**UNIVERSIDAD DE OVIEDO** 



## PROGRAMA DE DOCTORADO DE PSICOLOGÍA

# INFLUENCIA DE LA HIPERTENSIÓN PORTAL EN LA CONDUCTA DE LA ORIENTACIÓN ESPACIAL

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#### RESUMEN

La encefalopatía hepática (EH) es un síndrome neuropsiquiátrico y neurocognitivo ocasionado por un daño hepático agudo o crónico. En la actualidad se ha visto que la EH tiene carácter multifactorial, interviniendo en su patogénesis tanto la hipertensión portal como el incremento del amonio. Primeramente, nos centramos en analizar la contribución diferencial de estos factores al metabolismo oxidativo de las regiones cerebrales implicadas en los procesos de aprendizaje y memoria. Los resultados nos permiten observar que los animales con hipertensión portal ven reducida su actividad metabólica en la corteza prefrontal, el estriado ventral, los núcleos talámicos y el hipocampo, manifestando una mayor alteración en el modelo de hiperamonemia, donde las demandas energéticas se ven incrementadas por encima de los niveles convencionales en todas las estructuras cerebrales.

Hemos observado que durante el estadio crónico de la EH, reproducido mediante animales hiperamonémicos, estos fueron incapaces de alcanzar el criterio de aprendizaje en las tareas alocéntrica y de guía. A su vez, detectamos diferencias en la actividad metabólica cerebral en las cortezas prefrontal, parietal y temporal, así como en el estriado dorsal, el tálamo, el hipocampo ventral y los núcleos amigdalinos. Contrariamente, el estudio de la EH mínima (EHM) mediante el modelo de hipertensión portal no mostró alteraciones en la memoria de referencia espacial, pero sí una implicación diferencial de áreas cerebrales como el hipocampo y la amígdala. Por otra parte, el análisis conductual mediante la combinación de la estrategia alocéntrica y de guía reveló una falta de flexibilidad por parte de los animales hipertensos. Además, el análisis de las redes cerebrales basado en la actividad metabólica manifestó que estructuras cerebrales como la corteza parietal, el estriado dorsal y el núcleo accumbens eran comunes a los cambios de estrategia estudiados. Sin embargo, en los sujetos que realizaron el cambio desde la estrategia alocéntrica a la de guía, la corteza prefrontal se reveló primordial, no siendo así en los grupos que realizaron el cambio opuesto donde la relevancia mayor recayó sobre el hipocampo y la amígdala.

Por último, diseñamos diferentes tareas conductuales con el fin de detectar las estructuras cerebrales pioneras en la adquisición del déficit temprano de la EHM. La incapacidad de los animales hipertensos para realizar tanto la tarea de reconocimiento objeto-lugar como el aprendizaje de reversión, junto con los resultados obtenidos mediante la inmunocitoquímica de la proteína c-Fos, nos revelaron la existencia de dos circuitos, un "circuito neural corto" donde el grupo hipertenso muestra una elevada inmunoreactividad en CA1, CA3 y la corteza entorrinal, y un "circuito neural largo" caracterizado por una disminución significativa de actividad c-Fos en CA1, CA3, giro dentado y las cortezas prelímbica e infralímbica en el citado grupo hipertenso en frente al grupo pseudooperado. Igualmente, los animales hipertensos fueron incapaces de alcanzar el criterio de aprendizaje en la tarea de hábitos, lo que iba acompañado de una reducción en la actividad citocromo c-oxidasa en el estriado ventral, hipocampo y los núcleo amigdalinos de los animales con EHM, un incremento del factor necrótico de tumores a en el núcleo estriado, una disminución de células GFAP inmunoreactivas en el estriado dorsal y un incremento en el volumen nuclear neuronal del estriado ventral.

De nuestro trabajo se puede concluir que la existencia de deficiencias en la adquisición de los aprendizajes a corto plazo, correlacionan con los cambios observados en el metabolismo oxidativo cerebral y en la expresión génica de aquellas estructuras cerebrales que se ven primeramente afectadas por la EHM. Asimismo, pudimos relacionar el núcleo estriado con procesos inflamatorios, daños astrocitarios y cambios en el volumen nuclear neuronal, lo que nos permite demostrar la existencia de cambios tempranos antes de que tenga lugar la cronicidad de la EH.

#### ABSTRACT

Hepatic encephalopathy (HE) is a neuropsychiatric and neurocognitive syndrome caused by acute or chronic liver damage. Recently, it has been shown that the EH is a multifactorial disorder, being involved in its pathogenesis portal hypertension and elevated ammonia levels. We analyzed the differential contribution of these factors to brain oxidative metabolism related to learning and memory processes. The results show that portal hypertension animals present a decrease in their metabolic activity in the prefrontal cortex, the ventral striatum, thalamic nuclei and hippocampus, whereas the severest alteration was found in the model of hyperammonemia, where energy demands are increased above the conventional levels in all brain structures.

We observed that during the chronic stage of HE, reproduced by hyperammonemic animals, they were unable to reach the learning criterion in allocentric and cue-guided tasks. In turn, we detected differences in brain metabolic activity in the prefrontal, parietal and temporal cortices and in the dorsal striatum, thalamus, the ventral hippocampus and amygdala nuclei. Conversely, the minimal HE (MHE) studied in the portal hypertension model showed no abnormalities in spatial reference memory, but a differential involvement of brain areas such as the hippocampus and amygdala. Furthermore, behavioral analysis, combining the allocentric and cue-guided strategies, revealed a lack of flexibility by portal hypertension animals. Furthermore, the analysis of brain networks based on the metabolic activity showed that brain structures such as the parietal cortex, the dorsal striatum and nucleus accumbens were common to both strategy changes studied. However, subjects who performed the change from allocentric strategy to cue-guided revealed changes in prefrontal cortex. This is not the case in the groups that made the opposite change where the most important change was shown in the hippocampus and amygdala.

Finally, we design different behavioral tasks in order to detect the pioneering brain structures involved in the deficits in the early acquisition of MHE. The inability of portal hypertension animals to make both the object-place recognition

and reversal learning, together with task the results obtained bv immunocytochemistry of c- Fos protein, revealed the existence of two circuits, a "short neural circuit" where the portal hypertension group showed high immunoreactivity in CA1, CA3 and the entorhinal cortex, and a" long neural circuit" characterized by a significant decrease of c -Fos activity in CA1, CA3, dentate gyrus, infralimbic and prelimbic cortices in portal hypertension group compared to sham group. Similarly, portal hypertension animals were unable to reach the learning criterion in the stimulus-response task, which was accompanied by a reduction in cytochrome c-oxidase activity in the ventral striatum, hippocampus and amygdala nuclei, an increase in tumor necrosis factor  $\alpha$  in the striatum, decreased GFAP immunoreactive cells in the dorsal striatum and increased nuclear volume neuron in the ventral striatum.

From our work it can be concluded that the existence of deficits in the acquisition of short term learnings correlated with observed changes in brain oxidative metabolism and gene expression in those brain structures that are primarily affected by MHE. Also, we could relate the striatum with inflammatory processes, astrocytic damage and changes in neuronal nuclear volume, which allows us to demonstrate the existence of early changes that take place before the chronicity of the EH.

#### 1. INTRODUCCIÓN

#### 1.1 La Encefalopatía Hepática

La cirrosis hepática, lejos de ser un daño aislado del hígado, tiene consecuencias bien conocidas sobre el funcionamiento cerebral. Desde la antigua Grecia y los tiempos romanos se conocen alteraciones mentales relacionadas con un mal funcionamiento hepático. Hipócrates reconocía un "delirio sintomático" asociado con una "ictericia y supresión de la evacuación natural" (Moffat, 1788). En 1765, Morgagni describió la presencia de coma en la cirrosis (Morgagni, 1765), la cual fue denominada encefalopatía portal sistémica (Sherlock y cols., 1954), y posteriormente recibiría el nombre de encefalopatía hepática (EH) (Fazekas y cols., 1957).

La EH es un síndrome neuropsiquiátrico y neurocognitivo potencialmente reversible y frecuente en los pacientes cirróticos (Kappus y Bajaj, 2012; Perazzo y cols., 2012), que se manifiesta a través de un amplio espectro de síntomas como son los cambios en la conciencia, la personalidad y anormalidades en el comportamiento donde se incluyen alteraciones en el ciclo del sueño, daños en las funciones cognitivas e intelectuales, así como trastornos en la actividad motora y la coordinación, pudiendo derivar a un coma profundo y la muerte (Felipo, 2013; Perazzo y cols., 2012).

Debido al amplio espectro de síntomas neurológicos, los cuales varían en severidad, la EH ha sido clasificada en base a sus síntomas clínicos (ver la Tabla 1) o su etiología. A <u>nivel clínico</u>, la forma de monitorizar el curso de la EH, así como el efecto de sus tratamientos, consiste en asignar cuatro grados a sus manifestaciones neurológicas, siendo a tal efecto, la escala de West Haven, también denominada puntuación de Conn, la que nos permite llevarlo a cabo mediante la asignación de valores del 0 al 4, donde las altas puntuaciones refieren una alteración severa (Bass y cols., 2010).

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Definición	Definición	Nivel de	Síntomas	Síntomas Neurológicos	
Clínica	Investigación	Conciencia	Neuropsiquiátricos		
Encubierta	Grado 0=EHM	Normal	Daños sólo apreciables mediante test psicométricos	Ninguno	
	Grado 1	Ligera lentitud mental	Eudisforia, irritabilidad y ansiedad, corta amplitud atencional	Deterioro de las habilidades motoras finas (daño en la escritura, temblor de dedos)	
	Grado 2	Incremento de la fatiga, apatía o letargia	Desorden ligero de la personalidad, ligera desorientación respecto al tiempo y al espacio	Asterixis (o flapping tremor), ataxia, dificultad para hablar	
Abierta	Grado 3	Somnolencia	Agresividad marcada Rigidez, asterixis desorientación del tiempo y el espacio		
	Grado 4	Coma		Signos de incremento de la presión intracraneal	

Tabla 1. Clasificación semicuantitativa del estado mental en la EH utilizando el criterio de West Haven, modificado de Conn y Bircher (1993). El grado 0 se corresponde con la encefalopatía hepática mínima (EHM).

En referencia a su etiología, la working party de 1998 (Ferenci y cols., 2002; Rivera Ramos y Rodríguez Leal, 2011), así como su posterior reclasificación en 2009 por los miembros de la Sociedad Internacional para la Encefalopatía Hepática y el Metabolismo del Nitrógeno (ISHEN) (Bleibel y Al-Osaimi, 2013; Butterworth y cols., 2009) establecieron tres categorías: la EH **tipo A** típicamente asociada con un daño hepático agudo resultado de una severa inflamación y/o de una enfermedad necrótica de evolución rápida, la **tipo B** resultado de una derivación portosistémica con ausencia de daño en el parénquima hepático, y la denominada **tipo C** que acompaña a un daño hepático crónico (cirrosis).

Atendiendo a estas clasificaciones es importante resaltar como la encefalopatía hepática mínima (EHM), previamente conocida como encefalopatía hepática subclínica o latente, se encuentra al principio del espectro de evolución de la EH (Zhan y Stremmel, 2012). Por tanto, la EHM se define como una EH carente de síntomas clínicos o neurológicos, pero con déficits en algunas áreas cognitivas que solamente se ponen de manifiesto mediante pruebas neuropsicométricas (Ferenci y cols., 2002). Siendo la atención, la percepción visuoespacial, la velocidad en el procesamiento de la información, especialmente en el área psicomotora, las habilidades motoras finas y la memoria a corto plazo (Weissenborn y cols., 2001) las áreas principalmente dañadas.

El reto que supone para la clínica el diagnóstico de los pacientes con EHM, junto con el interés creciente por el efectivo diagnóstico de la misma debido a su progresión hacia una EH abierta, así como su elevada incidencia, comprendida entre un 33-50% de los pacientes cirróticos (Felipo, 2013), convierten a la EHM en un serio problema sanitario, social y económico.

#### 1.2 Modelos experimentales de la Encefalopatía Hepática

El desarrollo de un modelo de EH que guarde similitudes con los trastornos hepáticos en humanos es difícil de obtener. Esto es debido a que su etiología (alcohólica, vírica, tóxica, autoinmune, isquémica o genética) varía, así como también lo hacen los diferentes grados de derivación sistémica portal, el daño a órganos internos, y el hecho de que, en la mayoría de los casos, esté asociada con factores precipitantes como infecciones, hipoglucemias, uso de sedantes, etc. Por todo ello, no es posible reproducir todos los aspectos de la EH en modelos animales. Basándose en esto, los modelos actuales tratan de reproducir, de manera aislada, los síntomas de los distintos tipos de EH descritos en humanos (Butterworth y cols, 2009) (Ver Figura 1).

Siguiendo la clasificación propuesta por Butterworth y cols. (2009), el modelo animal de EH mejor caracterizado de fallo agudo hepático es el llamado tipo A, este modelo consta de dos variantes, una de ellas se basa en una anhepatectomía que puede llevarse a cabo bien por la devascularización hepática donde se mantiene el hígado pero se reconduce la circulación (anastomosis portocava), se interrumpe (ligación de la arteria hepática), o bien por la hepatectomía total, donde el hígado es extraído. La segunda de las variantes consiste en un modelo de toxicidad hepática para el que se emplean una gran variedad de sustancias hepatotóxicas como son la galactosamina, acetaminofeno, tioacetamida y azoxymetano. También se conocen otras sustancias potenciales como el fósforo, las nitrosaminas y el tetracloruro de carbono pero no están bien caracterizadas. Estas sustancias o son hepatotóxicas de manera directa, como la galactosamina, o se convierten en tales tras metabolizarse en el hígado. La patología causada por dichas sustancias varía, de ahí la heterogeneidad de los modelos potenciales para el estudio de diversos síntomas de la EH tipo A (Butterworth y cols, 2009).

Para el estudio de la EH tipo B, se ha propuesto un modelo basado en una derivación porto-sistémica. Esta anastomosis portocava ha sido empleada en ratas, conejos, perros y cerdos, siendo no sólo fácil de realizar, sino que, además, ha mostrado alteraciones tales como pérdidas de actividad, alteración de los ritmos circadianos, hiperamonemia y un incremento de la proporción amonio/glutamina cerebral (Butterworth y cols., 2009).

Otro de los modelos animales para el desarrollo de EH tipo B es la utilización de la triple ligadura de la vena porta (Aller y cols., 2005). Este modelo supone una modificación de la ligadura parcial de la vena porta, que conllevaba una rápida reversión de los síntomas circulatorios, al evitar la disminución del flujo sanguíneo, con la creación de un bypass. La realización de la triple ligadura aumenta el tramo estenosado de la vena porta con lo que impide la formación del bypass y reproduce los síntomas de la encefalopatía.

Desafortunadamente la EH tipo C carece de un modelo animal satisfactorio que se correlacione con el daño hepático causado por un estadío avanzado de alcoholismo, así como de la EH tipo C causada por una hepatitis vírica, siendo ambas las etiologías más comunes encontradas en los pacientes que cursan con este síndrome (Butterworth y cols., 2009).

Por último, se han desarrollado modelos para estudiar los efectos del exceso de amonio *per se*, en ausencia de una disfunción hepática, sobre la función cerebral. Estos modelos consisten en alimentar a los animales con dietas ricas en amonio o ureasa (Butterworth y cols., 2009), creándose en dichos animales una hiperamonemia aguda o crónica que nos permite estudiar de manera aislada uno de los principales factores que contribuyen al desarrollo de la EH (Felipo y cols., 2012).



Figura 1. Modelos experimentales actuales de la EH siguiendo la clasificación propuesta por Butterworth y cols. (2009).

#### 1.3 Patogénesis de la Encefalopatía Hepática

#### 1.3.1 Relación entre la Encefalopatía Hepática y la Hipertensión Portal

La hipertensión portal es un síndrome clínico común definido por la elevación de la presión venosa por encima de 5 mm Hg. La presión portal es causada por la combinación de dos procesos hemodinámicos simultáneos: el incremento de la resistencia intrahepática al paso del flujo sanguíneo a través del hígado debido a la cirrosis, y el incremento del flujo sanguíneo esplácnico secundario a la vasodilatación dentro del lecho esplácnico vascular (Al-Busafi y cols., 2012). Es

importante destacar la dificultad de su estudio de manera aislada debido a su asociación con mayores o menores grados de daño hepático. Sus signos clínicos más notables son la ascitis, la encefalopatía hepática o portosistémica y las varices esofágicas (Aller y cols., 2007).

El incremento de la presión de la vena porta, conocida como hipertensión portal, está relacionado con una obstrucción del flujo portal. Dependiendo del nivel al que tenga lugar la obstrucción, se clasificará como posthepática, intrahepática o prehepática. La hipertensión portal prehepática en humanos es causada por una obstrucción de la vena portal extra-hepática con la formación de circulación portosistémica y una función hepática normal, asociada a la denominada EH tipo B (Minguez y cols., 2006; Yadav y cols., 2010).

Ese aumento del flujo sanguíneo en el sistema venoso portal es estabilizado a través de una <u>vasodilatación</u> arteriolar esplácnica que produce la denominada "circulación esplácnica hiperdinámica" o "hiperemia esplácnica". Conjuntamente, y fruto de la reducción de la luz de la vena porta, se produce un aumento de la <u>resistencia vascular</u> al flujo sanguíneo que conlleva la creación de una circulación colateral portosistémica a nivel esplenorrenal y paraesofageal, bien por la apertura de vasos preexistentes o por la formación de nuevos vasos. Siendo por tanto la vasodilatación y la respuesta angiogénica los representantes de la **respuesta inflamatoria** que tiene un papel central en la progresión de la disfunción cerebral (Rolando y cols., 2000).

#### 1.3.2 Relación entre la Encefalopatía Hepática y la Inflamación

Se ha visto una creciente evidencia tanto en modelos animales (Tanaka y cols., 2006; Marini y Broussard, 2006; Jover y cols., 2006; Cauli y cols., 2007; Wright y cols., 2007; Aller y cols., 2012), como en pacientes con cirrosis (Shawcross y cols., 2004; Shawcross y cols., 2007; Shawcross y cols., 2011; Montoliu y cols., 2011) sobre el papel de la inflamación en la exacerbación de las manifestaciones de la EH desde una EH mínima (encubierta) hasta abierta (grados 2-4), ya que se ha corroborado que la severidad del daño neurocognitivo correlaciona con marcadores

de inflamación (Tranah y cols., 2013). Dicha inflamación proviene de infecciones, sangrado gastrointestinal, obesidad y alteraciones en la flora intestinal, factores que confluyen en los pacientes con cirrosis.

Asimismo, la presencia de inflamación queda patente ante la respuesta por parte de las células cebadas, estratégicamente localizadas cerca de los vasos sanguíneos (Galli y cols., 2005), frente al estímulo mecánico que inicia la inflamación esplácnica en ratas con hipertensión portal prehepática (Aller y cols., 2007). Estas células tienen la habilidad de sintetizar y liberar mediadores de la respuesta inflamatoria (Galli y cols., 2005; Dawicki y Marshall, 2007) que alcanzan el sistema nervioso central. De hecho, fruto de dicha inflamación, a nivel molecular se describe un fenotipo de "tormenta citoquímica" caracterizado por un marcado incremento sistémico de citoquinas proinflamatorias como son el factor necrótico de tumores  $\alpha$  (TNF- $\alpha$ ) y las interleuquinas 1 $\beta$ , 6 y 12 (IL-1 $\beta$ , IL-6 e IL-12) (Tranah y cols., 2013). Dicha regulación al alza de factores como el TNF estimula las células gliales cerebrales en su secreción de Il-1 e IL-6 (Silen y cols., 1989). Por último, serán el TNF, la IL-1 y la IL-6 los que producirán un incremento de la permeabilidad de la barrera hematoencefálica (Didier y cols., 2003; de Vries, 1996). Además, el TNF incrementará la difusión del amonio al interior de los astrocitos (Duchini y cols., 1996). La combinación de todos estos eventos contribuirá a la inflamación crónica, así como a la patogénesis de la EHM.

#### 1.3.3 Relación entre la Encefalopatía Hepática y el Amonio

Existe evidencia de la asociación entre el amonio y la EHM (Lockwood y cols., 1991a, 1991b), pero los mecanismos por los cuales el amonio causa la disfunción cerebral no están claros. De manera natural, el amonio circulante deriva del metabolismo de la glutamina en el epitelio intestinal, de la actividad ureasa en la flora intestinal y de la producción renal. Dicho amonio es excretado por los riñones, utilizado para la síntesis de glutamina en los músculos esqueléticos o para la síntesis de urea a nivel hepático, siendo este el más importante.

El ciclo de la urea regula la circulación sistémica del amonio sanguíneo en torno a valores de 50-100  $\mu$ M. Durante el fallo hepático que acontece a la obstrucción de la circulación portal, se produce una situación de hiperamonemia, acumulándose altos niveles de amonio en el cerebro que alcanzan valores en torno a 1-5 mM.

El ciclo consiste en la captación de la glutamina por el intestino y los riñones donde es metabolizado por la glutaminasa a amonio. El amonio generado en el riñón es excretado en la orina o liberado a la vena renal. Tanto el amonio renal liberado a la circulación como el generado en el intestino es metabolizado en el hígado en los hepatocitos periportales para formar urea que posteriormente será excretada en la orina.

Ante la existencia del fallo hepático, la síntesis de urea se ve disminuida y el amonio procedente del intestino o los riñones se escapa de su transformación periportal a urea. Se sabe que cuando se incrementan las concentraciones de amonio periférico resulta tóxico para el cuerpo y debe ser retirado (Cooper y Plum, 1987; Zieve, 1987). Ese amonio es retirado de la circulación por los hepatocitos perivenosos portadores de la glutamina sintetasa formando glutamina. Esta glutamina es liberada de nuevo a la circulación sistémica con lo que será degradada por la glutaminasa intestinal y renal, convirtiéndose el riñón en el órgano central de la detoxificación del amonio (Olde Damink Steven y cols., 2009).

En pacientes con EHM se encontró un incremento en la expresión del gen que codifica la glutaminasa (Romero-Gómez y cols., 2004). Además, otros estudios mostraron incrementos en la expresión intestinal de glutaminasa en los enterocitos de ratas con derivación portocava (Romero-Gómez y cols., 2006). Ambos resultados ofrecen indicios de una mayor producción de amonio desde la flora intestinal en comparación con la habitual.

Sin embargo, la relevancia del aumento del amonio a nivel sistémico radica en su capacidad para afectar a la función del sistema nervioso central, en concreto a los astrocitos. Los astrocitos son un tipo de célula glial que, contrariamente a las neuronas u otras células gliales, son particularmente sensibles a los efectos del amonio; por ello son portadores de la enzima glutamina sintetasa, la cual puede eliminar el amonio a través de la amidación del glutamato para formar glutamina. Eso permite al cerebro actuar como una vía alternativa de detoxificación del amonio durante una insuficiencia hepática (Coltart y cols., 2013). A pesar de esto, la acumulación de la glutamina resultante dentro de los astrocitos ejerce un estrés osmótico que conlleva el hinchazón de la célula (Norenberg, 1987) y ocasiona la alteración de la expresión de la proteína glial fibrilar ácida (GFAP) (Méndez y cols., 2008a). Dicho fenómeno del <u>hinchazón astrocitario</u> se ha demostrado tanto en el fallo hepático agudo (Blei y cols., 1994) como en el crónico (Häussinger y cols., 2000), siendo en este estadio cuando se habla de una astrocitosis Alzheimer tipo II debido a las características morfológicas de los astrocitos (Plum y Hindfelt, 1976).

