In the graph of slip  
414 versus stratigraphic height for the experimental stage with greater extension (Figure  
415 13d) the displacement along the fault is pretty constant, whereas the heave grows with  
416 depth and the throw diminishes in a pronounced manner (Figure 13e). The constant  
417 displacement is apparently consistent with the theoretical models in which the horizons  
418 lying close to the detachment exhibit constant displacements when vertical or steeply  
419 dipping shear operates (Figures 4 and 5). The linear fit of the points depicted on the  
420 throw versus heave graph (Figure 13f) indicates an antithetic shear dipping slightly  
421 more than  $63^\circ$ . From the analysis of the displacement paths of material points, Dula  
422 (1991) determined an antithetic shear with a dip of  $70^\circ$ , somewhat large than that  
423 calculated employing our method. The intersection of the linear best-fit function with  
424 the x-axis yields an extension of 6.6 centimetres, slightly greater than the actual one (6  
425 centimetres). Poblet and Bulnes (2005a) calculated the amount of extension for the  
426 same experiment employing the shear angle suggested by Dula (1991) on different  
427 horizons and their results varied from 6.2 to 8.0 centimetres, also larger than the actual

428 one. The application of the area-based procedure described above to this stage of the  
429 experiment reinforces the observation that the estimated shear dip is somewhat less than  
430 the correct one and that the estimated extension is slightly larger than the actual one  
431 (Figure 14b). Thus, the rollover modelled with the calculated parameters is comparable  
432 to a certain extent to that of the clay experiment (Figure 13d). We conclude that the  
433 method proposed supplies better results for the last stage of the experiment than for the  
434 early stage, and that the shear dip is greater for the last stage than for the early stage.

435         The second example is a sequence of cross sections derived from photographs  
436 showing the evolution of a sand experiment run by Burger (2012) (Figure 15a). The slip  
437 versus stratigraphic height graphs (Figure 15b) show that the displacement is  
438 approximately constant along the stratigraphic succession in the last stages, whereas the  
439 heave tends to increase and the throw tends to decrease stratigraphically downwards.  
440 The linear best-fit functions for the throw versus heave data offer better extension  
441 values and shear dips for the last five stages (Figure 15c). As a consequence, the general  
442 geometry of the rollover folds modelled with the estimated parameters is not far from  
443 that of the experimental folds, overlooking the minor faults the inclined shear model is  
444 not able to predict (Figure 15d). One of the main discrepancies would be the tendency  
445 of the theoretical model to generate slightly wider half-grabens than the experimental  
446 ones in the early stages. The graphs of slip versus stratigraphic height (Figure 15b) point  
447 out that our method could not produce good results for the initial stages of the  
448 experiment. The slips show erratic behaviours for the first stages, growing and  
449 shrinking a number of times along the stratigraphic succession. In addition, the R-  
450 squared parameter for the best-fit functions in the throw versus heave graphs for the  
451 early stages of the experiment is very low (Figure 15c). In the more evolved stages, the  
452 deduced shear is antithetic and dips about  $50^\circ$ , with a faint tendency to increase in the

453 last stages (from  $48^\circ$  to  $53^\circ$  dip). We compared the amounts of extension measured in  
454 the experiment with those estimated using the graphs for the last stages. We took as  
455 reference, assigning it an extension value equal to zero, the third stage of the evolution  
456 (the earliest one with a proper linear fit) (Figure 15e). The differences between both  
457 datasets are small, with discrepancies oscillating between 1% and 13% of the total  
458 extension. The application of the area method described above to the Burger (2012)  
459 experiment suggests that the estimated shear dip is less than the correct one and that the  
460 estimated extension is larger than the actual one, these differences being greater for the  
461 early stages than for the last stages, similarly to the Dula (1991) experiment.

462 The third example employed consists of a series of cross sections derived from  
463 photographs of different stages of a sand experiment carried out by Edwards (2013)  
464 (Figure 16a). In the slips versus stratigraphic height graphs constructed for the different  
465 stages of the experiment (Figure 16b), the heave increases stratigraphically downwards,  
466 the displacement is approximately constant, and the throw decreases down section.  
467 Although the path of the heave function is very similar to that obtained in faults formed  
468 by antithetic shear, the displacement does not exhibit an asymptotic trajectory and the  
469 heave does not decrease down section as in theoretical models (Figures 4 and 5). The  
470 value of the R-squared parameter points out that the throw versus heave data exhibits a  
471 slightly worse linear best-fit for the early stages of the experiment than for the late ones  
472 (Figure 16c). The extension obtained for each stage of the experiment (Figure 16c)  
473 reaches a maximum value of almost 7 cm. The values of extension obtained are between  
474 0.72 and 1.04 cm higher than the actual values. The estimated shear is antithetic and its  
475 dip, calculated using the graphs, ranges from around  $42^\circ$  to  $60^\circ$ , so that the higher the  
476 amount of extension the higher the shear dip obtained. The estimated shear dips are  
477 between  $4^\circ$  and  $9^\circ$  less than those derived from the analysis of the particle trajectories

478 imaged by Edwards (2013). As result, the rollover folds modelled with the calculated  
479 parameters are not very different from those of the experiment, overlooking the minor  
480 faults the inclined shear model is not able to predict (Figure 16d). The area-based test  
481 indicates that the estimated shear dips are less than the correct ones and that the  
482 estimated values of extension are slightly larger than the actual ones. But, contrary to  
483 the experiments above these differences are slightly smaller for the early stages than for  
484 the last stages of the experiment.

485         The field example analyzed consists of a photograph taken by Maher (2013) of a  
486 Triassic normal fault that crops out along a cliff at the Edgeoya island, Svalbard Islands,  
487 Norway (Figure 17a). In the slips versus stratigraphic height graph the heave increases  
488 stratigraphically downwards, the throw decreases down section and the displacement  
489 decreases in the upper stratigraphic horizons and increases in the deepest horizons  
490 (Figure 17b). The paths of these functions are similar to those obtained in the theoretical  
491 rollovers generated by antithetic shear. The linear best-fit function plotted on the throw  
492 versus heave data has a high R-squared parameter value indicating a good fit (Figure  
493 17c), and the differences between the areas of the upper and lower regions according to  
494 the method proposed here are not very high. They indicate that the predicted shear dip is  
495 slightly less than the correct one and that the estimated extension is a bit greater than the  
496 actual one. Therefore, the theoretically predicted horizons do not differ substantially  
497 from the actual horizons (Figure 17a). The shear obtained for this particular field  
498 example is antithetic and dips around  $49^\circ$ , whereas the estimated extension is around  
499 6100 units.

500

501 *6.1. Discussion*

502           The analysis of a natural example and different stages of sequential laboratory  
503 experiments using the method based on fault slips and the area balancing technique  
504 proposed here, points out that: a) the estimated dip of the antithetic shear increases as  
505 the amount of extension increases (Figure 18a); b) the calculated shear dip is usually  
506 less than that obtained by other methods; c) the shear dips obtained for two experiments  
507 (Dula, 1991 and Burger, 2012) are closer to those obtained by other methods as the  
508 amount of extension increases, whereas it is the other way round for another experiment  
509 (Edwards, 2013); d) the extension value obtained is usually greater than the actual  
510 value; e) the extension values obtained for two experiments (Dula, 1991 and Burger,  
511 2012) and the actual ones get closer as the amount of extension augments, whereas it  
512 happens on the contrary in the case of another experiment (Edwards, 2013); and f) the  
513 results, both for the shear dip and the extension value, are more reliable in stages with  
514 greater extension since R-squared for the best-fit functions in the throw versus heave  
515 graphs is closer to 1 as the extension value is greater (Figure 18b).

516           The fact that: a) the shear dip obtained using the method based on fault slips  
517 presented in this paper is less than that obtained by other methods that do not employ  
518 the slips of horizons, and b) that the estimated extension is greater than the actual values  
519 suggests that the best-fit functions obtained in the throw versus heave graphs possess a  
520 gentler slope than the one they should supposedly have, and therefore, they intersect  
521 with the abscissas axes at higher values. Since we obtained negative slope functions  
522 (antithetic shear) for all the analyzed experiments, this could mean that the slips  
523 undergone by the higher stratigraphic horizons are, broadly speaking, less than they  
524 should be. Alternatively, this could also be explained assuming that the slip values of  
525 the stratigraphically deeper horizons are higher than they should be. These observations

526 suggest that additional mechanisms different from vertical/inclined shear may have been  
527 active as well.

528         Whereas rollover folds generated over normal faults linked to detachments are a  
529 type of fault-bend folds, the phenomena described above could be interpreted as a result  
530 of an initial component of fault-propagation folding in the case of the Dula (1991)  
531 experiment; this would cause that slip along the fault would not follow the theoretical  
532 pattern expected in a deformation environment dominated by vertical/inclined shear  
533 (Figures 4 and 5). Thus, in stages with little extension when the fault is still  
534 propagating, upper horizons, whose cut-off points are close to the fault tip, could have  
535 suffered a certain slip decrease towards the upper fault termination as a result of the  
536 fault-propagation folding component accommodated by both fault propagation and  
537 folding. That would explain the low values obtained for the shear dip and its difference  
538 from the dip obtained by other methods, the high extension values obtained with respect  
539 to the actual extension and the worse results for the initial stages using the slips-based  
540 method proposed here. This hypothesis is supported by the fact that in the initial stages  
541 of some physical experiments of listric normal faults, such as in the experiment  
542 displayed in Cloos (1968), a fault-propagation fold first develops so that the master fault  
543 offsets only the lower part of the stratigraphic series and propagates stratigraphically  
544 upwards as extension increases (Figures 13 and 14 in Cloos, 1968). The structure ends  
545 up becoming a classical rollover fold-type when the master fault is developed all along  
546 the stratigraphic sequence (Figures 15 and 16 in Cloos, 1968). Comparable observations  
547 have been documented in field examples of listric normal faults. For instance, Uzakeda  
548 (2013) shows a 3D model and geological sections across a normal fault in which the  
549 hangingwall beds close to the fault dip against it and display a nice rollover geometry in  
550 a region where the fault displacement is relatively high (Figure 4.9 B-B' in Uzakeda,



551 2013). In contrast, in a region where the displacement is less the uppermost beds are  
552 almost flat-lying whereas the lower beds dip against the fault (Figures 4.9 A-A' in  
553 Uzkeda, 2013). The geometry of the hangingwall beds of this particular fault, very  
554 similar to that of the two stages of the Dula (1991) experiment illustrated in figures 13a  
555 and 13d, could be explained assuming a component of fault-propagation folding during  
556 the initial stages of fault development. Drag folding could also be invoked as an  
557 additional mechanism to explain the anomalies observed in the fault slips which result  
558 in lower shear dips and higher amounts of extension estimations using the slips-based  
559 method.

560 In the case of the Edwards (2013) experiment the low values of shear dip and the  
561 high values of extension obtained using the slips-based method, plus the fact that the  
562 stages with higher extension supply worse results may be the result of the activity of the  
563 crestal collapse graben developed over the rollover anticline. Thus, in the early stages of  
564 the experiment, the crestal collapse graben is hardly developed. However, as extension  
565 progresses the number of faults that belong to the crestal collapse graben and the  
566 displacement along them increases substantially (Figure 16a). The crestal collapse  
567 graben faults offset only the upper part of the stratigraphic succession and die out at  
568 depth. These faults accommodate part of the extension of the experiment causing a  
569 reduction of the displacement suffered by the uppermost stratigraphic horizons along  
570 the master fault. This would lead to a gentler slope of the best-fit function that fits the  
571 throw versus heave data, and therefore, would result in lower values of shear dip with  
572 respect to the dip obtained by other methods and higher values of extension with respect  
573 to the actual extension as well as worse results for the last stages of the experiment.  
574 Crestal collapse grabens with similar geometrical and kinematical features have been

575 documented in many physical experiments such as those carried out by McClay and  
576 coworkers (see references in the introduction section).

