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High triglycerides and low HDL-c lipid profile in rheumatoid arthritis: a potential link among inflammation, oxidative status and dysfunctional HDL

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1 ABSTRACT

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Background: the interactions between inflammation and lipid profile in rheumatoid arthritis
(RA) are poorly understood. The lipid profile study in RA has been biased towards lipoprotein
levels, whereas those of triglycerides (TG) and lipoprotein functionality have been
underestimated.

7 Objetives: since recent findings suggest a role for TG and TG-rich lipoproteins (TRL) on
8 inflammation, we aimed to evaluate a combined lipid profile characterized by high TG and low
9 HDL-cholesterol levels (TG^{high}HDL^{low}) in RA.

Methods: lipid profiles were analyzed in 113 RA patients, 113 healthy controls (HC) and 27
dyslipemic (DL) subjects. Levels of inflammatory mediators, paraoxonase-1 (PON1) activity
and Total Antioxidant Capacity (TAC) were quantified in serum. PON1-rs662 status was
evaluated by RT-PCR.

14 **Results:** the TG^{high}HDL^{low} profile was detected in 29/113 RA patients. Although no differences in prevalence compared to HC or DL subjects were observed, this profile was associated with 15 increased TNFa (p=0.004), MCP-1 (p=0.004), IP-10 (p=0.018) and leptin (p<0.001) serum 16 levels in RA, where decreased PON1 activity and TAC were found. TG^{high}HDL^{low} prevalence 17 18 was lower among anti-TNF α -treated patients (p=0.004). When RA patients were stratified by PON1-rs662 status, these associations remained in the low-activity genotype (QQ). Finally, a 19 poor clinical response upon TNF α -blockade was related to an increasing prevalence of the 20 $TG^{high}HDL^{low}$ profile over treatment (p=0.021) and higher TRL levels at baseline (p=0.042). 21 Conclusions: the TG^{high}HDL^{low} profile is associated with systemic inflammation, decreased 22 23 PON1 activity and poor clinical outcome upon TNF α -blockade in RA, suggesting a role of TRL

24 and HDL dysfunction as the missing link between inflammation and lipid profile.

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27 Keywords: high density lipoproteins, triglycerides, triglyceride-rich lipoproteins, paraoxonase,

28 inflammation, oxidative status, rheumatoid arthritis.

1 INTRODUCTION

2 Blood lipid abnormalities are a frequent hallmark of active rheumatoid arthritis (RA). Many 3 authors have reported an alteration of the lipid profile in RA patients, especially those of lipoproteins during high disease activity states ^{1–3}. Accordingly, disease activity control by 4 different immunomodulatory treatment strategies is related to a restoration of the lipid profile to 5 6 different degrees, although certain controversy exists ¹. Due to the fluctuation of some 7 lipoproteins in the context of a chronic inflammation, and taking into account that a single lipid 8 compound cannot provide enough information on its own, there is a need for more reliable 9 biomarkers related to the lipid profile in RA.

10 The classical clinical management of blood lipids focused on lipoproteins is currently challenged by a compelling body of evidence highlighting an important contribution of other 11 lipid compounds, such as triglycerides (TG), lipoprotein A or cholesterol remnants ⁴⁻⁶. Different 12 panels of experts have raised the point that individual lipoproteins alone may not be accurate for 13 patient stratification and treatment recommendations in the clinical setting (reviewed in ⁵). 14 Actually, reducing LDL-cholesterol (LDL-c) levels as a therapeutic target is now put into 15 question and there is a recommendation to consider other lipid fractions or surrogate markers as 16 they can be more informative ⁷. TG exhibit important differences compared to lipoproteins in 17 their origin, metabolic pathways and downstream effects in different cell types. Therefore, it is 18 19 tempting to speculate that their inclusion in the clinical management will bring valuable information which can complement that of provided by lipoproteins. In fact, several 20 21 epidemiological studies have found an attenuation of the protective effect of HDL-cholesterol (HDL-c) levels on cardiovascular disease (CVD) outcomes when adjusted for TG⁸⁻¹⁰, thus 22 suggesting the existence of interactive effects among lipid classes. Hence, there is a growing 23 body of evidence supporting the study of combined indices, especially when TG are included ¹¹. 24 Consequently, in recent years the relevance of a lipid profile characterized by decreased HDL-c 25 levels and elevated TG has emerged ⁵. This profile has been linked to inflammation and 26 atherosclerosis development, although some knowledge gaps remain⁴. Recently, this profile has 27 been shown to affect the leukocyte gene expression in dyslipidemia subjects ¹². However, the 28 clinical and immunological relevance of this profile in RA is unknown. 29

30 On the other hand, current epidemiological and experimental studies have stated that the only 31 analysis of lipid levels is a too simplistic approach that does not reflect lipoprotein functionality. 32 Lipoproteins can develop a broad range of enzymatic activities, apart from cholesterol transport 33 ¹³. Interestingly, lipoprotein functionality seems to have a better clinical relevance than levels 34 ^{14,15}. Among lipoprotein functions, anti-oxidant properties are emerging as a pivotal player to 35 understand the interaction between lipid profiles and inflammation ¹⁶, compromised anti-oxidant

1 activity being associated with a number of conditions. The most important determinant of the 2 anti-oxidant function of lipoproteins is the enzyme paraoxonase 1 (PON1), whose activity is 3 regulated at the genetic level by the PON1 rs662 polymorphism ^{17,18}. Again, although the role of 4 genetic variants of different genes on lipid levels has been evaluated, their influence on 5 lipoprotein functionality and its clinical relevance is merely started to be appreciated.

6 Taken together, these lines of evidence point to the fact that lipid profiles are much more 7 complex than initially thought. Not only different blood lipid species should be considered 8 together with lipoproteins, but also it may be important to consider the lipoprotein functionality 9 in order to obtain a more realistic insight into the significance of the lipid profile. Therefore, in the present report, we aim to evaluate the relevance of the lipid profile characterized by a 10 combination of deleterious levels of HDL-c and TG in RA patients, with a special focus on their 11 interaction with the inflammatory burden and the role of oxidative status. Moreover, the role of 12 PON1 rs662 as genetic determinant of the HDL functionality was also analyzed to provide a 13 14 more in-depth insight into this complex scenario.