Asimismo, la glutamina no actúa solamente como un osmolito. Gran parte de la glutamina sintetizada en los astrocitos es transportada desde el citoplasma a la mitocondria, donde es metabolizada por la glutaminasa activada por fosfato (PAG) produciendo amonio y glutamato. Esta generación del amonio por la PAG puede alcanzar niveles elevados, los cuales inducen una transición en la <u>permeabilidad mitocondrial</u> (mPT) en respuesta a un incremento en los niveles mitocondrials de Ca<sup>2+</sup>. Esto conlleva un colapso del potencial de la membrana interna mitocondrial ocasionando el bombeo hacia el exterior de los protones de la cadena de transporte de electrones. La pérdida del potencial de membrana produce un hinchamiento de la matriz mitocondrial, movimiento de metabolitos a través de la membrana interna (por ejemplo, Ca<sup>2+</sup>, Mg<sup>2+</sup>, glutatión y NADPH), una fosforilación oxidativa defectuosa, un cese de la síntesis del ATP, así como la generación de especies reactivas de oxígeno (Rama Rao y Norenberg, 2012) que contribuyen a la mPT (Canevari y cols., 2004).

Al mismo tiempo, los astrocitos están implicados en la formación de la <u>barrera hematoencefálica</u>, por lo que una disfunción astrocitaria o la inflamación derivada de una activación astrocitaria, dado que los astrocitos son capaces de secretar citoquinas y factores neurotróficos, puede ocasionar daños en la integridad de dicha barrera (Coltart y cols., 2013). A este respecto, los estudios han demostrado que la barrera hematoencefálica permanece anatómicamente intacta en

la EH (Wright y cols., 2007), sin embargo, la tomografía de emisión de positrones (PET) sugiere un incremento de la permeabilidad de la misma al amonio (Lockwood y cols., 1991b).

Por último, también debemos resaltar el efecto que tiene el incremento del amonio a nivel metabólico. Un efecto tradicionalmente asociado con un metabolismo cerebral de la glucosa disminuido (Hazell y Butterworth, 1999). Sin embargo, estudios recientes han demostrado la falta de correlación entre cambios en el metabolismo de la glucosa y el grado de encefalopatía (Rama Rao y Norenberg, 2012). No obstante, se ha visto el papel del amonio en el incremento no sólo de los niveles de intermediarios glicolíticos, sino también de la actividad de numerosos enzimas glicolíticos (Ratnakumari y Murthy, 1992, 1993), lo cual ocasiona un aumento de la glicolisis que no va acompañada de un incremento del ciclo de los ácidos tricarboxílicos y subsecuentemente de la cadena de transporte de electrones. De hecho en modelos congénitos de ratones spf de hiperamonemia crónica se ha visto una progresiva inhibición de la actividad citocromo c-oxidasa (complejo IV de la cadena de transporte de electrones) (Rao y cols., 1997). Este incremento no tiene lugar debido a que el piruvato generado en la glicolisis se convierte a lactato en vez de continuar su natural paso por dicha cadena electrónica (Rama Rao y Norenberg, 2012). Dicho aumento en los niveles de lactato se ha relacionado con el desarrollo de edema cerebral y el incremento de la presión intracraneal (Toftengi y Larsen, 2004) (Ver Figura 2).



Figura 2. Contribución del amonio y la inflamación en el desarrollo de la encefalopatía hepática, modificado de Coltart y cols. (2013).

#### 1.4 Alteraciones Neurológicas de la Encefalopatía Hepática

Al diagnóstico de EH se llega tras la exclusión de otras disfunciones cerebrales u otras fuentes de encefalopatía. Más complicado resulta la determinación de la EHM dado que son ligeros cambios neurocognitivos en los ámbitos de la cognición y la atención los que se encuentran afectados, viéndose reflejados por déficits en la desinhibición, daños en la memoria de trabajo y en la coordinación visuomotora.

Estas alteraciones en la atención afectan a la habilidad del paciente para orientarse y realizar funciones ejecutivas, así como el aprendizaje y la memoria (Kappus y Bajaj, 2012). Aunque tradicionalmente han sido los tests neuropsicológicos y psicométricos los que nos han ayudado en su diagnóstico, en la actualidad se han incorporado las pruebas de frecuencia crítica de parpadeo (Kircheis y cols., 2002) y los tiempos de reacción continuos (Lauridsen y cols., 2011) como pruebas diagnósticas.

Es lógico pensar que dichos déficits van acompañados de un gradual deterioro de la función cerebral. Se ha visto que la severidad de la EH se correlaciona con un enlentecimiento global de la actividad oscilatoria cerebral (Butz y cols., 2013). De hecho, los daños cognitivos detectados mediante tests neuropsiquiátricos en pacientes cuyo grado de afección de la EH varía de mínima a abierta, están acompañados de un enlentecimiento de las oscilaciones gamma corticales mientras realizan una tarea de atención bimodal (Kahlbrock y cols., 2012). Las interneuronas GABAérgicas corticales que contienen parvalbumina son las responsables de la generación de estas oscilaciones gamma (Sohal, 2012), por lo que es probable que el daño en la transmisión GABAérgica sea el responsable de estos déficits.

Asimismo, en la EH se produce una disminución en la conciencia que correlaciona con una disminución de la conectividad cortical antero-posterior medida mediante resonancia magnética funcional (Chen y cols., 2012a). Dicha alteración en la conectividad podría ser explicada tanto por una disminución en la transmisión glutamatérgica como por un incremento en el tono GABAérgico (Sergeeva, 2013).

No sólo estos síntomas de neurotransmisión se encuentran alterados en la EH. Debido a las alteraciones en la barrera hematoencefálica, numerosas moléculas son transportadas, entre ellos los aminoácidos de cadena ramificada y los aminoácidos aromáticos (Ciécko-Michalska y cols., 2012). El transporte de estos últimos afecta a la síntesis de catecolaminas (serotonina y dopamina) y produce "falsos neurotransmisores" (octopamina y feniletilamina) ocasionando daños en la neurotransmisión GABAérgica, serotoninérgica y glutamatérgica (Cauli y cols., 2009; Skowrońska y Albrecht, 2012).

No obstante, estas alteraciones en la comunicación neuronal también se han visto favorecidas por la acumulación de otras sustancias. En los pacientes cirróticos aumentan los niveles plasmáticos de manganeso, que en condiciones normales reciben una eliminación hepatobiliar. Debido al fallo hepático, este metal se acumula en el tejido cerebral. Consistentemente con esto, en los pacientes cirróticos se han encontrado depósitos de manganeso en los ganglios basales (Pomier-Layrargues y cols., 1995; Spahr y cols., 2000) y en el globo pálido (Rivera-Mancía y cols., 2012). La peligrosidad de la acumulación del manganeso está relacionada con el papel que juega en la estimulación de la entrada del amonio en el cerebro, su participación en el sistema de señalización de neuroinflamación y el estrés oxidativo y nitrosativo (Butterworth, 2013), así como la estimulación que ejerce sobre la microglía para la generación de especies reactivas de oxígeno que podrían ser mediadoras en la pérdida de neuronas dopaminérgicas (Zhang y cols., 2010).

Por último, estudios recientes han encontrado acúmulos anormales de hierro en el cerebro de pacientes con EHM, sugiriendo que el metabolismo anormal del hierro puede subyacer a los daños neurocognitivos de los pacientes con EHM. Además, estos depósitos de hierro correlacionan con una disminución de la señal T2 que se observa en la resonancia magnética funcional en el circuito frontalcortical-ganglios basales de dichos pacientes (Liu y cols., 2013).

Las alteraciones en la señalización de estos circuitos cerebrales, y sus correspondientes sistemas de neurotransmisión pueden explicar las dificultades en el aprendizaje de distintas tareas que muestran los distintos modelos de la EH, tales como el condicionamiento clásico (Aguilar y cols., 2000), la discriminación condicionada (Erceg y cols., 2005; Monfort y cols., 2007), la memoria de referencia (Arias y cols., 2012) y la memoria de trabajo (Méndez y cols., 2009a).

#### 2. OBJETIVOS

La EH es una enfermedad común en los países occidentales (World Health Organization, 2003), a pesar de ello su etiología sigue entendiéndose como el resultado de numerosos procesos patológicos entre los que se encuentran el daño hepático, la inflamación y el amonio (Arias y cols., 2013). En este contexto la EHM se considera la etapa inicial del espectro de desórdenes neurocognitivos asociados con la EH, por tanto, la patogénesis de la EH abierta y la EHM podría ser similar (Kappus y Bajaj, 2012).

Sin embargo, a pesar de su importancia, pocos estudios se han llevado a cabo para evaluar las alteraciones de memoria de los pacientes con cirrosis que desarrollan EH, llegando algunos autores a afirmar que los daños en la memoria no son uno de los síntomas más importantes de la EH (Weissenborn, 2003). Asimismo, el tratamiento de los pacientes con EH no es uniforme y requiere del desarrollo de nuevas herramientas que permitan evaluar el deterioro cognitivo (Córdoba, 2011).

Es por ello que debido al continuo que supone la evolución de la EH, entender la fase incial conocida como EHM se hace necesario desde un punto de vista preventivo. Dentro de este marco conceptual, la presente tesis persiguió los siguientes objetivos:

- Los principales desencadenantes de la EH son: el daño hepático, la hipertensión portal y la hiperamonemia. Nosotros pretendemos elucidar su contribución diferencial sobre el metabolismo oxidativo neuronal en el sistema límbico (artículo I).
- Estudiar los déficits conductuales producidos en el estadio crónico de la EH, mediante un modelo de hiperamonemia, asociados con el funcionamiento de las redes cerebrales (artículos II).
- Detectar si los cambios neurofisiológicos manifestados en el modelo crónico aparecen en su expresión leve en el modelo subclínico de la EH, como es la encefalopatía hepática mínima (artículos III, IV, V y VI).

- 4. Establecer los parámetros relacionados con la EHM a nivel de presión sanguínea, cambios morfométricos de los órganos, así como verificar la hipotética etiopatogenia inflamatoria de la EHM a través del estudio de algunos mediadores involucrados en la respuesta inflamatoria en las principales áreas cerebrales relacionadas con la memoria (artículo III, IV, V, VI).
- 5. Evaluar la existencia de déficits psicobiológicos tempranos tanto a nivel de la función cognitiva como de la función motora en el modelo de EHM mediante pruebas conductuales que nos permitan evaluar los cambios subclínicos en la EH (artículos III, IV, V y VI).
- 6. Destacar las principales estructuras cerebrales que se encuentran relacionadas con los déficits subclínicos y crónicos de la EH tanto a nivel metabólico, como de genes tempranos y morfometría celular (artículos I, II, III, IV, V y VI).

#### 3. MATERIAL Y MÉTODOS

#### 3.1 Animales

Todos los experimentos se han realizado empleando ratas (*Rattus norvegicus*) macho de la cepa Wistar (250-330 g) procedentes del bioterio de la Universidad de Oviedo. Los sujetos se seleccionaron de manera aleatoria de diferentes camadas y fueron alojados en grupos de 4-5 animales por jaula ( $38 \times 55 \times 20$  cm) con acceso libre a comida y bebida. Asimismo, los animales se mantuvieron bajo un ciclo regular de luz/oscuridad de 12 horas (período de luz: 08:00-20:00h), siendo la temperatura ambiental de  $23 \pm 2^{\circ}$ C y una humedad relativa de  $65 \pm 5\%$ . El uso y la manipulación de los animales se realizaron en todo momento de acuerdo con las Comunidades Europeas 2010/63/UE y legislados en nuestro país mediante el Real Decreto 53/2013.

Según el requerimiento de los experimentos realizados en cada artículo, los animales fueron divididos en varios grupos tal como se indica en la Tabla 2.

Grupos	CO	PS	HP	CHA	HA	TAA
Artículos						
Ι			12		8	8
II				19	15	
III		12	12			
IV	10	18	16			
V		16	14			
VI		13	13			

Tabla 2. Número de animales empleados. En las filas se representan los artículos y en las columnas se muestran los grupos utilizados. CO: control nado; PS: pseudo-operado; HP: hipertenso portal; CHA: control de hiperamonemia; HA: hiperamonemia; TAA: tioacetamida.

#### 3.2 Producción de los modelos experimentales

Los modelos experimentales utilizados en los experimentos de cada uno de los artículos fueron realizados empleando los procedimientos que se describen brevemente a continuación:

**Control nado (IV):** Consistió en animales que fueron manipulados y se introdujeron en la piscina el mismo número de veces y días que los animales experimentales que adquirieron el aprendizaje, de forma que el tiempo de nado fuera equivalente. Los intervalos entre los ensayos y entre sesiones fueron los mismos que en el grupo experimental, aunque en este caso no se utilizó plataforma de escape. Este grupo se utilizó con el fin de controlar factores como el estrés, la manipulación o la actividad motora.

**Hiperamonemia (I y II):** El método utilizado para reproducir la hiperamonemia en nuestros animales fue el mismo realizado por Azorín y cols. (1989). Brevemente, los animales fueron alimentados con una dieta estándar suplementada con acetato de amonio (30 gramos por rata al día) durante un período de 27 días.

**Control hiperamonemia (II):** Los animales fueron alimentados con la dieta estándar sin suplementar, alojándose en las mismas condiciones que los animales hiperamonémicos y realizando las mismas tareas de aprendizaje.

**Tioacetamida (I):** El método empleado para la producción de daño hepático consistió en la administración semanal de pequeñas concentraciones de tioacetamida acordes con el peso corporal de los animales (Xiangnong y cols., 2002). Los animales recibieron concentraciones de tioacetamida (Sigma, Germany) diluidas en los biberones de agua durante un período total de 12 semanas. Se partía de una concentración inicial de tioacetamida al 0.03%, la cual se modificaba en base al incremento semanal del peso corporal de los animales. Para controlar el consumo de cada sujeto, los animales se mantuvieron en cajas individuales de Plexiglas transparente, disponiendo cada uno de su propio biberón que contenía 200 ml de dilución por semana. Cada semana se registraba tanto el peso corporal del animal como su consumo de líquido. En función del peso ganado por el animal se

elaboraban las nuevas concentraciones. En cualquier caso, se tenía presente que el peso del animal no debía verse incrementado en más de 20 g durante cada semana, y que el peso total alcanzado al final de esas 12 semanas no podía sobrepasar la ganancia de 60 g. Al finalizar las 12 semanas, los animales se agrupaban (4-5 por caja) con el fin de evitar la influencia causada por el aislamiento en los parámetros evaluados. A partir de ese momento, todos los animales recibieron una concentración estable de tioacetamida al 0.04%.

#### Modelos con intervención quirúrgica:

Para la obtención de los animales pseudo-operados e hipertensos es necesaria la realización de una intervención quirúrgica, por ello, se procedió a anestesiar a ambos grupos. La anestesia se realizó mediante inyección intraperitoneal donde se combinó ketamina (80mg/kg) y xilacina (12mg/kg). En relación al cuidado postquirúrgico, las ratas se mantuvieron cercanas a una fuente de calor hasta recuperar la conciencia (10-15 min) con el fin de impedir la hipotermia postoperatoria. Después, fueron alojadas en cajas de policarbonato individuales durante 15 días y posteriormente agrupadas en cajas de 6 animales hasta la evaluación del comportamiento. Los procedimientos quirúrgicos y los protocolos utilizados para cada modelo experimental se describen a continuación.

**Pseudo-operado (III, IV, V y VI):** Se realizó una laparotomía bilateral subcostal prolongada hasta la apófisis xifoidea, seguida de la disecación de la vena porta. El campo de operación fue irrigado con suero salino durante la intervención. Finalmente la laparotomía fue cerrada por una sutura continua en dos planos con seda 2/0. El período postoperatorio comenzó inmediatamente después de la intervención y se prolongó durante 45 días hasta la evaluación del comportamiento.

**Hipertensión portal (I, III, IV, V y VI):** Se colocó al animal en decúbito supino y se realizó una laparotomía media xifopubiana. El duodeno se movilizó y se extrayeron las asas intestinales, colocándolas hacia la izquierda del animal protegidas por una gasa humedecida en solución salina isotónica. Igualmente se desplazaron cranealmente los lóbulos superiores hepáticos protegiéndolos con algodón húmedo. Todo ello permitió una correcta exposición del hilio hepático.

Posteriormente, se realizó la disección hiliar para individualizar las estructuras vasculares y biliares. Se disecó la vena porta a lo largo de toda su longitud. Las tres ligaduras estenosantes se realizaron a nivel de la porción superior, medial e inferior de la vena porta respectivamente, y su posición se mantuvo por la situación previa de las ligaduras a una guía plástica con muestras equidistantes a 1.5 mm. Las tres ligaduras se anudaron secuencialmente alrededor de la vena porta (seda 4/0) y de una aguja 20 G con un diámetro externo 1.2 mm. Finalizado el anudamiento, se retiró la aguja quedando una estenosis triple de la vena porta de calibre conocido (1.2 mm).

Fue necesario comprobar que la guía se encuentra correctamente colocada no produciendo angulaciones ni rotaciones de la vena porta, así como verificar la inexistencia de congestión mesentérica la cual es indicativa de hipertensión portal y conlleva la muerte del animal en las primeras veinticuatro horas del postoperatorio.

Por último, se reintegraron las asas intestinales a la cavidad abdominal y se procedió a su cierre mediante un plano peritoneo- aponeurático- muscular con seda 2/0 y un plano cutáneo con seda 2/0.

Tanto en los animales pseudo-operados como en los hipertensos portales, el período postoperatorio empezó inmediatamente después de la intervención y acabó antes de la evaluación del comportamiento, habiendo transcurrido un período de 45 días.

### 3.3 Evaluación de los parámetros fisiológicos e inflamatorios relacionados con la Encefalopatía Hepática Mínima

La determinación de dichos parámetros (artículos III, IV, V y VI) se realizó empleando los métodos que se describen a continuación:

Método para la medición de la presión portal: La presión portal se midió mediante una técnica indirecta de punción intraesplécnica, en la cual se inserta una aguja de diámetro 20 gauge en el parénquima esplénico, que a su vez está conectado a un registrador de presión (Powerlab 200 ML 201) y a un transductor de presión (Sensonor SN-844), ambos asociados a un programa de ordenador Chart V 4.0 (ADI Instruments). El sistema de registro es calibrado antes de iniciar una nueva medición.

- <u>Peso de los órganos</u>: Debido a la relación existente entre el cambio en el volumen de los órganos y el desarrollo de la encefalopatía hepática, era necesario obtener el peso relativo del hígado, glándulas adrenales, riñones, bazo y testículos. Para ello, se realizó una extracción cautelosa con el fin de separar los órganos del tejido que los rodeaba, cometiendo de esta manera un error mínimo en la pesada de los mismos. El peso de los órganos se efectuó en una balanza de precisión (Mettler Toledo AB54-S), expresándose el peso de los órganos en relación al peso corporal del animal.
- Procesamiento del bazo para obtener células mononucleares: El bazo fue inmediatamente introducido en RPMI-1640 estéril (Sigma-Aldrich), y posteriormente se pasó a través de una malla de alambre con el fin de obtener suspensiones individuales. Las células resultantes fueron lavadas tres veces en un medio celular estéril y viable, y fueron contadas utilizando la técnica de exclusión del colorante azul de Trypan (0.5%v/v). La viabilidad fue siempre superior al 80%. Las células mononucleares fueron aisladas de la suspensión celular del bazo utilizando el centrifugador (400 g durante 30 min) Ficoll-Plaque TM PLUS (GE Healthcare Bio Sciences, Uppasala, Swedea) a temperatura ambiente. El gradiente de sedimentación establecido mediante la utilización del Ficoll-Plaque TM PLUS permite la sedimentación de los eritrocitos, los granulocitos y las células muertas que quedan por debajo, junto con las células mononucleares (linfocitos y monocitos) que se distinguen en la interfase entre el Ficoll-Plaque y el medio RPMI-1640. Las células recogidas en dicha interfase fueron lavadas tres veces, su viabilidad fue determinada de nuevo, y sus concentraciones se ajustaron a  $2.5 \times 10^6$  células/ml con RPMI-1640 suplementado con un 10% de suero fetal de ternero (Gibco, Life Technologies, MD, USA), 25 mM de HEPES, 2 mM de L-glutamina, 5×10<sup>-5</sup> M de 2-mercaptoetanol (Sigma-Aldrich, Madrid, Spain) y 2 g/l de bicarbonato sódico (Sigma-Aldrich, Spain). Una vez que las células mononucleares fueron obtenidas, se prepararon los cultivos celulares para determinar la capacidad proliferativa in vitro de los linfocitos B y T.

- Determinación de la capacidad proliferativa de los linfocitos B y T: Sobre una placa Falcon plana de 96 pocillos (Becton-Dickinson, Meylan, France), 15 pocillos fueron sembrados por sujeto con 100 µl del medio en el cual se suspendieron las células obtenidas en el proceso de extracción. A continuación, 5 de esos pocillos fueron sembrados con 100 µl del medio RPMI-1640 suplementado con un 20% del suero fetal de ternero y 5 µl/ml de Concanavalin-A (Con-A, Sigma-Aldrich, Madrid, Spain), con el fin de estimular la proliferación de los linfocitos T. Finalmente, los otros 5 pocillos fueron sembrados con 100 µl del medio RPMI-1640 suplementado con un 20% del suero fetal de ternero y 1 mg/ml de lipopolisacáridos procedentes de Salmonella (LPS, Sigma-Aldrich, Madrid, Spain) con el fin de estimular la proliferación de los linfocitos B. Una vez sembrados, todas estas muestras fueron cultivadas durante 72 horas en un medio con 95% aire/5% CO<sub>2</sub> y una atmósfera humidificada en una incubadora (Jouan, Saint Herblain, Cedex, France) a 37 °C. La proliferación celular fue determinada mediante el empleo del kit de proliferación colorimétrica celular II (XTT) siguiendo las instrucciones del fabricante (Roche, Mannheim, Germany) y las mediciones se realizaron con un lector de colorimetría Synergy HT (BioTek Instruments, INC, Winoosku, Ve, USA) utilizando un filtro de absorción de 450 nm. Los datos se expresaron como puntuaciones del índice de proliferación, siendo el índice de proliferación de los linfocitos T = densidad óptica (DO) de la media de los pocillos estimulados con ConA dividido entre la DO de la media de los pocillos sin ninguna estimulación mitogénica. Asimismo, el índice de proliferación de los linfocitos B = DO de la media de los pocillos estimulados con LPS fue dividida entre la DO de la media de los pocillos sin ninguna estimulación mitogénica.
- <u>Medición de la expresión del ARNm mediante la PCR de Tiempo Real (RT-PCR)</u>: el tejido cerebral fue homogeneizado mediante la utilización de Trizol (Invitrogen, Madrid, Spain) y el ARN total fue aislado utilizando un método estándar de fenol, como es la extracción por cloroformo (Chomczynski y Sacchi, 1987). Se realizó un análisis espectrofotométrico, por luz ultravioleta de 450 nm, de los ácidos nucleicos con el fin de determinar las concentraciones, utilizando un radio de absorbancia de 260:280 para evaluar la pureza de los ácidos nucleicos. Las muestras fueron tratadas

con DNasas (DNase I, Invitrogen, Madrid, Spain) para eliminar la contaminación previa del ADN antes de llevar a cabo la síntesis de la cadena complementaria del ADN (ADNc). La cantidad total de ARN fue retranscrito a la inversa mediante el kit PrimeScript <sup>TM</sup> RT (Takara Bio Inc., Madrid, Spain), obteniendo niveles de ADNc los cuales fueron cuantificados mediante la RT-PCR de tiempo real basada en SybrGreen (SYBR<sup>®</sup> Premix Ex Taq<sup>TM</sup>, Takara Bio Inc., Madrid, Spain). La formación de los productos de la PCR fueron monitorizados en tiempo real mediante los Applied BioSystems 7500 de la PCR de tiempo real. Las secuencias del ADNc se obtuvieron del GenBank en el Centro Nacional de Información Biotecnológica (NCBI; www.ncbi.nlm.nih.gov). Para conservar los genes se utilizó la gliceraldehido-6-fosfato dehidrogenasa (GAPDH). Las secuencias primarias fueron diseñadas mediante el software Prime Express v3.0 (Applied BioSystems). Los primers fueron obtenidos por Applied BioSystems (Madrid, Spain). La especificidad del primer fue verificada mediante curvas de análisis de derretimiento. La expresión relativa a los genes se determinó mediante el método  $2^{-\Delta\Delta t}$  (Livak y Schmittgen, 2001).

