1 Fourier-Based Imaging for Subsampled Multistatic Arrays

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Abstract-This contribution focuses on the limitations of Fourier-based 4 5 imaging when applied to subsampled arrays used in multistatic millimeter-6 wave radar systems. The aim is to determine the relationship between the 7 size of the object under test (OUT), its position with respect to the radar 8 aperture, and the sampling requirements on the aperture so as to recover 0 aliasing-free images. Based on the analysis results, a method for recover-10 ing spectral information is proposed, the idea of which is to replicate the plane wave spectrum, and then apply a band-pass filter defined by a priori 11 12 knowledge of the OUT size. For simplicity, the analysis is done in two-13 dimensional (2-D; range and cross-range dimensions). A simulation-based 14 application is presented for validation purposes.

Index Terms—Backpropagation, fast Fourier transform (FFT), imaging
 systems, multistatic radar system, sparse array.

I. INTRODUCTION

17

18 In the area of homeland security, there is an increasing demand for 19 methods to improve personnel screening for concealed objects and 20 contraband detection at security checkpoints. In this context, active 21 near-field millimeter-wave (mm-wave) imaging radar systems are able to provide high-resolution imaging, with a good tradeoff between 22 23 accuracy and cost. With the mm-wave radar, the object of interest is 24 first illuminated by millimeter waves, and then the scattered field is 25 measured and processed to reconstruct the surface (or volume) of the object [1]-[3]. The most common mm-wave portal imaging systems 26 27 currently used are based on monostatic radar and Fourier inversion [3], 28 [4]. The limitations of monostatic imaging systems are mainly related 29 to the appearance of the reconstructed artifacts, as described in [5]. 30 Therefore, bistatic [6], [7] or multistatic systems [1], [8], [9] seem 31 to represent an interesting alternative toward improving personnel 32 imaging.

State-of-the-art mm-wave scanners take advantage of sparse arrays
to reduce the number of elements; hence, the technical and economic
costs of the scanner [1], [8], [10]. Moreover, the scanning time is also
reduced, allowing for real-time image acquisition.

In [1] and [8], the system capability to handle subsampled arrays is shown, assuming that the object under test (OUT) is centered with respect to the scanning system. The next step in the development of

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security screening systems is on-the-move imaging [11], in which a40person (the OUT) can be screened without him or her having to stop in41front of the scanner, taking advantage of the movement of the person42through a hallway to obtain multistatic views. In this way, multiple43oblique angles of incidence and reception are obtained due to the44diverse relative positions of the person with respect to the antennas.45

Fourier-based imaging developed in [5] for multistatic setups allows 46 fast, real-time image generation. This communication describes the 47Q1 issues that arise when Fourier-based imaging is applied to a multistatic 48 mm-wave imaging system architecture, e.g., the one that is proposed 49 in [11] in which the person under test is off-centered with respect to 50 51 the radar system. A technique to partially recover the information that may be lost due to the particular spectral properties of subsampled 52 multistatic arrays is proposed. 53

II. MULTISTATIC IMAGING

Multistatic imaging considers different propagation paths for the55incident and scattered fields, unlike monostatic imaging, in which56these paths are the same. For simplicity, a two-dimensional (2-D) space57is considered. The definition of the coordinate system is as follows: the58x-axis represents the cross-range dimension, and the y-axis is the range59axis (depth).60

Given the reflectivity function of an object $\rho(x', y')$, the field scattered on a flat receiving aperture located at $y = Y_0$, $E_{scatt}(x, f)$ is given by 63

$$E_{scatt}(x,f) = \iint_{x'y'} \left\{ \begin{array}{l} \rho(x',y') \cdot e^{-jk((x-x')^2 + (Y_0 - y')^2)^{1/2}} \\ e^{-jk((x_{inc} - x')^2 + (y_{inc} - y')^2)^{1/2}} \end{array} \right\} dy' dx'$$
(1)

where (x_{inc}, y_{inc}) is the position of the transmitter, which is considered 64 to be a point source, and $k = 2\pi/\lambda = 2\pi f/c$. 65

Note that the scattered field is a complex signal; thus, its plane 66 wave spectrum is nonsymmetric. Equation (1) can be inverted to 67 recover the reflectivity function from the scattered field samples collected at a certain frequency bandwidth, yielding the well-known SAR 69 backpropagation imaging equation [7], [9] 70

$$\rho(x',y') = \iint_{x \ f} \left\{ \frac{E_{scatt}(x,f) \cdot e^{+jk((x-x')^2 + (Y_0 - y')^2)^{1/2}}}{e^{+jk((x_{inc} - x')^2 + (y_{inc} - y')^2)^{1/2}}} \right\} df dx.$$
(2)

Equation (2) can be solved by means of Fourier-based imaging, 71 as explained in [5]. The basic idea is to use the Fourier transform 72 73 property that states that a displacement in the spatial domain is equiv-74 alent to a phase shift in the frequency domain. Fourier-based imaging is faster than directly solving (2) because fast implementations, such 75 as FFT and IFFT, are available. Additionally, the Nyquist sampling 76 criterion, which sets a limitation on the minimum number of array ele-77 ments to ensure aliasing-free recovered images, needs to be fulfilled, 78 79 as described in detail in the following paragraphs. A monochromatic 80 approach (i.e., single-frequency analysis) is assumed.

Consider the imaging setup presented in Fig. 1(a), which consists 81 of a linear array of receivers parallel to the *x*-axis and a single transmitter. In this case, the OUT is centered with respect to the receiving 83 array, which is within a $\pm \theta_{obj}$ angle. The plane wave spectrum can be 84 expected to have incident directions ranging from $-\theta_{obj}$ to $+\theta_{obj}$, i.e., 85 in the angular region where the OUT is situated. It should be noted that 86

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Spatial domain (a) Spatial domain (c) Receivers Yc Transmitte OUT (b) Spectral domain (d) Spectral domain -k. -k./2 k./2 k ./2 0 k./2 Visible part of the spectral domain

F1:1 Fig. 1. (a) Imaging setup: linear array of receivers and point source-like trans-F1:2 mitter; the OUT is centered with respect to the receiving array. (b) Spectral F1:3 domain: the visible part of the scattered field plane wave spectrum (red dotted F1:4 line) and the spectrum replicas (yellow dashed line) are shown. (c) Imaging F1:5 setup when the spacing between samples is increased. (d) Plane wave spectrum F1:6 of the OUT (green line). Due to the increased separation between receivers, the F1:7 visible part of the spectrum is shortened (red dotted line).