1 MATERIAL AND METHODS

2 Patients

3 This cross-sectional study involved 3 groups of individuals recruited (Table 1). RA patients, 4 fulfilling 2010 ACR/EULAR classification criteria, were enrolled from the Department of 5 Rheumatology at Hospital Universitario Central de Asturias. A complete clinical examination, including Disease Activity Score 28-joints (DAS28) calculation, was performed on all patients. 6 7 Clinical records were revised so as to register traditional CV risk factors. An additional group of 8 13 biological-naïve RA patients (12 women, median age 43 (range: 30 - 65), DAS28 9 5.08(1.93), 38.5% RF+, 46.1% ACPA+), candidates for TNFα-blockers was prospectively 10 followed for 3 months. RA patients must have experienced failure to methotrexate and/or 11 conventional synthetic DMARDs and no previous exposure to any biological DMARD. A blood sample was obtained immediately before (baseline, pre-treatment) as well as 3-months after 12 13 initiation of TNF α -blockade therapy (post-treatment). Clinical response was evaluated by 14 EULAR criteria.

Simultaneously, 113 gender- and age-matched healthy volunteers (HC) were recruited from the same population and a group of 27 individuals with dyslipidemia (DL) was recruited from their primary healthcare center. Dyslipidemia diagnosis was performed according to national guidelines ¹⁹. Exclusion criteria was the previous diagnosis of any immune-mediated condition. Exclusion criteria for all study groups were: recent (<3 months) infections or surgeries, cancer diagnosis or pregnancy.

Automated serum lipids analysis was performed on all the participants in fresh blood samples after an overnight fast. TRL levels were calculated according to the equation provided by Hermans *et al.*²⁰. Serum samples were stored at -80°C until laboratory measurements were carried out. Approval for the study was obtained from the Institutional Review Board (Comité de Ética Regional de Investigación Clínica), in compliance with the Declaration of Helsinki. All the participants gave written informed consent prior to their inclusion in the study.

27 Quantification of inflammatory mediators' serum levels

TNFα, MCP-1, sICAM-1, EGF, IP-10, leptin and resistin serum levels were measured by means
of a Mini ELISA Development Kits (PeproTech), following the instructions provided by the
manufacturer (detection limits were: 3.9 pg/ml, 8 pg/ml, 23.4 pg/ml, 3.9 pg/ml, 3.8 pg/ml, 63
pg/ml and 24 pg/ml, respectively). IFNγ serum levels were quantified using an OptEIA kit (BD)
following the manufacturer's protocol (detection limit: 0.58 pg/ml).

- 1 Levels of IL-8 and GM-CSF were quantified using a Cytometric Bead Array Flex Set (BD) in a
- 2 FACS Canto II flow cytometer using FCAP Array v.1.0.1, following the manufacturer's
- 3 instructions. The detection limits were 1.2 pg/ml and 0.2 pg/ml, respectively.

4 Assessment of PON1 activity

5 PON1 activity was measured in serum samples using paraoxon (Sigma Aldrich, Germany) as

- 6 substrate, as previously described ²¹. PON1 activity was expressed as units (U), where one U
- 7 represent the micromoles of p-nitrophenol formed per minute and per ml of serum.

8 Analysis of Total Antioxidant Capacity

9 A spectrophotometric method based on the cupric reducing antioxidant capacity (CUPRAC

10 method) using a commercial kit (TAC Assay Kit, Sciencell Research Laboratories) was used to

11 quantify the Total Antioxidant Capacity of serum samples. Serum TAC was expressed as mM

12 Trolox equivalent units (mM T-Eq).

13 Analysis of Free Fatty Acids

The levels of total Free Fatty Acids (FFA) were quantified in serum samples using an enzymatic, colorimetric assay using a commercial kit (NEFA kit, Roche) according to the protocol provided by the manufacturer. The detection limit was 0.02 mM.

17 PON1 rs662 genotyping

DNA was isolated from peripheral blood using conventional methods. The PON1 rs662
polymorphism was genotyped with TaqMan predesigned single-nucleotide polymorphism
(SNP) genotyping assays (C_2548962_20) in a 7900 HT Real-Time polymerase chain
reaction (PCR) system, as previously described ²¹.

22 Statistical analyses

Continuous variables were expressed as median (interquartile range) or mean ± standard 23 24 deviation, whereas n(%) was used for categorical ones. Differences among groups were analyzed by Mann Withney U, Kruskal-Wallis (with Dunn-Bonferroni correction for multiple 25 comparisons), χ^2 or Fisher exact tests, as appropriate. Wilcoxon test was used for paired 26 27 samples. Correlations were assessed by Spearman ranks test. The association of categorical 28 variables adjusted for confounders was analyzed by multivariate logistic regression models, and 29 odds ratios (OR) with 95% confidence intervals (CI) were computed. When required, variables were log-transformed to achieve a normal distribution. With an α =0.05, and assuming a 30 prevalence of the TG^{high}HDL^{low} profile in HC of 0.20. our case-control study was able to detect 31 32 an exposure in the case population up to 0.482. A p-value>0.050 was considered as statistically

- 1 significant. Hedges'g statistic was used to estimate size effect, g>0.8 being considered as a large
- 2 effect. Statistical analyses were performed in SPSS 22.0 and GraphPad Prism 5.0 for Windows.