Las secuencias utilizadas en la PCR fueron las siguientes (la dirección de la secuencia del primer es 5'....3'):

IL-1β, directo: CAACTGTCCCTGAACTAACTG
inverso: CTCATCTGGACAGCCAAGTC
IL-6, directo: CCACCAGGAACGAAAGTCAAC
inverso: CTTGCGGAGAGAGAAACTTCATAGC
TNF-α, directo: GCCACCGGCAAGGATTC
inverso: TCGACATTCCGGGATCCA
GAPDH, directo: GCTCTCTGCTCCTCCTGTTC
inverso: GAGGCTGGCACTGCACAA

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#### 3.4 Examen neurológico

Todos los animales fueron manipulados y sometidos a observación neurológica, en el caso de los animales intervenidos quirúrgicamente la observación fue pre y postoperatoria, para descartar aquellos con algún déficit neurológico.

En el examen neurológico se evalúa el tono muscular mediante la <u>abducción de</u> <u>las patas traseras</u>, donde a mayor distancia entre las patas traseras, tras la caída desde una altura de 30 cm, puede indicar alteración; también mediante la <u>capacidad</u> <u>de asimiento</u> a un alambre.

Por otro lado se pone de manifiesto la ausencia de alteraciones en el equilibrio del animal mediante la <u>respuesta geotáctica negativa</u>, prueba en la que se sitúa al animal en posición descendente sobre un plataforma inclinada 30° por la que éste girará 180° para subir por ella, y se complementa con la evaluación de su capacidad para permanecer sobre una barra horizontal sin apoyo externo durante 3 minutos.

También se observan las respuestas sensoriomotoras a través del <u>reflejo de</u> <u>flexión</u>, pellizcando la pata para observar cómo la retira de manera inmediata, o igualmente colocándola en el extremo de una mesa dejando una de sus patas traseras fuera de la superficie, viendo como la retrae apoyándola sobre la mesa. Asimismo, se evalúa el <u>reflejo de extensión</u> de dos formas distintas, bien suspendiendo verticalmente al animal para observar cómo extiende sus patas hacia la superficie cercana para soportar su peso, o acercando la cabeza del animal a dicha superficie viendo la extensión que realiza de sus patas delanteras hacia la mesa.

También se evalúa la <u>respuesta al tacto</u>, donde el animal dirige su cabeza hacia el lugar donde se le aplica el estímulo, y la <u>respuesta vestibular/auditiva</u> donde se suelta al animal boca arriba desde una altura de 30 cm, y éste usará la cola para, durante la bajada, caer sobre sus cuatro patas; en esta prueba también se le somete a un estímulo sonoro fuerte (como un aplauso) reaccionando el animal con una extensión súbita de los miembros posteriores, flexión de los anteriores, arqueamiento del cuerpo, cierre de ojos y un movimiento de orejas hacia abajo. También se valora la <u>sacudida de la cabeza (head shaking)</u> que consiste en aplicar un soplo de aire cerca de uno de los oídos para ver la respuesta de agitación brusca de la cabeza según el eje antero- posterior de su cuerpo. Por último, se observa el <u>reflejo de enderezamiento</u> donde se coloca a la rata boca arriba en una superficie plana aprisionando su cola y zona ventral para observar su inmediata tendencia a volver a colocarse sobre sus cuatro patas.

#### 3.5 Evaluación de la actividad motora

Para evaluar dicha función se utiliza un aparato conocido como Rotarod (Acceder Rota-Rod, Ugo Basile Model, Jones y Roberts, para ratas 775a  $63 \times 50 \times 49$ cm), mediante la utilización de este aparato podemos determinar la coordinación motora y la resistencia a la fatiga (Jones y Roberts, 1968). El aparato está formado por una barra horizontal a modo de rodillo dividido de manera equidistante por cinco discos opacos que nos permiten aislar entre sí a los cuatro animales que serán evaluados conjuntamente en cada prueba. Cada uno de los cuatro huecos o carriles consta de un contador digital de la ejecución, el cual es detenido al accionar el animal las almohadillas situadas debajo de cada carril.



Figura 3: Se ilustra el aparato Rota-Rod mientras un grupo de animales ejecutan la prueba colocados sobre el rodillo.

El procedimiento consta de dos partes, en la primera de ellas los animales se sitúan en el aparato y la velocidad de rotación del rodillo se mantiene constante a 2 rpm durante 60 s; en la segunda parte, la actividad de las ratas se evalúa durante 5 minutos en una sesión de velocidad acelerada donde la rotación se ve incrementada de manera constante hasta alcanzar las 20 rpm. Se registra el número de rpm alcanzadas por el rodillo en el momento de caída del animal, así como los segundos transcurridos.

#### 3.6 Evaluación de la Memoria de Referencia Espacial

La memoria de referencia espacial fue evaluada en la piscina circular diseñada por Morris (Morris, 1984) conocida como el laberinto acuático de Morris (MWM, del inglés: Morris Water Maze). Dicho laberinto es una de las pruebas conductuales más utilizadas en la neurociencia para el estudio de los procesos psicológicos y los mecanismos neurales implicados en el aprendizaje espacial y la memoria. Esta memoria fue evaluada en los artículos I y III.

La piscina funciona como un campo abierto en el que las ratas se entrenan para escapar del agua mediante el nado hasta una plataforma oculta de reducidas dimensiones. El MWM es un tanque fabricado en fibra de vidrio y cuyas dimensiones son 150 cm de diámetro y unas paredes de 40 cm de altura. El nivel del agua alcanza 30 cm y su temperatura se mantiene en torno a  $21\pm2^{\circ}$ C. La plataforma utilizada consiste en un cilindro de 10 cm de diámetro y 28 cm de altura sumergida 2 cm por debajo de la superficie del agua. El MWM se sitúa en el centro de una habitación de 16 m<sup>2</sup> (con dos lámparas halógenas de 4000 lx) rodeada de paneles negros donde se colocaron las pistas visuales. Estas pistas fueron amarillas, verdes y azules. Con el fin de facilitar los procedimientos de entrenamiento y análisis de los resultados se estableció una división imaginaria de la piscina en cuatro cuadrantes iguales (A, B, C, D). Toda la conducta se registró mediante una cámara de vídeo (Sony V88E) conectada a un ordenador con un sistema de análisis de imagen (Ethovision 3.1, Noldus Information Technology, Leesburg, VA).



**Figura 4:** Esquema del laberinto acuático de Morris donde se ilustra la localización de las pistas alocéntricas.

El protocolo de aprendizaje consistió en un primer día destinado a la habituación de los animales a la tarea, en ella los animales nadan durante cuatro ensayos manteniendo la plataforma visible en el centro de la piscina a 4 cm por encima de la superficie del agua. Durante los días siguientes, se realizaron cuatro ensayos cada día en los cuales la plataforma estuvo oculta, 2 cm por debajo del nivel del agua, localizada en el centro del cuadrante D a 30 cm de la pared de la piscina. Cada ensayo consistió en liberar al animal desde la pared de la piscina de cada cuadrante y dejar que nade hasta alcanzar la plataforma o hasta que hayan transcurrido 60 s. Si el animal no alcanzó la plataforma después de este tiempo, se le guio hasta la plataforma dejándole en esta durante 15 s. Durante el intervalo entre ensayos, los animales se alojaron en un recipiente negro opaco por un período de tiempo de 30 s. Tanto el tiempo como la distancia de nado fueron registradas. Diariamente, al final de la sesión, la plataforma fue retirada y la rata se introdujo en la piscina desde el cuadrante opuesto a la localización previa de la plataforma, dejando que el animal nade durante un período de 25 s. Inmediatamente después de esta prueba de recuerdo o transfer la plataforma fue colocada en su posición habitual y los animales fueron entrenados con un nuevo ensayo con el fin de evitar cualquier posible interferencia con la prueba o extinción del aprendizaje. Se registraron tanto las latencias durante la adquisición como el tiempo de permanencia en cada cuadrante en el ensavo de transfer.

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#### 3.7 Evaluación del Aprendizaje de Reversión

Dicho aprendizaje va siempre precedido de la evaluación de la memoria de referencia espacial, por tanto, es realizado utilizando el mismo paradigma experimental (laberinto acuático de Morris) y en las mismas condiciones experimentales. Este tipo de aprendizaje fue llevado a cabo en el artículo V.

Para llevarlo a cabo necesitamos que los animales hayan alcanzado el criterio de aprendizaje establecido para la memoria de referencia espacial. Tras esto, los animales fueron sometidos a un día más de aprendizaje en el que se le realizaron cuatro ensayos de adquisición, donde la plataforma fue colocada en el cuadrante opuesto a las localizaciones previas, en el cuadrante C (anteriormente la ubicación de dicha plataforma era el cuadrante D, ver figura 4). Por último, al igual que en la evaluación de la memoria de referencia espacial, los animales realizaron una prueba de recuerdo o transfer al final de la sesión de entrenamiento.

#### 3.8 Evaluación de la Tarea Estímulo-Respuesta o Tarea de Hábitos

Esta tarea se realizó bajo las mismas condiciones ambientales y experimentales de luz, temperatura y registro del comportamiento que la evaluación de la memoria de referencia y el aprendizaje de reversión. Este aprendizaje está reflejado en el artículo VI.

En este aprendizaje las ratas fueron entrenadas durante una única sesión, de unos 10 minutos de duración de media. El criterio de aprendizaje fue establecido gracias a la ejecución previa de dicha tarea por los animales pseudo-operados, consistiendo dicho criterio en alcanzar una latencia de retención inferior a 8 segundos en los dos últimos ensayos; sabiendo que para alcanzar este criterio los animales no podrían destinar más de 10 ensayos.

En cada ensayo, se liberó al animal desde la pared de la piscina de cada cuadrante y se le permitió escapar a la plataforma visible con pistas. La plataforma visible de escape fue localizada en un cuadrante diferente en cada ensayo. Asimismo, un punto diferente de salida fue empleado en cada ensayo. Cada ensayo finalizó una vez que el animal encontró la plataforma o cuando transcurrieron 60 s sin que el animal fuera capaz de alcanzar dicha plataforma. Si el animal no ha alcanzó la plataforma después de este tiempo, se le guio hasta la plataforma dejándole en esta durante 15 s. Durante el intervalo entre ensayos, los animales se alojaron en un recipiente negro opaco por un período de tiempo de 30 s. La latencia empleada en alcanzar la plataforma de escape fue registrada y utilizada como una medida de evaluación de la adquisición de la tarea.

#### 3.9 Evaluación del Cambio de Estrategia

El propósito de dicho estudio fue evaluar las redes cerebrales que se encuentran implicadas en la codificación y el recuerdo de la información espacial requerida para efectuar cambios en la utilización de la estrategia de aprendizaje y, específicamente, determinar cómo difieren en su activación las distintas regiones cerebrales de la rata cuando el orden de administración de la tarea alocéntrica o de guía es cambiado. Durante la <u>tarea alocéntrica</u>, las ratas tuvieron que adquirir memorias a largo plazo acerca de la localización de la plataforma sumergida basándose en su orientación en relación con las posiciones de los estímulos distales colocados en la habitación experimental. En la <u>tarea de guía</u>, las ratas fueron entrenadas para localizar la plataforma basándose únicamente en un globo amarillo que fue situado 20 cm por encima de la plataforma. Esta versión de la tarea requiere de la ejecución de la misma respuesta (ir hacia la guía), en vez de nadar hacia el mismo lugar. El cambio de estrategia fue estudiado en los artículos II y IV.

Los animales fueron entrenados en un laberinto radial de agua (RAWM, del inglés: radial arm water maze) de fibra de vidrio negra (80 cm×12 cm), el cual fue situado 50 cm por encima del nivel del suelo. El laberinto tenía 4 brazos en forma de cruz disponibles para la entrada del animal. El nivel del agua alcanzó 30 cm y su temperatura se mantuvo en torno a  $21\pm2^{\circ}$ C. La plataforma utilizada consistió en un cuadrado de 10 cm de diámetro y 24 cm de altura sumergido 2 cm por debajo de la superficie del agua. El RAWM se situó en el centro de una habitación de 16 m<sup>2</sup> (con dos lámparas halógenas de 4000 lx) rodeada de paneles negros donde se colocaron las pistas visuales. El comportamiento de los animales se registró mediante una cámara de vídeo (Sony V88E) conectada a un ordenador con un

sistema de análisis de imagen (Ethovision 3.1, Noldus Information Technology, Leesburg, VA).

El protocolo de aprendizaje consistió en 10 ensayos diarios durante 6 días, de los cuales los 3 primeros días fueron designados para la adquisición de una de las tareas y los 3 últimos para la adquisición de la otra tarea (por ejemplo, 3 días destinados para el aprendizaje alocéntrico, y los 3 siguientes se emplearon para el aprendizaje de la tarea de guía; otros animales realizaron el cambio de aprendizaje inverso comenzando por la tarea de guía). En cada ensayo, los animales fueron colocados en el centro de la piscina mirando hacia un brazo diferente cada vez, y se les permitió nadar hacia la plataforma de escape. Si el animal no ha alcanzó la plataforma después de este tiempo, se le guio hasta la plataforma dejándoles en esta durante 15 s. Durante el intervalo entre ensayos, los animales se alojaron en un recipiente negro opaco por un período de tiempo de 30 s. La plataforma permaneció en el mismo brazo a lo largo de los 10 ensayos diarios, pero su localización varió en cada día de entrenamiento.

Cada día al finalizar la sesión de 10 ensayos, se realizó una prueba de recuerdo o transfer donde la plataforma fue retirada y la rata se introdujo en la piscina durante un período de 25 s. Inmediatamente después de esta prueba la plataforma fue colocada en su posición habitual y los animales fueron entrenados con un nuevo ensayo con el fin de evitar cualquier posible interferencia con la prueba o extinción del aprendizaje.

Se estableció que un animal adquiría el criterio de aprendizaje cuando elegía el brazo correcto, donde se localizaba la plataforma de escape, en un 70% de los ensayos diarios.



Figura 5. Representación del protocolo de aprendizaje utilizado en la evaluación del cambio de estrategia en el que se indican las diferencias en la localización de los estímulos guía entre ambas estrategias de aprendizaje, alocéntrica y de guía.

#### 3.10 Evaluación de la Tarea de Reconocimiento de Objeto-Lugar

La exploración de los objetos se evaluó en un campo abierto cuadrado (100×100×40 (altura) cm) de fibra de vidrio gris, situado en una habitación rectangular con numerosas pistas distales y una pista proximal, una pequeña etiqueta engomada localizada en la pared este del campo abierto. Dos luces blancas difusas, localizadas en lados opuestos de la habitación, proporcionaron una densidad de iluminación de 50 lux en el centro del campo abierto. Dos ventiladores produjeron un ruido de fondo en los lados este y oeste de la habitación. Una cámara de vídeo conectada a un ordenador con un sistema de análisis de imagen fue colocada encima del campo abierto para grabar tanto los ensayos de habituación, como la prueba. Después de cada ensayo, el campo abierto fue cuidadosamente limpiado con una solución de etanol al 75%. Cuatro objetos diferentes fueron construidos a partir de diferentes combinaciones de piezas de plástico, variando tanto el color como el tamaño desde 13×13×16 cm a 16×16×19 cm. Todos los objetos tuvieron suficiente peso para asegurar que las ratas no podían desplazarlos, asimismo, los objetos se contrabalancearon dentro de cada grupo experimental para evitar posibles efectos de preferencia. Igualmente, después de cada ensayo, los objetos fueron cuidadosamente limpiados con una solución de etanol al 75% para eliminar pistas olfativas. Esta tarea fue la estudiada en el artículo V.

#### 3.10.1 Habituación

Previamente a la fase de prueba, todos los animales fueron manipulados durante cuatro días consecutivos 10 minutos diarios, así como habituados al campo abierto vacío durante tres días consecutivos. En el primer día de habituación, grupos de tres a cinco ratas fueron colocados juntos en el campo abierto, permitiéndoles explorarlo durante 6 minutos en ausencia de objetos. Al día siguiente, las ratas exploraron durante 6 minutos el campo abierto pero de manera individual. En el tercer y último día de la habituación, las ratas recibieron 3 sesiones diarias de 6 minutos de duración, con 20 minutos de intervalo entre ensayo, con la presencia de dos objetos destinados únicamente para la habituación. Los objetos fueron colocados en paredes opuestas del campo abierto a una distancia de 10 cm de la pared. Durante estas sesiones, los animales fueron llevados individualmente a la habitación de la prueba y fueron colocados en el campo abierto mirando hacia una de las paredes donde no se encontraba ningún objeto.

#### 3.10.2 Fase de prueba

En ella tiene lugar la evaluación del reconocimiento del objeto-lugar y consiste en 2 ensayos: un ensayo de muestra y un ensayo de prueba, ambos de 4 minutos de duración con un intervalo entre ensayos de 50 minutos. En el <u>ensayo de muestra</u>, se presentaron dos copias del mismo objeto. Sin embargo, en el <u>ensayo de prueba</u>, una de las dos copias fue localizada en la esquina opuesta del campo abierto. Esta tarea se utiliza para determinar si las ratas pueden detectar un desajuste entre la localización pasada y presente de un objeto familiar.


Figura 6. Esquema de las tres fases de evaluación en la tarea de reconocimiento de objeto-lugar en la cual se aprecian las diferencias entre los objetos utilizados en la prueba de habituación respecto a las otras pruebas, así como la diferente ubicación de los objetos entre el ensayo de muestra y de prueba.

### 3.11 Procesamiento del tejido cerebral para citocromo c-oxidasa y c-Fos

Una vez finalizado el último día de aprendizaje, tal como se muestra en los artículos I, II, III, IV, V y VI, los animales fueron decapitados a los 90 minutos, siendo sus cerebros rápidamente extraídos y los encéfalos congelados por inmersión en isopentano a - 70° C, durante dos minutos, conservándoslos en un congelador a - 40° C para prevenir el deterioro del tejido con la consiguiente pérdida de actividad enzimática.

Posteriormente, los encéfalos fueron seccionados coronalmente mediante un microtomo criostático (Leica CM 1900, Alemania) en cortes de 30  $\mu$ m de grosor que se colocaron en portaobjetos que previamente habían sido limpiados con alcohol de 100°. Para realizar un muestreo completo y sistemático de las distintas áreas cerebrales a estudiar, se seleccionaron las estructuras a analizar utilizando el atlas de Paxinos y Watson (1997). Las secciones se colocaron sobre un portaobjetos y se almacenaron a -40°C. Posteriormente, se aplicaron las técnicas de tinción histoquímicas e inmunocitoquímicas que se detallan a continuación.

#### 3.11.1 Histoquímica de la citocromo c-oxidasa

Esta técnica histoquímica consiste en el marcaje de la citocromo c-oxidasa, enzima presente en la membrana interna mitocondrial, que pertenece a la clase óxido-reductasa. Esta enzima cataliza el último paso en la cadena de transporte electrónico, en el proceso de fosforilación oxidativa, permitiendo la producción de energía en forma de ATP. Esta tinción fue aplicada en los siguientes artículos: I, II, III, IV, VI.

El procedimiento seguido fue el descrito por Wong-Riley (1979) basándonos en ciertas modificaciones propuestas por González-Lima y Cada (1994). Con el fin de corregir las posibles variaciones de la tinción citocromo c-oxidasa en los distintos baños de incubación, se utilizaron una serie de secciones de diferentes grosores (10, 30, 40 y 60 µm) como estándares, obtenidas de homogeneizados cerebrales de rata. Este tejido homogeneizado se obtuvo de la decapitación y extracción de los cerebros de 12 ratas macho adultas Wistar, los cuales se sumergieron en tampón fosfato de pH 7,6 a 4°C. Posteriormente, se realizó la homogeneización a 4° C y mediante espectrofotometría se determinó la actividad de la citocromo c-oxidasa (COx) del homogeneizado obtenido. Estos valores de actividad permiten realizar una curva de regresión lineal para convertir los valores de medida de densidad óptica de las estructuras seleccionadas en valores de actividad citocromo oxidasa. El homogeneizado cerebral, al igual que las secciones de cerebro de rata seleccionado, se congelaron con isopentano y se almacenaron a -40 °C.

Para realizar la tinción se emplearon cubetas de 800 ml, en las que se introdujeron gradillas con una capacidad para 60 portaobjetos en las que se incluye el portaobjeto donde se colocaron las secciones del homogeneizado. Las secciones congeladas se introdujeron en una solución de tampón fosfato con sacarosa (pH 7.6; 0.1 M, 100g de sacarosa por litro de tampón) con glutaraldehido al 0.5% durante 5 min para favorecer la adhesión del tejido al portaobjetos. A continuación las secciones se lavaron en tres cambios de tampón fosfato con sacarosa durante 5 min antes de introducirlas 4 min en la solución TRIS, cuya composición para un litro es: 363 ml de agua bidestilada, 387 ml de ácido clorhídrico 0.1 N, 5 ml de dimetilsulfóxido (DMSO), 250 ml de trizma base, 100 g de sacarosa y 0.275 g de cloruro de cobalto. Se realiza un lavado en tampón fosfato (pH 7.6; 0.1 M) para posteriormente incubarlas en oscuridad durante una hora en la solución de tinción, en agitación lenta y a 37°C. La composición de dicha solución es la siguiente: 800 ml de tampón fosfato 0.1 M, 0.06 g de citocromo c, 0.016 g de catalasa, 40 g de sacarosa, 2 ml de DMSO y 0.4 g de diaminobencidina (DAB). La DAB actúa como

un cromógeno, tras haber sido oxidada por la COx (endógena y exógena), se fija a la membrana mitocondrial. Esta solución de tinción se prepara en oscuridad ya que la presencia de luz podría dar lugar a una tinción inespecífica como consecuencia de la oxidación espontánea de la DAB.