577         Regarding the Burger (2012) experiment, it is unclear whether the low values of  
578 shear dip and high values of extension obtained are caused by the occurrence of a fault-  
579 propagation folding component as in the Dula (1991) experiment or due to the crestal  
580 collapse graben as in the Edwards (2013) experiment. The facts that: a) the crestal  
581 collapse graben is developed from the very early stages of the experiment and its degree  
582 of development remains approximately constant as extension increases, and b) that the  
583 worse results were obtained for the early stages of the experiment suggest that the fault-  
584 propagation folding component is a better explanation.

585         In the sequential physical experiments analyzed, the deduced shear dip seems to  
586 increase as extension augments. This suggests that the assumption of one homogeneous  
587 vertical/inclined shear direction throughout the deformation process is not valid, at least  
588 for these experiments, and that could be one of the reasons why the fit using the  
589 proposed method is not as good as it would be desirable. However, the lower reliability  
590 of the results obtained in the early stages with respect to the better reliability in the most  
591 advanced stages does not allow us to assert this point firmly. Unfortunately, we are  
592 unable to check whether this observation is a particular feature of the sequential  
593 physical experiments used or it takes place in natural examples as well because different  
594 stages of evolution of a structure are unavailable in nature.

595         If the points exposed above are correct, then we should not expect excellent  
596 shear dips and amounts of extension derived from the proposed method because they  
597 are averages of deformation fields resulting from various mechanisms, perhaps shear  
598 orientations different than vertical/inclined shear, and maybe shear dips that have varied

599 over time. We have to keep in mind that we try to fit functions based on assumptions  
600 such as fault-bend folding developed by vertical/inclined, homogeneous shear through  
601 time and space to a series of data resulting from more than one process. However, the  
602 method can still be used as a guide to decipher how the basic assumptions deviate.

603           Unfortunately we do not know to what extent these physical experiments  
604 emulate correctly natural structures, and therefore, whether the conclusions derived  
605 from them can be extrapolated to field and subsurface examples of normal faults that  
606 emanate from a detachment. For instance, the physical experiment run by Dula (1991)  
607 includes a thin, flexible plastic sheet between the hangingwall and the footwall/basal  
608 plate. This film helps with the development of the experiment because it possesses a  
609 high slipping coefficient, and therefore, facilitates slip between fault blocks. According  
610 to some authors (e.g., Hauge and Gray, 1996), quantitative analysis of physical  
611 experiments developed using this particular experimental design, which is an artifact  
612 that may not occur in nature, may produce misleading results.

613

## 614 **7. Conclusions**

615           In theoretical models of normal faults emanating from a detachment at depth  
616 whose hangingwall consists of a rollover fold deformed by vertical or inclined shear, a  
617 pattern for the variation of the displacement along the fault, heave and throw has been  
618 recognized. For the horizon located on the detachment the throw is zero, and the heave  
619 and the fault displacement are equal, and equal to the horizontal extension. The linear  
620 best-fit of the data for several horizons plotted on a throw versus heave graph supplies  
621 quantitative information about the shear (character and dip) and the amount of extension  
622 responsible for the rollover formation. The slope of such function is the tangent of the

623 shear dip (positive slope for synthetic, negative for antithetic and infinite for vertical  
624 shear) and its intersection with the x-axis is the amount of extension.

625 By using data from the upper part of a stratigraphic sequence offset by a normal  
626 fault, the proposed method would allow to estimate the character and dip of the shear, as  
627 well as the amount of extension to produce the analyzed rollover. In addition, for those  
628 cases in which the complete geometry of the fault is known, an area-based method has  
629 been presented that allows us to estimate whether the shear dip and amount of extension  
630 calculated are higher or lower than the correct values. Both the throw versus heave and  
631 slips versus stratigraphic height graphs would enable recognizing mechanisms  
632 additional to vertical or inclined shear. If that is the case, the graphs would not follow  
633 the patterns deduced from the theoretical models. This observation is of major  
634 importance when attempting to reconstruct, restore and/or forward model structures  
635 since the proposed tool supplies valuable information regarding the selection of the  
636 most appropriate algorithms.

637 The proposed method is still valid when reverse reactivation of a normal fault  
638 with the same shear dip takes place. If the inversion is partial the graphs permit to  
639 obtain both the shear dip and the remnant extension at present-day. In the case of total  
640 inversion, a certain amount of reverse slip would appear and the graphs would allow to  
641 calculate the shear dip and the net contraction. If sediments pre-extension and post-  
642 extension pre-inversion are preserved, it is possible to calculate the shear dip, the  
643 remnant extension, the contraction and, by adding both, the total extension.

644 The application of the proposed method to a natural example and different stages  
645 of sequential physical experiments of rollovers related to normal listric faults, and  
646 verification of the results using a method based on comparing areas, permits to extract

647 the following conclusions: a) the shear dip obtained using the slips-based method are  
648 minimum estimates, and b) the extension values calculated are maximum estimates. In  
649 those cases where the greater the amount of extension undergone by the structures the  
650 more accurate both the shear dip and amount of extension estimates, these points have  
651 been explained assuming that the generation of rollovers include a certain component of  
652 fault-propagation folding (and/or drag folding) in the early stages of the experiments  
653 whose effects ameliorate as cut-off points of horizons in the hangingwall approach the  
654 detachment. In those cases in which the better results are obtained for the early stages of  
655 the experiment, these points have been related to the development of a crestal collapse  
656 graben that becomes more active as extension progresses. In addition, the analysis of the  
657 experiments shows that the shear dip is not uniform during the extensional process but  
658 seems to increase as the extension progresses. All these observations led us to state that  
659 we should not expect obtaining excellent shear dips and amounts of extension using the  
660 slips-based method proposed because these results are averages of deformation fields  
661 resulting from various processes, but the method is still useful as a guide on checking  
662 how the basic assumptions of rollover folds formed by vertical/inclined shear deviate in  
663 each particular example analyzed.

664

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674

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- 797

## 798 FIGURE CAPTIONS

799 Figure 1. Examples of listric normal faults reconstructed at depth through different  
800 methods using the same rollover geometry as input data: a) flexural slip; b)  
801 constant displacement; c) antithetic shear of  $80^\circ$  dip; d) vertical shear and e)  
802 synthetic shear of  $80^\circ$  dip.

803 Figure 2. Examples of rollover folds generated with antithetic shear of  $80^\circ$  dip in the  
804 hangingwall of different shape faults: a) ramp-flat, b) segments of constant dip,  
805 c) arctangent function, d) spline, and e) cubic function.

806 Figure 3. a) Example of a rollover fold constructed with antithetic shear of  $80^\circ$  dip  
807 illustrating the measurements (stratigraphic height, heave, throw and  
808 displacement) that must be taken for each horizon offset by the fault. These  
809 values are plotted on two graphs: b) fault slip (heave, throw and displacement)  
810 versus stratigraphic height and c) throw versus heave. The position of the  
811 reference level is arbitrary and it is used to measure the stratigraphic height of  
812 each horizon. The different stratigraphic horizons are labelled as a, b, c, d, e, f, g  
813 and h in a), b) and c).

814 Figure 4. Graphs for rollover folds formed above planar ramp-flat normal faults created  
815 with: a) vertical shear, b) antithetic shear of  $80^\circ$  dip and c) synthetic shear of  $80^\circ$   
816 dip. The fault and rollover fold shape are shown inside the grey rectangle. The  
817 arbitrary reference level chosen is the highest stratigraphic horizon. The  
818 measurements have been taken according to the procedure illustrated in figure 3.

819 Figure 5. Graphs for rollover folds related to listric normal faults created using the  
820 arctangent function constructed with: a) vertical shear, b) antithetic shear of  $80^\circ$   
821 dip and c) synthetic shear of  $80^\circ$  dip. The fault and rollover fold shapes are

822 shown inside the grey rectangle. The arbitrary reference level chosen is the  
823 highest stratigraphic horizon. The measurements have been taken according to  
824 the procedure illustrated in figure 3.

825 Figure 6. Relation between the throw, heave and extension in rollovers related to listric  
826 normal faults generated by: a) antithetic shear and b) synthetic shear. e: amount  
827 of extension, h: heave, t: throw, and  $\alpha$ : shear dip.

828 Figure 7. Graphs for rollover folds developed above listric normal faults constituted by  
829 segments of constant dip constructed maintaining constant both bed lengths and  
830 thicknesses, following the methods of: a) Morris and Ferrill (1999) and b)  
831 Davison (1986). The fault and rollover fold shape are shown inside the grey  
832 rectangle. The arbitrary reference level chosen is the highest stratigraphic  
833 horizon. The measurements have been taken according to the procedure  
834 illustrated in figure 3.

835 Figure 8. Calculation of the amount of extension and the shear angle using the throw  
836 versus heave graphs. The fault and rollover fold shape used are shown inside the  
837 grey rectangle. The measurements have been taken according to the procedure  
838 illustrated in figure 3.

839 Figure 9. Area-based procedure to check whether the values of shear dip and extension  
840 estimated using the slips-based method proposed in this paper are correct for  
841 those cases in which the full geometry of the fault is known. a) Present-day,  
842 deformed section and b) undeformed section. Present-day, deformed section  
843 overlapped onto the undeformed section constructed with synthetic shear of  $80^\circ$   
844 dip (c), vertical shear (d), and antithetic shear of  $80^\circ$  dip (e) and  $45^\circ$  dip (f), and  
845 comparison of the areas of the upper, triangular zone and lower zone.

846 Figure 10. Graphs for folds developed over listric normal faults that underwent reverse  
847 reactivation greater than the initial extensional motion: a) inversion by shear  
848 with equal dip to that of extension (antithetic shear of 80° dip); b) inversion by  
849 shear with a dip different to that of extension (extension with antithetic shear of  
850 80° dip and contraction with antithetic shear of 60° dip); c) extension following  
851 the flexural slip method of Davison (1986) and inversion with inclined shear  
852 (antithetic shear of 80° dip). The fault and rollover fold shape are shown inside  
853 the grey rectangle. The arbitrary reference level chosen is the highest  
854 stratigraphic horizon. The measurements have been taken according to the  
855 procedure illustrated in figure 3.

856 Figure 11. Graphs for a fold developed over a listric normal fault including pre-  
857 extension and syn-extension beds: a) slips versus stratigraphic height graph and  
858 b) throw versus heave graph. The fault and rollover fold shape are shown in the  
859 inside figure below the slips versus stratigraphic height graph. The arbitrary  
860 reference level chosen is the highest stratigraphic horizon. The measurements  
861 have been taken according to the procedure illustrated in figure 3.

862 Figure 12. Graphs for a fold developed over a listric normal fault that underwent a  
863 positive tectonic inversion including pre-extension, syn-extension, post-  
864 extension pre-inversion, and syn-inversion beds: a) slips versus stratigraphic  
865 height graph, b) throw versus heave graph, c) throw versus heave graph for the  
866 pre-extension horizons and d) throw versus heave graph for the post-extension  
867 pre-inversion horizons. The fault and rollover fold shape are shown in the inset  
868 figure below the slip versus stratigraphic height graph. The arbitrary reference  
869 level chosen is the highest stratigraphic horizon. The measurements have been  
870 taken according to the procedure illustrated in figure 3.

871 Figure 13. Results obtained for photographs of the clay experiment of figures 5d and 7a  
872 in Dula (1991) consisting of a rollover developed above a listric normal fault. a)  
873 and d) Line drawings of two different stages of the experiment derived from  
874 photographs, including the beds employed to construct the graphs and the  
875 modelled upper horizon; b) and e) slip versus stratigraphic height graphs for the  
876 experiment depicted in a) and d) respectively; and c) and f) throw versus heave  
877 graphs for the experiment depicted in a) and d) respectively. The arbitrary  
878 reference level chosen is the highest stratigraphic horizon. The measurements  
879 have been taken according to the procedure illustrated in figure 3.