Spatial domain

OU

(b) Spectral domain

Receivers

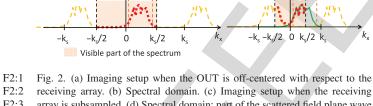
Transmitter

Spatial domain

7 OU

(d) Spectral domain

(a)



F2:2 receiving array. (b) Spectral domain. (c) Imaging setup when the receiving F2:3 array is subsampled. (d) Spectral domain: part of the scattered field plane wave F2:4 spectrum (green line) lies outside the visible part of the spectrum $[-k_s/2k_s/2]$.

the approach that considers all the plane wave contributions as within 87 88 the range $[-\theta_{obj} \theta_{obj}]$ is based on *a priori* knowledge of the OUT size. 89 It does not take into account the OUT geometry (the unknown in the 90 imaging problem), which, due to protrusions or bumps, can create a 91 scattered field with plane wave components outside the $[-\theta_{obj} \theta_{obj}]$ 92 interval. 93 Next, the plane wave spectrum k_x for a single frequency is shown in

Fig. 1(b). If the receivers are placed every Δx , then the sampling fre-94 quency in the k_x domain is $k_s = 2\pi/\Delta x$. Thus, if $\Delta x = \lambda/2$, then 95 $k_{\rm s} = 4\pi/\lambda = 2k$. The spectrum replicas [Fig. 1(b), yellow dashed 96 line] are centered at $\pm nk_s$, with n = 1, 2, ... The plane wave coef-97 98 ficient associated with the incident direction θ_{obj} is given by $k_{obj} =$ 99 $k\sin(\theta_{\rm obi})$.

100 Fig. 1(c) presents the spatial domain when the separation between 101 receivers (Δx) increases with respect to the previous case. Therefore,

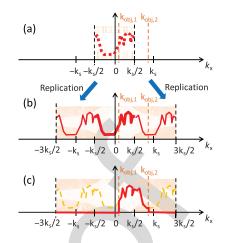


Fig. 3. (a) Plane wave spectrum in the visible $[-k_s/2 k_s/2]$ interval. (b) Plane F3:1 wave spectrum replication on the left and right. (c) Plane wave spectrum F3:2 F3:3 filtering within the $[k_{obj,1}k_{obj,2}]$ interval.

the spectral domain [Fig. 1(d)] is compressed, which may cause 102 overlapping between spectral replicas. 103

III. FOURIER-BASED IMAGING FOR OFF-CENTERED OUT 104

As stated in Section I, on-the-move personnel screening has to take 105 into account cases in which the OUT is not centered with respect to the 106 receiving array, as shown in Fig. 2(a). Such cases include, e.g., those 107 in which the person is on one side of the system. 108

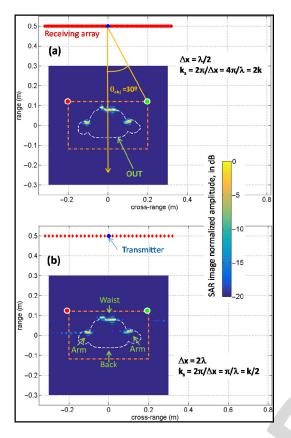
In this case, the field of view ranges from $\theta_{obj,1}$ to $\theta_{obj,2}$. In the 109 spectral domain k_x , the values of $k_{obj,1}$ and $k_{obj,2}$ are given by the 110 position of the OUT with respect to the center of the receiving array. 111 Thus, the scattered field plane wave coefficients are within the range 112 $k_{\text{obj},1} = k\sin(\theta_{\text{obj},1})$ to $k_{\text{obj},2} = k\sin(\theta_{\text{obj},2})$, as plotted in Fig. 2(b). 113

Provided that the receiving array is sampled below the Nyquist 114 criterion, $\Delta x \leq \lambda/2$, even for a limiting case with $\theta_{obj,i} = 90^{\circ}(i =$ 115 $l,2), k_s/2 \ge k_{obj,i} = k$, and no aliasing will occur. 116

When $\Delta x > \lambda/2$, the array is subsampled, as shown in Fig. 2(c). If 117 $\Delta x > \pi/(k \sin(\theta_{obj,i})) = \lambda/(2 \sin(\theta_{obj,i}))$, then part of the OUT plane 118 wave spectrum will be outside the spectral interval $-k_s/2$ to $k_s/2$, 119 as presented in Fig. 2(d). This means that spectral information will 120 be lost, and the OUT will not be correctly reconstructed. Besides, the 121 adjacent spectral replicas partially lie within the $\left[-k_{s}/2k_{s}/2\right]$ interval, 122 as shown in Fig. 2(d) (yellow dashed line). 123

A new method to recover the information within the interval 124 $[k_{obj,1}, k_{obj,2}]$ is proposed for cases in which only nonsignificant parts 125 of the spectrum overlap, as plotted in Fig. 2(d). The proposed tech-126 nique of spectral information recovery consists of three steps, as 127 presented in Fig. 3. 128

- 1) From the acquired scattered field, the plane wave spectrum 129 between $\left[-k_{\rm s}/2 k_{\rm s}/2\right]$ is calculated [Fig. 3(a)]. 130
- 2) Because the limits $k_{obj,1}$ and $k_{obj,2}$ are known from the esti-131 mated OUT size, the plane wave spectrum is replicated n times 132 (with n > 0) on the left and the right side [Fig. 3(b)], satisfying 133 $(-2n-1)k_s/2 < [k_{obj,1} k_{obj,2}] < (2n+1)k_s/2.$ 134
- 3) Finally, a band-pass filter is applied such that only the plane wave 135 spectrum within the $[k_{obj,1}, k_{obj,2}]$ interval, i.e., the relevant plane 136 wave coefficients for OUT imaging, is maintained [Fig. 3(c)]. 137 The reconstructed image of the OUT is created with the data 138 associated with the filtered plane wave spectrum coefficients. 139



F4:1 Fig. 4. Setup for mm-wave imaging with a linear receiving array and a point source-like transmitter. The OUT (white dashed line) is centered with respect to the receiving array. (a) Receiving array sampled every $\lambda/2$. (b) Receiving F4:4 array sampled every 2λ .