1 **RESULTS**

2 1. High triglyceride-low HDL-c profile (TG^{high}HDL^{low}) in RA

3 Given the controversy on the blood lipid levels and their functionality in RA, and taking into 4 account the heterogeneity among lipid classes, we decided to evaluate the impact of a combined 5 altered lipid profile in RA. To this aim, we focused on the simultaneous presence of decreased HDL-c levels and elevated triglycerides (TG^{high}HDL^{low}) by evaluating this combined profile in 6 7 113 RA patients, 27 non-autoimmune dyslipidemic patients and 113 age- and gender-matched 8 HC. No differences in the lipid profile between HC and RA patients were observed. The cut-off points for the combined lipid profile were obtained from the HC group ¹². Thus, by splitting the 9 HC group into tertiles, 102 mg/dl (upper tertile) and 52 mg/dl (lower tertile) were established as 10 high triglycerides and low HDL-c cut-offs, respectively. Of note, when these cut-offs were 11 applied to patients and controls, no significant differences in the prevalence of the TG^{high}HDL^{low} 12 profile were observed among groups (Table 1). 13

Then, we evaluated whether the TG^{high}HDL^{low} profile could be associated with clinical or 14 15 immunological parameters in RA patients. Overall, no differences in clinical features, disease duration or severity were observed (Table 2). Similarly, no differences in RF or ACPA 16 positivity were found. However, the TG^{high}HDL^{low} group was associated with higher CRP 17 levels, suggesting a link with the inflammatory burden. When traditional CV risk factors were 18 analyzed, the TG^{high}HDL^{low} profile was increased in, but not restricted to, RA patients with a 19 previous diagnosis of dyslipidemia. Similarly, this profile was more frequent among males and 20 21 obese patients. Surprisingly, those patients under TNF α -blockade were less likely to exhibit the TG^{high}HDL^{low} profile, whereas the opposite effect was observed for tocilizumab. No effect was 22 23 observed for other treatments, including statins and glucocorticoids.

24 2. Association between the TG^{high}HDL^{low} profile, inflammatory mediators and oxidative 25 status in RA

As expected, RA patients exhibited increased levels of a number of inflammatory mediators
compared to the other groups analyzed, as well as a reduced serum PON1 activity and TAC
(Supplementary Table 1).

Interestingly, TNF α , MCP-1, IP-10 and leptin serum levels were increased in RA patients with the TG^{high}HDL^{low} profile, compared to their normal lipid profile-counterparts (Table 3). The differences in inflammatory mediators remained after excluding those patients with a previous CV event (CRP: p= 0.022, TNF α : p=0.041, MCP-1: p<0.001, IP-10: p=0.096 and leptin: p=0.004) or those under statin treatment (p=0.028, p=0.049, p=0.004, p=0.014 and p=0.022,

respectively). Equivalent findings were observed for glucocorticoid usage. Importantly, these
 differences were not observed in the DL nor in the HC group.

Interestingly, the TG^{high}HDL^{low} profile was associated with increased TRL levels in RA patients 3 (41.33(19.45) vs 17.09(15.64) mg/dl, p<0.001; g=1.60), as well as in HC (28.91(7.69) vs 4 16.56(12.39) mg/dl, p<0.001; g=1.94) and DL (40.93(25.90) vs 24.55(11.88) mg/dl, p<0.001 5 6 g=1.15) subjects. Moreover, TRL were found to be positively correlated with the levels of CRP (r=0.204, p=0.042), TNFα (r=0.351, p<0.0001), MCP-1 (r=0.409, p<0.0001), IP-10 (r=0.239, 7 8 p=0.018) and leptin (r=0.257, p=0.008), and negatively with PON1 activity (r=-0.203, p=0.036) 9 in RA patients. However, TRL were not related to these mediators in the HC or DL groups. TRL levels were not influenced by treatments. Finally, the serum levels of FFA in RA patients 10 were similar between lipid profiles (TG^{high}HDL^{low}: 0.56±0.32 vs normal: 0.53±0.30 mM, 11 p=0.646). No differences in FFA between RA and HC were found (0.55±0.31 vs 0.48±0.24 12 13 mM, p=0.418).

All these results revealed that the $TG^{high}HDL^{low}$ profile and TLR levels were associated with an enhanced pro-inflammatory milieu in RA patients, whereas no effect was observed in healthy individuals or patients with dyslipidemia alone. Furthermore, TNF α seem to have a prominent role.

18 **3.** Effects of TG^{high}HDL^{low} profile are dependent on the PON1 rs662 genotype

In addition to their levels, a growing body of evidence highlights the relevance of HDL
 functionality. Due to the significance of the PON1 rs662 genetic variants on the HDL-PON1
 antioxidant activity, we further examined whether the presence of the TG^{high}HDL^{low} profile in
 RA patients could have a different effect depending on the rs662 status.

As expected, a gene-dosage effect was observed on serum PON1 activity, patients harboring the 23 OO genotype exhibiting the lowest levels (Supplementary Figure 1A). However, the prevalence 24 25 of the TG^{high}HDL^{low} profile in RA was similar among rs662 variants (p=0.719). No effect of this 26 polymorphism on clinical parameters or serum levels of inflammatory mediators was registered. Frequency of the different treatments did not differ among genotypes (all p>0.050). Similarly, 27 FFA serum levels and TAC were not affected by the rs662 status in RA (Supplementary Figure 28 1B-C). Interestingly, the association between the TG^{high}HDL^{low} profile and the inflammatory 29 mediators was restricted to patients harboring the QQ genotype, being absent in their QR- or 30 31 RR-counterparts (Table 4). Moreover, TRL were correlated with TNFa (r=0.340, p=0.071) and 32 MCP-1 (r=0.604, p<0.001) levels in QQ-patients but not in those QR or RR. The altered lipid 33 profile did not influence the FFA levels in any of the rs662 variants (QQ: p=0.318, QR: p=0.538 34 and RR: p=0.864). Equivalent results were obtained for PON1 activity and TAC (Table 4).

Overall, these findings revealed a link between the TG^{high}HDL^{low} lipid profile and inflammation
in RA, a rs662-driven effect regulating these associations. Moreover, a decreased antioxidant
milieu as a consequence of the QQ rs662 status, but not an increased release of FFA, seem to
underlie this effect.