Posteriormente, y a temperatura ambiente, se bloqueó la reacción con un baño de formol (Prolabo, España) tamponado al 10%, durante 30 min. Finalmente, el tejido se deshidrató mediante baños de cinco minutos en una cadena de alcoholes de concentración creciente (30%, 50%, 70%, 95%, 95%, 100%, 100%). Una vez deshidratado el tejido, las secciones se aclararon en un baño de xileno (Prolabo, Barcelona, España) durante 10 minutos, y posteriormente se realizó el montaje con Entellán (Merck, Darmstadt, Alemania), para la correcta conservación del tejido.

# Análisis de imagen y transformación de la actividad enzimática

Mediante la utilización de un sistema de análisis de imágenes informatizado (MCID Elite Interfocus Linton, Inglaterra), se analizó y cuantificó la intensidad de la tinción COx mediante densitometría óptica.

Inicialmente, se realizaron las medidas densitométricas de los estándares que acompañan a cada baño de tinción para confeccionar la curva de regresión que va a permitir comparar las distintas series/ baños de tinción. A continuación, se seleccionaron las estructuras de interés con la ayuda del atlas de Paxinos y Watson (1997), para posteriormente iniciar la medición. Con el fin de evitar errores al delimitar la estructura, se tomaron pequeñas medidas dibujando con el ratón del ordenador cuadrados distribuidos de forma aleatoria dentro de la estructura. De cada estructura se realizan cuatro medidas en 3 secciones consecutivas.

#### 3.11.2 Inmunocitoquímica de la c-Fos

Esta técnica de marcaje de la proteína c-Fos fue utilizada en el artículo V. Para llevarla a cabo, las secciones que estaban montadas en portaobjetos gelatinizados, recibieron una postfijación en paraformaldehido al 4% (0.1 M, pH 7.4) durante 30 min y fueron lavadas en tampón fosfato salino (0.01 M, pH 7.4). A continuación, fueron incubadas en peróxido de hidrógeno al 3% en tampón fosfato salino con el

fin de eliminar la actividad peroxidasa endógena. Tras ser lavadas en tampón fosfato salino, se introdujeron en una solución de tampón fosfato salino (solución de incubación) que contenía Tritón X-100 al 10% (Sigma, USA) y suero de albúmina bovino al 3% durante 30 minutos. Inmediatamente después, las secciones se incubaron en una cámara húmeda durante toda la noche a una temperatura de 4°C con el anticuerpo primario policional de conejo anti-c-Fos (1:10.000) (Santa Cruz Biotech, sc-52, USA) diluido en tampón fosfato salino con Triton X-100 al 10%. Seguidamente, las secciones se lavaron en tampón fosfato salino y se incubaron con el anticuerpo secundario de cabra anti-conejo biotinilado IgG (1:200) (Pierce, USA) diluido en tampón fosfato salino con Triton X-100 durante 2 horas. Tras ser lavadas con tampón fosfato salino, las secciones fueron incubadas con el complejo avidina-biotina peroxidasa (Vectastain ABC Ultrasensitive Elite Kit, Pierce) durante 1 hora. Tras lavar las secciones en tampón fosfato salino, la reacción fue visualizada con una solución de diaminobencidina intensificada con níquel/cobalto (Pierce) durante 3 minutos. Tras visualizar la reacción, las secciones se lavaron en tampón fosfato salino y se procedió a la deshidratación, así como al posterior montaje para su visualización a microscopía óptica.

#### Estimación numérica de las neuronas inmunoreactivas a la c-Fos

Brevemente, la cuantificación se realizó por muestreo de dos secciones por animal separadas una distancia de 30  $\mu$ m, habiendo sido el área de interés previamente delimitada. Sobre las secciones se superpusieron disectores de conteo con un área conocida y diseñados específicamente para cada núcleo. En base a estos disectores se realizó el conteo utilizando un microscopio (Olympus BH-2, Japón) acoplado a una cámara (Sony XC-77, Japón) y a una pantalla de televisión (300x aumentos totales). El criterio para discriminar las neuronas inmunoreactivas a la c-Fos fue la existencia de puntos de color gris-negro homogéneo con unos bordes bien delimitados. Finalmente, para cada sujeto y región, se calculó la media del conteo realizado sobre las dos secciones.

#### 3.12 Procesamiento del tejido cerebral para GFAP-IR y volumen nuclear

Tal como se detalla en el artículo VI, los animales fueron anestesiados con pentobarbital sódico (100mg/Kg) (Sigma, USA). A continuación, se les perfundió intracardiacamente con un tampón fosfato salino al 0.9% (0.1 M, pH 7.4), seguido de paraformaldehído al 4% en tampón fosfato (0.1 M, pH 7.4). Los cerebros fueron extraídos e introducidos en parafina. Posteriormente, se realizaron cortes sistemáticos con la ayuda de un micrótomo (Leica, Germany) de 30 µm de grosor a intervalos conocidos desde el principio hasta el final del cerebro.

# 3.12.1 Inmunohistoquímica de la proteína glial fibrilar ácida (GFAP)

Brevemente, la técnica consiste en un lavado previo de las secciones para llevar a cabo el desparafinado en tampón salino Tris con Triton X-100 al 0.1%, bloqueándolos posteriormente con suero humano. Después, las secciones fueron incubadas durante un día con anticuerpo primario policlonal de conejo anti-GFAP (1:800) diluido en albúmina bovina. Al dia siguiente, después de lavarlas las secciones en tampón fosfato salino con Triton X-100, se incubaron en anticuerpo secundario de cabra anti-conejo biotinilado IgG (1:30) (Pierce, USA) diluido en albúmina bovina. El tratamiento posterior es el mismo seguido para la inmunocitoquímica de la c-Fos, siendo las secciones incubadas con el complejo avidina-biotina peroxidasa (Vectastain ABC Ultrasensitive Elite Kit, Pierce) y visualizándose la reacción con una solución de diaminobencidina intensificada con níquel/cobalto (Pierce).

#### Cuantificación de la GFAP

El proceso completo de la cuantificación del número de proteína glial fibrilar ácida de los astrocitos inmunoreactivos (GFAP-IR) fue llevado a cabo mediante un microscopio óptico acoplado a Leica LAS Live Image Builder (Leica, Microsystems). Para estimar la densidad de GFAP-IR se utilizaron tres secciones equidistantes en las estructuras seleccionadas para cada animal. La cuantificación fue realizada situando 4 regiones de conteo equidistantes en la imagen de la pantalla (tamaño del marco 0.150 mm×0.150 mm). Solamente fueron cuantificados los cuerpos celulares dentro de cada cuadrante o disector centrado en la sección de interés. El grosor total medido en cada sección fue de 30  $\mu$ m, descontando 5  $\mu$ m de grosor por encima y por debajo del eje Z para evitar posibles sobreestimaciones.

# 3.12.2 Cálculo del volumen nuclear neuronal mediante cuantificación en violeta de cresilo

La tinción en violeta de cresilo conlleva un desparafinado del tejido y posterior hidratación de las secciones en violeta de cresilo al 0.5% en H<sub>2</sub>O (Sigma, USA) durante 3 minutos. A continuación, las secciones son lavadas con H<sub>2</sub>O, deshidratadas y montadas en portaobjetos para su posterior visualización.

#### Cuantificación del volumen nuclear neuronal

El volumen nuclear neuronal fue cuantificado con el sistema CAST2 (Olympus, Denmark) en un microscopio Olympus-BX61. En nuestro estudio sólo fue cuantificado el lado derecho de cada estructura cerebral. Las imágenes de las células sobre las que se realizó la cuantificación fueron tomadas por un objetivo ×100. El criterio utilizado para identificar las neuronas y las células de glía fue morfológico. Las neuronas muestran un nucleolo claramente definido, la presencia de eucromatina en el núcleo y un citoplasma visible rodeando dicho núcleo, mientras que las células de glía muestran heterocromatina en el núcleo, ausencia de nucleolo y de citoplasma.

El método elegido para comparar el volumen nuclear neuronal en las diferentes estructuras cerebrales entre animales pseudo-operados e hipertensos fue el Nucleador (Méndez y cols., 2008a). La prueba del Nucleador se lleva a cabo marcando un único punto dentro del núcleo neuronal, el nucléolo. El sistema, sucesivamente crea 6 líneas de intersección con el punto marcado por nosotros. A continuación, nosotros marcamos la intersección de cada una de esas 6 líneas con la membrana nuclear de nuestra neurona objeto de estudio. Sólo se cuantificaron los núcleos celulares definidos dentro de la zona del disector (1141  $\mu$ m<sup>2</sup>). Se muestrearon cuatro secciones por cada animal y los núcleos fueron muestreados a



una distancia constante y conocida entre ellos de 30  $\mu$ m. En cada sección se realizaron 25 medidas celulares, obteniendo un total de 100 neuronas muestreadas.

Figura 7. Muestra las intersecciones de las seis líneas generadas por el Nucleador con la membrana nuclear neuronal objeto de estudio.

# 3.13 Análisis estadísticos

Todos los datos obtenidos se analizaron estadísticamente utilizando el programa SigmaStat 3.2 (Systat, Richmond, EEUU) y el SAS 9.3 (SAS Institute, 2011), representándose gráficamente por medio del programa SigmaPlot 8.0 (Systat, Richmond, EEUU), empleando la media y el error estándar de la media para dichos cálculos. Los resultados se consideraron estadísticamente significativos cuando  $p\leq 0.05$ . A continuación, se describen brevemente los análisis realizados con los diferentes datos obtenidos.

### 3.13.1 Evaluación de los parámetros fisiológicos e inflamatorios

Los datos cuantitativos referentes a la presión portal (artículos III, IV, V y VI), el peso de los órganos y las determinaciones fisiológicas como son el factor necrótico de tumores  $\alpha$ , las interleuquinas 1 $\beta$ , 6 y la proliferación de células T y B (artículo VI), fueron comparados entre los dos grupos empleados mediante la prueba *t* de Student para muestras independientes.

#### 3.13.2 Análisis de los procedimientos conductuales

Los datos obtenidos en la <u>evaluación de la función motora</u> en el Rota Rod, como son el tiempo sobre el rodillo y la velocidad de rotación en la que se produjo la caída (artículos II y III) fueron analizados mediante una prueba de *t* de Student para muestras independientes.

Los datos obtenidos en la prueba de memoria de referencia espacial y el aprendizaje de reversión (artículos III y V) fueron analizados como se describe a continuación. La velocidad de nado y la distancia recorrida se compararon entre los grupos experimentales como medida directa de la ejecución motora mediante un ANOVA de dos factores de medidas repetidas con los días como factor de medidas repetidas y el grupo como factor entre sujetos. Las variables dependientes registradas fueron las latencias de escape, cuya media fue calculada individualmente para cada uno de los días de registro, así como el tiempo de permanencia en cada uno de los cuatro cuadrantes durante la prueba de recuerdo o transfer. Las latencias de escape fueron comparadas mediante un ANOVA de dos factores de medidas repetidas, en donde el factor de medidas repetidas fue las distintas sesiones, y el factor entre sujetos fue la variable grupo. Cuando el factor sesión resultó significativo, se realizó un ANOVA de medidas repetidas para comparar las sesiones de cada grupo de forma independiente. El tiempo de permanencia en cada cuadrante durante la prueba de recuerdo o transfer fue analizado separadamente para cada grupo y día mediante un ANOVA unifactorial, siendo el factor cuadrante la variable independiente. Las comparaciones a posteriori realizadas en cada caso fueron la prueba de Tukey para pares de medias (artículo V) y el método Holm-Sidak (artículo III). Además, en el caso de que la normalidad o la igualdad de varianzas no se cumplieran, se llevó a cabo un test no paramétrico como es la U de Mann-Whitney para muestras independientes (artículo III y V).

Los datos registrados en la <u>prueba de estímulo-respuesta o tarea de hábitos</u> (artículo VI) fueron las latencias de escape. Se calculó la media para los ensayos realizados en el día de prueba que fueron comparados mediante un ANOVA unifactorial, donde el factor latencia fue la variable independiente. Cuando se

encontró un efecto significativo de la variable, se realizaron comparaciones a posteriori mediante la prueba Tukey para pares de medias. Asimismo, se compararon la velocidad de nado y la distancia recorrida entre los grupos experimentales mediante un ANOVA unifactorial.

Los análisis realizados para la comprensión de la tarea de cambio de estrategia discernieron en función del grado de patología a analizar, hiperamonemia o hipertensión portal, dado que en función de su afectación se requirieron análisis más o menos sensibles.

Cuando se analizó el <u>cambio de estrategia en los animales hiperamonémicos</u> (artículo II), se registró como medida de análisis el número de veces que escogían el brazo correcto, y se analizó mediante un ANOVA de dos factores de medidas repetidas, en donde el factor de medidas repetidas fue las distintas sesiones, y el factor entre sujetos fue la variable grupo. El análisis a posteriori realizado fue la prueba Tukey para pares de medias.

Para el análisis del <u>cambio de estrategia en los animales hipertensos</u> (artículo VI) se utilizó un diseño de ensayo controlado aleatorio longitudinal. El uso de este tipo de análisis se debe a que en estudios previos (Vallejo y cols., 2011) se ha mostrado como los modelos de efectos mixtos basados en la probabilidad suponen marcos analíticos generales apropiados para determinar si hay perfiles de respuesta diferentes a lo largo del tiempo entre grupos de animales distintos. Además, se llevó a cabo un análisis del modelo de efectos mixtos de medidas repetidas (MMRM), el cual incluye un modelado estructurado del tiempo y una estructura de correlación de errores entre sujetos. En la versión del MMRM que llevamos a cabo, el tiempo fue considerado como una clasificación en vez de una variable continua. Tras el rechazo de la hipótesis general, determinamos los contrastes entre las poblaciones en las cuales las medias no eran iguales a cero. Para controlar la tasa de error familiar (FWE) para todas las posibles comparaciones por pares, se utilizó un Hochberg (1988) que pasó la desigualdad de Bonferroni y fue realizado mediante la declaración ESTIMATE en el SAS PROC MIXED y la opción HOC en el SAS

PROC MULTTEST. El conjunto de datos fue analizado utilizando MMRM con la estimación REML.

El análisis de los datos obtenidos en la tarea de reconocimiento Objeto-Lugar (artículo V) se realizó tal como se describe a continuación. La exploración de un objeto fue definida como: dirigir la nariz hacia el objeto a una distancia menor de 2 cm, así como una exploración activa del mismo. Sentarse o dar la vuelta alrededor del objeto no fueron considerados comportamientos exploratorios. Para cada rata, el tiempo destinado en la exploración de los objetos en ambas sesiones de exploración, así como en la sesión de prueba, fueron cuantificados mediante cronómetros. Estas medidas fueron recogidas para examinar tanto la exploración como la discriminación. La proporción de exploración en las fases de muestra y de prueba fue considerada como el y e2 respectivamente, y fueron calculadas dividiendo la exploración de todos los objetos en cada fase entre el tiempo total disponible para la exploración. Para el cálculo de la discriminación se tomaron dos medidas: el índice de discriminación (d1) y el radio de discriminación (d2) (Ennaceur y Delacour, 1988). El índice de discriminación, d1, se basa en la diferencia en el tiempo empleado en explorar los dos objetos en la fase de prueba, el objeto que ha cambiado de posición menos el objeto que permanece en la misma posición. El radio de discriminación, d2, también se calculó en la fase de prueba. En este caso, consiste en la diferencia del tiempo de exploración en los dos objetos dividido por el tiempo total de exploración de los objetos, esto es, d1/tiempo empleado en explorar ambos objetos. La exploración en la fase de muestra y la de prueba (el y e2) fueron comparadas con una t de Student para muestras relacionadas. Además, también se utilizó la t de Student para comparar d1 y d2, con el fin de determinar si sus medias diferían del cero, puntuación que indica la igualdad de exploración de los dos objetos, indicando que las ratas han discriminado satisfactoriamente entre los dos objetos.

# 3.13.3 Análisis de la actividad citocromo c-oxidasa

Para comparar los valores de actividad citocromo c-oxidasa se utilizaron la prueba t de Student para muestras independientes (artículos II, III y VI) y el

ANOVA unifactorial (artículo I), dependiendo la elección de la prueba del número de grupos estudiados en cada caso.

En cuanto al artículo III, resultaba especialmente interesante el análisis de la conectividad funcional de las distintas estructuras cerebrales implicadas en dicho aprendizaje. Por ello, se utilizó la correlación de Pearson entre las regiones de cada grupo experimental. Previamente los valores de la actividad CO se normalizaron dividiendo la actividad obtenida en cada región cerebral entre la media de la actividad CO de todas las regiones en cada animal, de esta manera se reducen las variaciones en la actividad CO que no fueron consecuencia del aprendizaje. A continuación, para evitar errores debidos a tamaños de muestra muy pequeños, se empleó el método "Jackknife" (Shao y Tu, 1995). Este procedimiento consiste en calcular todas las posibles correlaciones que resultan de eliminar un sujeto cada vez teniendo en consideración únicamente las correlaciones que sean significativas a lo largo de todas las combinaciones.

Sin embargo, y a pesar de la validez de los datos obtenidos con las correlaciones de Pearson, quisimos afinar aún más en el análisis de las redes neuronales. Es por ello, que en el artículo IV llevamos a cabo un análisis de los componentes principales (PCA). En primer lugar se realiza el test de esfericidad de Barlett para confirmar la existencia de relaciones entre las variables, así como también se lleva a cabo el test Kaiser-Meyer-Olkin (KMO) para evaluar la medida en que las puntuaciones de la variable podrían predecirse a partir de las puntuaciones de las demás (Ferrando y Anguiano-Carrasco, 2010). El segundo paso fue determinar el número de componentes (redes). Por último, se realizó una rotación oblimin no ortogonal, siendo las puntuaciones para cada uno de los componentes analizadas por separado mediante ANOVAs con componentes entre sujetos (tres niveles).

# 3.13.4 Inmunoreactividad c-Fos, GFAP y análisis del volumen nuclear

Los datos obtenidos mediante la cuantificación del número de neuronas inmunoreactivas c-Fos (artículo V), la cuantificación del número de astrocitos inmunoreactivos GFAP y el volumen de los núcleos neuronales (artículo VI), fueron comparados mediante una prueba *t* de Student para muestras independientes.

Las comparaciones a posteriori se realizaron empleando una prueba de Tukey. Por último, en el caso de que la normalidad o la igualdad de varianzas no se cumplieran, se llevó a cabo un test no paramétrico como es la U de Mann-Whitney para muestras independientes.

#### 4. **RESULTADOS**

Los principales resultados obtenidos en los diferentes experimentos realizados se describen brevemente en este apartado.

#### 4.1 Evaluación de los parámetros fisiológicos e inflamatorios

En primer lugar, los resultados indican que los animales que han sido sometidos a la cirugía de la triple ligadura estenosante de la vena porta muestran un incremento en los valores de presión portal en comparación con los animales que han sido pseudo-operados (artículos III, IV, V y VI).

En cuanto al peso corporal, no se han encontrado diferencias significativas entre los animales hipertensos y pseudo-operados. Sin embargo, el peso relativo de sus órganos indica un aumento en las glándulas adrenales en el grupo hipertenso en relación al pseudo-operado (p=0.006). Asimismo, se observó esplenomegalia causada por la hipertensión portal (p=0.038) (artículo VI).

Por último, las determinaciones fisiológicas en tejido cerebral mostraron un incremento en la presencia de TNF- $\alpha$  (p=0.024) en el estriado de los animales hipertensos en relación con los pseudo-operados. No se encontraron diferencias significativas entre los grupos en regiones cerebrales como la corteza prefrontal, el hipocampo y la amígdala para el TNF- $\alpha$ , las interleuquinas 1 $\beta$  y 6, así como diferencias en la proliferación de células T y B medidas a una longitud de onda de 450 nm (artículo VI).

#### 4.2 Tareas conductuales

#### 4.2.1 Función Motora

Los resultados de la evaluación de la función motora en el Rota Rod (artículo II y III) indican que tanto la hiperamonemia como la hipertensión portal no afectan a la función motora. Los animales hiperamonémicos y los hipertensos no se diferencian de sus controles en el tiempo de permanencia sobre el rodillo a velocidad acelerada. Asimismo, tampoco existen diferencias en las revoluciones por minuto alcanzadas. Consecuentemente, los diferentes grupos experimentales muestran una coordinación motora similar a la de sus controles.

### 4.2.2 Memoria de Referencia Espacial

Los resultados obtenidos en la prueba de memoria de referencia espacial (artículo III) muestran ausencia de diferencias entre los grupos hipertenso y pseudooperado tanto en la velocidad como en la distancia recorrida durante el proceso de aprendizaje a lo largo de los días. Igualmente, no se encontraron diferencias en las latencias de escape hacia la plataforma sumergida. Ambos grupos muestran una disminución de las latencias de escape con el entrenamiento espacial (p<0.05). Sin embargo, aunque la memoria de referencia no esté dañada en los hipertensos, este grupo muestra un retraso de un día en la adquisición de la tarea (p=0.007).

#### 4.2.3 Aprendizaje de Reversión

Los resultados obtenidos en la prueba de reversión (artículo V) indican que no hay diferencias entre los grupos, hipertenso y pseudo-operado en las latencias de escape para alcanzar la plataforma sumergida durante los ensayos de adquisición en la prueba de reversión (p=0.837). Sin embargo, los grupos difieren en el tiempo de permanencia en los cuadrantes durante la prueba de recuerdo o transfer. El grupo pseudo-operado prefiere la nueva localización de la plataforma (cuadrante C) frente a los demás cuadrantes (p<0.001). Por el contrario, el grupo hipertenso prefiere el cuadrante D, es decir que son incapaces de recordar el lugar en el que se encontraba la plataforma de escape, ya que no cumplen el criterio de aprendizaje, permanencia de un tiempo significativamente mayor en el cuadrante reforzado con respecto al resto de cuadrantes de la piscina, en ninguno de los días de entrenamiento.

#### 4.2.4 Estímulo-Respuesta o Tarea de Hábitos

Los resultados obtenidos en la prueba estímulo-respuesta o tarea de hábitos (artículo VI) reflejan que el modelo animal de encefalopatía hepática mínima presenta un deterioro en la capacidad para localizar eficazmente una plataforma de escape visible a lo largo de un entrenamiento masivo de 10 ensayos en un día, como reflejan las diferencias en la ejecución con respecto a sus animales controles, pseudo-operados, los cuales son capaces de dirigirse hacia la plataforma que cambia de lugar en cada uno de los ensayos. Por tanto, se encuentran diferencias en las latencias de escape entre los grupos hipertenso y peudo-operado (p=0.016), donde el grupo hipertenso no muestra una disminución en las latencias de escape durante los ensayos (p=0.313) en comparación con la reducción presentada por el grupo pseudo-operado a partir del segundo ensayo (p=0.034). Finalmente, no existieron diferencias en cuanto a la velocidad y la distancia recorrida entre los grupos experimentales.