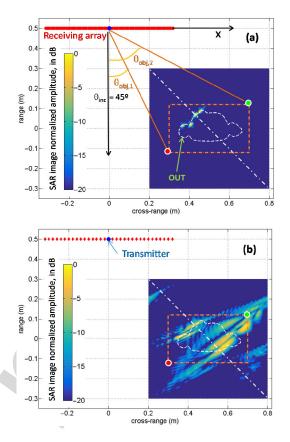
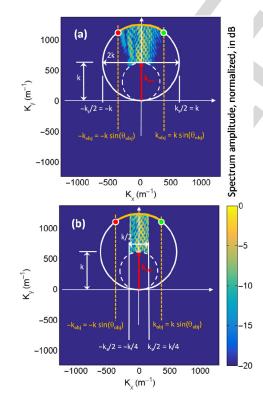
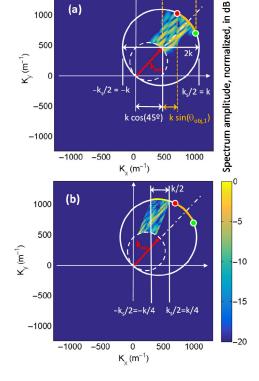


Fig. 6. Setup for mm-wave imaging with a linear receiving array and a point F6:1 source-like transmitter. The OUT (white dashed line) is displaced 50 cm along F6:2 the cross-range axis with respect to the receiving array. (a) Receiving array F6:3 sampled every $\lambda/2$. (b) Receiving array sampled every 2λ . F6:4

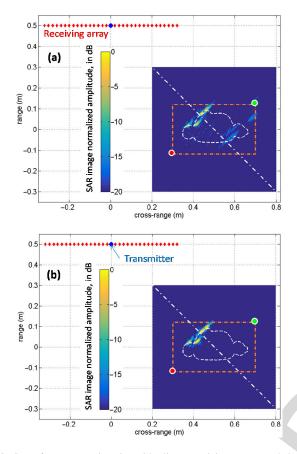
k sin(0,





F5:1 Fig. 5. Plane wave spectrum of the scattered field when the OUT is centered F5:2 with respect to the receiving array. (a) Receiving array sampled every $\lambda/2$. F5:3 (b) Receiving array sampled every 2λ .

Fig. 7. Plane wave spectrum of the scattered field when the OUT is displaced F7:1 50 cm along the x-axis with respect to the receiving array. (a) Receiving array F7:2 sampled every $\lambda/2$. (b) Receiving array sampled every 2λ . F7:3



F8:1Fig. 8. Setup for mm-wave imaging with a linear receiving array sampled everyF8:2 2λ and a point source-like transmitter. The OUT (white dashed line) is dis-F8:3placed 50 cm along the cross-range axis with respect to the receiving array. SARF8:4imaging results (a) after spectrum replication and (b) after spectrum replicationF8:5and filtering.

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IV. APPLICATION EXAMPLE

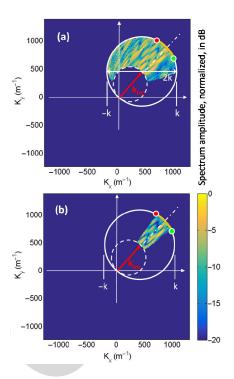
The described methodology is validated through a simulation-based
application example. The scattered field is simulated by using the 2-D
method-of-moments MATLAB code, which implements electric field
integral equations [12].

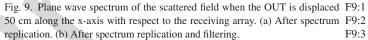
Fig. 4 presents the imaging setup, which resembles a typical mmwave imaging system for screening applications: the OUT represents
a person's cross-section with an object on the waist. A point sourcelike transmitter provides illumination, and a linear array of receivers
collects the scattered field. Vertical (TM) polarization is considered,
with a 15–30 GHz working frequency band.

As described in [11], an on-the-move imaging system takes advantage of the multiple positions of a person to recover the entire profile. To show this concept, two different OUT positions, centered and offcentered with respect to the receiving array, are considered in this example.

156 For the case in which the OUT is facing the receiving array, the 157 viewing angle is $\theta_{obj} \leq 30^{\circ}$.

Fig. 4 presents the imaging results for array sampling rates of $\lambda/2$ [Fig. 4(a)] and 2λ [Fig. 4(b)] at f = 30 GHz. The latter image is slightly distorted due to aliasing. The plane wave spectra for these two cases are plotted in Fig. 5(a) and (b), respectively. The correspondence between the spatial and the spectral mapping for the extreme angles $\pm \theta_{obj}$ are denoted by red (•) and green (•) circles, respectively, in Figs. 4 and 5.





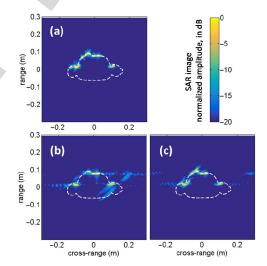


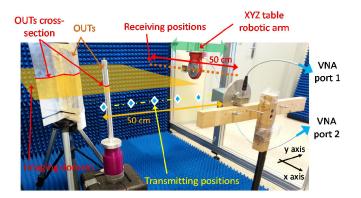
Fig. 10. Combined centered and off-centered SAR images. (a) Receiving arrayF10:1sampled every $\lambda/2$. (b) Receiving array sampled every 2λ . (c) Receiving arrayF10:2sampled every 2λ , applying spectrum replication and filtering.F10:3

For the k_x and k_y coordinates, the k-space mapping is given by 165 [3], [5] 166

$$k_x = \underbrace{k\sin(\theta_{inc})}_{k} + k\sin(\theta_{obj}) \tag{3}$$

$$k_y = \underbrace{k \cos(\theta_{inc})}_{k_{y,inc}} + \sqrt{k^2 - (k \sin(\theta_{obj}))^2}.$$
 (4)

The terms $k_{x,inc}$ and $k_{y,inc}$ refer to the incident field compensation, 167 with θ_{inc} denoting the incident field angle with respect to the y-(range) 168 axis ($\theta_{inc} = 0^{\circ}$ in this case). Multistatic imaging requires that the plane 169



F11:1 Fig. 11. Ku-band measurement setup for experimental validation. The receiver
F11:2 is mounted on a robotic arm and the transmitter is manually placed at six differF11:3 ent positions. The transmitting horn antenna is connected to Port 1 of the vector
F11:4 network analyzer (VNA), and the receiving horn antenna, mounted on the XYZ
F11:5 table robotic arm, to Port 2 of the VNA. Vertical polarization is considered.