880 Figure 14. Application of the area-based procedure to the a) less evolved and to the b)  
881 more evolved stages of the Dula (1991) experiment. The areas of the lower  
882 regions are greater than those of the upper regions, specially for the less evolved  
883 stage of the experiment, suggesting that the estimated shear dip is gentler than  
884 the correct value and that the estimated extension is larger than the actual one.  
885 The measurements have been taken according to the procedure illustrated in  
886 figure 3.

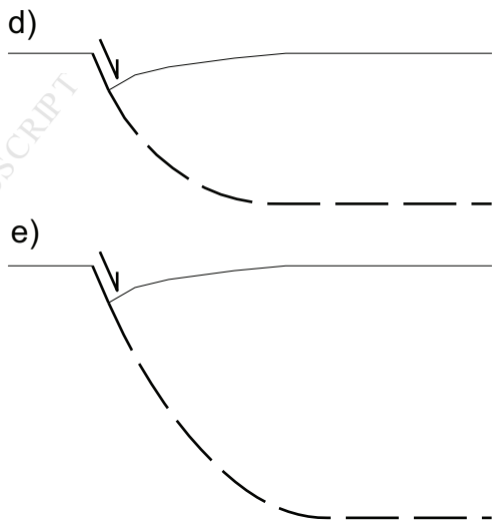
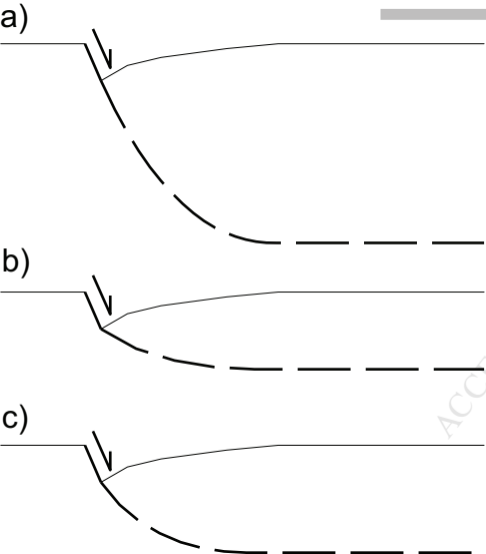
887 Figure 15. Results obtained for a series of photographs from a sand experiment by  
888 Burger (2012) consisting of a rollover developed above a listric normal fault. a)  
889 Line drawing of the experiment derived from the photographs, b) slip versus  
890 stratigraphic height graphs, c) throw versus heave graphs, d) comparison  
891 between the experimental upper horizons and the modelled ones, and e)  
892 comparison between the extension increments of the experiment and the  
893 modelled ones for the last five stages (taking the third stage as reference). The  
894 arbitrary reference level chosen is the highest stratigraphic horizon. The  
895 measurements have been taken according to the procedure illustrated in figure 3.

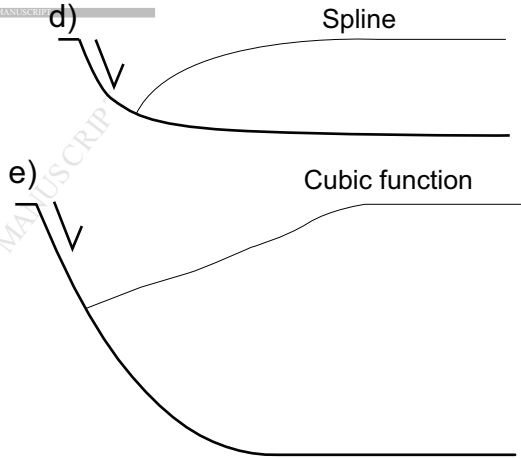
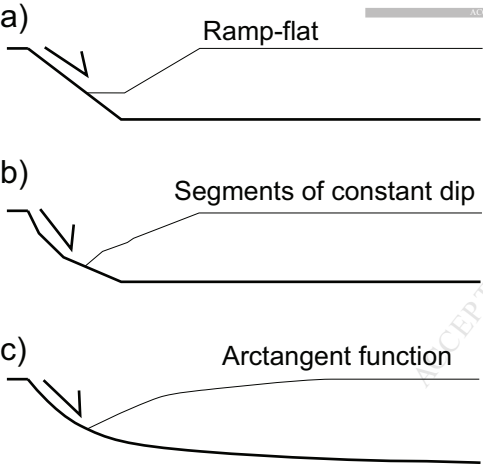


896 Figure 16. Results obtained for a series of photographs from a sand experiment by  
897 Edwards (2013) consisting of a rollover developed above a listric normal fault.  
898 a) Line drawings of the experiment derived from photographs, b) slip versus  
899 stratigraphic height graphs, c) throw versus heave graphs, and d) comparison  
900 between the experimental upper horizons and the modelled ones. The arbitrary  
901 reference level chosen is the highest stratigraphic horizon. The measurements  
902 have been taken according to the procedure illustrated in figure 3.

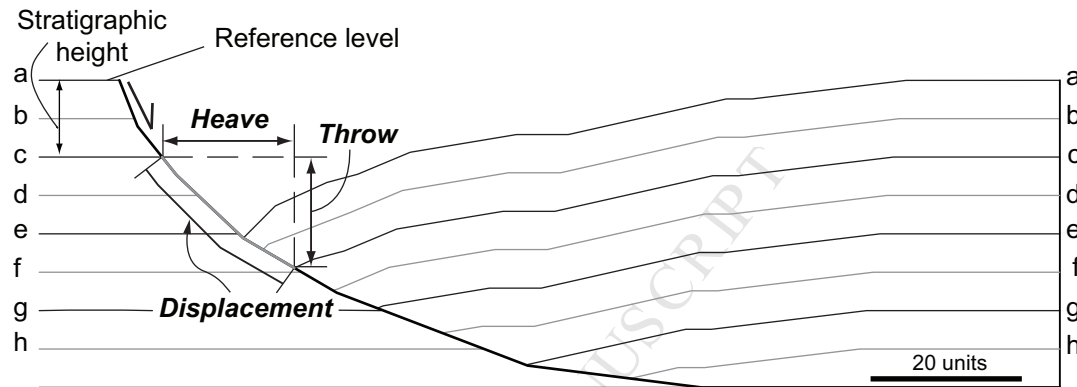
903 Figure 17. Results obtained for a photograph taken by Maher (2013) of a field example  
904 of a rollover developed above a listric normal fault. a) Geological interpretation  
905 of the photograph, including the beds employed to construct the graphs and the  
906 modelled horizons; b) slip versus stratigraphic height graph, and c) throw versus  
907 heave graph. The arbitrary reference level chosen is the highest stratigraphic  
908 horizon. Since no scale is available in the photograph we assigned arbitrary  
909 units. The measurements have been taken according to the procedure illustrated  
910 in figure 3.

911 Figure 18. Plots of a) the shear dip and b) the R-squared parameter obtained for the  
912 different stages of the physical experiments analyzed in this study versus  
913 extension.

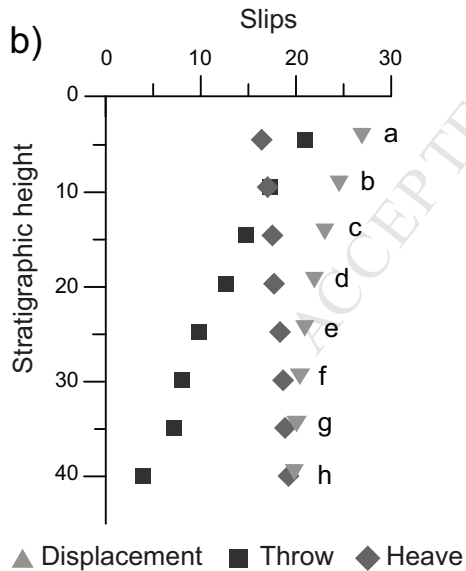




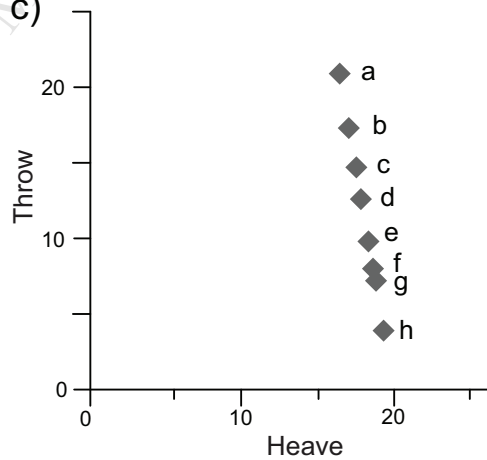
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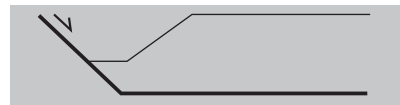
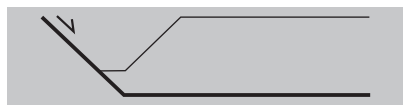
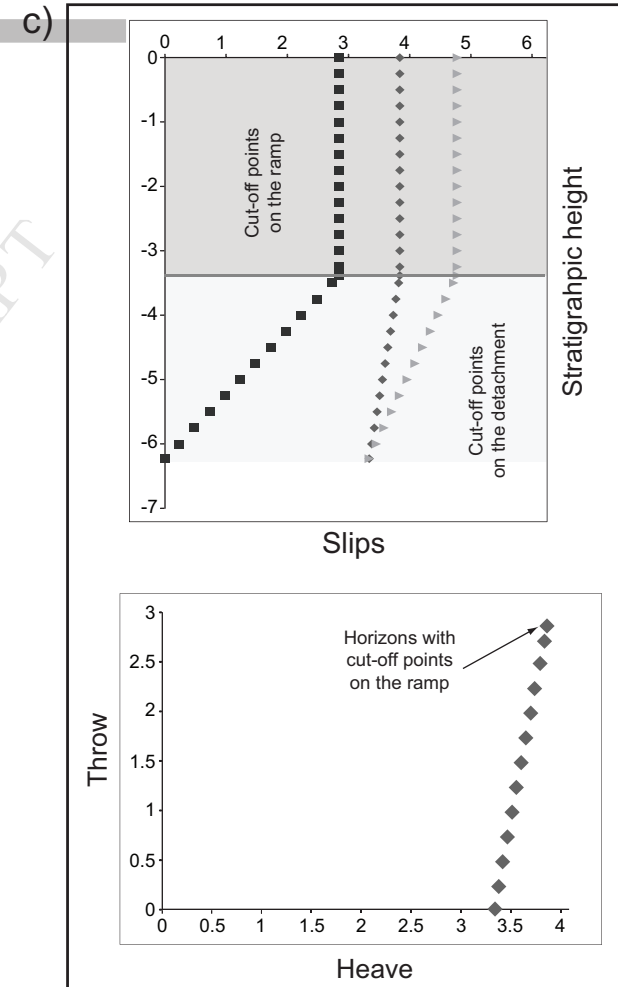
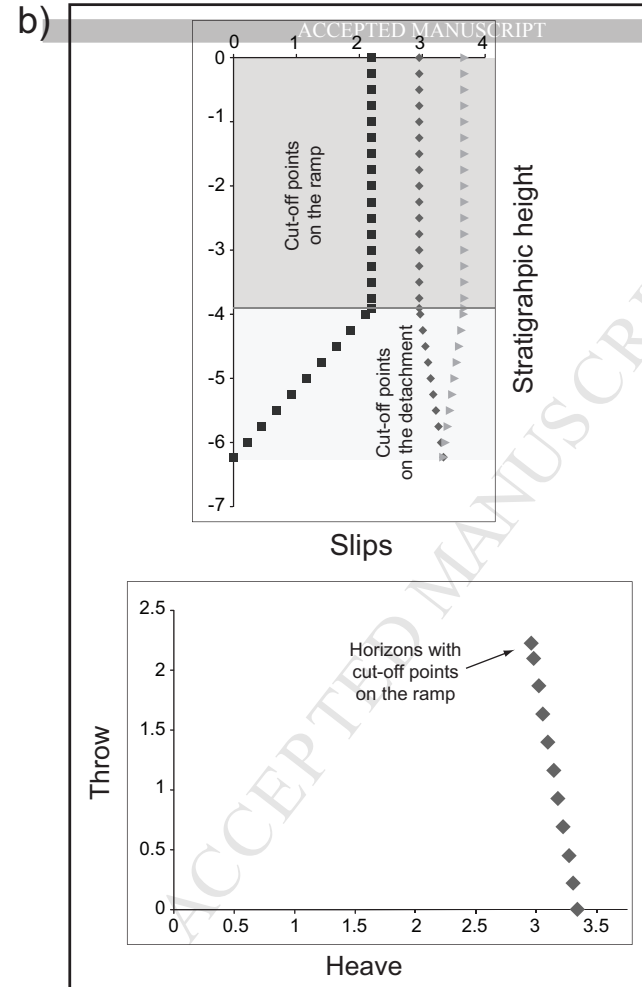
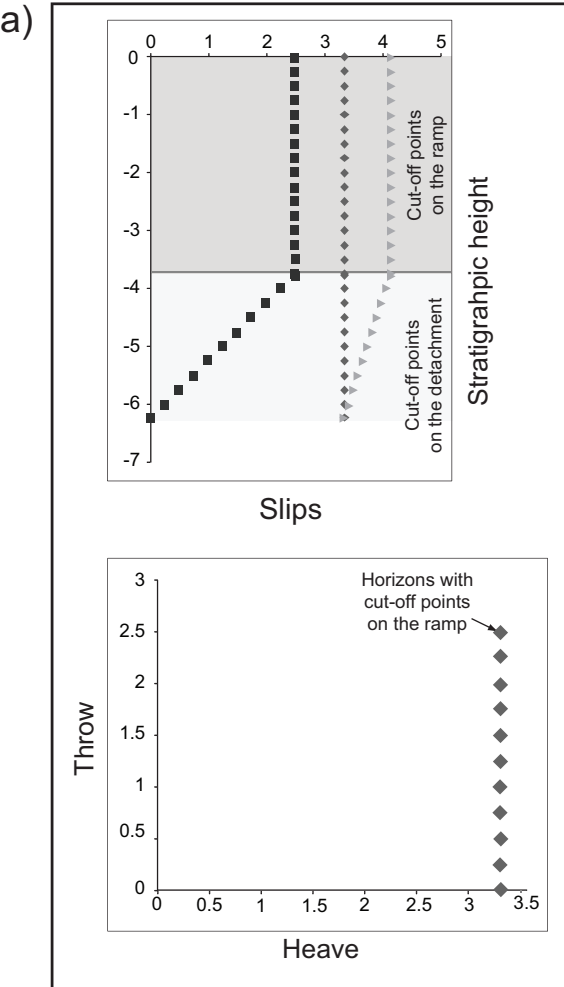