#### 4.2.5 Cambio de Estrategia

#### Hiperamonemia

Los resultados obtenidos en la prueba de cambio de estrategia (artículo II) mostraron que los animales hiperamonémicos no alcanzaron el criterio de aprendizaje, donde los animales debían de escoger el brazo correcto en 7 de los 12 ensayos presentados. Consecuentemente, se encontraron diferencias significativas entre los grupos (control e hiperamonemia) que realizaron la tarea de guía a lo largo de los días de aprendizaje (p<0.001). Además, se observaron incrementos significativos en las latencias de escape entre los días 1 y 3 en el grupo control que realizó el aprendizaje guía (p=0.024). En los demás grupos experimentales no se encontraron diferencias a lo largo de los días de entrenamiento.

#### **Hipertensión Portal**

El análisis MMRM (artículo IV) reveló diferencias estadísticamente significativas entre los grupos hipertenso y pseudo-operado (p=0.029) durante la realización de la tarea a lo largo de los días de aprendizaje. Interesantemente, no se encontraron diferencias significativas entre los grupos a comparar a lo largo del tiempo, esto es debido a que a pesar de que la realización de la tarea cambió a lo largo del tiempo, este cambio se produjo de manera similar en todos los grupos de aprendizaje.

Cuando se procedió a contrastar los grupos experimentales entre sí, se encontraron diferencias significativas entre el grupo pseudo-operado A-C (cambio de estrategia en la dirección de tarea alocéntrica a tarea de guía) y el grupo hipertenso C-A (cambio de estrategia en la dirección de tarea alocéntrica) (p=0.015).

Por último, los análisis intragrupo que se llevaron a cabo, seguidos de las comparaciones post hoc por pares de Hochberg, mostraron un incremento significativo de las latencias en el grupo HP A-C entre el dia 1 y todos los demás días de aprendizaje, así como entre el día 2 y el día 6, diferencias en el grupo PS A-C entre el dia 6 y los días 1 y 2, diferencias estadísticamente significativas en el grupo HP C-A entre los días 5 y 6 respecto de los días 1 y 2, y finalmente, también se hallaron diferencias en el grupo PS C-A entre todos los días de aprendizaje frente a los días 1 y 2.

#### 4.2.6 Reconocimiento de Objeto-Lugar

Los resultados obtenidos en la prueba de reconocimiento de Objeto-Lugar indican la presencia de una alteración en la tarea por parte de los animales hipertensos, mostrando la incapacidad de dichos animales para realizar juicios acerca de si el estímulo se ha encontrado anteriormente en la misma localización. Los animales pseudo-operados son capaces de discriminar entre el objeto que ha permanecido en la posición constante, y aquel que se ha movido de lugar. Sin embargo, los animales hipertensos son incapaces de realizar dicha distinción. Este comportamiento se ve reflejado en las diferencias significativas encontradas entre los grupos hipertenso y pseudo-operado en los índices d1 (p=0.004) y d2 (p=0.005), es importante destacar que dichas diferencias no vienen provocadas por una ausencia en la exploración por parte de los animales hipertensos, ya que los índices de exploración e1 (p=0.569) y e2 (p=0.298) no mostraron diferencias estadísticamente significativas.

#### 4.3 Actividad Metabólica

#### 4.3.1 Comparación de los tres modelos de Encefalopatía Hepática (artículo I)

El análisis de la cuantificación densitométrica de la actividad citocromo coxidasa cerebral (COx) reveló diferencias significativas entre los tres grupos experimentales, siendo mayor la actividad metabólica entre el grupo hiperamonemia seguido del grupo hipertensión portal y tioacetamida en la corteza prelímbica (p<0.001), la corteza infralímbica (p<0.001) y la subregión CA3 del hipocampo dorsal (p<0.001). Además, se encontró una mayor actividad COx en el grupo HA, seguido del grupo TAA y del grupo HP en el estriado ventral (p<0.01), tanto en la corteza del accumbens como en el núcleo, el tálamo anterodorsal (p<0.001) y el giro dentado (p<0.01). Asimismo, sólo se encontraron diferencias entre el grupo HA y el resto de los grupos experimentales en el tálamo anteroventral (p<0.05), el tálamo mediodorsal (p<0.001) y la subregión CA1 del hipocampo dorsal (p<0.001), siendo en todos los casos el grupo HA el que mostró una actividad metabólica significativamente elevada con respecto a los grupos HP y TAA. Finalmente, no se encontraron diferencias entre los grupos experimentales en ninguno de los núcleos mamilares estudiados, como son el mamilar medial medial, el mamilar medial lateral y el mamilar lateral.

#### 4.3.2 Memoria de Referencia Espacial (artículo III)

El estudio de la actividad citocromo c-oxidasa mostró una menor actividad COx en el grupo HP en comparación con el grupo PS en las subregiones del hipocampo dorsal CA3 (p=0.039) y giro dentado (p=0.018), en los núcleos amigdalinos, entre los que se encuentran, el núcleo basolateral (p=0.045), el núcleo lateral (p=0.045),

el núcleo central (p=0.029) y el núcleo medial (p=0.013). No encontrándose diferencias entre los grupos en la corteza prefrontal, la cual incluye la corteza prelímbica, infralímbica y la corteza cingulada.

A continuación, estudiamos las correlaciones interregionales entre los grupos experimentales en base a su actividad COx. Se encontraron correlaciones interregionales significativas en ambos grupos. En el caso del grupo PS, se halló una alta correlación entre la amígdala medial y la subregión CA3 del hipocampo dorsal, así como entre las subregiones CA3 y CA1, y la subregión CA1 y la amígdala central (p<0.005). En referencia al grupo HP, se establecieron dos circuitos independientes; el primero de ellos comprende la corteza cingulada que correlaciona con la amígdala central, la cual se relaciona con la corteza infralímbica, y ésta a su vez con la corteza prelímbica, el segundo circuito muestra una alta correlación entre las subregiones del hipocampo dorsal CA3 y CA1, la cual correlaciona con la amígdala lateral, que a su vez se relaciona con la amígdala medial (p<0.015).

#### 4.3.3 Estímulo-Respuesta o Tarea de Hábitos (artículo VI)

La medida de la actividad metabólica cerebral se mostró significativamente reducida en los animales HP en comparación con los animales PS en el núcleo del accumbens (p=0.024), el giro dentado dorsal (p=0.046) y en núcleos amigdalinos como el basolateral (p=0.017), lateral (p=0.014) y el central (p=0.007). No se encontraron diferencias entre los grupos en la corteza prefrontal, el tálamo, el estriado dorsal, la corteza del núcleo accumbens, la corteza parietal, las subregiones del hipocampo dorsal CA1 y CA3, la amígdala medial, el hipocampo ventral, las cortezas perirrinal y entorrinal, así como en los núcleos de los cuerpos mamilares.

# 4.3.4 Cambio de Estrategia en el modelo de Hipertensión Portal (artículo IV)

Los cambios obtenidos en los valores COx inducidos por el aprendizaje de ambas tareas (alocéntrica y de guía) se estudiaron mediante el análisis de los componentes principales, el cual nos permite establecer posibles grupos funcionales entre las regiones cerebrales analizadas. En referencia a la <u>tarea de guía</u> (se corresponde con los grupo que efectuaron el cambio A-C), el componente 1, el cual juega un papel principal en el aprendizaje de la tarea, incluye a la corteza prefrontal, la corteza parietal, el estriado dorsal y ventral, encontrándose únicamente diferencias para las puntuaciones de este componente entre el control puro respecto de los grupos HP y PS (p<0.001).

Los componentes principales 2, 3 y 4 incluyen un número más limitado de regiones cerebrales. El componente 2 solamente incluye los cuerpos mamilares y la amígdala basolateral. El componente 3, incluye los núcleos amigdalinos basolateral y central, y el componente 4 incluye el hipocampo. No se encontraron diferencias entre los grupos en ninguno de estos componentes.

En referencia a la tarea alocéntrica (grupos que efectuaron el cambio de estrategia C-A), el componente principal 1 incluyó 7 regiones cerebrales con una alta carga de componente (>0.7). La carga del componente indica hasta qué punto cada estructura se encuentra relacionada con cada componente. De esta manera, una mayor carga de componente indica mayor importancia de la estructura asociada y, por tanto, menor error de influencia. La carga en el componente 1 es alta para la corteza parietal, el estriado dorsal y ventral, el giro dentado y la amígdala basolateral y central, encontrándose diferencias entre el control puro y el grupo PS (p<0.05). En el componente 2 sólo el mamilar medial mostró una carga positiva fuerte sin encontrarse diferencias entre los grupos. Por último, el componente 3 incluyó la corteza prefrontal, el estriado dorsal y ventral y el giro dentado, hallándose diferencias entre el grupo control y los demás grupos experimentales (p<0.05). Estos resultados mostraron que algunas áreas como el estriado dorsal, ventral y el giro dentado, pueden presentarse tanto en el componente 1 como en el 3, sugiriendo que estas regiones podrían ser parte de ambos circuitos y, probablemente podrían dichos circuitos interaccionar durante el proceso de aprendizaje.

#### 4.3.5 Cambio de Estrategia en el modelo de Hiperamonemia (artículo II)

Los resultados de la cuantificación histoquímica de la COx revelaron que los animales HA entrenados en la tarea alocéntrica, mostraban mayor actividad COx en el tálamo anterodorsal (p=0.01) que sus controles en dicha tarea. Igualmente, se encontró una mayor actividad metabólica en los animales HA que realizaron la tarea de guía en las cortezas prelímbica (p=0.048), infralímbica (p=0.044), parietal (p=0.001), entorrinal (p=0.002) y perirrinal (p=0.002) en comparación con el grupo CO. Además, este mismo patrón de actividad se encontró entre los grupos en el estriado dorsal lateral (p=0.038), el estriado dorsal medial (p=0.05), la subregión del hipocampo ventral CA1 (p=0.01), y los núcleos amigdalinos basolateral (p=0.005) y central (p=0.005). Finalmente, no se encontraron diferencias significativas en la parte dorsal del estriado dorsal, en el estriado ventral, el tálamo, el hipocampo dorsal, la amígdala lateral y medial, así como la subregión del hipocampo ventral CA3 y el giro dentado.

# 4.4 Inmunoreactividad c-Fos en el Aprendizaje de Reversión y el Reconocimiento de Objetos-Lugar (artículo V)

Los resultados hallados en el análisis de la cuantificación del número de neuronas con marcaje c-Fos en la <u>tarea de reversión</u> revelan que los animales con hipertensión presentan menor número de células con marcaje c-Fos que el grupo pseudo-operado en las regiones de la corteza cingulada (p=0.026), prelímbica (p=0.015), infralímbica (p=0.008), CA1 septal (p=0.004), CA3 septal (p<0.001) y giro dentado septal (p<0.001).

El patrón opuesto de células con marcaje c-Fos, siendo mayor el marcaje en los hipertensos, se encuentra entre los grupos experimentales entrenados en el <u>reconocimiento de Objetos-Lugar</u>, concretamente en las regiones CA1 dorsal (p=0.045), CA3 dorsal (p=0.035) y la corteza entorrinal (p=0.012). No se encontraron diferencias entre los grupos hipertenso y pseudo-operado en la corteza prefrontal (prelímbica, infralímbica y cingulada), el giro dentado dorsal y la corteza perirrinal.

#### 4.5 Inmunoreactividad GFAP (artículo VI)

Se encontraron diferencias en el número de los astrocitos GFAP inmunoreactivos entre los grupos HP y PS en el estriado dorsal, tanto en la zona

dorsal (p=0.002) como en la zona medial (p=0.002), siendo el número de astrocitos inmunoreactivos mayor en el grupo PS que en el grupo HP.

### 4.6 Volumen Neuronal Nuclear (artículo VI)

Se hallaron diferencias estadísticamente significativas entre los grupos HP y PS en cuanto al volumen neuronal nuclear, siendo mayor dicho volumen en los animales PS en comparación con los HP en el estriado dorsal, el cual se subdivide en la zona dorsal (p=0.027), la zona medial (p=0.012) y la zona lateral (p=0.019). El patrón opuesto se observa en el estriado ventral, donde el mayor volumen núclear lo presentan los animales HP en comparación con los PS en el núcleo (p=0.015) y la corteza (p=0.004) del accumbens.

# 5. DISCUSIÓN

Encefalopatía hepática (EH) es el término utilizado para describir una seria complicación neuropsiquiátrica y neurocognitiva ocasionada por un daño hepático agudo o crónico (Kappus y Bajaj, 2012). Sin embargo, en la actualidad se ha visto que la EH no es un síndrome único (Felipo, 2013), interviniendo en su patogénesis tanto la hipertensión portal como el incremento del amonio sistémico y cerebral.

Numerosos son los estudios que revelan una disminución de la tasa metabólica cerebral de la glucosa en los pacientes con EH, sugiriendo que el hipometabolismo contribuye a los síntomas neuropsiquiátricos que se observan comúnmente en la EH. Asimismo, se encontraron disminuciones en el metabolismo cerebral del oxígeno y en el flujo sanguíneo (Dam y cols., 2013) de dichos pacientes, revelando la resonancia magnética funcional una relación entre los déficits cognitivos y una aberrante actividad cerebral basal (Chen y cols., 2012b). Sin embargo, la existencia de una contribución diferencial de estos factores, hipertensión portal, hiperamonemia y daño hepático no había sido explorada. Por tanto, nuestro primer objetivo consistió en estudiar cómo dichos factores afectaban al metabolismo oxidativo de las regiones cerebrales implicadas en los procesos de aprendizaje y memoria.

Los animales con hipertensión portal vieron reducida su actividad metabólica en la corteza prefrontal, el estriado ventral, los núcleos talámicos y el hipocampo, siendo comparable dicho patrón al de sujetos con ausencia de patología. Esta similitud puede explicarse por el desarrollo de colaterales portosistémicas, consecuencia del incremento de presión que tiene lugar en la vena porta, las cuales aminoran el incremento de la resistencia (Miñano y Garcia-Tsao, 2010; Méndez-López y cols., 2007).

La situación opuesta es la observada en los animales hiperamonémicos, donde las demandas energéticas se ven incrementadas por encima de los niveles convencionales en todas las estructuras cerebrales. Este incremento en la utilización de oxígeno podría ser debido a un proceso inflamatorio en dichas áreas cerebrales (Arias y cols., 2006) dado que la inflamación incrementaría el uso del oxígeno produciendo un exceso de especies reactivas de oxígeno y nitrógeno, las cuales causarían el estrés oxidativo y nitrosativo (Norenberg, 2003; Nathan, 2003).

Asimismo, el amonio, una vez atravesada la barrera hematoencefálica, podría disminuir el metabolismo oxidativo debido a la inhibición de enzimas tales como la piruvato deshidrogenesa y el  $\alpha$ -cetoglutarato (Bjerring y cols., 2009). Además, numerosos neurotransmisores como el GABA, dopamina, serotonina y glutamato pueden verse alterados por un exceso de amonio. En concreto, la neurotransmisión glutamatérgica se ve comprometida por el daño que ocasiona el amonio en la inducción de los receptores NMDA, los cuales alteran la vía glutamato-óxido nítrico-GMP, que está involucrada en el aprendizaje y la memoria (Aguilar y cols., 2000; Erceg y cols., 2005).

Por otra parte, durante el fallo hepático, modelo de tioacetamida, también se produce un incremento de los niveles de amonio en el cerebro (Keiding y cols., 2006), los cuales pueden provocar un alteración en la utilización de la glucosa similar a la encontrada en los modelos de hiperamonemia (Rama Rao y Norenberg, 2012). Pero, mientras que los pacientes con cirrosis hepática tienen mecanismos compensatorios que previenen de la ocurrencia del edema cerebral y de la hipertensión intracraneal (Jover y cols., 2005), fruto del carácter osmolítico de la

glutamina derivada del amonio, el cerebro hiperamonémico es incapaz de establecer estos mecanismos.

Estos resultados muestran que las alteraciones en la actividad metabólica cerebral no se desarrollan por igual en los tres modelos experimentales, daño hepático, hiperamonemia e hipertensión portal. La mayor alteración se encuentra en el modelo que simula la intoxicación por amonio, en el cual las estructuras cerebrales deben realizar un gran esfuerzo metabólico en comparación con los otros dos modelos experimentales.

A la luz de los resultados obtenidos, y debido al continuo que representa la progresión de la EH, nos pareció interesante abordar el estudio tanto del estadio crónico de la enfermedad como del estadio temprano utilizando como modelos experimentales aquellos que se identifican con los principales factores que contribuyen a la EH, como son la hiperamonemia y la hipertensión portal. Esto no sólo arrojará luz sobre los déficits tempranos que se encuentran en la EH, con su potencial carácter preventivo, sino que nos permitirá establecer la progresión desde los estadios tempranos a crónicos de este síndrome.

El amonio es uno de los factores etiológicos de la EH, así como de otras condiciones hiperamonémicas como errores innatos en el ciclo de la urea, el síndrome de Reye, la toxicidad por valproato (Rao y Norenberg, 2001) y la hiperamonemia idiopática (Butterworth, 1991). Así pues, la hiperamonemia se considera uno de los factores más importantes en las alteraciones neurológicas encontradas en el daño hepático agudo y crónico (Wang y Saab, 2003).

Para discernir la contribución del exceso de amonio en las alteraciones neurológicas de la EH, desarrollamos un modelo de hiperamonemia crónica con ausencia de daño hepático. Asimismo, nos propusimos estudiar hasta qué punto el amonio cerebral afectaba al aprendizaje mediante el desarrollo de dos protocolos que pusieran de manifiesto el empleo de dos estrategias de aprendizaje diferentes, la alocéntrica y la de guía. El primer protocolo define la relación entre la meta y otra localización, siendo la meta independiente del sujeto (estrategia alocéntrica). El segundo protocolo define la relación entre la meta y la aproximación a ésta a través de una pista distintiva (estrategia de guía) (de Bruin y cols., 2001).

Los resultados conductuales mostraron la incapacidad de los animales hiperamonémicos de alcanzar el criterio de aprendizaje en ambas tareas. A su vez, esto fue reflejado por las diferencias en la actividad metabólica cerebral encontradas en las estructuras límbicas implicadas en estos procesos de aprendizaje.

Como era de esperar, en base a los resultados obtenidos previamente (Arias y cols., 2013), las estructuras cerebrales estudiadas en los animales hiperamonémicos incrementaron sus demandas energéticas en un intento por alcanzar dicho criterio. Además, el nivel de dificultad de ambas tareas era diferente, tal como reveló la ejecución de dichas tareas por parte de los controles. Mientras que durante la realización de la tarea de guía se vio una mejora a lo largo de los días, en la tarea alocéntrica no se observó este patrón, dado que la tarea fue realizada sin dificultad desde el primer día del aprendizaje. Esta dificultad diferencial podría explicar el incremento de la actividad metabólica del tálamo, estructura requerida al inicio de la adquisición de una tarea de aprendizaje espacial (Conejo y cols., 2010), en los animales hiperamonémicos que realizan la tarea alocéntrica. Mientras que en los animales hiperamonémicos que realizan la tarea de guía se ve aumentada la actividad metabólica en aquellas regiones cerebrales que se requieren en diferentes momentos del proceso de aprendizaje.

El estudio de la EHM se llevó a cabo mediante un modelo de triple ligadura estenosante de la vena porta en un estadio de evolución temprana de cuarenta y cinco días en cuyo transcurso se desarrolla la hipertensión portal. La hipertensión portal es una complicación común de la cirrosis, la cual se asocia con diferentes riesgos entre los que se incluyen, sangrado intestinal, ascitis y EH (Carey, 2011).

En primer lugar, evaluamos el modelo de EHM mediante la medida de la hipertensión portal, el peso de los órganos así como su actividad motora. Se encontraron diferencias en la presión portal entre los grupos hipertenso y pseudo-operado. Además, la presión portal fue confirmada por la presencia de esplenomegalia (Aller y cols., 2005b), así como por la insuficiencia adrenal, la cual

es común en paciente con cirrosis y sepsis severa. Sin embargo, nosotros encontramos esta deficiencia en estadios tempranos de la EH, lo cual podría ayudar a reformular una definición fisiopatológica de la EHM.

La evaluación de la actividad motora se llevó a cabo mediante el Rota Rod y no reveló alteraciones en los animales hipertensos. Nuestro grupo experimental mostró una coordinación motora balanceada y un desplazamiento similar en velocidad y distancia en comparación con los animales pseudo-operados, lo cual está en concordancia con lo obtenido por otros autores (Méndez y cols., 2008b). Por tanto, los déficits motores no podrán explicar las diferencias halladas en la realización de los distintos aprendizajes por parte de los animales con EHM.

Comenzamos evaluando la memoria de referencia espacial en los animales con EHM. Aunque ambos grupos experimentales, hipertensos y pseudo-operados, alcanzaron el criterio de aprendizaje, el grupo hipertenso tiende a realizar peor la tarea en comparación con el grupo pseudo-operado. De hecho, se encontró un retraso de un día en la reducción de las latencias de escape hacia la plataforma escondida durante el proceso de aprendizaje. Este dato podría indicar una deficiencia de los animales hipertensos en la memoria a corto plazo en relación con la memoria a largo plazo espacial. Numerosos estudios con diferentes modelos experimentales de EH confirmaron que la memoria de trabajo espacial está dañada en animales cirróticos por administración de tioacetamida (Méndez y cols., 2009a). Además, estudios que evaluaron la respuesta de evitación revelaron que tanto los animales con tioacetamida, como con shunt portocava tuvieron déficits en su adquisición (Méndez y cols., 2009b). Todos estos datos nos permitieron establecer la hipótesis de que una exposición masiva a la adquisición de una tarea, como es el caso de los cinco días de duración de la memoria de referencia, es probablemente la causa de la ausencia de diferencias entre los grupos.

El patrón de actividad metabólica que subyace a la memoria de referencia espacial nos reveló una implicación de áreas cerebrales como el hipocampo y la amígdala. El hipocampo ha sido tradicionalmente relacionado no sólo en la representación del entorno, sino también en la codificación de la meta y el recuerdo en asociación con la memoria espacial (Dupret y cols., 2010). Además, estos autores mostraron cómo la reactivación de las representaciones de la meta es dependiente de los receptores NMDA presentes en el hipocampo. Estos receptores también se han hallado en el complejo basolateral y el núcleo central de la amígdala (Llansola y cols., 2007). Por lo que alteraciones tempranas en la transmisión glutamatérgica, las cuales ya se han datado en estadios crónicos de la EH (Montoliu y cols., 2010), podrían explicar la escasa activación metabólica encontrada en los animales hipertensos.

Por último, el estudio de las correlaciones nos permitió identificar distintos patrones de interacción entre las redes cerebrales en los distintos grupos. El grupo pseudo-operado, el cual muestra mayor actividad metabólica que el grupo hipertenso, mostró un único circuito en el que se integran las distintas estructuras cerebrales; mientras que el grupo hipertenso mostró dos redes cerebrales complejas. Este incremento en el número de redes cerebrales y estructuras podría indicar los esfuerzos metabólicos cerebrales del grupo hipertenso, los cuales son necesarios para adquirir la memoria de referencia espacial en el mismo tiempo que el grupo pseudo-operado.

A la vista de los resultados obtenidos en el análisis de la memoria de referencia espacial por los animales con EHM, nuestro siguiente objetivo consistió en examinar si también podrían ocurrir alteraciones en la flexibilidad conductual.