170 wave spectrum be compensated by the incident field. For simplicity, 171 the spherical wave of the incident field can be locally approximated 172 as a plane wave [5]; thus, in the spectral domain, the incident field 173 compensation is a linear shift with magnitude $k_{inc} = k$ (Fig. 7, red 174 arrow).

175 When the array is sampled every $\lambda/2$, then $k_s/2 = k$, as shown in 176 Fig. 5(a). In this case, $k_{obj} = k\sin(30^\circ) = k/2 < k_s/2$. When the dis-177 tance between consecutive receivers is 2λ , the sampling rate increases 178 according to $k_s/2 = k/4$ [Fig. 5(b)], and $k_{obj} = k/2 > k_s/2$. This will 179 cause the spectral replicas to overlap and the OUT image to become 180 distorted due to aliasing.

181 Next, the OUT is displaced 50 cm in the x-direction, as shown in Fig. 6. For a receiving array separation of $\lambda/2$ [Fig. 6(a)], the image 182 does not suffer from aliasing because $k_s/2 = k > \max([k_{obj,1}k_{obj,2}])$. 183 Fig. 7(a) shows the analysis results for this case in the spectral domain. 184 185 The incident field can be approached by a $\theta_{inc} = 45^{\circ}$ incident plane 186 wave; thus, the plane wave spectrum is shifted to $k_{x,inc} = k\cos(45^\circ)$ and $k_{y,inc} = k \sin(45^{\circ})$ [5]. The correspondence between the spatial 187 188 and the spectral mapping for the extreme angles $\theta_{obj,1}$ and $\theta_{obj,2}$ are indicated by red (•) and green (•) circles, respectively, in Figs. 6 and 7. 189 If the sampling rate is lowered to $k_s/2 = k/4$, then the spectral 190 191 domain will be outside the $[k_{obj,1}k_{obj,2}]$ interval, as shown in Fig. 7(b). As a result, the OUT cannot be imaged, as plotted in Fig. 6(b). 192

193 To recover the plane wave spectrum, the methodology presented in Fig. 3 is applied. First, the plane wave spectrum in the $\left[-k_{\rm s}/2 k_{\rm s}/2\right]$ 194 195 interval is replicated twice on the left and twice on the right to cover 196 the $[-5k_s/25k_s/2]$ range. After applying the corresponding incident field compensation (k_{inc}) , the extended plane wave spectrum is plot-197 ted in Fig. 9(a). Now, the condition $-5k_s/2 < [k_{obj,1}k_{obj,2}] < 5k_s/2$ is 198 satisfied. Due to the spectral replicas, the recovered OUT image suffers 199 200 from aliasing, as shown in Fig. 8(a).

To remove the plane wave spectrum replicas, the band-pass filter $[k_{obj,1}, k_{obj,2}]$ is applied to the spectral domain [Fig. 9(b)]. Although it is not possible to remove aliasing completely, it should be noted that the main features visible in Fig. 6(a) are also present in the recovered image in Fig. 8(b).

Concerning the practical application of the proposed method to an
on-the-move imaging system, the images for different OUT positions
can be combined as described in [11] to recover the OUT profile.
In this case, only two positions are tested; the results are plotted in
Fig. 10. In the case of subsampled arrays, although aliasing cannot be
fully removed, the proposed technique allows for accurate OUT profile

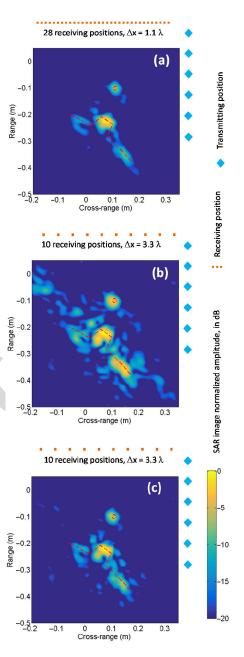


Fig. 12. Combined centered and off-centered SAR images. (a) Receiving array F12:1 sampled every 1.1λ at 18 GHz. (b) Receiving array sampled every 3.3λ . F12:2 (c) Receiving array sampled every 3.3λ , applying spectrum replication and F12:3 filtering. Red dashed line represents the true OUTs profile. F12:4

reconstruction with a reduced number of receiving elements, thus making the mm-wave imaging system simpler in terms of technical and economic effort. 214

V. MEASUREMENTS RESULTS 215

The imaging setup described in Section IV is replicated inside an 216 XYZ table measurement range [13] for practical validation with measurements in the Ku frequency band (12–18 GHz). Fig. 11 shows a 218 picture of the measurement setup. In this setup, the transmitting and 219 receiving positions are located along different sides of the imaging 220 domain, as shown in Figs. 11 and 12, aiming to test the capability of 221 the proposed technique to work with this kind of multistatic imaging 222 setups [5]. 224 Scattered field is measured along a linear synthetic aperture placed 225 at y = +0.5 m, ranging from x = -0.2 m to x = +0.3 m. Six 226 equally spaced transmitting positions, placed at x = 0.5 m, and rang-227 ing from y = -0.3 m to y = +0.2 m are considered. The OUTs are 228 a bent metallic plate resembling the cross-section of a human body 229 torso with an object on it, and a 2-cm diameter metallic pole placed at 230 x = 0.1 cm, y = -0.1 cm, used also as a reference for optical align-231 ment of the transmitter when manually moved from one position to 232 another.

The same process described in Section IV is applied to the measured field samples for every transmitting position. Fig. 12 presents the combined SAR images for all the transmitting positions. Even with a subsampling of 1.1λ [28 receiving positions, Fig. 12(a)] OUTs profile can be recovered without aliasing. However, when the subsampling is increased up to 3.3λ [10 receiving positions, Fig. 12(b)], the recovered image is distorted by aliasing, which can be partially removed after the

240 application of spectrum replication and filtering [Fig. 12(c)].

VI. CONCLUSION

The limitations of Fourier-based imaging applied to multistatic systems with subsampled arrays were presented and discussed, and a simple technique for partial recovery of plane wave spectrum information was introduced. The simulation and measurement results confirm the viability of the proposed approach in the development of onthe-move multistatic mm-wave imaging systems or any other radar

248 configuration in which the target is off-centered.