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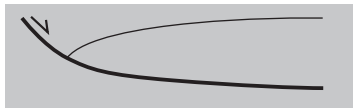
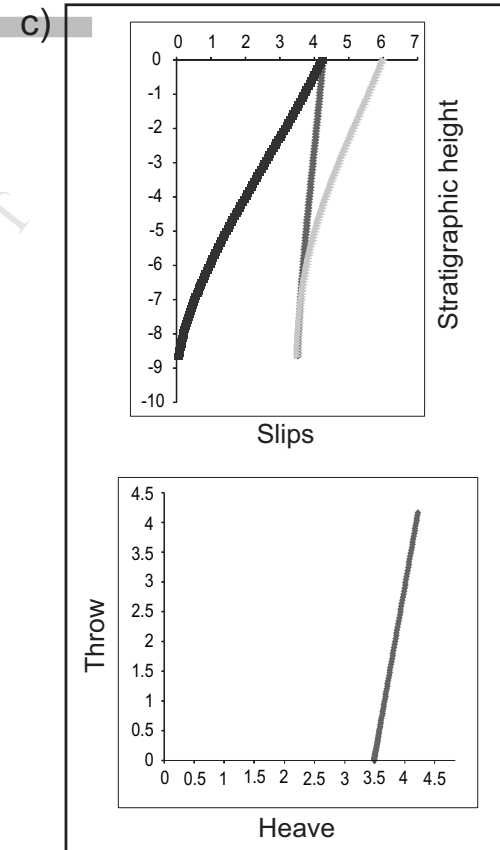
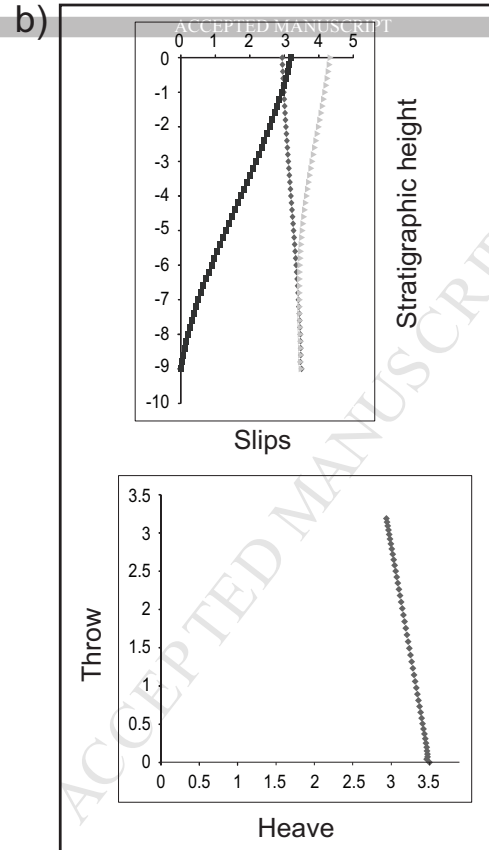
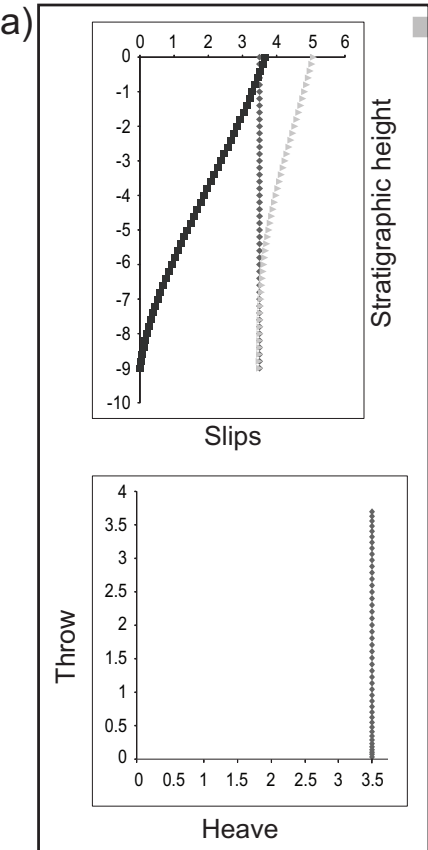


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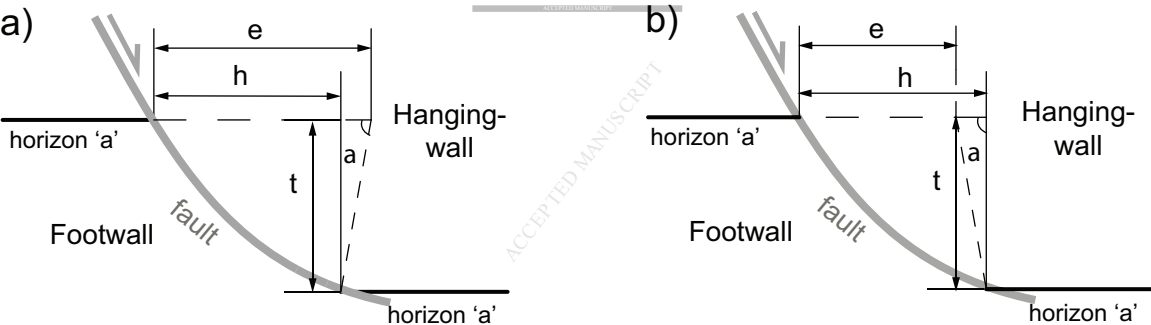




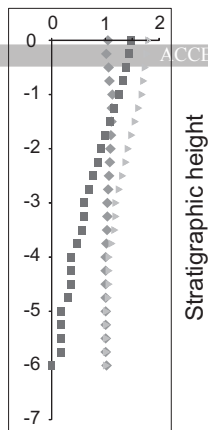
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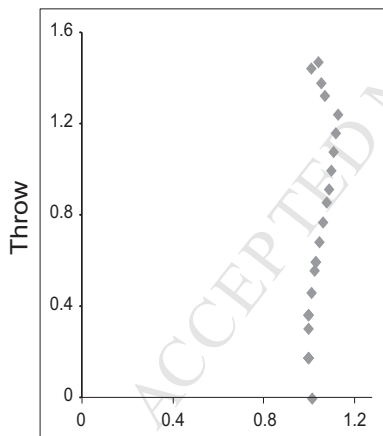
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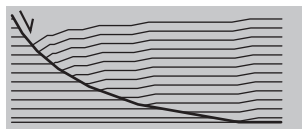


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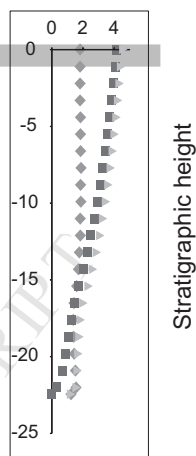


Throw

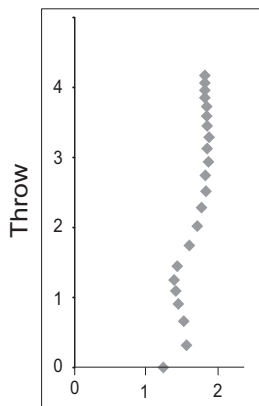
Heave



b)

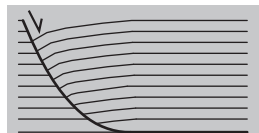


Slips



Throw

Heave

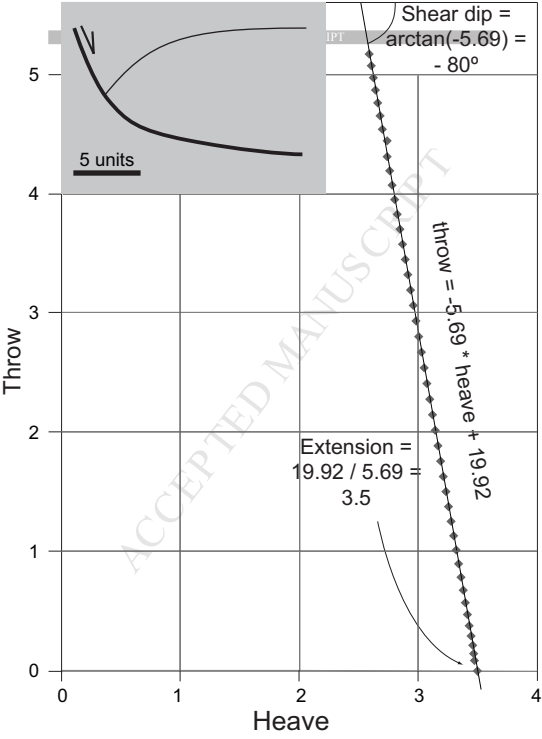


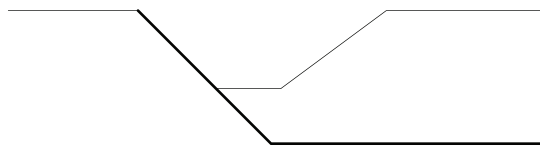
▲ Displacement

■ Throw

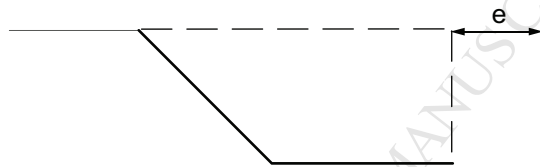
◆ Heave



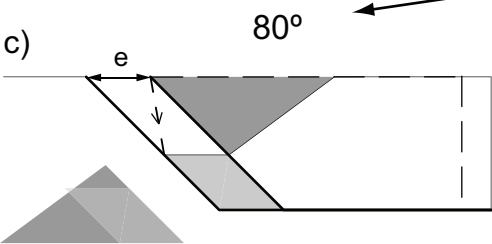




b) undeformed section

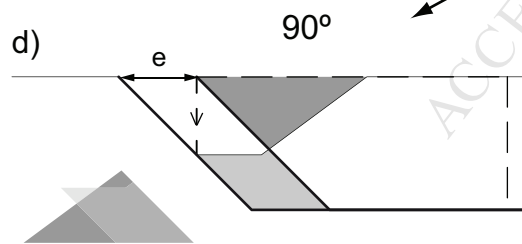


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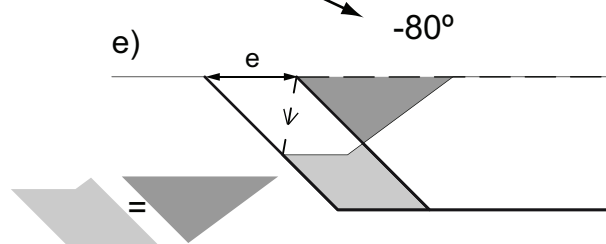
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d)