5 4. TG^{high}HDL^{low} profile and inflammation upon TNFα-blockade

TNF α -blockade has been reported to be able to down-regulate several inflammatory mediators 6 7 as well as to impact the lipid profile in RA patients. The negative association observed between anti-TNFa treatment and the TG^{high}HDL^{low} profile (Table 2) suggests that this lipid profile 8 9 could be used as a feasible serum biomarker of clinical response. Further analyses allowed us to confirm that TNF α -blockers usage was related to a decreased prevalence of the TG^{high}HDL^{low} 10 profile even after adjusting for age, gender, dyslipidemia, disease duration, disease activity and 11 obesity (OR [95% CI], p: 0.164[0.037, 0.725], p=0.017). Thus, we decided to analyze the effect 12 13 of the TNFα-blockade on inflammatory mediators, lipid profile and PON1 activity in a group of RA patients prospectively followed for three months. 14

- None of the patients who achieved an EULAR good clinical response exhibited the 15 TG^{high}HDL^{low} profile (Table 5), whereas it was present in 6 patients within the non-responder 16 group (p=0.021). Similarly, TNF α -blockade was associated with decreasing serum levels of 17 18 TNF α and MCP-1 in responders, but not in their non-responder counterparts. TNF α -blockade had no effect on serum FFA levels (p=0.221), whereas a slight increase in serum PON1 activity 19 20 was detected in the whole group (333.49±127.86 vs 269.46±122.73, p=0.062), not depending on 21 the clinical outcome. Finally, non-responders exhibited increased TRL levels at baseline 22 (23.44±12.34 mg/dl, p=0.042) and after treatment (25.44±14.38 mg/dl, p=0.045) compared to 23 responders (10.23±3.72 and 11.48±5.18 mg/dl, respectively).
- Our findings showed increased TRL levels and an overrepresentation of the $TG^{high}HDL^{low}$ profile in patients with a poor clinical response upon TNF α -blockade. These changes were paralleled to those of serum TNF α , hence suggesting a link between altered lipid profile and clinical outcome.

1 DISCUSSION

2 The links between the altered blood lipid profile and inflammation in RA are still poorly 3 understood. Although several studies have focused on individual lipid classes, less attention has 4 been paid to combined lipid approaches, lipoprotein functionality as well as their impact on surrounding mediators. In the present study, we show the presence of a combined lipid profile in 5 6 RA, characterized by altered levels of TG and HDL-c and related to the TRL levels. Although 7 no differences in prevalence compared to HC were detected, this profile was associated with 8 systemic inflammation and a poor clinical response upon TNFa-blockade. A decreased 9 antioxidant status seems to underlie these effects. Overall, these findings emphasize the 10 relevance of the HDL dysfunction in RA.

11 Most of studies on lipid profiles in RA were focused on lipoprotein levels, whereas the role of 12 TG, and its clinical relevance beyond lipid metabolism, in RA have been ill-defined. As in other conditions, the use of lipid ratios has started to become used in RA. The EULAR consensus for 13 14 cardiovascular risk management in inflammatory arthritis encourages the use of the total- to HDL-c ratio ²². However, several concerns need to be underlined. On the one hand, this lipid 15 16 ratio still underestimates the use of TG levels, as they are not included. However, important 17 divergences in the association between TG and inflammatory markers compared to lipoproteins or cholesterol composite indices arise in RA²³. On the other hand, lipid ratios imply, at least in 18 part, certain stoichiometry between the lipid classes considered. Although this may not be a 19 problem when similar species (for instance, lipoproteins) are studied, this approach may yield 20 21 inconclusive results when different compounds are analyzed. This may account for the lack of 22 appropriate results when the TG/HDL ratio was studied in other conditions. Similarly, since 23 non-linear associations between blood lipids and clinical outcomes have been reported in RA¹, simple ratios could not be adequate. It is important to note that interesting findings arise even 24 25 within normal ranges of individual lipid classes, thus strengthening the relevance of the study of 26 the combined profile and the need for different cut-offs than those used for single lipid classes alone. Therefore, a different combined approach as the one herein reported may provide more 27 28 reliable results.

Our findings revealed an association between the TG^{high}HDL^{low} profile with elevated TRL, thus supporting the deleterious effect of this combined profile. High TG and TRL levels can impact the HDL-c levels, composition and thus, functional status. Elevated TG and TRL may lead to a greater cholesteryl ester exchange via Cholesteryl Ester Transfer Protein (CETP) between TRL and nascent HDL, resulting in TG-rich small, dense HDL particles with reduced anti-oxidant and anti-inflammatory activities and decreased cholesterol-accepting properties ^{24,25}. Importantly, increased CETP has been reported in RA ²⁶. Under these circumstances, LDL

1 hepatic metabolism turns these lipoproteins into smaller and denser particles, with reduced avidity for their liver receptors. Then, these particles exhibit a longer half-life and are more 2 susceptible to oxidization and to subsequent monocyte/macrophages uptake ^{4,27}. Interestingly, it 3 has been demonstrated that HDL particles from individuals with low HDL-c and high TG levels 4 exhibit a reduced capacity to promote cholesterol efflux (CE)¹⁴. Decreased CE has been also 5 found in RA patients linked to disease activity 28 , and being partially restored upon TNF α -6 blockade ²⁹⁻³¹, although certain controversy exists ³². These lines of evidence align with the 7 decreased prevalence of the TG^{high}HDL^{low} profile in RA patients undergoing anti-TNFa 8 9 treatment found in our study. Moreover, these results may suggest certain degree of causality of 10 the TNF α pathway in the altered lipid profile in RA. The fact that an effective TNF α blockade 11 was associated with a normal lipid profile, whereas the lack of a beneficial effect related to the 12 presence of this altered profile, is also in accordance with this hypothesis. Although our findings may suggest the use of the TG^{high}HDL^{low} profile as a biomarker of therapy response, the low 13 sample size of our study is an important limitation. Larger and long-term clinical studies are 14 15 needed.