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4 Abstract-This contribution focuses on the limitations of Fourier-based 5 imaging when applied to subsampled arrays used in multistatic millimeter-6 wave radar systems. The aim is to determine the relationship between the 7 size of the object under test (OUT), its position with respect to the radar 8 aperture, and the sampling requirements on the aperture so as to recover 0 aliasing-free images. Based on the analysis results, a method for recover-10 ing spectral information is proposed, the idea of which is to replicate the plane wave spectrum, and then apply a band-pass filter defined by a priori 11 12 knowledge of the OUT size. For simplicity, the analysis is done in two-13 dimensional (2-D; range and cross-range dimensions). A simulation-based 14 application is presented for validation purposes.

Index Terms—Backpropagation, fast Fourier transform (FFT), imaging
 systems, multistatic radar system, sparse array.

I. INTRODUCTION

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18 In the area of homeland security, there is an increasing demand for 19 methods to improve personnel screening for concealed objects and 20 contraband detection at security checkpoints. In this context, active 21 near-field millimeter-wave (mm-wave) imaging radar systems are able to provide high-resolution imaging, with a good tradeoff between 22 23 accuracy and cost. With the mm-wave radar, the object of interest is 24 first illuminated by millimeter waves, and then the scattered field is 25 measured and processed to reconstruct the surface (or volume) of the object [1]-[3]. The most common mm-wave portal imaging systems 26 27 currently used are based on monostatic radar and Fourier inversion [3], 28 [4]. The limitations of monostatic imaging systems are mainly related 29 to the appearance of the reconstructed artifacts, as described in [5]. 30 Therefore, bistatic [6], [7] or multistatic systems [1], [8], [9] seem 31 to represent an interesting alternative toward improving personnel 32 imaging.

State-of-the-art mm-wave scanners take advantage of sparse arrays
to reduce the number of elements; hence, the technical and economic
costs of the scanner [1], [8], [10]. Moreover, the scanning time is also
reduced, allowing for real-time image acquisition.

In [1] and [8], the system capability to handle subsampled arrays is shown, assuming that the object under test (OUT) is centered with respect to the scanning system. The next step in the development of

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security screening systems is on-the-move imaging [11], in which a40person (the OUT) can be screened without him or her having to stop in41front of the scanner, taking advantage of the movement of the person42through a hallway to obtain multistatic views. In this way, multiple43oblique angles of incidence and reception are obtained due to the44diverse relative positions of the person with respect to the antennas.45

Fourier-based imaging developed in [5] for multistatic setups allows 46 fast, real-time image generation. This communication describes the 47Q issues that arise when Fourier-based imaging is applied to a multistatic 48 mm-wave imaging system architecture, e.g., the one that is proposed 49 in [11] in which the person under test is off-centered with respect to 50 51 the radar system. A technique to partially recover the information that may be lost due to the particular spectral properties of subsampled 52 multistatic arrays is proposed. 53

II. MULTISTATIC IMAGING

Multistatic imaging considers different propagation paths for the55incident and scattered fields, unlike monostatic imaging, in which56these paths are the same. For simplicity, a two-dimensional (2-D) space57is considered. The definition of the coordinate system is as follows: the58x-axis represents the cross-range dimension, and the y-axis is the range59axis (depth).60

Given the reflectivity function of an object $\rho(x', y')$, the field scattered on a flat receiving aperture located at $y = Y_0$, $E_{scatt}(x, f)$ is given by 63

$$E_{scatt}(x,f) = \iint_{x'y'} \left\{ \begin{array}{l} \rho(x',y') \cdot e^{-jk((x-x')^2 + (Y_0 - y')^2)^{1/2}} \\ e^{-jk((x_{inc} - x')^2 + (y_{inc} - y')^2)^{1/2}} \end{array} \right\} dy' dx'$$
(1)

where (x_{inc}, y_{inc}) is the position of the transmitter, which is considered 64 to be a point source, and $k = 2\pi/\lambda = 2\pi f/c$. 65

Note that the scattered field is a complex signal; thus, its plane 66 wave spectrum is nonsymmetric. Equation (1) can be inverted to 67 recover the reflectivity function from the scattered field samples collected at a certain frequency bandwidth, yielding the well-known SAR 69 backpropagation imaging equation [7], [9] 70

$$\rho(x',y') = \iint_{x \ f} \left\{ \frac{E_{scatt}(x,f) \cdot e^{+jk((x-x')^2 + (Y_0 - y')^2)^{1/2}}}{e^{+jk((x_{inc} - x')^2 + (y_{inc} - y')^2)^{1/2}}} \right\} df dx.$$
(2)

Equation (2) can be solved by means of Fourier-based imaging, 71 as explained in [5]. The basic idea is to use the Fourier transform 72 73 property that states that a displacement in the spatial domain is equiv-74 alent to a phase shift in the frequency domain. Fourier-based imaging is faster than directly solving (2) because fast implementations, such 75 as FFT and IFFT, are available. Additionally, the Nyquist sampling 76 criterion, which sets a limitation on the minimum number of array ele-77 ments to ensure aliasing-free recovered images, needs to be fulfilled, 78 79 as described in detail in the following paragraphs. A monochromatic 80 approach (i.e., single-frequency analysis) is assumed.

Consider the imaging setup presented in Fig. 1(a), which consists 81 of a linear array of receivers parallel to the *x*-axis and a single transmitter. In this case, the OUT is centered with respect to the receiving 83 array, which is within a $\pm \theta_{obj}$ angle. The plane wave spectrum can be 84 expected to have incident directions ranging from $-\theta_{obj}$ to $+\theta_{obj}$, i.e., 85 in the angular region where the OUT is situated. It should be noted that 86

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Spatial domain (a) Spatial domain (c) Receivers Yc Transmitte OUT (b) Spectral domain (d) Spectral domain -k -k./2 0 k./2 k 0 k./2 Visible part of the spectral domain

F1:1 Fig. 1. (a) Imaging setup: linear array of receivers and point source-like transF1:2 mitter; the OUT is centered with respect to the receiving array. (b) Spectral
F1:3 domain: the visible part of the scattered field plane wave spectrum (red dotted
F1:4 line) and the spectrum replicas (yellow dashed line) are shown. (c) Imaging
F1:5 setup when the spacing between samples is increased. (d) Plane wave spectrum
F1:6 of the OUT (green line). Due to the increased separation between receivers, the
F1:7 visible part of the spectrum is shortened (red dotted line).