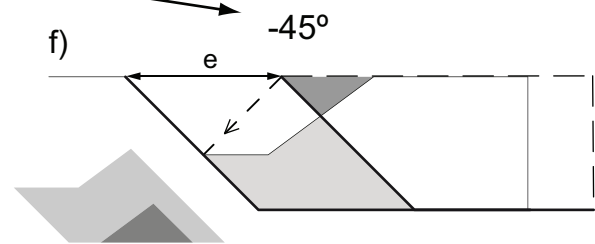
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e)

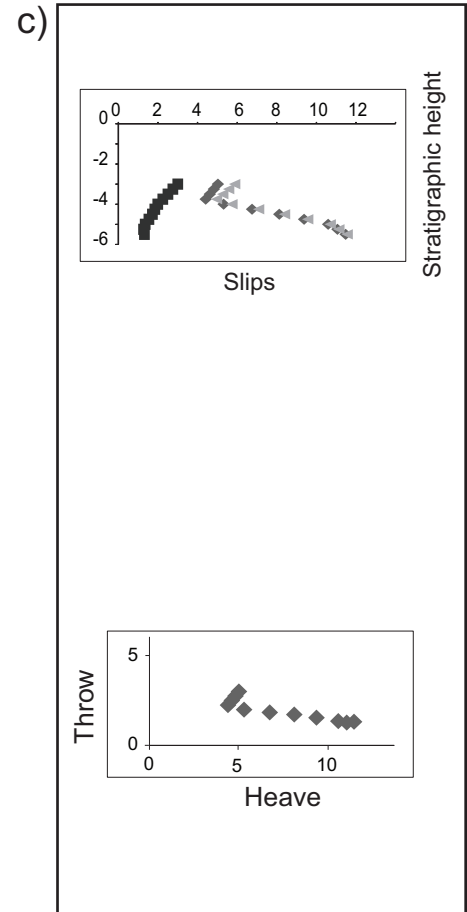
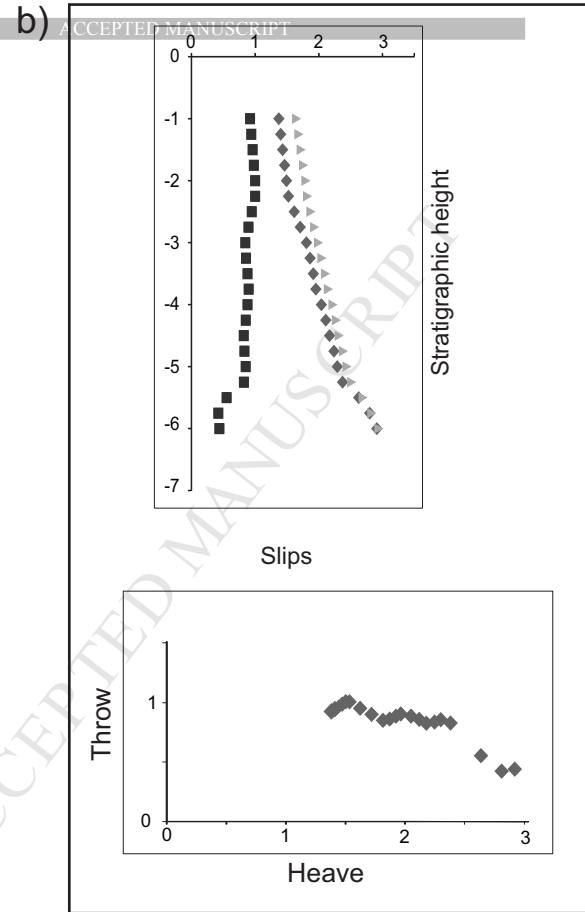
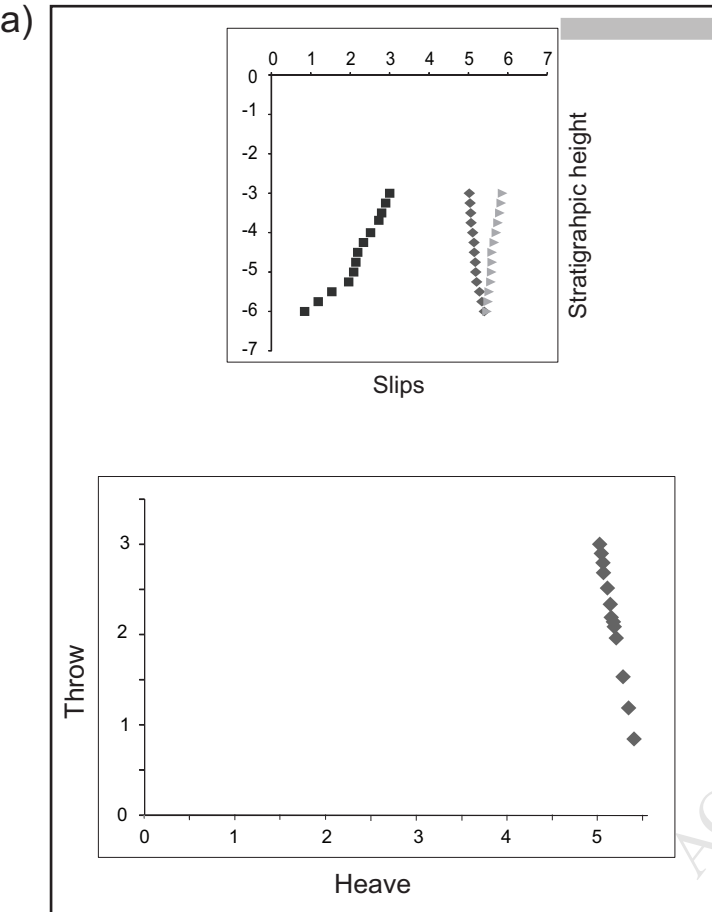


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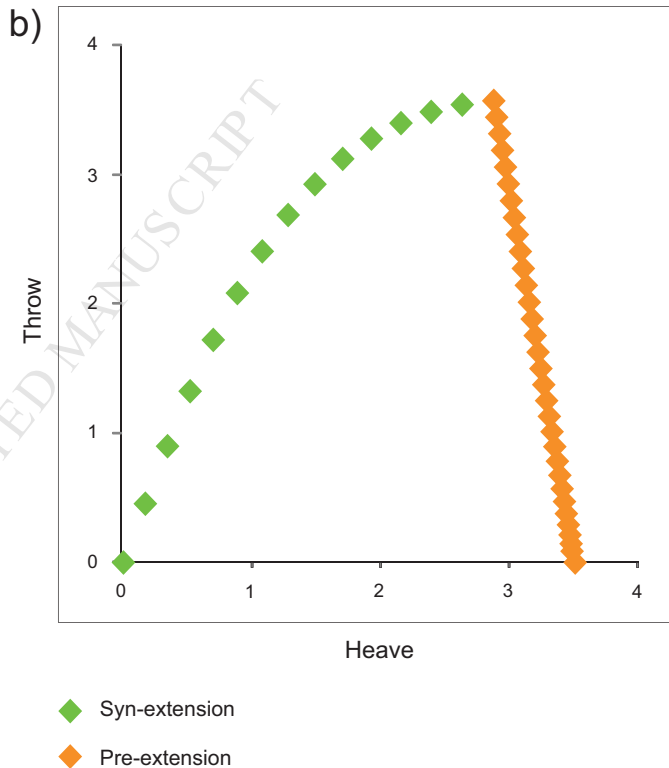
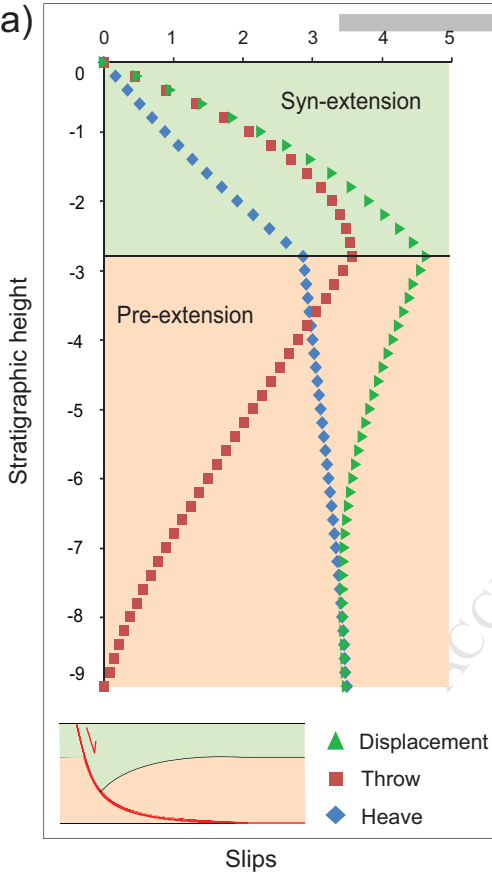
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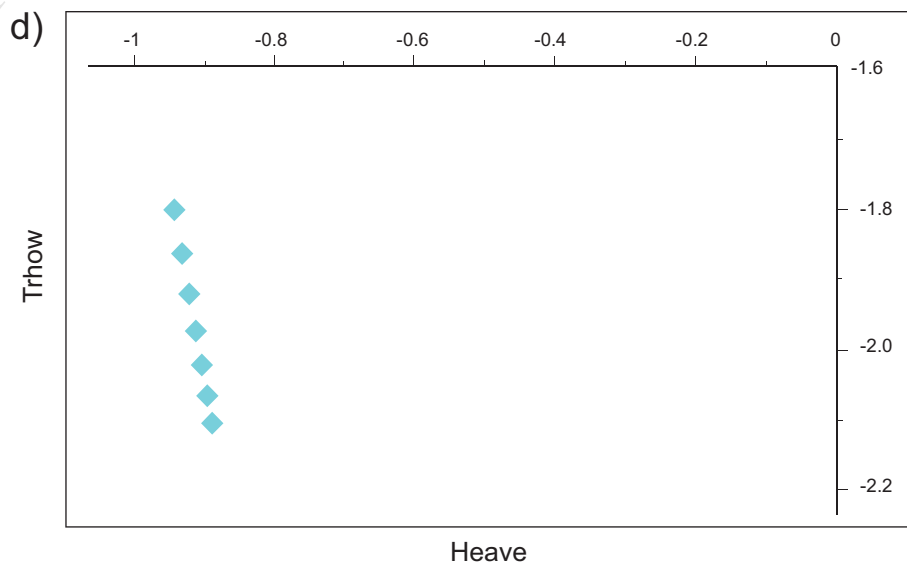
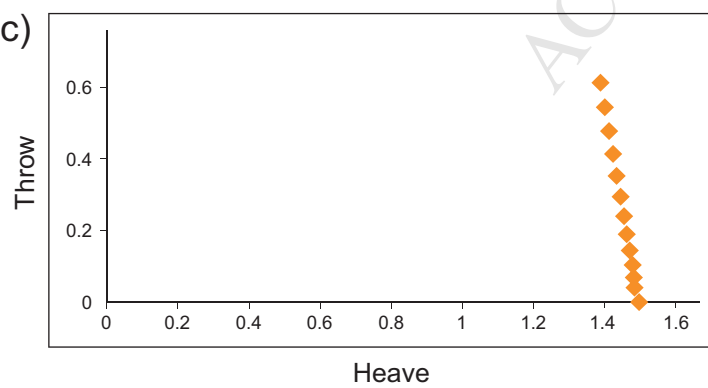
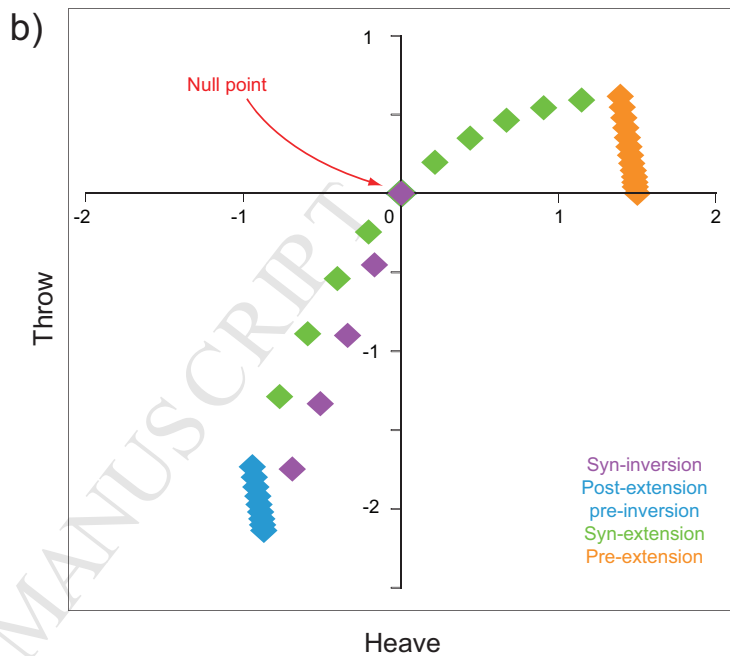
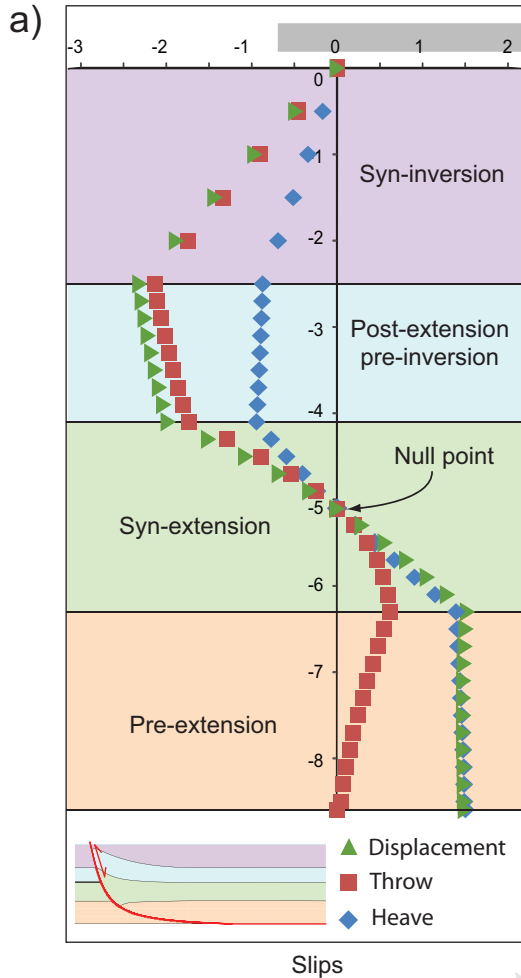