16 The altered CE may account, at least in part, for the associations between the altered lipid profile and the inflammatory burden. CE by HDL can reduce the raft-like regions in the 17 membrane⁴. Higher levels of cholesterol in plasma membranes of leukocytes are linked to 18 inflammatory responses ^{33–35}. Hence, decreased CE and reduced HDL-c levels cannot counteract 19 the pro-inflammatory activities of TRL, including upregulation of adhesion molecules and the 20 promotion of monocyte recruitment and activation 36,37 . Interestingly, we have found strong 21 associations between TRL levels and those of inflammatory mediators, most of them related to 22 monocyte activation. Therefore, our findings provide new insight into the lipid profile-23 24 monocytes-systemic inflammation axis in RA, which can be of outstanding relevance for the 25 clinical outcome of this condition.

A key result from our study is the interaction between the lipid profile and the oxidative status. 26 The clinical relevance of the TG^{high}HDL^{low} profile was not uniform among individuals, but it 27 seemed to be dependent on the oxidative status. Thus, a profound effect on the inflammatory 28 29 burden was observed in RA, where PON1 activity and TAC were strongly diminished, but not 30 in HC or DL groups. This notion is strengthened by the association with the PON1 rs662 genetic variants. Among RA patients, only in those with the lowest PON1-mediated antioxidant 31 32 activity (that is, those harboring the OO status) showed that association. Therefore, these results 33 disclose a link between the altered lipid profile and the oxidant status, which are reinforced by 34 the negative association between TRL levels and PON1 activity. In this sense, it is important to note that the impaired CE in RA has been related to decreased PON1 functionality and 35 increased MPO activity ²⁸. A causative role of MPO in the HDL dysfunction has been also 36

proposed in other scenarios ³⁸. Overall, these results expand the current knowledge on the 1 2 clinical relevance of the PON1 rs662 polymorphism, strongly determined by gene-environment interactions. Environmental factors can critically impair the antioxidant activity of individuals 3 the low activity genotype ^{21,39,40}, hence explaining their increased susceptibility to different 4 clinical outcomes. Interestingly, loss of PON-1 activity in knockout mice models was associated 5 with increased lipid oxidation and inflammation⁴¹, oxidized lipid species playing a crucial role. 6 7 Surprisingly, Wang and colleagues have revealed that TRL can release oxidized lipid species 8 which in turn can elicit pro-inflammatory responses, including TNF α secretion and upregulation of adhesion molecules, in a more potent fashion than native FFA 42 . These results may explain 9 the strong association between TRL and inflammatory mediators, but not FFA, in RA patients 10 harboring the QQ genotype. Moreover, TRL can also produce reactive oxidative species on their 11 own ⁴³. Additionally, TRL have been also reported to promote NF κ B expression ⁴⁴, which can 12 control MCP-1 production. 13

14 Taken together, these lines of evidence may delineate a bidirectional interaction between lipid profile and inflammation. Classically, inflammation was known to influence the lipid profile. 15 However, it has been recently reported that inflammation can marginally explain lipid 16 17 disturbances in RA⁴⁵, and the idea that lipids indeed influence inflammation is emerging. Actually, the altered lipid profile can appear in the preclinical stage of RA^{45,46}. Furthermore, 18 this double interaction aligns with the existence of a cross-talk between traditional and non-19 traditional risk factors in RA^{47,48}. In fact, the anti-atherogenic functionality of HDL is known to 20 be diminished in RA and other chronic inflammatory conditions ^{32,49}. Inflammation-driven 21 protein composition shifts towards a decreased antioxidant and pro-inflamamatory profile may 22 cause these findings ⁵⁰. 23

24 It is tempting to speculate that the findings herein reported may unravel new perspectives for the CV risk in RA. However, this should be interpreted with caution. One the one hand, some 25 controversy exists regarding the altered CE in RA³². On the other hand, whether impaired HDL 26 27 function is associated with increased CV risk in RA has to be elucidated in prospective studies 28 ³². However, our results may shed some light into these connections, as a role for specific mediators of inflammation (TNFa, MCP-1, EGF and leptin) and those of lipid metabolism 29 (TRL) is reported. Additionally, inflammation and increased oxidative status are known to 30 impact vascular repair by directly impairing circulating progenitors ⁵¹, hence bridging abnormal 31 lipid profile, vascular repair and CV risk. Taken together, these lines of evidence warrants 32 33 further studies addressing the interaction among HDL dysfunction, endothelial homeostasis and CV risk in RA. 34

1 Our findings provide valuable insight for the clinical setting and personalized medicine. 2 Although lipid ratios are recommended in the clinical management of RA, its current use may be revised and additional biomarkers are needed. Moreover, whether lipoprotein ratios can 3 provide information on the functional status of HDL is unknown. Taking into account the 4 relevance of a disturbed lipoprotein functionality ^{4,14,15}, it may be advisable to include this 5 pathological finding in the clinical setting. However, routine analyses for HDL functional status 6 7 are lacking, due to its time and methodological limitations. Taking into account our findings, the TG^{high}HDL^{low} profile can be considered as a surrogate biomarker of an altered HDL functional 8 status. Thus, our results provide a new rationale for patient stratification and treatment decision 9 in this sense, as the TG^{high}HDL^{low} profile can be used to identify RA patients with an enhanced 10 systemic response and increased pro-oxidative status, linked to HDL dysfunction. These 11 patients may be considered for anti-TNF α treatment and/or some therapeutic drugs able to 12 counteract oxidative stress linked to inflammation ⁵². 13

In conclusion, our results revealed that the TG^{high}HDL^{low} lipid profile in RA patients is 14 associated with a number of inflammatory mediators and negatively related to anti-TNFa 15 therapy usage. More importantly, the effect of this profile seems to be related to a decreased 16 antioxidant activity, a negative link between TRL and PON1 activity being observed. Finally, a 17 good clinical outcome upon TNFa-blockade was associated with prevention of this altered 18 profile. To the best of our knowledge, this is the first study emphasizing the relevance of this 19 lipid profile in RA patients and addressing a comprehensive analysis of the altered lipid profile, 20 21 oxidative status, inflammation and genetic determinants of HDL-PON1 functionality.