F2:1 Fig. 2. (a) Imaging setup when the OUT is off-centered with respect to the F2:2 receiving array. (b) Spectral domain. (c) Imaging setup when the receiving F2:3 array is subsampled. (d) Spectral domain: part of the scattered field plane wave F2:4 spectrum (green line) lies outside the visible part of the spectrum $[-k_s/2 k_s/2]$.

the approach that considers all the plane wave contributions as within the range $[-\theta_{obj} \theta_{obj}]$ is based on *a priori* knowledge of the OUT size. It does not take into account the OUT geometry (the unknown in the maging problem), which, due to protrusions or bumps, can create a scattered field with plane wave components outside the $[-\theta_{obj} \theta_{obj}]$ interval. Next, the plane wave spectrum k_x for a single frequency is shown in

Fig. 1(b). If the receivers are placed every Δx , then the sampling freguency in the k_x domain is $k_s = 2\pi/\Delta x$. Thus, if $\Delta x = \lambda/2$, then $k_s = 4\pi/\lambda = 2k$. The spectrum replicas [Fig. 1(b), yellow dashed line] are centered at $\pm nk_s$, with n = 1, 2, ... The plane wave coefficient associated with the incident direction θ_{obj} is given by $k_{obj} =$ $k \sin(\theta_{obj})$.

Fig. 1(c) presents the spatial domain when the separation between receivers (Δx) increases with respect to the previous case. Therefore,

Fig. 3. (a) Plane wave spectrum in the visible $[-k_s/2 k_s/2]$ interval. (b) Plane F3:1 wave spectrum replication on the left and right. (c) Plane wave spectrum F3:2 filtering within the $[k_{obj,1}k_{obj,2}]$ interval. F3:3

the spectral domain [Fig. 1(d)] is compressed, which may cause 102 overlapping between spectral replicas. 103

III. FOURIER-BASED IMAGING FOR OFF-CENTERED OUT 104

As stated in Section I, on-the-move personnel screening has to take 105 into account cases in which the OUT is not centered with respect to the 106 receiving array, as shown in Fig. 2(a). Such cases include, e.g., those 107 in which the person is on one side of the system. 108

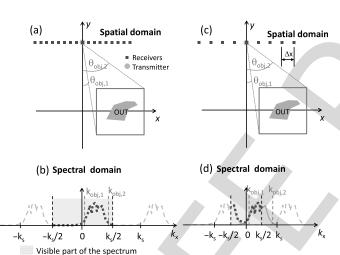
In this case, the field of view ranges from $\theta_{obj,1}$ to $\theta_{obj,2}$. In the 109 spectral domain k_x , the values of $k_{obj,1}$ and $k_{obj,2}$ are given by the 110 position of the OUT with respect to the center of the receiving array. 111 Thus, the scattered field plane wave coefficients are within the range $k_{obj,1} = k\sin(\theta_{obj,2})$ to $k_{obj,2} = k\sin(\theta_{obj,2})$, as plotted in Fig. 2(b). 113

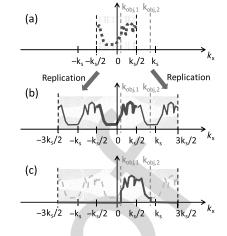
Provided that the receiving array is sampled below the Nyquist 114 criterion, $\Delta x \leq \lambda/2$, even for a limiting case with $\theta_{obj,i} = 90^{\circ}(i = 115 1,2), k_s/2 \geq k_{obj,i} = k$, and no aliasing will occur. 116

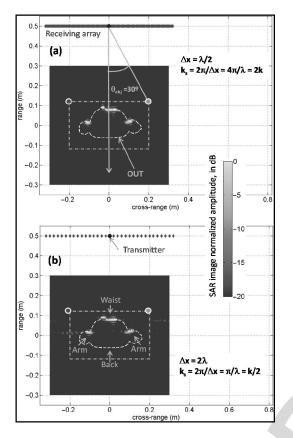
When $\Delta x > \lambda/2$, the array is subsampled, as shown in Fig. 2(c). If 117 $\Delta x > \pi/(k \sin(\theta_{obj,i})) = \lambda/(2 \sin(\theta_{obj,i}))$, then part of the OUT plane 118 wave spectrum will be outside the spectral interval $-k_s/2$ to $k_s/2$, 119 as presented in Fig. 2(d). This means that spectral information will 120 be lost, and the OUT will not be correctly reconstructed. Besides, the 121 adjacent spectral replicas partially lie within the $[-k_s/2k_s/2]$ interval, 122 as shown in Fig. 2(d) (yellow dashed line). 123

A new method to recover the information within the interval 124 $[k_{obj,1}, k_{obj,2}]$ is proposed for cases in which only nonsignificant parts 125 of the spectrum overlap, as plotted in Fig. 2(d). The proposed technique of spectral information recovery consists of three steps, as 127 presented in Fig. 3.

- 1) From the acquired scattered field, the plane wave spectrum 129 between $[-k_s/2 k_s/2]$ is calculated [Fig. 3(a)]. 130
- Because the limits k_{obj,1} and k_{obj,2} are known from the estinated OUT size, the plane wave spectrum is replicated n times 132 (with n > 0) on the left and the right side [Fig. 3(b)], satisfying 133 (-2n 1)k_s/2 < [k_{obj,1} k_{obj,2}] < (2n + 1)k_s/2.
- 3) Finally, a band-pass filter is applied such that only the plane wave 135 spectrum within the $[k_{obj,1}, k_{obj,2}]$ interval, i.e., the relevant plane 136 wave coefficients for OUT imaging, is maintained [Fig. 3(c)]. 137 The reconstructed image of the OUT is created with the data 138 associated with the filtered plane wave spectrum coefficients. 139







F4:1 Fig. 4. Setup for mm-wave imaging with a linear receiving array and a point F4:2 source-like transmitter. The OUT (white dashed line) is centered with respect F4:3 to the receiving array. (a) Receiving array sampled every $\lambda/2$. (b) Receiving F4:4 array sampled every 2λ .