La flexibilidad conductual se refiere a la habilidad para aprender una nueva estrategia al mismo tiempo que se inhibe la ejecución de una estrategia previa bajo unas condiciones ambientales cambiantes (Ragozzino y cols., 1999). La flexibilidad conductual es el concepto general que subyace a los cambios en las tareas los cuales requieren modificar tanto la dimensión del estímulo relevante (por ejemplo, cambiar del aprendizaje alocéntrico al aprendizaje de guía y viceversa) como el sistema de memoria implicado (White y McDonald, 2002). A lo largo de los años, son muchos los estudios que demuestran cómo las diferentes estrategias de aprendizaje requieren de diferentes redes neurales, las cuales podrían superponerse parcialmente (Rubio y cols., 2012).

Para acceder al estudio tanto de la flexibilidad conductual como de las redes implicadas en el modelo de EHM, combinamos la estrategia alocéntrica y la guía. Asimismo, variando el orden de adquisición de cada una de las estrategias pudimos observar el efecto de la facilitación.

Estudios previos realizados por de Bruin y cols. (2001) mostraron que al comienzo del entrenamiento las ratas intentan localizar la plataforma en la piscina utilizando una estrategia que implica el procesamiento de la información referente a la localización espacial. Cuando las ratas fallan, cambian su estrategia y aprenden la ruta hacia la plataforma basándose en una estrategia táxica, por ejemplo una estrategia de guía. Estos hallazgos son similares a nuestros resultados.

Comparando los grupos experimentales que realizan el cambio alocéntrico-guía (A-C), el grupo pseudo-operado exhibió un aumento en el número de elecciones correctas en lo que respecta a la adquisición de la tarea alocéntrica (desde el día 1 al 3). Sin embargo, cuando se realizó el cambio para favorecer la tarea de guía, se observó una disminución en el número de elecciones correctas, a pesar de que los animales alcanzaron el criterio al final del entrenamiento.

Cuando nos centramos en el cambio de la tarea de guía a la alocéntrica (C-A), el bajo número de elecciones correctas por parte de los animales pseudo-operados nos refleja la preferencia de los animales por una utilización de la información espacial. De hecho, cuando se inicia la tarea alocéntrica no se observan disminuciones en el número de respuestas correctas, lo cual no sólo apoya las investigaciones previas, sino que muestra cómo el comienzo con una tarea favorecida podría facilitar la adquisición de una tarea de mayor dificultad.

Sin embargo, en el caso del grupo hipertenso, la identidad de la primera tarea presentada no fue importante dado que el número de elecciones correctas fue siempre bajo. Esta falta de flexibilidad había sido observada en estudios previos (de Bruin y cols., 1994) asociada a lesiones en el prefrontal medial con cambios de estrategias, desde alocéntrica a pista visual. Por tanto, el siguiente paso consistió en estudiar la actividad citocromo c-oxidasa, que se encontraba disminuida en el grupo hipertenso en relación al grupo pseudo-operado, para formar redes basadas en la

actividad metabólica cerebral que puedan explicar las diferencias cerebrales entre las distintas estrategias.

El análisis reveló un complejo de estructuras comunes a ambos cambios de estrategia, tales como la corteza parietal, el estriado dorsal y el accumbens. La evidencia experimental apoya estos resultados sugiriendo que sistemas de memoria diferentes podrían interactuar bajo algunas condiciones (Byrne y cols., 2007; Fidalgo y cols., 2012). La existencia de un conjunto común de estructuras involucradas en ambas estrategias sugiere un sistema central que facilita alternancias entre los requerimientos de las dos estrategias diferentes.

A parte de las estructuras comunes, la corteza prefrontal en los grupos A-C se reveló primordial, mientras que la ausencia del hipocampo o la amígdala podrían entenderse como parte de la inhibición que el prefrontal ejerce sobre ambas estructuras (Quirk y cols., 2003; Rich y Shapiro, 2007). En contraposición se encuentran los resultados observados en los grupos C-A, donde la relevancia mayor recae sobre el hipocampo y la amígdala. Por tanto, no resulta difícil entender que cualquier daño en una de esas estructuras que conforman las redes podría causar alteraciones en la flexibilidad cognitiva de manera similar a la encontrada en enfermedades como el Huntington, el Alzheimer (Menzie y cols., 2012) y la EH (Amodio y cols., 2005). Este estudio nos permitió proponer la corteza prefrontal, el estriado, el hipocampo y los núcleos amigdalinos como las estructuras cerebrales concretas que podrían encontrarse afectadas en los estadios tempranos de la EH. A continuación, diseñamos diferentes tareas conductuales con el fin de detectar la existencia de un déficit temprano en ellas consecuencia de la EHM.

Así pues, en primer lugar, nos preguntamos cómo el hipocampo de un animal con EHM sería capaz de tratar con distintos niveles de dificultad en tareas hipocampo-dependientes. Para ello llevamos a cabo dos tareas, una tarea de reconocimiento objeto-lugar que sólo implica juzgar si un objeto ha sido encontrado previamente, mientras que la segunda tarea fue un aprendizaje de reversión, el cual implica el manejo de información contextual, un comportamiento extendido en el tiempo, así como la integración de la ruta con la recompensa produciendo la selección adecuada de la ruta (Young y Shapiro, 2011).

El primer experimento nos reveló la incapacidad de los animales hipertensos para recordar el cambio de posición que tuvo lugar en el objeto desplazado. Además, esta disminución en su capacidad de discriminación no fue consecuencia de una disminución en la exploración, ya que el grupo hipertenso no mostró diferencias en los tiempos totales de exploración en comparación con los animales pseudo-operados.

El segundo experimento mostró la incapacidad del grupo hipertenso para recordar la nueva localización de la plataforma durante la prueba test de la tarea de reversión en comparación con los animales pseudo-operados. En este experimento las demandas para resolver la tarea son mayores que en el primer experimento. Consecuentemente, como la complejidad de la tarea se incrementa, el número de regiones cerebrales involucradas será mayor.

Esto viene avalado por el estudio de la expresión de la proteína c-Fos, resultado de la activación del protooncogen c-fos, cuya expresión es indicativa de la actividad neuronal que se produce en diferentes tipos de aprendizajes (Kaczmarek, 1993; Santín y cols., 2003; Radulovic y cols., 1998). Nuestros resultados muestran elevada inmunoreactividad c-Fos en CA1, CA3 y la corteza entorrinal del grupo hipertenso en comparación con el grupo pseudo-operado en la tarea de reconocimiento objeto-lugar. Sin embargo, el patrón opuesto se encontró en la tarea de reversión, donde una disminución de las neuronas con marcaje c-Fos apareció en CA1, CA3, giro dentado, y las cortezas prelímbica e infralímbica en el grupo hipertenso en comparación con el grupo pseudo-operado.

A la luz de estos resultados, comprobamos la contribución diferencial del hipocampo y su dependencia según el requerimiento de la tarea, así como los déficits que presentan los animales con EHM en la realización de ambas tareas. Cuando el requerimiento de la tarea es bajo, tal como la tarea de reconocimiento objeto-lugar, se ve implicado un "circuito neural corto" donde los animales hipertensos muestran incrementos en la inmunoreactividad c-Fos en comparación

con los animales pseudo-operados. Esto puede deberse a los esfuerzos realizados por los animales afectados por EHM en realizar la tarea satisfactoriamente.

Consecuentemente, un incremento en la dificultad de la tarea espacial reflejada por el aprendizaje de reversión, conlleva un reclutamiento de estructuras adicionales formando un "circuito neural largo" que implica no sólo el hipocampo sino también la corteza prefrontal. Ambas estructuras se encuentran conectadas, ya que CA1 es el mayor eferente de la corteza prelímbica (Jay y cols., 1992; Gigg y cols., 1994). Asimismo, la vía trisináptica entre las diferentes subregiones hipocampales, la corteza entorrinal y el subículo es dependiente de glutamato (Amaral y Witter, 1989; O'Reilly y McClelland, 1994; McClelland y cols., 1995; Eichenbaum, 2000; Witter y cols., 2000). Además, Seamans y cols. (1998) han sugerido un papel modulador de la dopamina en el flujo de información entre el hipocampo y la corteza prefrontal. Todas ellas, son vías de neurotransmisión que se han visto alteradas en estadios agudos y crónicos de la EH, pero basándonos en los resultados obtenidos podrían sugerir una alteración desde estadios tempranos de la enfermedad.

Igualmente, desarrollamos una herramienta simple de evaluación de las alteraciones en el aprendizaje en los animales con EHM. Con este propósito, llevamos a cabo una tarea de hábitos o estímulo-respuesta, la cual es estriadodependiente (Packard y McGaugh, 1992; McDonald y White, 1994). Para ello, evaluamos la realización de los animales con EHM en una tarea estímulo-respuesta en la cual la plataforma visible fue reposicionada pseudo-aleatoriamente a lo largo de los días para variar su relación con las pistas distales triviales del entorno (Morris, 1981).

Los resultados conductuales mostraron la incapacidad de los animales hipertensos para alcanzar el criterio de aprendizaje establecido por los animales pseudo-operados. A este respecto, son numerosos los estudios que han señalado cómo las lesiones en el caudado-putamen pueden dañar la adquisición de dicha tarea (Block y cols., 1993; Dunbar y cols., 1993) siendo dichas alteraciones independientes de déficits motores (Furtado y Mazurek, 1996; Arias y cols., 2012).

Estos resultados podrían sugerir el daño estriatal como primario en el desarrollo de la EHM. Asimismo, las disminuciones en la actividad citocromo c-oxidasa encontradas en el grupo hipertenso en el estriado ventral, el hipocampo y los núcleos amigdalinos podrían subrayar dicha hipótesis.

En último lugar, quisimos relacionar dichos déficits en el aprendizaje y la actividad metabólica con el estudio de los posibles procesos inflamatorios cerebrales que subyacen a la EH, así como explorar los cambios morfológicos gliales y neuronales que pueden acaecer en la EHM.

Hay una evidencia creciente que apoya el papel de la inflamación en la exacerbación de las manifestaciones neurológicas de la EH. La inflamación en el contexto de la EH puede producirse directamente dentro del cerebro ocasionando una disfunción astrocítica, microglial y neuronal, contribuyendo al daño cerebral (Tranah y cols., 2013). Por otra parte, la inflamación también puede desarrollarse a nivel sistémico (Aller y cols., 2012) e influenciar la función cerebral de manera indirecta (Aller y cols., 2005a,b). Es por ello que nosotros consideramos interesante determinar la presencia de algunos mediadores involucrados en la respuesta inflamatoria, entre ellos incluimos el TNF- $\alpha$ , la IL-1 $\beta$  y la IL-6 con el fin de verificar la hipotética etiopatogenia inflamatoria de la EHM.

Nuestros resultados no mostraron diferencias en la IL-1 $\beta$  y la IL-6 entre los grupos hipertenso y pseudo-operado en las principales estructuras cerebrales del sistema límbico que se encuentran relacionadas con el aprendizaje estriadodependiente. Tampoco hallamos diferencias en la proliferación de células T y B. Sin embargo, encontramos un incremento del TNF- $\alpha$  en el estriado del grupo hipertenso. La producción del TNF- $\alpha$ , a menudo, tiene acciones sobre procesos inflamatorios, amplificando dichas respuestas mediante la inducción de mediadores secundarios (Moore y cols., 2001).

Asimismo, el incremento del TNF- $\alpha$  en los animales con EHM podría estar relacionado con la disminución de la actividad metabólica encontrada. De hecho, el TNF- $\alpha$  podría disminuir la actividad citocromo c-oxidasa a través de dos vías: directamente, inhibiendo la fosforilación oxidativa mitocondrial donde la citocromo c-oxidasa, como enzima mitocondrial, está involucrada en los procesos de fosforilación que generan ATP; e indirectamente, porque el TNF- $\alpha$  podría incrementar la síntesis del óxido nítrico a través de la regulación a la alza de la óxido nítrico sintasa inducible (Aller y cols., 2005a). Por tanto, el estrés oxidativo y nitrosativo podrían causar el daño mitocondrial neuronal y una fosforilación oxidativa defectiva la cual se reflejaría en una actividad citocromo c-oxidasa alterada (Arias y cols., 2012).

Quisimos estudiar también los posibles daños neuronales y gliales en la EHM que podrían subyacer a los déficits conductales. Para ello, exploramos los cambios en el volumen nuclear neuronal y la proteína citoesquelética glial fibrilar ácida (GFAP). Encontramos disminución de células GFAP inmunoreactivas en el estriado dorsal del grupo hipertenso en comparación con el pseudo-operado. Cambios en la GFAP astrocitaria habían sido reportados en la corteza cerebral de ratas con daño hepático crónico (Norenberg, 1977), así como en los ganglios basales, la corteza cerebral y las estructuras talámicas de humanos en estadios crónicos de la EH (Sobel y cols., 1981). Además, mediadores inflamatorios como el TNF- $\alpha$  pueden disminuir la expresión de GFAP (Chastre y cols., 2010). Nuestros resultados son pioneros en señalar cambios en la GFAP estriatal y su relación con los déficits encontrados en el aprendizaje en la EHM.

Por último, encontramos un incremento en el volumen nuclear neuronal del estriado ventral, estructura sobre la que descansa la correcta realización de la tarea estriatal (artículo VI). Existe evidencia de la actuación sinérgica de las citoquinas proinflamatorias y el amonio cerebral, en el daño hepático agudo, para causar edema cerebral (Chastre y cols., 2010). Asimismo, también se han encontrado incrementos en el volumen nuclear neuronal en los cuerpos mamilares y el hipocampo en modelos crónicos de la EH (Méndez y cols., 2008a). Sin embargo, estos resultados apoyan la existencia de una hipertrofia nuclear neuronal temprana en la evolución de la EH.

Así pues, en la presente tesis intentamos correlacionar deficiencias en numerosos aprendizajes que nos permitieran poner de manifiesto las alteraciones en el metabolismo oxidativo cerebral, así como en la expresión génica de aquellas estructuras cerebrales que son las primeras en ser afectadas por la EHM. Asimismo, intentamos establecer la relación entre dichas alteraciones y los procesos inflamatorios, los daños astrocitarios y los cambios neuronales que subyacen a los mismos, entendiéndolos como parte de un continuo en el desarrollo de la cronicidad de la EH. Estos hallazgos dejan una puerta abierta para los futuros tratamientos sobre dianas tempranas ya presentes en los pacientes con EHM.

# 6. CONCLUSIONES

- El estudio de la actividad metabólica cerebral de los modelos experimentales de daño hepático, hipertensión portal e hiperamonemia ha revelado una alteración diferencial en las estructuras del sistema límbico. La mayor alteración fue hallada en el modelo hiperamonémico, seguido del modelo tratado con tioacetamida y el modelo de hipertensión portal en las regiones prefrontales, los núcleos talámicos, el estriado y el hipocampo dorsal.
- El estudio del modelo crónico de hiperamonemia durante la ejecución de las tareas alocéntrica y de guía ha manifestado un deficiente aprendizaje en ambas tareas.
- 3. El aprendizaje espacial incrementa la actividad metabólica de una manera significativa en las regiones cerebrales implicadas en el modelo de hiperamonemia. Asimismo, encontramos una mayor implicación de estructuras límbicas en la tarea de guía (cortezas prelímbica, infralímbica, parietal, entorrinal y perirrinal, el estriado dorsal y los núcleos amigdalinos) en comparación con la alocéntrica (tálamo anterodorsal) en dicho modelo de EH crónica.
- 4. La presión portal sanguínea medida en el grupo con EHM fue significativamente superior a la encontrada en el grupo pseudo-operado.
- 5. Se observa esplenomegalia e insuficiencia adrenal en el modelo de EHM en comparación con el grupo pseudo-operado.
- 6. Se demuestra, por primera vez en la literatura, que el desarrollo de la inflamación en la EHM se inicia en el núcleo estriado a través de un incremento del TNF-α, proponiendo dicha estructura cerebral como centinela inicial del desarrollo de la EH.
- Los sujetos con EHM no manifiestan déficit en la memoria espacial tras un aprendizaje que requiere la repetición a lo largo de los días.

- 8. Los sujetos con EHM siempre presentaron deficiencias en la ejecución de tareas que requerían un aprendizaje no extendido en el tiempo.
- Se han observado variaciones significativas en la expresión cerebral de los genes tempranos en los animales con EHM cuando se incrementa el nivel de dificultad presentado en las tareas espaciales.
- 10. La morfología celular ha revelado una reducción en el volumen nuclear neuronal y en la expresión de la proteína glial fibrilar ácida en el núcleo estriatal de los animales con EHM, lo que recalca la importancia de dicha estructura como potencial diana terapéutica.
- 11. El análisis de la actividad metabólica mediante la técnica citocromo coxidasa ha permitido delimitar el circuito cerebral, constituido por la corteza prefrontal, el estriado, el hipocampo y los núcleos amigdalinos, causante de los déficits cognitivos encontrados en la EHM.

#### CONCLUSIONS

- 1. The brain metabolic activity of the experimental models of liver injury, hyperammonemia and portal hypertension revealed a differential alteration in the structures of the limbic system. The greater alteration was found in the hyperammonemic model, followed by thioacetamide -treated and portal hypertension in the prefrontal cortex, the thalamic nuclei, striatum and dorsal hippocampus.
- 2. The chronic hyperammonemia model was unable to acquire both the allocentric and the cue-guided tasks.
- 3. The spatial learning increases the metabolic activity in the brain regions involved in the chronic hyperammonemia model. At the same time, we found more limbic structures involved in the cue-guided task (prelimbic, infralimbic, parietal, entorrhinal and perirhinal cortices, the dorsal striatum and amygdala nuclei) compared to the allocentric one (anterodorsal thalamus).
- 4. The portal blood pressure measured in the minimal hepatic encephalopathy (MHE) model was significantly higher than that found in sham group.
- 5. In contrast to the sham group, the model of MHE shows splenomegaly and adrenal insufficiency.
- 6. It is shown, for the first time in the literature, that the development of the inflammation in MHE it is started in the striatum through an increase in TNF-α, proposing this brain structure as precocious in the development of HE.
- 7. The MHE subjects did not show spatial memory impairment in a learning task which requires repetition over days.
- 8. The MHE subjects always showed deficiencies in the execution of tasks requiring no extended in time learning.
- 9. Significant differences in the brain early gene expression in the MHE animals have been shown when the difficulty of the task was increased.

- 10. The cellular morphology has revealed a reduction in the neuronal nuclear volume and the glial fibrillar acid protein in the striatal nuclei of the MHE animals, as a consequence it is highlighted the potential of this brain structure as a therapeutic target.
- 11. The analysis of the brain metabolic activity using the histochemical technique of the cytochrome c-oxidase allows us to define the brain circuitry, composed by the prefrontal cortex, striatum, hippocampus and amygdala nuclei, which causes the cognitive deficits found in MHE.
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### Research Article

### Mapping Metabolic Brain Activity in Three Models of Hepatic Encephalopathy

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Cirrhosis is a common disease in Western countries. Liver failure, hyperammonemia, and portal hypertension are the main factors that contribute to human cirrhosis that frequently leads to a neuropsychiatric disorder known as hepatic encephalopathy (HE). In this study, we examined the differential contribution of these leading factors to the oxidative metabolism of diverse brain limbic system regions frequently involved in memory process by histochemical labelling of cytochrome oxidase (COx). We have analyzed cortical structures such as the infralimbic and prelimbic cotices, subcortical structures such as hippocampus and ventral striatum, at thalamic level like the anterodorsal, anteroventral, and mediodorsal thalamus, and, finally, the hypothalamus, where the mammillary nuclei (medial and lateral) were measured. The severest alteration is found in the model that mimics intoxication by ammonia, followed by the thioacetamide-treated group and the portal hypertension group. No changes were found at the mammillary bodies for any of the experimental groups.

#### 1. Introduction

Portal hypertension is one of the main complications of human cirrhosis that frequently leads to a neuropsychiatric disorder known as hepatic encephalopathy (HE). The genesis of portal hypertension implies an increase in vascular resistance that can occur at any level within the portal venous system [1]. Elevated blood flow and brain ammonia levels have been strongly implicated in the pathogenesis of HE [2]. Ammonia is a common etiological factor in HE as well as in various hyperammonemic conditions, including inborn errors of the urea cycle, Reye's syndrome, valproate toxicity, idiopathic hyperammonemia, and other conditions [3–6]. Elevated ammonia and its chief metabolite, glutamine, are believed to be important factors responsible for altered cerebral functions, including multiple neurotransmitter system failures, altered bioenergetics, and oxidative stress [7].

Likewise, patients with liver disease have HE, which incorporates a spectrum of manifestations including

psychomotor dysfunction, increased reaction time, sensory abnormalities, and poor concentration [8]. Depending on the definition used, HE prevalence varies between 30–84% in patients with cirrhosis [9], a common disease in Western countries [10]. In humans, few studies have been carried out on memory alterations in patients with cirrhosis who develop HE, and, although some authors argue that memory disturbances are not a major symptom of HE [11], others state that patients with HE present clear mnesic alterations. Hence, Bahceci et al. [12] found a poorer performance in several memory tests in patients with cirrhosis, whereas Ortiz et al. [13] showed a learning deficit and impairment in long-term memory.

Taken together, liver disease, portal hypertension, and hyperammonemia appear to be the main contributing factors that lead to the occurrence of HE.

According to the proposal of Butterworth et al. [14], HE is reclassified into different types, depending on its origin or cause. Type B concerns HE related to portosystemic shunt,

which does not necessarily involve any hepatocytic alteration and includes portocaval shunt, partial ligature of the portal vein, and triple portal vein ligation [15]. Type C is associated with chronic liver failure (cirrhosis). As HE can be derived from different causes, diverse experimental models have been developed to reproduce the characteristics of HE as closely as possible and to study brain dysfunction in this syndrome [14]. In this study, we try to isolate the main factors leading to HE through the use of three experimental models: portal hypertension, hyperammonemia, and thioacetamide. Portal hypertension by triple portal vein ligation [16] involves collateral circulation but with a discrete hepatocellular insufficiency. The model of hyperammonemia [17] allowed us to study the effect of ammonia as a toxic brain substance but it lacks also liver failure [18]. Therefore, to explore cirrhosis, a model of chronic thioacetamide administration was used [19].

Difficulties learning diverse types of tasks have been shown in these experimental models, such as classical conditioning [20], conditional discrimination [21, 22], reference [23], and working memory [24]. However, less is known about the specific role of liver failure, portal hypertension, and hyperammonemia in the development of bioenergetic brain disturbances.

The aim of this study is to elucidate the differential contribution of these leading factors to the oxidative metabolism of diverse brain limbic system regions frequently involved in memory process by histochemical labelling of cytochrome oxidase (COx). COx is a mitochondrial enzyme involved in the phosphorylation process that generates ATP. This energy is used to maintain the resting membrane potential and the synthesis of molecules and neurotransmitters, among other functions [25]. Because metabolic activity is tightly coupled with neuronal activity, this technique can be used as an index of regional functional activity in the brain, reflecting changes in tissue metabolic capacity induced by sustained energy requirements of the nervous system associated with learning [23, 26].