1 CONFLICT OF INTEREST

- 2 The authors declared no conflicts of interest. Funders have no role in study conception and
- 3 design, data analysis and interpretation or decision to publish.
- 4

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11 AUTHOR CONTRIBUTIONS

JR-C performed most of the experimental procedures, carried out the statistical analyses and drafted and edited the manuscript. PL and RL-M performed some experimental procedures. MA-L, SA-C, FJB-G and FA were in charge of patients' recruitment and clinical data collection and management. MAG-G made important contributions to the interpretation and discussion of the results. AS conceived the study, designed the protocols and drafted and edited the manuscript. All authors read and approved the final version of the manuscript.

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1 **TABLES**

2

Table 1: Demographic features and lipid blood measurements in the individuals recruited
for this study. Continuous variables are summarized as median (interquartile range), whereas
categorical variables are expressed as n, unless otherwise specified. Differences between groups
were assessed by Kruskal-Wallis tests (p-values from Dunn-Bonferroni correction for multiple
comparisons tests are indicated in superscripts) or χ2 tests, as appropriated. DL (dyslipidemia),
HC (healthy controls), TRL (triglyceride-rich lipoproteins), RA (rheumatoid arthritis).

9

| | НС | RA | DL | n voluo | |
|------------------------------|----------------|----------------|------------------------------|---------------------------|--|
| | (n=113) | (n= 113) | (n=27) | p-value | |
| Age, years; median | 53.83 (23.17 – | 53.43 (22.00 - | 57.50 (44.00 - | 0.331 | |
| (range) | 80.00) | 87.00) | 68.67) | 0.551 | |
| Gender, f/m | 82/31 | 92/21 | 17/10 | 0.131 | |
| Lipid profile | | | | | |
| Total-cholesterol, mg/dl | 199.00 (46.50) | 209.00 (52.50) | 211.00 (95.50) | 0.389 | |
| HDL-cholesterol, mg/dl | 61.00 (21.50) | 62.00 (19.00) | 52.00 (19.00) ^a | 0.014 ^b | |
| LDL-cholesterol, mg/dl | 122.00 (44.00) | 118.50 (45.75) | 133.5 (65.00) | 0.260 | |
| Triglycerides (TG), mg/dl | 98.00 (17.50) | 106.00 (74.00) | 145.50 (102.75) ^c | <0.001 ^d | |
| Total/HDL-cholesterol ratio | 3.56 (1.16) | 3.36 (1.40) | 3.97 (1.54) ^e | 0.032 | |
| TRL, mg/dl | 20.91 (13.53) | 22.00 (23.44) | 30.50 (20.22) ^f | <0.001 ^f | |
| $TG^{high}, n(\%)$ | 45 (37.1) | 59 (52.2) | 20 (74.0) | 0.002 ^g | |
| HDL ^{low} , n(%) | 39 (34.5) | 35 (30.9) | 11 (40.7) | 0.438 | |
| $TG^{high} HDL^{low}, n(\%)$ | 30 (26.5) | 29 (25.6) | 11 (40.7) | 0.215 | |
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^a DL vs HC: p=0.035, DL vs RA: p=0.011

^b Hedges'g statistic (DL vs HC): g=0.50

^c DL vs HC: p<0.001, DL vs RA: p<0.006

^d Hedges'g statistic (DL vs HC): g=1.31

15 ^e DL vs RA: p=0.029

16 ^d DL vs HC: p<0.0001, DL vs RA: p<0.007

17 ^f Hedges'g statistic (DL vs HC): g=1.22

18 ^g power=0.952 (a=0.05)

1 **Table 2: Clinical and immunological features of RA patients according to the lipid profiles** 2 **studied in RA patients.** Continuous variables are expressed as median (interquartile range), 3 whereas categorical ones are summarized as n(%), unless otherwise specified. Differences 4 between groups were assessed by Mann-Withney U, χ^2 or Fisher exact tests, as appropriated. 5 ACPA (anti-citrullinated proteine antibodies), CRP (C-reactive protein), DAS28 (disease 6 activity score 28-joints), ESR (erythrocyte sedimentation rate), HAQ (health assessment 7 questionnaire), RF (rheumatoid factor)

| | Normal lipid profile | TG ^{high} HDL ^{low} | |
|-----------------------------------|-----------------------|---------------------------------------|---------|
| | (n=84) | (n=29) | p-value |
| Demographical features | | | |
| Age, years; median (range) | 53.29 (22.00 - 82.50) | 54.91 (31.50 - 76.17) | 0.765 |
| Gender, f/m | 74/10 | 18/11 | 0.002 |
| Disease features | A | | |
| Disease duration, years | 5.00 (9.08) | 3.87 (6.25) | 0.183 |
| Age at diagnosis, years; median | 46.00 (18.00 - 78.50) | 50.12 (21.25 - 70.33) | 0.206 |
| (range) | | | |
| Recruited at onset, n(%) | 9 (10.7) | 6 (20.7) | 0.207 |
| Disease activity (DAS28) | 3.66 (1.99) | 3.63 (1.53) | 0.479 |
| Tender Joint Count | 3.00 (6.00) | 2.00 (6.50) | 0.470 |
| Swollen Joint Count | 2.00 (5.00) | 2.00 (4.50) | 0.685 |
| Patient Global Assessment (0- | 46.00 (40.75) | 35.00 (40.00) | 0.138 |
| 100) | | | |
| ESR, mm/h | 16.50 (23.25) | 12.00 (30.50) | 0.918 |
| CRP, mg/l | 1.70 (3.50) | 3.00 (6.53) | 0.012 |
| HAQ (0-3) | 1.00 (1.13) | 0.75 (1.25) | 0.495 |
| RF (+), n(%) | 48 (60.0) | 20 (71.4) | 0.281 |
| ACPA (+), n(%) | 53 (66.3) | 16 (57.1) | 0.388 |
| Erosive disease, n(%) | 32 (39.0) | 8 (29.6) | 0.380 |
| Traditional CV risk factors, n(%) | | | |
| Dyslipidemia | 21 (25.3) | 19 (65.5) | <0.001 |
| Hypertension | 26 (31.3) | 12 (41.4) | 0.325 |
| Diabetes | 4 (4.8) | 4 (13.8) | 0.106 |
| Obesity (BMI>30) | 13 (16.0) | 10 (34.5) | 0.036 |
| Smoking habit | 24 (28.6) | 13 (44.8) | 0.108 |