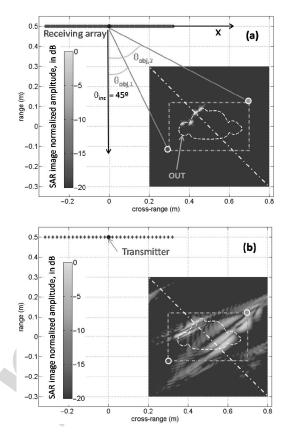
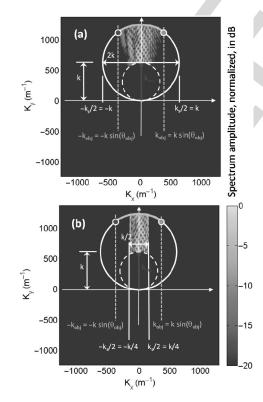


Fig. 6. Setup for mm-wave imaging with a linear receiving array and a point F6:1 source-like transmitter. The OUT (white dashed line) is displaced 50 cm along F6:2 the cross-range axis with respect to the receiving array. (a) Receiving array F6:3 sampled every $\lambda/2$. (b) Receiving array sampled every 2λ . F6:4



F5:1 Fig. 5. Plane wave spectrum of the scattered field when the OUT is centered F5:2 with respect to the receiving array. (a) Receiving array sampled every $\lambda/2$. F5:3 (b) Receiving array sampled every 2λ .

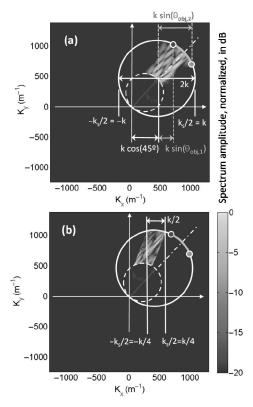
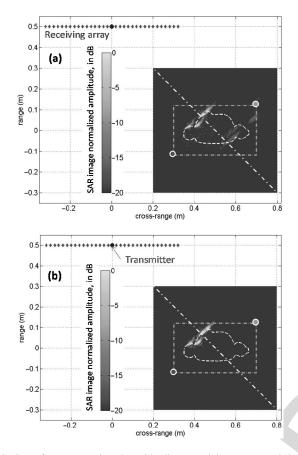


Fig. 7. Plane wave spectrum of the scattered field when the OUT is displaced F7:1 50 cm along the x-axis with respect to the receiving array. (a) Receiving array F7:2 sampled every $\lambda/2$. (b) Receiving array sampled every 2λ . F7:3



F8:1 Fig. 8. Setup for mm-wave imaging with a linear receiving array sampled every F8:2 2λ and a point source-like transmitter. The OUT (white dashed line) is dis-F8:3 placed 50 cm along the cross-range axis with respect to the receiving array. SAR F8:4 imaging results (a) after spectrum replication and (b) after spectrum replication F8:5 and filtering.

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IV. APPLICATION EXAMPLE

The described methodology is validated through a simulation-based
application example. The scattered field is simulated by using the 2-D
method-of-moments MATLAB code, which implements electric field
integral equations [12].

Fig. 4 presents the imaging setup, which resembles a typical mmwave imaging system for screening applications: the OUT represents
a person's cross-section with an object on the waist. A point sourcelike transmitter provides illumination, and a linear array of receivers
collects the scattered field. Vertical (TM) polarization is considered,
with a 15–30 GHz working frequency band.

As described in [11], an on-the-move imaging system takes advantage of the multiple positions of a person to recover the entire profile. To show this concept, two different OUT positions, centered and offcentered with respect to the receiving array, are considered in this example.

156 For the case in which the OUT is facing the receiving array, the 157 viewing angle is $\theta_{obj} \leq 30^{\circ}$.

Fig. 4 presents the imaging results for array sampling rates of $\lambda/2$ [Fig. 4(a)] and 2λ [Fig. 4(b)] at f = 30 GHz. The latter image is slightly distorted due to aliasing. The plane wave spectra for these two cases are plotted in Fig. 5(a) and (b), respectively. The correspondence between the spatial and the spectral mapping for the extreme angles $\pm \theta_{obj}$ are denoted by red (•) and green (•) circles, respectively, in Figs. 4 and 5.

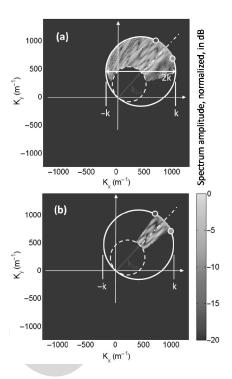


Fig. 9. Plane wave spectrum of the scattered field when the OUT is displaced F9:150 cm along the x-axis with respect to the receiving array. (a) After spectrum F9:2replication. (b) After spectrum replication and filtering.F9:3

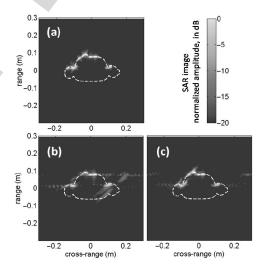


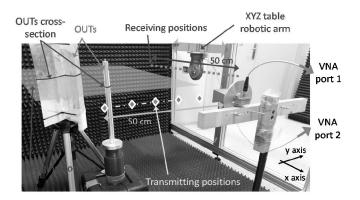
Fig. 10. Combined centered and off-centered SAR images. (a) Receiving arrayF10:1sampled every $\lambda/2$. (b) Receiving array sampled every 2λ . (c) Receiving arrayF10:2sampled every 2λ , applying spectrum replication and filtering.F10:3

For the k_x and k_y coordinates, the k-space mapping is given by 165 [3], [5] 166

$$k_x = \underbrace{k\sin(\theta_{inc})}_{kinc} + k\sin(\theta_{obj}) \tag{3}$$

$$k_y = \underbrace{k_{x,inc}}_{k_{y,inc}} k_{y,inc} + \sqrt{k^2 - (k\sin(\theta_{obj}))^2}.$$
 (4)

The terms $k_{x,inc}$ and $k_{y,inc}$ refer to the incident field compensation, 167 with θ_{inc} denoting the incident field angle with respect to the y-(range) 168 axis ($\theta_{inc} = 0^{\circ}$ in this case). Multistatic imaging requires that the plane 169



F11:1 Fig. 11. Ku-band measurement setup for experimental validation. The receiver
F11:2 is mounted on a robotic arm and the transmitter is manually placed at six differF11:3 ent positions. The transmitting horn antenna is connected to Port 1 of the vector
F11:4 network analyzer (VNA), and the receiving horn antenna, mounted on the XYZ
F11:5 table robotic arm, to Port 2 of the VNA. Vertical polarization is considered.