#### 2. Material and Methods

2.1. Subject. A total of 28 male adult Wistar rats were used (230–260 g at the start of the experiments) from the animalarium of Oviedo University. All the animals had ad libitum access to food and tap water and were maintained under standard laboratory conditions (20–22°C, 65–70% relative humidity, and a 12 h light/dark cycle). The procedures and manipulation of the animals used in this study were carried out according to the European Parliament and the Council of the European Union 2010/63/UE and were approved by the Oviedo University committee for animal studies.

2.2. Procurement of Experimental Models. The animals were randomly distributed into 3 groups: portal hypertension (PH group, n = 12), hyperammonemia (HA group, n = 8), and animals with cirrhosis by administration of thioacetamide (TAA group, n = 8). The surgical procedures and protocols

used for the different experimental models are described below.

2.2.1. Portal Hypertension. The portal hypertension model was carried out under induction of anaesthesia by i.m. injection of ketamine (100 mg/kg) and xylacine (12 mg/kg). A midline abdominal incision was performed and a part of the intestinal loops was gently shifted to the left and covered with saline-moistened gauze. The portal vein was isolated along its length. Portal hypertension was produced by triple partial ligation [16]. Three stenosing ligatures were performed in the superior, medial, and inferior portions of the portal vein, respectively, and maintained in position by the previous fixation of the ligatures to a sylastic guide. The stenoses were also calibrated by a simultaneous ligature (3-0 silk) around the portal vein and a 20 G needle. The abdominal incision was closed in two layers with an absorbable suture (polyglycolic acid) and 3-0 silk. With respect to postsurgical care, the rats were maintained close to a source of heat until they recovered consciousness (10-15 min) to avoid postoperative hypothermia. Brains were assessed 45 days later.

2.2.2. Thioacetamide. The method used to produce cirrhosis was weekly administration of thioacetamide (Sigma, Germany) in drinking water as described by Li et al. [19]. The thioacetamide (TAA) was administered for 12 weeks and its concentration was modified weekly depending on the animals' weight gain or weight loss. The initial concentration of TAA was 0.03%. After twelve weeks of treatment, they were placed in groups of 5 rats per cage and they were assessed after two weeks. During this period, the animals received TAA at 0.04%.

*2.2.3. Hyperammonemia.* The method used to produce hyperammonemia was performed according to Azorín et al. [17]. Briefly, the animals were fed with a standard diet supplemented with ammonium acetate ad libitum for up to 27 days.

2.3. Cytochrome Oxidase Histochemistry. 90 minutes after the performance of a spatial reference memory task, animals were decapitated, and the brains were removed intact, frozen rapidly in isopentane (Sigma-Aldrich), and stored at  $-40^{\circ}$ C. Coronal sections (30  $\mu$ m) of the brain were cut at  $-20^{\circ}$ C in a cryostat (Leica CM1900, Germany) and were mounted on slides.

We used a quantitative COx histochemistry described by Gonzalez-Lima and Cada [25]. To quantify enzymatic activity and to control staining variability across different baths of staining, sets of tissue homogenate standards obtained from Wistar rat brains were included with each bath of slides. These standards were cut at different thicknesses (10, 30, 40, and 60  $\mu$ m).

Sections and standards were incubated for 5 min in 0.1 M phosphate buffer with 10% w/v sucrose and 0.5% v/v glutaraldehyde, pH 7.6. After this, four baths of 0.1 M phosphate buffer with 10% w/v sucrose were given for 5 min each. Then 0.05 M Tris buffer, pH 7.6, with 275 mg/L cobalt

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chloride, 10% w/v sucrose, and 0.5% v/v dimethylsulfoxide were applied for 10 min, to enhance staining contrast. Subsequently, sections and standards were incubated in a solution of 0.06 g cytochrome c (Sigma, St. Louis, MO, USA), 0.016 g catalase, 40 g sucrose, 2 mL dimethylsulfoxide, and 0.4 g diaminobenzidine tetrahydrochloride in 800 mL of 0.1 M phosphate buffer, at 37°C for 1 h. The reaction was stopped by fixing the tissue in buffered formalin for 30 min at room temperature with 10% w/v sucrose and 4% v/v formalin. Finally, the slides were dehydrated in series of ethanol baths (from 30% to 100% v/v ethanol), cleared with xylene, and coverslipped with Entellan (Merck, Germany).

2.3.1. Quantification. Quantification of COx histochemical staining intensity was done by densitometric analysis using a computer-controlled image analysis workstation (MCID, InterFocus Imaging Ltd., Linton, England) made up of a highprecision illuminator, a digital camera, and a computer with specific software for image analysis. The mean optical density (OD) of each structure was measured on the right side of the bilateral structures using three consecutive sections of each animal. In each section, four nonoverlapping readings were taken using a square-shaped sampling window that was adjusted for each region size. A total of twelve measurements were taken per region. These twelve measurements were averaged to obtain one mean per region for each subject. OD values were then converted to COx activity units, determined by the enzymatic activity of the standards which were measured spectrophotometrically [25]. Measurements were performed by an investigator blind to the groups.

The regions of interest were anatomically defined according to Paxinos and Watson (2005) [27]. The regions of interest and the distance in mm of the regions counted from bregma were: +3.20 mm for prefrontal cortex (the infralimbic cortex and prelimbic cortex (IL and PL, resp.), +2.28 mm for ventral striatum (accumbens core and shell, AcC and AcS), -1.40 for the anterodorsal thalamus (ADT), the anteroventral thalamus (AVT), and the mediodorsal (MDT), -1.20 mm for the CA1, CA3, and the dentate gyrus (DG) subfields of the dorsal hippocampus, and +4.52 mm for the medial part of the medial mammillary nucleus (mMM), the lateral part of the medial mammillary nucleus (IMM), and the lateral mammillary nucleus (LM). See Figure 1.

2.4. Data Analysis. All data were analyzed in the Sigma-Stat 3.2 program (Systat, Richmond, USA) and were expressed as mean  $\pm$  SEM. A one-way analysis of variance was performed (factor: group). When a significant effect was found post hoc paired Tukey's test was carried out. The results were considered as statistically significant if P < 0.05.

#### 3. Results

The post hoc paired Tukey's tests showed differences between groups in their prefrontal cortex COx activity. The HA group showed higher activity than the PH and TAA groups in the PL (F2, 24 = 47.674) (P < 0.001), and the TAA group also showed higher activity than the PH group (P < 0.001). In



FIGURE 1: Cytochrome oxidase (COx) histochemistry in the sampled regions in which the squares used to take the measures were presented. Prelimbic (PL) and infralimbic (IL) cortex, accumbens core and shell (AcC and AcS), thalamic nuclei (ADT, AVT, and MDT), dorsal hippocampus (CA1, CA3, and DG) and Mammillary nuclei (mMM, lMM, and LM). Scale bar: 1 mm.

the IL, the same difference between the HA group and the other groups was found (F2, 24 = 53.649, P < 0.001). In the ventral striatum, the same pattern of metabolic activity was found in the AcC and AcS, with the HA group showing higher activity, followed by the TAA group, which differed from the PH group (F2, 25 = 69.662, P < 0.001; F2, 25 =76.819, P < 0.01, resp.). These differences were also found in the ADT (F2, 25 = 63.441, P < 0.001). However, in the AVT (F2, 25 = 13.610, *P* < 0.05) and MDT (F2, 25 = 27.970, P < 0.001), differences were only found when comparing the HA group with the other experimental groups, with the former group being the most highly activated. Examining the dorsal hippocampus, in the DG, the HA group showed higher activity than the PH and TAA groups (F2, 25 = 47.402, P <0.01), and the TAA group showed greater activity than the PH group (P < 0.001). Similarly, in CA3, the HA group was the most highly activated in relation to the other two groups (F2, 25 = 63.059, P < 0.001), and the TAA group showed lower activity than the PH group (P < 0.001). In CA1, the highest metabolic activity was found in the HA group, which presented differences with the PH and the TAA groups (F2, 25 = 16.954, P < 0.001). Finally, no differences were found in any of the mammillary nuclei explored: mMM (F2, 24 = 0.109, P = 0.898), IMM (F2, 24 = 1.368, P = 0.274), and LM (F2, 23 = 0.178, *P* = 0.838). See Table 1.

	РН	HA	TAA
Prefrontal cortex			
PL	$18.364 \pm 0.460^{a,b}$	$26.781 \pm 1.032$	$22.591 \pm 0.379^{a}$
IL	$18.470 \pm 0.504^{a}$	$26.988 \pm 0.916$	$19.432 \pm 0.401^{a}$
Ventral Striatum			
AcC	$21.362 \pm 0.395^{a,b}$	$31.812 \pm 1.032$	$25.552 \pm 0.498^{a}$
AcS	$22.210 \pm 0.748^{a,b}$	$36.940 \pm 1.227$	$32.409 \pm 0.715^{a}$
Thalamic nuclei			
ADT	$30.525 \pm 0.736^{a,b}$	$50.930 \pm 2.024$	$40.025 \pm 1.302^{a}$
AVT	$24.717 \pm 0.525^{a}$	$31.753 \pm 1.412$	$27.631 \pm 1.120^{a}$
MDT	$19.307 \pm 0.879^{a}$	$27.361 \pm 0.809$	$18.242 \pm 0.921^{a}$
Hippocampus			
DG	$23.413 \pm 0.500^{a,b}$	$39.550 \pm 1.75$	$32.663 \pm 1.739^{a}$
CA3	$16.318 \pm 0.488^{a,b}$	$22.633 \pm 0.763$	$12.592 \pm 0.568^{a}$
CA1	$18.128 \pm 0.707^{a}$	$24.820 \pm 0.915$	$18.250 \pm 0.915^{a}$
Mammillary nuclei			
mMM	$22.949 \pm 1.151$	$23.490 \pm 1.336$	$23.769 \pm 1.479$
lMM	$17.643 \pm 0.950$	$16.792 \pm 0.633$	15.777 ± 0.597
LM	25.923 ± 1.113	$26.624 \pm 1.246$	$26.665 \pm 0.489$

TABLE 1: Metabolic activity of the selected brain regions in the studied groups.

Values are mean  $\pm$  SEM.

PH: portal hypertension, HA: hyperammonemia, TAA: thioacetamide.

 $^{a}P < 0.05$  statistically significant value in relation to HA.

 $^{b}P < 0.05$  statistically significant value in relation to TAA.

#### 4. Discussion

The rationale for the present study arose from evidence that cerebral metabolic rate for glucose has consistently been reported to be decreased in patients with chronic HE, suggesting that hypometabolism contributes to the neuropsychiatric symptoms commonly observed in HE. In fact, it has been shown that cerebral oxygen metabolism and blood flow were decreased in cirrhotic patients with HE [28], and cognitive deficits are closely related to aberrant baseline brain activity measured by fMRI in these patients [29]. However, the existence of a differential contribution of factors, such as portal hypertension, hyperammonemia, and liver disease, to brain metabolic activity has not yet been explored. In this study, we demonstrated that each of these factors affects brain energy requirements to a different extent.

Several studies have assessed these experimental models in a spatial reference memory task. Whereas the portal hypertension model showed only mild impairment in this learning process [23], hyperammonemia and liver failure models were severely affected (Arias et al., unpublished results [30]).

Traditionally, the hippocampus has been widely shown to be involved in reference memory [31]. Specifically, it is important in establishing allocentric relations [32] and also seems to be responsible for correct goal recognition [33]. Together with this brain structure, prefrontal cortex, ventral striatum, anterior thalamus, and mammillary bodies cooperate in diverse memory processes and learning tasks [34, 35]. The role of the prefrontal cortex in the organization of spatially directed behaviours has been shown both in primates and humans. In rats, the main evidence comes from lesion studies in performance of various spatial tasks such as spatial alternation, spatial reversal, elimination in the radial maze, and navigation in the Morris water maze [36]. The connections between this prefrontal cortex and ventral striatum play a role in the transformation of route planning into motor response in memory tasks [37]. Likewise, the prefrontal cortex receives projections from the anterior thalamic nucleus [38], damage to which impairs allocentric memory tasks that are also disrupted by hippocampal dysfunctions [39]. The mammillary nuclei, which can be subdivided into the medial and lateral parts, are connected with the hippocampus and send projections to the anterior thalamus [40] and are involved in spatial memory processes [41, 42].

When we wondered about the possible time-dependent involvement of these structures in the spatial reference memory, we found that most of them were differentially implicated at the beginning and the conclusion of the task in nonpathological conditions [43]. That is, some structures, such as the anterior thalamic nuclei and the mammillary bodies, seem to be more involved in early task acquisition, but it is necessary for these structures to reduce their energy requirements in order to successfully complete the task. But other structures, such as DG, CA3, and the prefrontal cortex, showed an opposite pattern [43].

In view of this tendency, we were willing to explore the metabolic activity of the main contributing factors of HE. Accordingly to our expectations, the portal hypertension group reduced its metabolic activity in almost all studied regions compared to the other groups. A possible explanation for this behaviour, so similar to that of nonpathological subjects, is that, in the PH model, portosystemic collaterals develop as a consequence of high pressure in the portal vein and ameliorate the increased resistance [1, 44]. However, a different situation takes place in the HA group, in which the energetic demands increase above conventional levels. This increase in oxygen utilization could be due to an inflammation in these areas of the central nervous system [45]. Inflammation will increase the use of oxygen, represented by excess production of reactive oxygen and nitrogen species, which cause oxidative and nitrosative stress [46–48].

Several studies have shown that once ammonia crosses the blood brain barrier, it is immediately used to amidate glutamate to glutamine in the astrocytes in order to prevent its toxicity from damaging the neurons. But this depletion of the substrate of the tricarboxylic acid cycle together with the inhibition by the ammonia to rate limit enzymes such as pyruvate dehydrogenase and  $\alpha$ -ketoglutarate will slow down overall oxidative metabolism, leading to depletion of energyrich phosphate compounds [49]. Moreover, glutamatergic neurotransmission suffers disturbances owing to the fact that ammonia impairs the induction of NMDA receptors, which alters the neural glutamate-nitric oxide cyclic GMP pathway, in turn, involved in learning and memory [20, 21].

At the same time, glutamine, which is an osmotically powerful substance, increases due to ammonia in the brain and can provoke a rapid brain edema, triggering intracranial hypertension. During liver failure, high arterial ammonia levels lead to accumulation in the brain [50] and exert numerous deleterious effects, contributing to the clinical presentation of HE. Subsequent studies carried out in various animal models of acute and chronic HE, such as our TAA model, have reported altered glucose utilization similar to the HA models [51]. But, whereas patients with liver cirrhosis have a compensatory mechanism that prevents the occurrence of brain edema and intracranial hypertension [18], the hyperammonemic brain cannot establish these mechanisms.

Another possible mechanism for impaired energy metabolism in HA is the mitochondrial permeability transition of the inner membrane, which has a critical linkage with disturbed cerebral energy metabolism and oxidative/nitrosative stress [51]. Similar to free radicals, nitric oxide increases in the brain of the TAA-administration rat model [52]. A possible explanation for the alteration of COx activity would be the increased level of oxidative stress [45]. Liver disease is associated with the production of free radicals that override the balance between oxidative stress and antioxidative mechanisms, leading to oxidative stress. Oxidative stress could play a role in the pathogenesis of HE, due to the fact that the brain of patients with HE is particularly susceptible to oxidative damage [47]. Peroxidase damage and increased oxidative stress have been reported in neural membranes of rats with thioacetamide-induced HE [53] and in diverse central nervous system regions of the same animal model [54]. Brain oxidative and nitrosative (superoxide anion with nitric oxide produces peroxynitrite) stress in cirrhotic rats could cause neural mitochondrial damage and defective oxidative phosphorylation, which is

reflected in impaired COx activity. Therefore, oxidative and nitrosative stress caused activation of the nuclear factor KB, which is the major inducer of proinflammatory cytokines and chemokines.

The differences found in bioenergetic requirements could indicate a differential affectation of the neural networks underlying different behaviours, so a broad field of future research opens up.

In conclusion, the results obtained show that there is a differential contribution of portal hypertension, hyperammonemia, and liver disease to the brain metabolic dysfunction associated with HE. The most interesting finding is that the alterations in metabolic brain activity do not develop equally in the three models. The severest alteration is found in the model that mimics intoxication by ammonia, where the main cerebral structures have to make a big effort in comparison with the other two experimental models.

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ORIGINAL PAPER

# The effects of hyperammonemia in learning and brain metabolic activity

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Abstract Ammonia is thought to be central in the development of hepatic encephalopathy. However, the specific relation of ammonia with brain energy depletions and learning has not been studied. Our work attempts to reproduce an increase in rat cerebral ammonia level, study the hyperamonemic animals' performance of two learning tasks, an allocentric (ALLO) and a cue guided (CG) task, and elucidate the contribution of hyperammonemia to the differential energy requirements of the brain limbic system regions involved in these tasks. To assess these goals, four groups of animals were used: a control (CHA) CG group (n=10), a CHA ALLO group (n=9), a hyperammonemia (HA) CG group (n=7), and HA ALLO group (n=8). Oxidative metabolism of the target brain regions were assessed by histochemical labelling of cytochrome oxidase (C.O.). The behavioural results revealed that the hyperammonemic rats were not able to reach the behavioural criterion in either of the two tasks, in contrast to the CHA groups. The metabolic brain consumption revealed increased C.O. activity in the anterodorsal thalamus when comparing the HA ALLO group with the CHA ALLO group. Significant differences between animals trained in the CG task were observed in the prelimbic, infralimbic, parietal, entorhinal and perirhinal cortices, the anterolateral and anteromedial striatum, and the basolateral and central amygdala. Our findings may provide fresh insights to reveal how the differential

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damage to the brain limbic structures involved in these tasks differs according to the degree of task difficulty.

**Keywords** Hepatic encephalopathy · Hyperammonemia · Rat · Cytochrome coxidase · Allocentric task · Cue guided task

#### Introduction

Hyperammonemia is considered the most important factor of the neurological alterations found in acute and chronic liver disease (Wang and Saab 2003), although there are other factors, such as inflammation (Aller et al. 2007; Arias et al. 2006 and Shawcross et al. 2007), liver disease (Méndez et al. 2011) and portal hypertension (Arias et al. 2012) that contribute to the neurological alterations found in acute and chronic liver disease (Wang and Saab 2003). Ammonia is a common etiological factor in hepatic encephalopathy (HE) as well as in various hyperammonemic conditions, including inborn errors of the urea cycle, Reye's syndrome, valproate toxicity (Rao and Norenberg 2001) and idiopathic hyperammonemia (Butterworth 1991).

Patients with minimal HE exhibit a decreased ability to perform memory tasks, which appears to be mainly due to deficits in attention and visual perception (Weissenborn et al. 2003). Formerly, several animal models of HE that reproduce some of the neurological alterations found in patients with this disorder displayed impaired conditional discrimination learning in a Y maze and delays in the acquisition of a reference memory task (Aguilar et al. 2000; Erceg et al. 2005 and Azorín et al. 1989).

To discern the contribution of hyperammonemia to the neurological alterations in HE, we developed an animal model of chronic hyperammonemia without liver failure: rats fed an ammonium-containing diet (Azorín et al. 1989). These rats present a level of hyperammonemia similar to that of patients

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with liver cirrhosis or of rats with portocaval anastomosis, but they do not present other alterations associated with liver failure and may therefore be considered to be a model of "pure" hyperanmonemia (Rodrigo and Felipo 2006).

Multiple navigational strategies in orientation and retention of spatial information have been illustrated both in animals and in humans (Aggleton et al. 2000). But spatial learning and memory are ultimately dependent on searching strategies that can be applied in a spatial orientation task. Thus, rats can use allocentric (place and cue learning) and egocentric strategies to reach a goal (Kolb and Whishaw 2003). We therefore used two testing protocols that may favour an optimal orientation strategy: the first protocol defines the relationship between a goal and another location, where the goal is independent of the subject (allocentric strategy); the second protocol defines the relationship between a goal and the direct approach towards a distinct cue in the environment (cue-guided strategy) (de Bruin et al. 2001). Because these two different learning strategies have been evaluated in our work, the main structures related to these tasks have been taken into account. The hippocampus (Kesner 1990), as well as cortical regions including the frontal, cingulate and parietal areas (Galani et al. 2002), have conventionally been considered to be linked to spatial learning. In fact, the hippocampus and the prefrontal cortex are necessary to encode and store spatial information (Rogers and Kesner 2006). Thus, structures that are connected to these regions, such as the perirhinal and entorhinal cortices, which are part of the main sources of afferent projections to the prelimbic and infralimbic cortices, and the gangliothalamocortical circuits, which exist in analogy with primates (Groenewegen et al. 1990), are involved in these processes. Therefore, the limbic afferents from the prelimbic cortex, the hippocampal formation and the amygdala determine the functioning of the ventral striatum, including the nucleus accumbens (Burns et al. 1996). Finally, it has been shown that lesions in the dorsal and ventral areas of the hippocampal formation impair the working memory for allocentric distance information (Long and Kesner 1996).

The aims of this work were: (i) Identify the crucial limbic brain regions that are affected by this increase in the cerebral ammonia level. (ii) Study to which extent cerebral ammonia level affects learning, accordingly two different strategy tasks, allocentric and cue guided were performed. (iii) Clarify the contribution of hyperammonemia to the differential energy requirements that are necessary to achieve each learning process. To accomplish this goal, oxidative metabolism of diverse brain limbic system regions frequently involved in memory process has been studied by histochemical labelling of cytochrome oxidase (C.O.). C.O. is a mitochondrial enzyme involved in the phosphorylation process that generates ATP. This energy is used to maintain the resting membrane potential and the synthesis of molecules and neurotransmitters, among other functions (González-Lima and Cada 1994). Because metabolic activity is tightly coupled with neuronal activity, C.O. labelling can be used as an index of regional functional activity in the brain, reflecting changes in the tissue metabolic capacity that are induced by the sustained energy requirements of the nervous system associated with learning (Méndez et al. 2011 and Arias et al. 2012).

#### Materials and methods

#### Subjects

A total of 34 male Wistar rats weighing between 250 and 300 g were used in this experiment. The animals were obtained from the animalarium of the University of Oviedo and were randomly housed five per cage in a temperature-and humidity-controlled vivarium under a 12-h light/dark cycle (lights on 8.00 A.M.) Experimental procedures were performed in accordance with the Directive of the European Commission (2010/63/EU) and Spanish legislation (R.D. 1201/2005) and approved by the local committee for animal studies.

Procedure of experimental models

The animals were randomly distributed into the following four groups: control cue guided group (CHA CG group, n=10), control allocentric group (CHA ALLO group, n=9), hyperammonemia cue guided group (HA CG group, n=7), and hyperammonemia allocentric group (HA ALLO group, n=8),. Hyperammonemia was induced by feeding a standard diet supplemented with ammonium (30 g per rat and day during 27 days until sacrifice) (Azorín et al. 1989) while control groups were fed the normal diet.

Determination of neurological alterations and motor functions

#### Neurological test

All animals were handled and evaluated by neurological tests such as flexion reflex, startle reactions, head shacking, righting and equilibrium reflex, placing reactions, grasping and some equilibrium tests. No alteration was displayed by any animal.