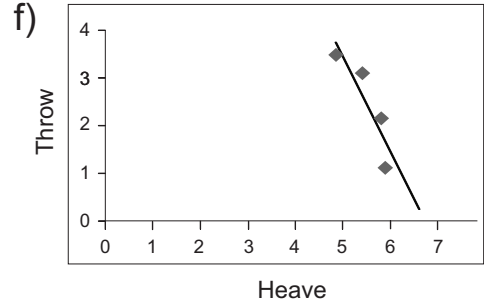
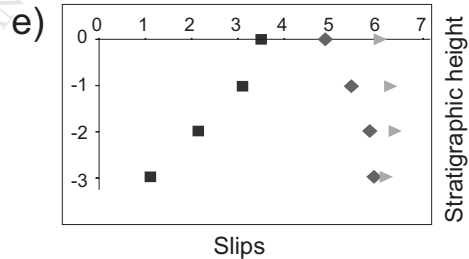
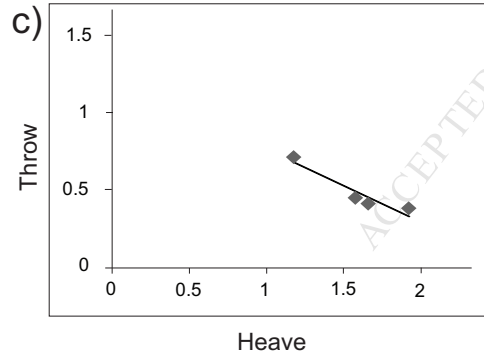
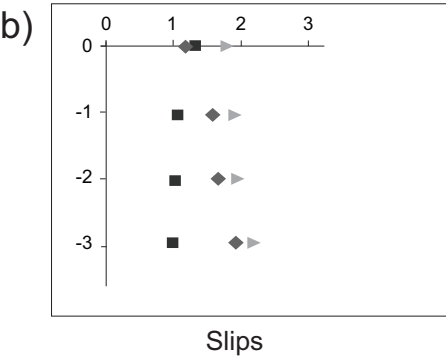
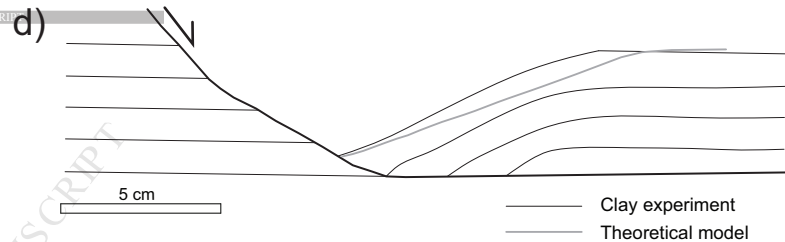
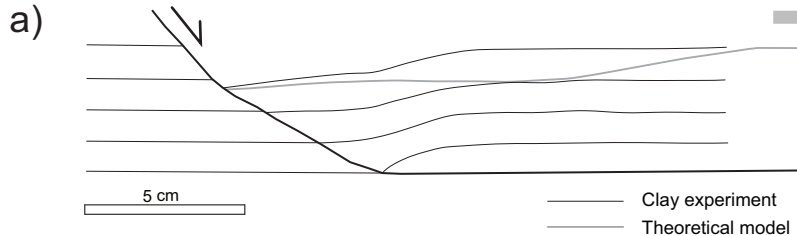
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▲ Displacement      ■ Throw      ◆ Heave