| Freatments, n(%) | | | |
|------------------|-----------|-----------|-------|
| Glucocorticoids | 50 (60.2) | 13 (44.8) | 0.150 |
| Aethotrexate | 61 (73.5) | 20 (60.0) | 0.639 |
| ΓNFα blockers | 35 (43.2) | 4 (13.8) | 0.004 |
| Focilizumab | 4 (4.8) | 7 (24.1) | 0.006 |
| Statins | 13 (15.9) | 8 (27.5) | 0.104 |
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1 Table 3: Serum levels of inflammatory mediators and oxidative status parameters according to the lipid profiles studied in HC, RA and DL patients. Continuous

2 variables are expressed as mean ± standard deviation, or median (interquartile range). Differences between groups were assessed by Mann-Withney U tests. DL

3 (dyslipidemia), HC (healthy controls), TRL (triglyceride-rich lipoproteins), PON1 (paraoxonase 1), RA (rheumatoid arthritis), TAC (total antioxidant capacity).

| | НС | | | | RA | | | DL | | |
|-----------------------------|-----------------------------------|---|-------------|-----------------------------------|---|-------------|-----------------------------------|---|-------------|--|
| | Normal lipid profile (n=83) | TG ^{high} HDL ^{low} (n=30) | p- value | Normal lipid profile (n=84) | TG ^{high} HDL ^{low} (n=29) | p- value | Normal lipid profile (n=16) | TG ^{high} HDL ^{low} (n=11) | p- value | |
| Cytokines and | | | | | | | | | | |
| inflammatory mediators | | | | | | | | | | |
| TNFα (pg/ml) | 158.14±193.97 | 136.89±173.87 | 0.916 | 282.92±243.78 | 582.64±753.27 | 0.004 | 141.19±187.76 | 191.49±193.81 | 0.397 | |
| IFNγ (pg/ml) | 3.00±9.68 | 5.89±27.27 | 0.195 | 8.23±14.22 | 7.93±12.95 | 0.884 | 3.40±61.07 | 6.20±52.01 | 0.148 | |
| IL-8 (pg/ml) | 25.74±37.54 | 18.46±6.72 | 0.930 | 50.69±31.40 | 58.40±40.64 | 0.156 | 14.96±8.26 | 13.59±6.04 | 1.000 | |
| GM-CSF (pg/ml) | $1.34{\pm}1.68$ | 0.99 ± 1.09 | 0.659 | 32.61±19.18 | 49.00±58.14 | 0.655 | 4.20±3.21 | 4.10±25.07 | 0.343 | |
| MCP-1 (pg/ml) | 289.74±220.18 | 242.74±159.43 | 0.765 | 419.18±418.34 | 639.83±538.32 | 0.004 | 336.64±208.26 | 500.45±327.24 | 0.148 | |
| sICAM-1 (pg/ml) | 219.31±147.80 | 368.24±240.53 | 0.146 | 256.01±144.26 | 302.36±171.94 | 0.154 | 213.77±100.47 | 326.61±90.78 | 0.008 | |
| EGF (pg/ml) | 119.95±87.57 | 111.21±65.85 | 0.948 | 133.35±79.92 | 225.80±255.86 | 0.137 | 156.75±169.89 | 138.81±119.28 | 0.959 | |
| IP-10 (pg/ml) | 87.27±103.37 | 89.70±121.67 | 0.866 | 106.10±89.25 | 165.19±124.00 | 0.018 | 74.79±55.01 | 100.97 ± 51.25 | 0.087 | |
| Leptin (ng/ml) | 9.21±7.80 | 11.70±11.10 | 0.664 | 12.31±10.53 | 27.27±37.97 | <0.001 | 12.98 ± 11.92 | 12.01 ± 10.99 | 0.878 | |
| Resistin (pg/ml) | 7.32±3.32 | 8.54±2.51 | 0.120 | 9.32±3.55 | 11.40 ± 4.90 | 0.084 | 8.19±2.44 | 8.36±2.58 | 0.799 | |
| Oxidative status parameters | | |) | | | | | | | |
| PON1 (U) | 336.36±134.19 | 392.53±135.80 | 0.097 | 251.43±112.45 | 220.50±97.50 | 0.223 | 329.47±145.16 | 378.63±171.13 | 0.507 | |
| TAC (mM T-Eq) | 4.43±0.86 | 5.01±1.21 | 0.060 | 3.82±0.81 | 4.00±0.86 | 0.236 | 4.57±0.91 | 5.08±1.46 | 0.697 | |

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1 Table 4: Differential effect of the TG^{high}HDL^{low} profile on the inflammatory milieu and oxidative status parameters depending on the PON1 rs662 genetic variants

2 in RA patients. DNA was available from 103/113 (91.1%) RA patients. Variables are summarized as mean ± standard deviation. Differences between lipid profiles were

3 assessed by Mann-Withney U tests. PON1 (paraoxonase 1), TAC (total antioxidant capacity).