170 wave spectrum be compensated by the incident field. For simplicity, 171 the spherical wave of the incident field can be locally approximated 172 as a plane wave [5]; thus, in the spectral domain, the incident field 173 compensation is a linear shift with magnitude $k_{inc} = k$ (Fig. 7, red 174 arrow).

175 When the array is sampled every $\lambda/2$, then $k_s/2 = k$, as shown in 176 Fig. 5(a). In this case, $k_{obj} = k\sin(30^\circ) = k/2 < k_s/2$. When the dis-177 tance between consecutive receivers is 2λ , the sampling rate increases 178 according to $k_s/2 = k/4$ [Fig. 5(b)], and $k_{obj} = k/2 > k_s/2$. This will 179 cause the spectral replicas to overlap and the OUT image to become 180 distorted due to aliasing.

181 Next, the OUT is displaced 50 cm in the x-direction, as shown in Fig. 6. For a receiving array separation of $\lambda/2$ [Fig. 6(a)], the image 182 does not suffer from aliasing because $k_s/2 = k > \max([k_{obj,1}k_{obj,2}])$. 183 Fig. 7(a) shows the analysis results for this case in the spectral domain. 184 185 The incident field can be approached by a $\theta_{inc} = 45^{\circ}$ incident plane 186 wave; thus, the plane wave spectrum is shifted to $k_{x,inc} = k\cos(45^\circ)$ and $k_{y,inc} = k \sin(45^{\circ})$ [5]. The correspondence between the spatial 187 188 and the spectral mapping for the extreme angles $\theta_{obj,1}$ and $\theta_{obj,2}$ are 189 indicated by red (•) and green (•) circles, respectively, in Figs. 6 and 7. If the sampling rate is lowered to $k_s/2 = k/4$, then the spectral 190 191 domain will be outside the $[k_{obj,1}k_{obj,2}]$ interval, as shown in Fig. 7(b). As a result, the OUT cannot be imaged, as plotted in Fig. 6(b). 192

193 To recover the plane wave spectrum, the methodology presented in Fig. 3 is applied. First, the plane wave spectrum in the $\left[-k_{\rm s}/2 k_{\rm s}/2\right]$ 194 195 interval is replicated twice on the left and twice on the right to cover 196 the $[-5k_s/25k_s/2]$ range. After applying the corresponding incident field compensation (k_{inc}) , the extended plane wave spectrum is plot-197 ted in Fig. 9(a). Now, the condition $-5k_s/2 < [k_{obj,1}k_{obj,2}] < 5k_s/2$ is 198 satisfied. Due to the spectral replicas, the recovered OUT image suffers 199 200 from aliasing, as shown in Fig. 8(a).

To remove the plane wave spectrum replicas, the band-pass filter $[k_{obj,1}, k_{obj,2}]$ is applied to the spectral domain [Fig. 9(b)]. Although it is not possible to remove aliasing completely, it should be noted that the main features visible in Fig. 6(a) are also present in the recovered image in Fig. 8(b).

206 Concerning the practical application of the proposed method to an 207 on-the-move imaging system, the images for different OUT positions 208 can be combined as described in [11] to recover the OUT profile. 209 In this case, only two positions are tested; the results are plotted in 210 Fig. 10. In the case of subsampled arrays, although aliasing cannot be 211 fully removed, the proposed technique allows for accurate OUT profile

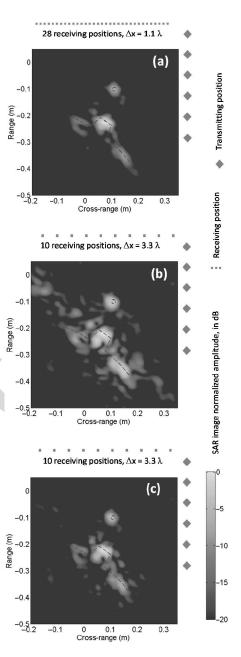


Fig. 12. Combined centered and off-centered SAR images. (a) Receiving array F12:1 sampled every 1.1λ at 18 GHz. (b) Receiving array sampled every 3.3λ . F12:2 (c) Receiving array sampled every 3.3λ , applying spectrum replication and F12:3 filtering. Red dashed line represents the true OUTs profile. F12:4

reconstruction with a reduced number of receiving elements, thus making the mm-wave imaging system simpler in terms of technical and economic effort. 214

V. MEASUREMENTS RESULTS 215

The imaging setup described in Section IV is replicated inside an 216 XYZ table measurement range [13] for practical validation with measurements in the Ku frequency band (12–18 GHz). Fig. 11 shows a 218 picture of the measurement setup. In this setup, the transmitting and 219 receiving positions are located along different sides of the imaging 220 domain, as shown in Figs. 11 and 12, aiming to test the capability of 221 the proposed technique to work with this kind of multistatic imaging 222 setups [5]. 224 Scattered field is measured along a linear synthetic aperture placed 225 at y = +0.5 m, ranging from x = -0.2 m to x = +0.3 m. Six 226 equally spaced transmitting positions, placed at x = 0.5 m, and rang-227 ing from y = -0.3 m to y = +0.2 m are considered. The OUTs are 228 a bent metallic plate resembling the cross-section of a human body 229 torso with an object on it, and a 2-cm diameter metallic pole placed at 230 x = 0.1 cm, y = -0.1 cm, used also as a reference for optical align-231 ment of the transmitter when manually moved from one position to 232 another.

The same process described in Section IV is applied to the measured field samples for every transmitting position. Fig. 12 presents the combined SAR images for all the transmitting positions. Even with a subsampling of 1.1λ [28 receiving positions, Fig. 12(a)] OUTs profile can be recovered without aliasing. However, when the subsampling is increased up to 3.3λ [10 receiving positions, Fig. 12(b)], the recovered image is distorted by aliasing, which can be partially removed after the

240 application of spectrum replication and filtering [Fig. 12(c)].

VI. CONCLUSION

The limitations of Fourier-based imaging applied to multistatic systems with subsampled arrays were presented and discussed, and a simple technique for partial recovery of plane wave spectrum information was introduced. The simulation and measurement results confirm the viability of the proposed approach in the development of onthe-move multistatic mm-wave imaging systems or any other radar

248 configuration in which the target is off-centered.

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QUERIES

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