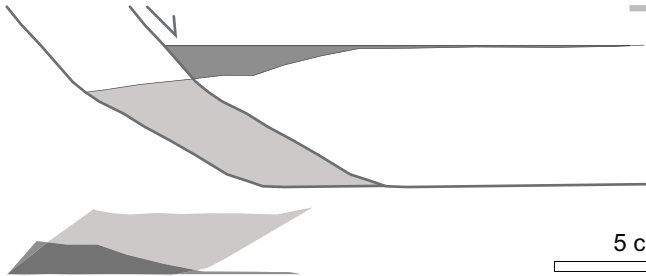




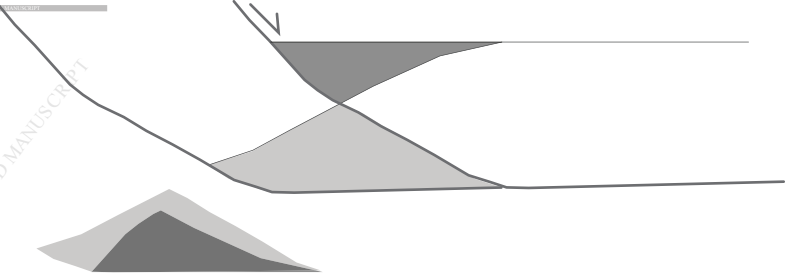


► Displacement    ■ Throw    ◆ Heave

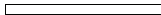
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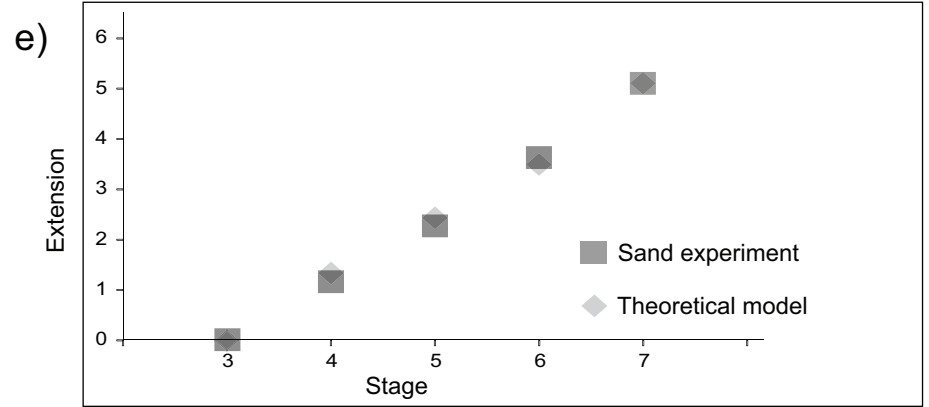
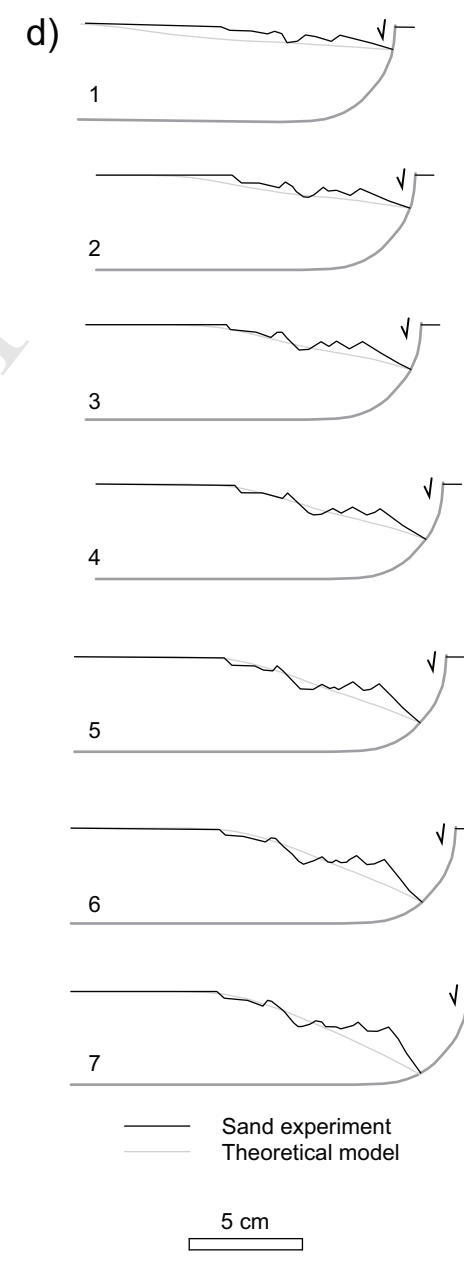
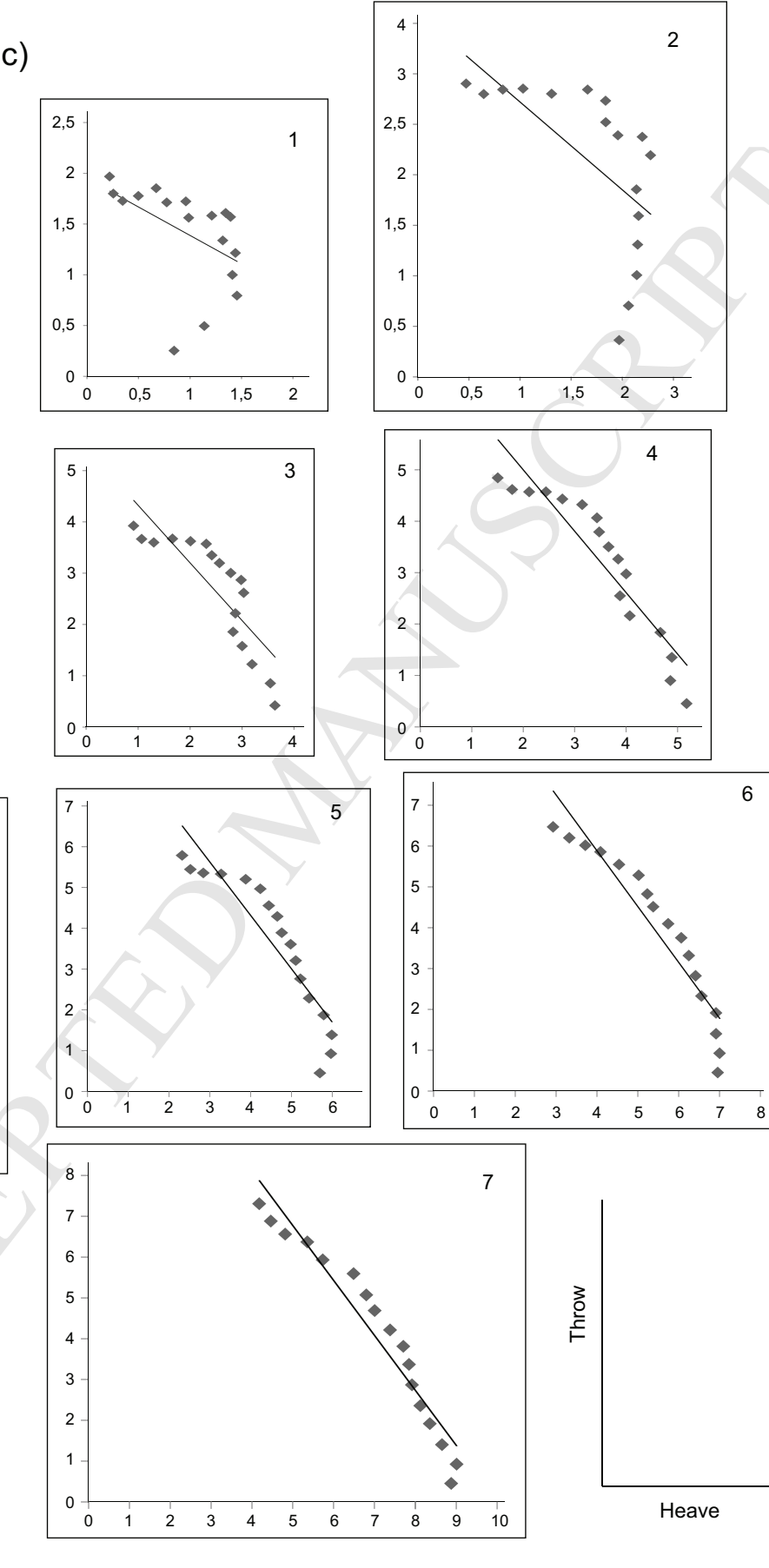
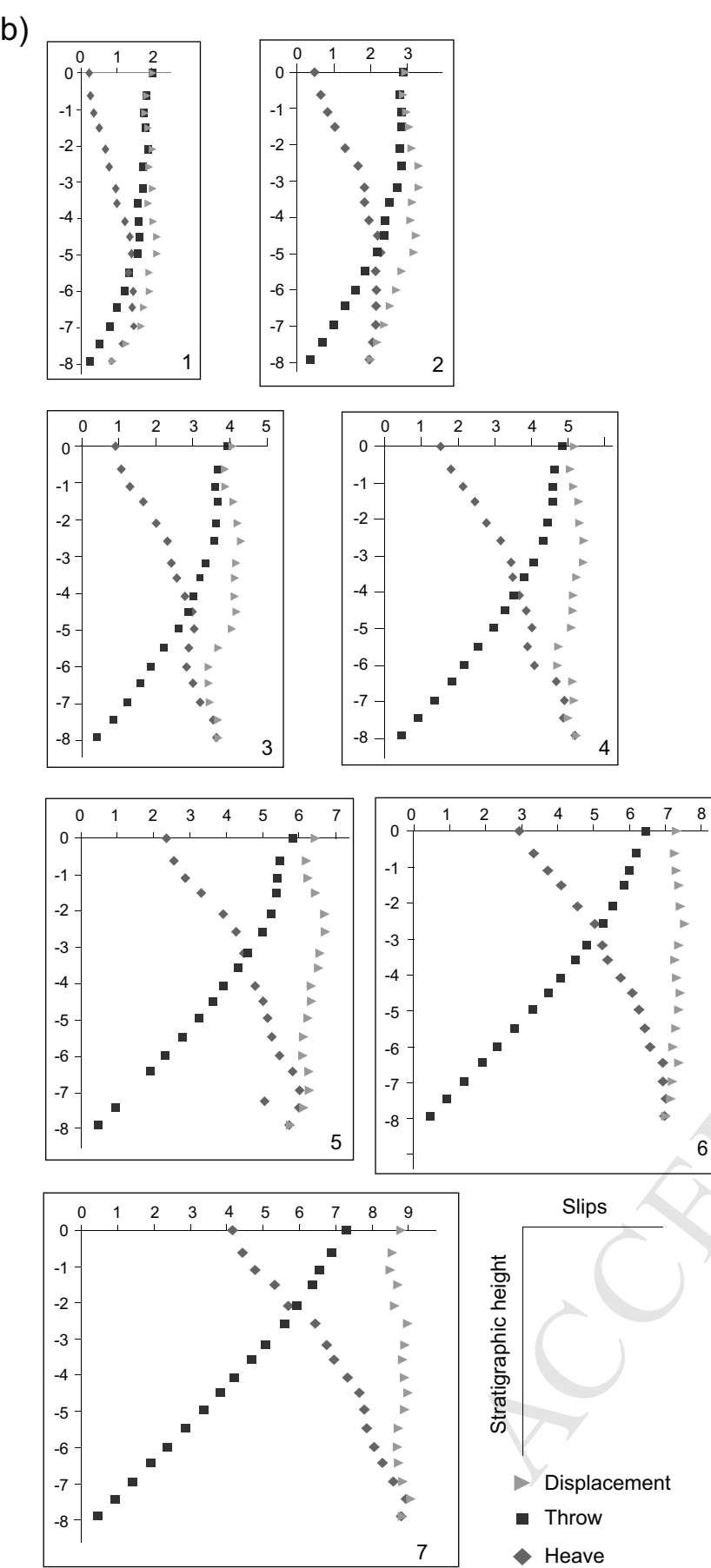
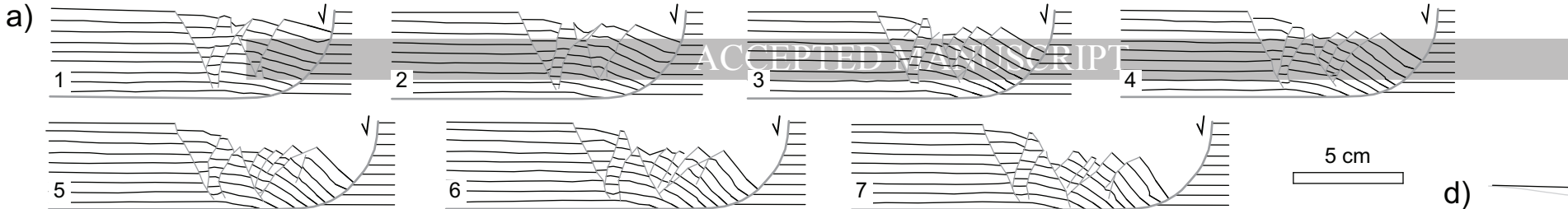
b)