| PON1 rs662 genotype QQ | | | QR | | | RR | | | |
|-----------------------------|-----------------------------------|---|-------------|-----------------------------------|---|-------------|----------------------------------|--|-------------|
| | Normal lipid profile (n=36) | TG ^{high} HDL ^{low} (n=14) | p- value | Normal lipid profile (n=31) | TG ^{high} HDL ^{low} (n=10) | p- value | Normal lipid profile (n=9) | TG ^{high} HDL ^{low} (n=3) | p- value |
| Cytokines and inflammatory | | | | | | | | | |
| mediators | | | | | S | | | | |
| TNFα (pg/ml) | 235.15±161.49 | 783.23±804.37 | 0.002 | 329.91±323.54 | 260.61±136.31 | 1.000 | 337.23±227.96 | 368.88±193.13 | 0.864 |
| IFNγ (pg/ml) | 5.93±6.22 | 5.36±3.77 | 1.000 | 11.58±22.08 | 6.14±2.69 | 0.782 | 4.95±2.81 | 2.98±0.45 | 0.133 |
| IL-8 (pg/ml) | 48.83±30.58 | 60.03±50.73 | 0.253 | 54.55±33.70 | 52.95±19.70 | 0.932 | 43.45±15.76 | 42.10±4.61 | 0.600 |
| GM-CSF (pg/ml) | 30.82±19.28 | 43.75±52.70 | 0.905 | 34.42±22.59 | 27.75±2.29 | 0.483 | 32.98±13.68 | 23.25±2.88 | 0.100 |
| MCP-1 (pg/ml) | 344.65±330.65 | 685.71±622.92 | 0.013 | 479.01±515.64 | 593.41±319.59 | 0.073 | 387.53±256.55 | 240.93 ± 108.99 | 0.482 |
| sICAM-1 (pg/ml) | 247.20±142.73 | 292.37±170.25 | 0.310 | 294.31±152.05 | 293.99±133.02 | 0.905 | 239.06±149.74 | 472.26±285.06 | 0.209 |
| EGF (pg/ml) | 113.69±63.91 | 286.36±336.35 | 0.047 | 159.80±83.24 | 223.33±162.52 | 0.449 | 159.08±122.14 | 102.91±93.45 | 0.482 |
| IP-10 (pg/ml) | 94.11±96.81 | 194.77±142.96 | 0.018 | 121.57±88.88 | 142.35±111.57 | 0.621 | 116.20±60.33 | 135.33±141.87 | 0.727 |
| Leptin (ng/ml) | 9.32±7.00 | 29.71±43.44 | 0.002 | 15.27±12.63 | 18.84 ± 8.27 | 0.195 | 14.65±9.87 | 14.29 ± 8.87 | 1.000 |
| Resistin (pg/ml) | 9.37±3.74 | 10.51±3.71 | 0.389 | 9.83±3.44 | 9.11±2.87 | 0.591 | 8.91±2.77 | 15.07±3.55 | 0.058 |
| Oxidative status parameters | | |) | | | | | | |
| PON1 (U) | 175.42±63.49 | 177.09±58.18 | 0.947 | 316.68±104.29 | 258.35±112.88 | 0.183 | 363.30±91.85 | 374.97±86.37 | 1.000 |
| TAC (mM T-Eq) | 3.86±4.11 | 10.51±3.71 | 0.181 | 3.52±0.79 | 3.83±0.52 | 0.171 | 4.20±1.00 | 3.49±0.84 | 0.282 |

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1 Table 5: Changes in lipid profiles, inflammatory mediators, oxidative status parameters and blood lipid classes in RA patients upon TNFα-blockade. RA patients are

2 stratified according to the clinical response achieved based on EULAR criteria after three months of anti-TNF α treatment. Variables are summarized as mean \pm standard

- 3 deviation. Categorical data are expressed as n. Differences were analyzed by Wilcoxon paired tests. BL (baseline), FFA (free fatty acids), PON1 (paraoxonase 1), PT (post-
- 4 treatment), TAC (total antioxidant capacity).
- 5

| | Res | ponders (n=5) | Ż | Non-r | esponders (n=8) | |
|---|---------------|------------------|---------|--------------------|-----------------|---------|
| | BL | РТ | p-value | BL | РТ | p-value |
| Lipid profile | | | | | | |
| TG ^{high} HDL ^{low} , n | 0 | 0 | | 4 | 6 | |
| HDL-c, mg/dl | 69.40±9.31 | $71.80{\pm}1.92$ | 0.465 | 49.85±33.00 | 55.42±15.79 | 0.344 |
| TG, mg/dl | 74.80±23.22 | 83.00±22.56 | 0.892 | 109.14 ± 40.60 | 137.33±59.13 | 0.116 |
| TRL, mg/dl | 10.23±3.72 | 11.48±5.18 | 0.699 | 23.44±12.34 | 25.44±14.38 | 0.389 |
| Inflammatory mediators and oxidative status parameter | ·s | | | | | |
| TNFα (pg/ml) | 451.05±265.98 | 150.14±153.47 | 0.045 | 352.02±197.97 | 394.95±179.31 | 0.484 |
| MCP-1 (pg/ml) | 225.38±72.72 | 145.11±63.31 | 0.043 | $250.37{\pm}60.01$ | 213.02±72.14 | 0.161 |
| PON1 (U) | 296.62±141.02 | 377.49±142.30 | 0.225 | 252.51±116.62 | 305.99±119.20 | 0.161 |
| TAC (mM T-Eq) | 3.64±0.78 | 2.95 ± 0.68 | 0.138 | 4.07 ± 0.99 | 3.69±0.35 | 0.327 |
| FFA (mM) | 0.56±0.28 | 0.54±0.37 | 0.893 | 0.54 ± 0.30 | 0.31±0.20 | 0.161 |

6

HIGHLIGHTS

- TG^{high}HDL^{low} lipid profile is associated with inflammatory mediators in RA
- High TRL levels may underlie the effect of the TG^{high}HDL^{low} profile
- The association between TG^{high}HDL^{low} and inflammation depends on oxidative status
- $TG^{high}HDL^{low}$ profile is related to a poor clinical outcome upon TNF α -blockade in RA