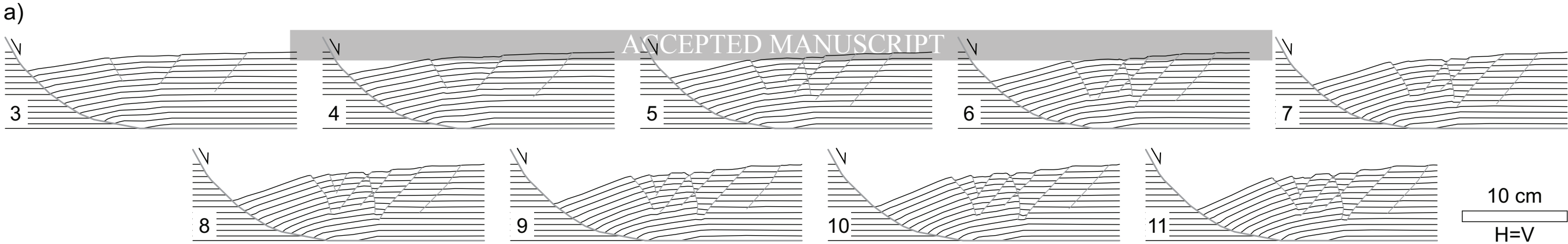
5 cm



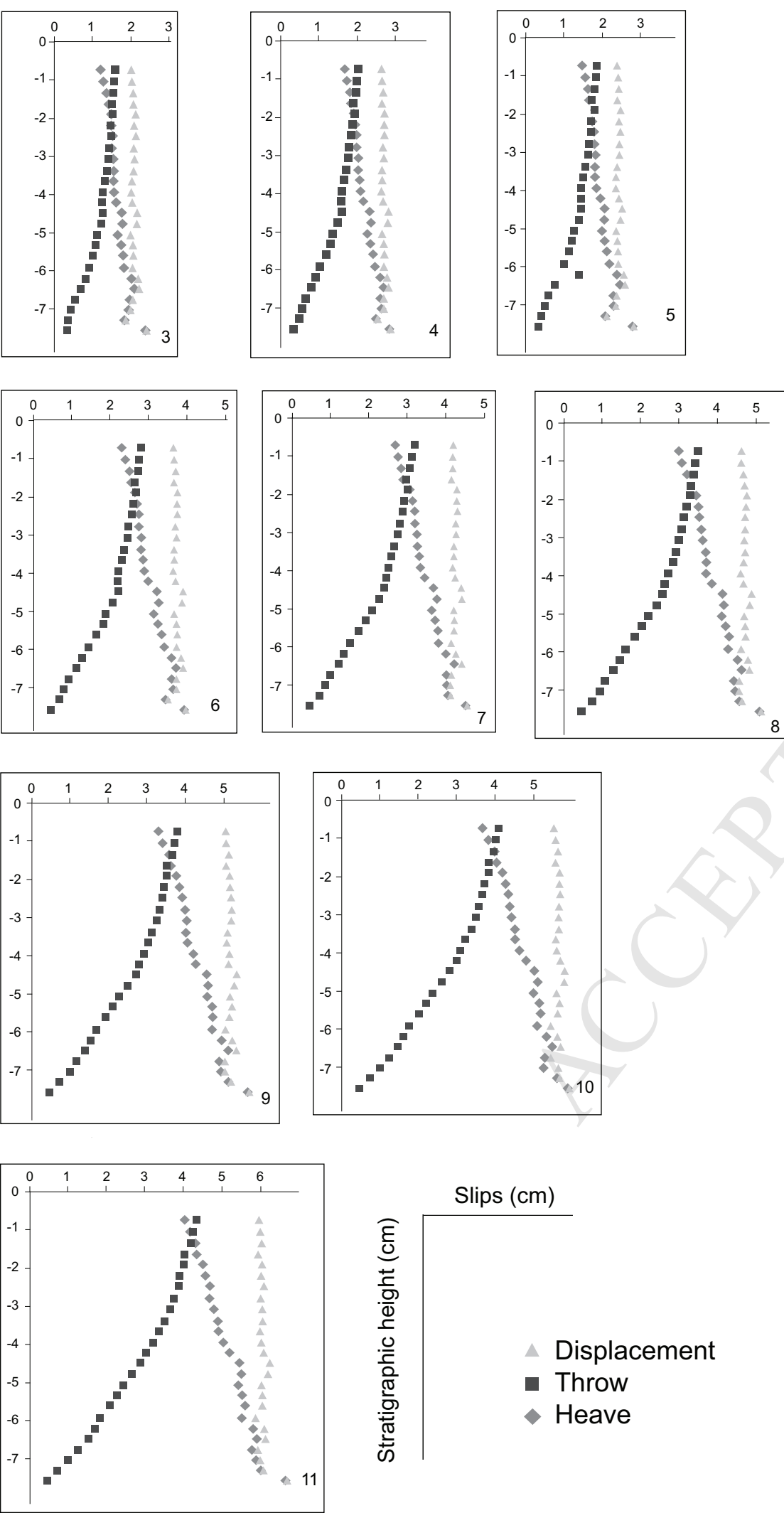
$H=V$



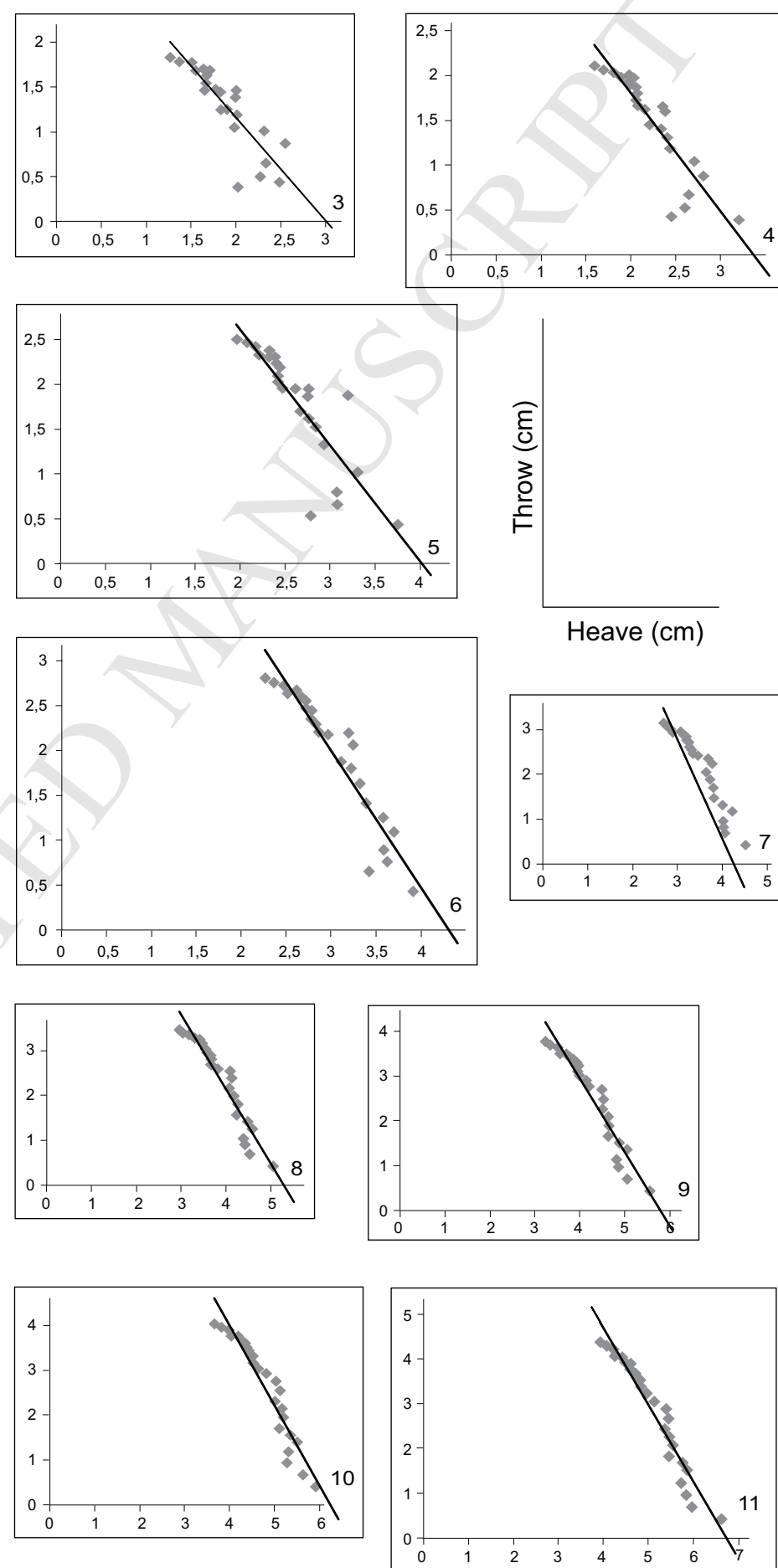




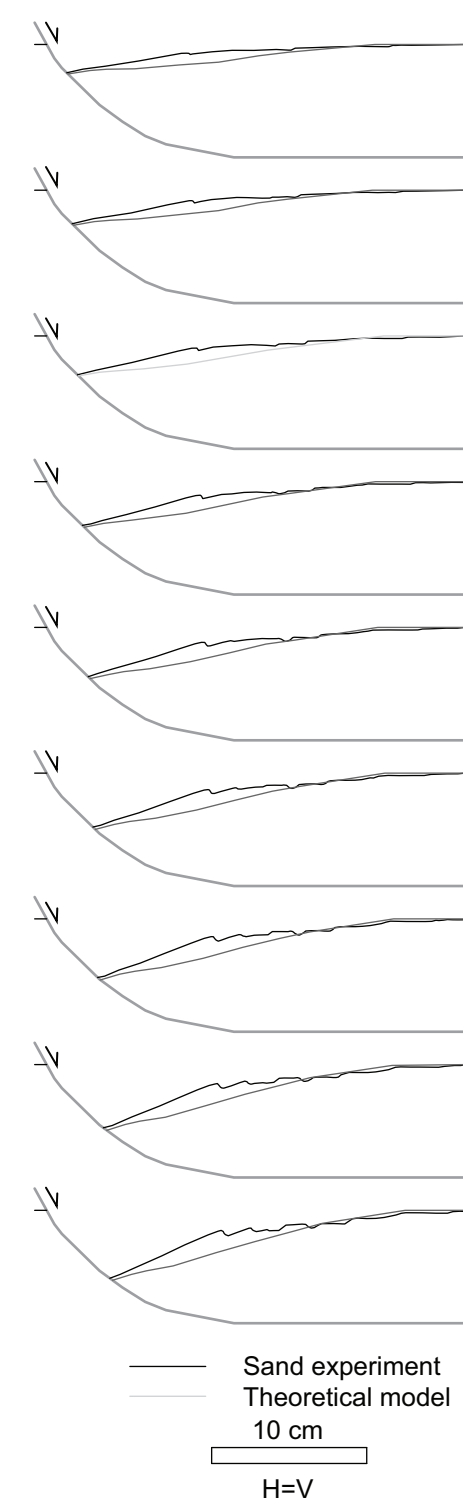
b)



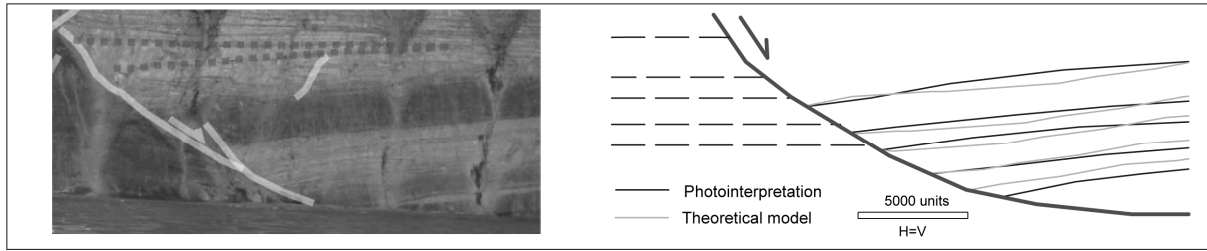
c)



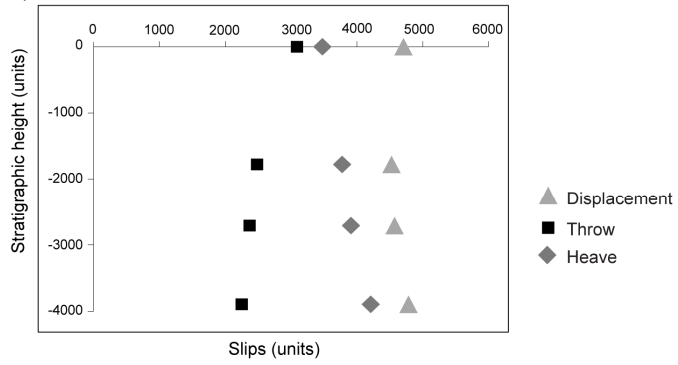
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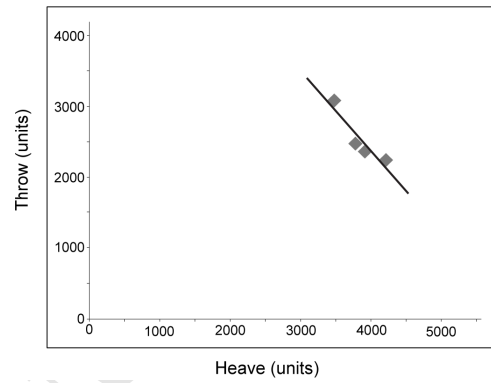
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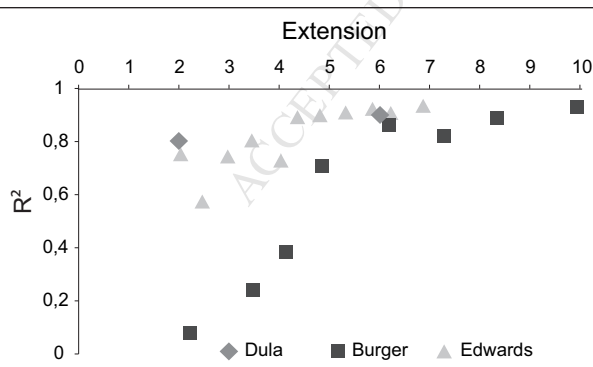
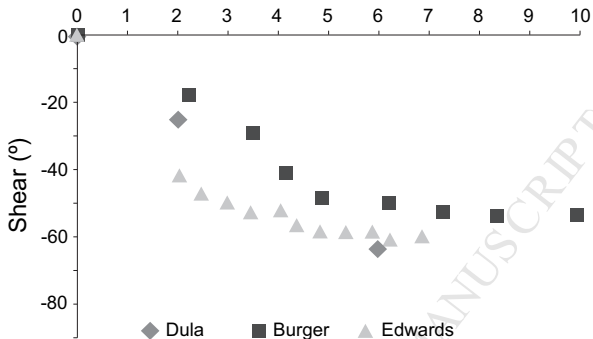


b)



c)





## HIGHLIGHTS

- A method to estimate shear character and dip and amount of extension is proposed.
- It helps when reconstructing listric normal faults and associated structures.
- The effects of tectonic inversion and syntectonic sedimentation are considered.

ACCEPTED MANUSCRIPT