#### Rotarod-accelerod test

This motor performance test consists of a motor-driven rotating rod that enables researchers to assess motor coordination and resistance to fatigue (Jones and Roberts 1968). The accelerating rotarod 7750 of Ugo Basile (Ugo Basile Biological Research Apparatus, Italy) was used for the rats. The procedure consists of two parts. In the first part, the animals were placed on the apparatus and the speed was maintained constant at 2 rpm for 60s. In the second part, the rats were evaluated for 5 min in the accelerod test session, in which the rotation rate constantly increased until it reached 20 rpm. Latency to fall off the rod and the actual rotation speed were recorded.

#### Behavioural procedures

The rats were trained in a black fibreglass radial arm water maze (RAWM, each arm measured 80 cm×12 cm) that was placed 50 cm above floor level. The maze had four arms in the shape of a cross. The water depth was 30 cm, and the temperature was  $22\pm2$  °C. The RAWM was in the centre of a 16 m<sup>2</sup> lit room (two halogen lamps of 4,000 lx). The behaviour of the rat in the RAWM was recorded with a video camera (Sony V88E) connected to a computer equipped with an EthoVision Pro program (EthoVision 3.1; Noldus Information Technology, Leesburg, VA).

The learning protocol consisted of single 10 trial-sessions each day over 3 days for each task. In each trial, the rats were placed in the centre of the pool facing a different arm and allowed to swim to the platform. If the animal failed to find the platform, it was guided to the platform. Once the rat reached the platform, it remained there for 15 s. Between trials, the rat was placed in a small square box for 30s. The platform was in the same arm throughout the entire 10 trial-sessions each day, but the location of the platform changed each training day.

Every day at the end of each 10 trial-sessions, a probe test was applied in which the pool platform was removed, and the rat was introduced into the pool for 25 s. Immediately after the probe test, the rats were subjected to an additional trial in which the hidden platform was replaced to avoid any possible interference from the probe test.

All subjects in the experimental group reached the learning criterion of choosing the correct arm in 70 % of trials.

During the *allocentric task*, the rats had to acquire longterm memories of the location of the submerged platform, based on orientation relative to the positions of distal stimuli in the experimental room. In the *cue guided task*, the rats were trained to locate the platform based on a yellow globe that was situated 20 cm above the platform. This version requires the execution of the same response (cue guided), rather than navigation to the same place.

#### Cytochrome oxidase histochemistry

The protocol used was the same as that described by Arias et al. (2010). Ninety minutes after the last training day, the animals were decapitated; brains were removed, frozen rapidly in N-methylbutane (Sigma-Aldrich, Madrid, Spain) and stored at -40 °C until processing with quantitative C.O. histochemistry. To quantify enzymatic activity and to control staining variability across different baths, sets of tissue

homogenate standards from Wistar rat brain were cut at different thicknesses (10, 30, 40 and 60 µm) and included with each bath of slides. Quantification of C.O. histochemical staining intensity was performed with densitometric analysis using a computer-assisted image analysis workstation (MCID, Interfocus Imaging Ltd., Linton, England) composed of a high precision illuminator, a digital camera, and a computer with specific image analysis software (Leica Q-Win, Germany). A total of 12 measurements were taken per brain region. These measurements were averaged to obtain one mean value per region for each animal and were expressed as arbitrary units of optical density (OD) in the prefrontal cortex (infralimbic and prelimbic cortex), the striatum (anteromedial, anterolateral and anterodorsal), the accumbens core and shell, the parietal cortex, the thalamus (anterodorsal, anteroventral and mediodorsal), the dorsal and ventral hippocampus (dentate gyrus, CA1 and CA3 areas), the amygdala nuclei (basolateral, medial, lateral and central) and the perirhinal and enthorhinal cortex. The anatomically selected brain regions were defined according to Paxinos and Watson's (2005) atlas. Regression curves between section thickness and known C.O. activity measured in each set of standards were calculated for each incubation bath. Finally, average OD measured in each brain region was converted into C.O. activity units (µmol of cytochrome c oxidized/min/g tissue wet weight), using the calculated regression curve in each homogenate standard.

#### Statistical analysis

The numbers of correct arm choices measured daily in the trained group were analyzed using two-way repeated measures ANOVA, and when appropriate, Tukey post-hoc tests to evaluate differences between experimental groups and training days. Strategy group differences in C.O. activity of each brain region and the rotarod-accelerod test were assessed by student's *t*-test for independent samples. Moreover, a non-parametric Mann–Whitney *U*test (U) for independent samples was carried out when normality or equal group variances failed.

Data were analyzed with Sigma Stat 3.2 (Systat, Chicago, IL) software and were expressed as mean $\pm$ SEM. The results were considered statistically significant at p<0.05.

#### Results

#### Rotarod-accelerod test

A *t*-test for independent samples revealed no significant differences between groups in the rotarod-accelerod. The HA CG group did not differ from the CHA CG group in rpm reached by the rotarod (U=74.000,  $n_1=7$ ,  $n_2=10$ , p=0.278) or in the time in seconds that the animals managed to withstand at fast speed (U=74.500, n<sub>1</sub>=7, n<sub>2</sub>=10, p=0.257). Similar results were obtained between the HA ALLO and the CHA ALLO groups, where no differences were found in rpm (U=76.000, n<sub>1</sub>=8, n<sub>2</sub>=9, p=0.726) or in the time in seconds (U=74.000, n<sub>1</sub>=8, n<sub>2</sub>=9, p=0.884).

#### Behavioural results

Concerning the number of correct choices made in the training phase, the hyperammonemic rats could not achieve the criterion of 7 out of 12 correct choices in any of the tasks. In contrast, the animals fed the control diet acquired the established criterion in both tasks. In fact, significant differences were found between the CG groups (F(1,45)=74.074, p<0.001) on all the training days (p<0.001). Significant differences were also found between the ALLO groups (F(1,48)=31.523, p<0.001) across training days (p=0.009for Day 1, p=0.022 for Day 2 and p<0.001 for Day 3). In addition, significant increases were observed across training days in the control cue guided group (CHA CG) between Day 1 and Day 3 (p=0.024). No significant differences were found across training days in the other groups. See Fig. 1.

#### C.O. Activity

The results of C.O. histochemistry quantification revealed that the hyperammonemic animals trained in the ALLO task displayed increased C.O. activity in the anterodorsal thalamus when compared to the CHA ALLO group ( $t_{13}$ =-3.0, p=0.01), whereas no differences were found in the rest of the studied structures. In contrast, significant differences in several brain regions were observed between animals trained in the cue guided task. In this regard, increased C.O. activity was found in the HA CG group when compared with the CHA CG group in the prelimbic ( $t_{15}$ =-2.16, p=0.048), infralimbic ( $t_{15}$ =-2.19, p=0.044), parietal ( $t_{15}$ =-4.02, p=0.001), enthorhinal (U= 95.000,  $n_1$ =7,  $n_2$ =10, p=0.002) and perirhinal cortices (U=

Fig. 1 Number of correct choices across training days in the CG groups (a) and the ALLO groups (b). Solid and dotted lines represent control hyperammonemia and hyperammonemia groups, respectively. \* p<0.05 when comparing the groups' performances, and # p<0.05 when comparing the number of correct choices across training days of metabolic activity was found in the anterolateral striatum ( $t_{13}$ =-2.31, p=0.038), the anteromedial striatum ( $t_{15}$ =-2.14, p=0.05), the ventral CA1 ( $t_{15}$ =-2.93, p=0.01), the basolateral amygdala (U=92.000,  $n_1$ =7,  $n_2$ =10, p=0.005) and the central amygdala ( $t_{15}$ =-3.33, p=0.005) in the HA CG group compared with the CHA CG group. See Table 1.

#### Discussion

Studies in patients with liver disease and hyperammonemia (Hawkins and Mans 1989) or hyperammonemia in the absence of liver disease (Jessy et al. 1990) have shown depression in cerebral metabolic rates of glucose and oxygen. Another important aspect is the impairment in several learning tasks showed by rats exposed to ammonia (Aguilar et al. 2000 and Jover et al. 2005). It has been suspected that ammonia is responsible for this cerebral dysfunction. Therefore, the aim of this study is to assess the functional consequences of hyperammonemia and its contribution to the neurological alterations in HE, specifically in learning processes that involve the limbic system.

Behavioural results have shown that hyperammonemic rats cannot achieve the behavioural criterion in either of the two tasks, the allocentric and the cue guided tasks. This is reflected in the metabolic brain activity differences found in the limbic structures involved in these learning processes.

It is important to highlight the existence of a time-dependent involvement of the limbic brain structures implicated in the learning processes (Conejo et al. 2010). This means that, at the beginning of the task, some structures are more necessary than others, which are needed at the end. In fact, it has been stated that the hippocampal and prefrontal cortex operate in parallel during the acquisition of spatial information. However, during retention, there could be interactions with the prefrontal cortex that are important for binding spatial information, and the hippocampus is necessary for the initial access to spatial information (Rogers and Kesner 2006). Taking into account the studies



 Table 1
 Cytochrome oxidase activity units (mean $\pm$ S.E.M.; µmol cytochrome c oxidized/min/g tissue) measured in the selected brain regions

Brain structures	CHA ALLO	HA ALLO	CHA CG	HA CG
Prelimbic cortex	27.3±0.7	26.8±1.0	$14.0 \pm 0.6$	16.1±0,7*
Infralimbic cortex	27,7±0.7	$27.0\pm0.9$	$13.9{\pm}0.5$	15.7±0.7*
Parietal cortex	$26.8 {\pm} 0.6$	$26.1{\pm}0.1$	$16.0{\pm}0.7$	$22.3 \pm 1.6*$
Perirhinal cortex	$19.4 {\pm} 0.9$	$17.4 \pm 0.7$	$14.0{\pm}0.4$	19.4±1.1*
Enthorhinal cortex	$20.1 {\pm} 0.7$	$19.1\pm0.6$	$14.8{\pm}0.4$	$20.5 \pm 1.0*$
Anterodorsal striatum	$29.4 {\pm} 0.7$	$27.4\pm0.8$	$18.4 \pm 1.1$	$20.9 \pm 1.1$
Anteromedial striatum	$29.4{\pm}0.8$	$29.8{\pm}0.6$	$18.6{\pm}1.0$	22.0±1.2*
Anterolateral striatum	$30.6 {\pm} 1.0$	$28.7{\pm}0.9$	$19.7 {\pm} 2.5$	23.0±3.1*
N. accumbens core	$32.2{\pm}1.0$	$31.8{\pm}1.0$	$21.3 \pm 0.9$	23.1±1.5
N. accumbens shell	37.2±1.0	36.9±1.2	$25.0{\pm}0.7$	26.2±1.5
Dorsal CA1 area	24.9±1.1	24.9±1.1	$15.8{\pm}1.6$	$17.2 \pm 3.5$
Dorsal CA3 area	23.7±1.6	$22.6{\pm}0.8$	$14.6{\pm}0.8$	$16.1 \pm 0.7$
Dorsal dentate gyrus	$39.3{\pm}1.0$	39.5±1.6	$23.3{\pm}0.5$	$23.4{\pm}0.5$
Ventral CA1 area	$26.6 {\pm} 1.0$	$24.1 \pm 1.2$	$16.2{\pm}0.5$	$22.2 \pm 1.0*$
Ventral CA3 area	27.7±1.1	$25.2 \pm 1.5$	$20.3\!\pm\!0.4$	$21.3 \pm 0.4$
Ventral dentate gyrus	$27.0 \pm 2.2$	$26.0 \pm 1.2$	$18.2{\pm}0.9$	$19.5 \pm 0.6$
Anterodorsal thalamus	42.9±1.7	50.9±2.0*	28.2±0.7	26.7±0.4
Anteroventral thalamus	31.3±1.2	31.7±1.4	19.9±1.0	20.7±0.5
Anteromedial thalamus	26.5±1.1	$27.4 \pm 0.8$	16.9±0.8	18.7±0.6
Basolateral amygdala n.	$27.4{\pm}0.7$	$28.1{\pm}0.9$	$19.5{\pm}0.6$	$23.1 \pm 1.0*$
Lateral amygdala n.	$22.9{\pm}0.6$	$23.5{\pm}0.7$	$16.5{\pm}0.5$	$17.4{\pm}0.8$
Central amygdala n.	$20.0{\pm}0.7$	$20.9{\pm}0.6$	$13.9{\pm}0.3$	$17.0 \pm 1.0*$
Medial amygdala n.	$21.7 \pm 0.9$	22.2±0.4	15.0±0.3	15.2±0.4

\* represents significant differences (p<0.05) when compared to the control group

of energy depletion in ammonia (Arias et al. 2013 and Stewart et al. 2000) and the differential involvement of structures during a behavioural task, the increase of brain metabolic activity obtained in our HA groups was expected. All the structures studied in the hyperammonemic animals increased their energy requirements in the attempt to reach the learning criterion. However, task difficulty level, as revealed by the performance of the control groups, is different in both tasks: whereas in the cue guided task, an improvement over the days was displayed, in the allocentric task, this pattern did not exist because the task was carried out without any difficulty from the first day. That is probably why the activity of the structures involved at the beginning of the task, such as the anterior thalamic nuclei, was enhanced in the HA ALLO group. Studies of lesions in this structure exhibit clear deficits in the initial acquisition of an allocentric task in the MWM (Wolff et al. 2008 and Sutherland and Hoesing 1993).

In contrast, in the HA CG group, the structures that present enhanced metabolic requirements are necessary at different moments of the learning process; for example, the involvement of the prefrontal cortex in the organization of spatially directed behaviour has been shown both in primates and humans (Delatour and Gisquet-Verrier 2000). The main sources of afferent projections to the prelimbic/infralimbic cortices are the mediodorsal nucleus of thalamus (Moghaddam and Homayoun 2008), the perirhinal and entorhinal cortices, the hippocampus and the basal nuclei of the amygdala, among others (Hoover and Vertes 2007), and this pattern of connections is consistent with a key role at the top of the executive hierarchy and as the ultimate regulator of goal-directed behaviour (Fuster 2001).

Perirhinal and entorhinal cortices showed an increase in C.O. activity in the HA CG group. Regarding the perirhinal cortex, it has been implicated in solving tasks that require spatial awareness, specifically in spatial memory tests. It has been implicated in the spatial reference memory (Wiig and Bilkey 1994 and Abe et al. 2009) and the long-term spatial memory (Glenn et al. 2003), playing a specific role in cue or context recognition (Kealy and Commins 2011). The entorhinal cortex is the primary cortical input region of the hippocampal complex (Calton et al. 2008). The ventral hippocampus is associated with non-spatial functions (Dougherty et al. 2012). In fact, its contribution to temporal ordering processes has been revealed (Hunsaker et al. 2008) and is supported by its projections to the prefrontal cortex and basolateral amygdala (Ishikawa and Nakamura 2006), which is in line with our findings where these structures showed enhanced metabolic requirements. Therefore, the hippocampus and the amygdala, probably in conjunction, clearly play an important role in late memory processes (Burns et al. 1996).

Also, the HA CG group revealed higher C.O. activity in the parietal cortex. This activity could be related to the major role of this structure in the use of landmarks and self-movement cues to update the head direction cell signal, or its generation (Calton et al. 2008). Studies of lesions have shown a more affected performance in tasks that require the processing of proximal landmarks (Save and Poucet 2000). In addition, the same pattern of metabolic activity was found in the striatum of the HA animals which performed the cue guided task. This could support the role of the striatum to assist the navigational system by helping to define future actions (Mizumori et al. 2009), is appropriate for the current context. It has been reported that the dorsal striatum is selectively involved in response or cue learning (McDonald and White 1994). This pattern could also reflect the energy requirement efforts of the brain to perform a task that is facilitated in non-intoxicated rats (Arias et al., unpublished results). But how does ammonia cause these deficits?

Cooper and Lai (1987) have shown that the rate of turnover of glutamine synthetase in acute or chronic hyperammonemia rats is slowed down in comparison to normal rat brains. Therefore, neurons are defenceless against increased ammonia concentrations due to the absence of this enzyme and are more likely to exhibit impaired function (Butterworth 2003).

Once inside the brain, the consequences of the increase of ammonia range from altered bioenergetics (Hawkins and Mans 1989) to electrophysiological effects (Raabe 1989), disturbances in the neurotransmitter function (Norenberg et al. 1997), altered activity of energy-metabolizing enzymes (Cooper and Plum 1987) and oxidative stress (Murthy et al. 2001).

Alteration of cerebral energy metabolism is a welldocumented problem. One of the possible explanations is a disturbance in the glutamate-NO-cGMP pathway. Activation of this pathway, associated to activation of NMDA receptors, increases the production of nitric oxide (NO), resulting in an increased formation of cyclic guanosine monophosphate (cGMP). This pathway modulates important cerebral processes such as long-term potentiation (Boulton et al. 1995 and Hawkins 1996) and other forms of learning and memory (Jover et al. 2005). In fact, cGMP is important in inhibitory avoidance learning (Bernabeu et al. 1996 and Bernabeu et al. 1997), spatial learning in the MWM (Smith et al. 2000), object recognition (Rutten et al. 2005) and conditional discrimination in a Y-maze test (Llansola et al. 2009). It has been recently reported that excess production of NO could cause reversible and irreversible damage to mitochondrial respiration chain enzymes, particularly, to C.O. (Stewart et al. 2000). So, it appears interesting try to analyze the unique effect of ammonia in these structures in order to elucidate the extent to which energy requirements are affected. Acute hyperammonemia or liver failure leads to excessive activation of the glutamate-NOcGMP pathway and enhanced production of NO which may affect C.O. activity. However, in chronic hyperammonemia or hepatic encephalopathy, there is an adaptive response, leading to reduced function of the glutamate-NO-cGMP pathway (Felipo 2013). The adaptive mechanisms are different in different brain areas. For example activation of soluble guanylate cyclase by NO is educed in cerebellum but enhanced in cortex of rats with chronic hyperammonemia and in cirrhotic patients died in hepatic encephalopathy (Corbalán et al. 2002). However, activation of nitric oxide synthase in response to activation of NMDA receptors is reduced both in cerebellum and cortex in chronic hyperammonemia, resulting in reduced formation of NO and cGMP (Hermenegildo et al. 1998 and Rodrigo et al. 2007). The possible contribution of these changes to altered C.O. activity in different areas remains to be unveiled.

To conclude, the present study revealed impairment in the acquisition of two broadly studied tasks – the allocentric and cued learning tasks – in the animals fed with ammonia. Differences in the metabolic brain consumption were found in cortical regions, striatum and amygdala nuclei between animals trained in the cue guided task. However, only increased C.O. activity in the anterodorsal thalamus was

revealed when comparing allocentric groups. The most interesting finding is that the differential brain metabolic activity in the brain limbic structures involved in these tasks differs according to the degree of task difficulty. These findings may be useful in furthering our understanding of a specific contribution of ammonia to different behavioural tasks and its differential relationship with the brain limbic system regions involved.

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ORIGINAL PAPER

# Brain metabolism and spatial memory are affected by portal hypertension

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Abstract Portal hypertension is a major complication of cirrhosis that frequently leads to a neuropsychiatric disorder that affects cognition. The present study was undertaken in order to compare the performance of sham-operated rats (SHAM) and portal hypertension rats (PH) in reference memory tasks in the Morris water maze (MWM). Two groups of animals were used: SHAM group (n=12) was used as a control group and PH group (n=12) by the triple portal vein ligation method was used as an animal model of early evolutive phase of PH. The portal pressure was measured in the splenic parenchyma. Our work shows that spatial learning in the MWM is not impaired in PH group although this group showed a one-day delay in the task acquisition compared to the SHAM group. We assessed the brain metabolic activity of the animals by means of cytochrome c-oxidase (COx) histochemistry. Significant changes were found in the CA3, dentate gyrus, basolateral, medial, lateral and central amygdala, showing lower COx activity in the PH group as compared to the SHAM group in all cases. We found no changes in metabolic activity in prefrontal cortex and CA1 area between groups. In fact, different neural networks were shown according to the execution level of the subjects. The early PH evolution

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J. Arias Departamento de Cirugía I, Facultad de Medicina, Universidad Complutense de Madrid, Ciudad Universitaria s/n, 28040 Madrid, Spain induced changes in brain metabolic activity without biggest alterations in spatial memory.

**Keywords** Acute portal hypertension · Memory networks · Cytochrome c-oxidase · Limbic system · Rat

#### Introduction

Hypertension is the single most important risk factor for cardiovascular disease and, thus, remains a global public health challenge (Zubcevic et al. 2011). It has been extremely difficult to manage hypertension in $\approx$ 40% of hypertensive patients (Primatesta et al. 2001). Studies showed that systemic oxidative stress, inflammation and priming of peripheral polymorphonuclear leukocytes antecede the development of hypertension in experimental model of hypertension in the Sabra rat (Sela et al. 2004).

In humans portal hypertension is characterized by prolonged elevation of portal venous pressure greater than 10 mmHg (Al-Ghamdi 2011). It is estimated that for every 1 mmHg increase in hepatic venous pressure gradient above a threshold level of 10 mmHg, an 11% rise in the risk of clinical decompensation could be expected (Al-Ghamdi 2011). This growth in portal pressure results from both an increase in resistance to portal flow and an increment in portal blood flow (Carey 2011). Portal hypertension (PH) is a common complication of cirrhosis which is in association with distinctive risks, including luminal gut bleeding, ascites and hepatic encephalopathy (HE) (Carey 2011).

There are diverse experimental models that have been developed to study the pathogenic mechanism that may be responsible for HE symptoms (Butterworth et al. 2009). Type B, which concerns HE related to portosystemic shunt and does not require any hepatocytic alteration, includes portocaval shunt, partial ligature of the portal vein and triple portal vein ligation (Orloff 2001).

Alterations in learning and memory capacity related to spatial memory and object recognition in rats with PH have been previously described in adult animals and in 18-monthold rats (Méndez et al. 2009a; Begega et al. 2010). The brain structures related to this kind of memory are the prefrontal cortex (Ragozzino et al. 1999) and the hippocampus, mainly the rostral part, which is involved in the memory for spatial information (Moser et al. 1995).

The objective of this work was to evaluate motor changes and to assess learning impairment at early evolutive phases of PH. For this purpose, we assessed the impact of PH by triple portal vein ligation on spatial reference memory and we studied oxidative metabolism of different brain limbic system regions involved in memory process by histochemical labelling of cytochrome oxidase (COx). COx is a mitochondrial enzyme involved in the phosphorylation process that generates ATP. This energy is used to maintain the resting membrane potential and the synthesis of molecules and neurotransmitters, among other functions (González-Lima and Cada 1994). Because metabolic activity is tightly coupled with neuronal activity, this technique can be used as an index of regional functional activity in the brain, reflecting changes in tissue metabolic capacity induced by sustained energy requirements of the nervous system associated with learning (Poremba et al. 1998) and spatial memory in the Morris Water Maze (MWM) (Conejo et al. 2007; de la Torre et al. 1997).

#### Material and Methods

#### Subject

A total of 24 male Wistar rats were used (3 months at the start of the experiment) from the animalarium of Oviedo University. All the animals had *ad libitum* food and tap water and were maintained at constant room temperature  $(22\pm2^{\circ}C)$ , with a relative humidity of  $65\pm5^{\circ}C$  and artificial light–dark cycle of 12 h (08:00–20:00/20:00–08:00). The procedures and manipulation of the animals used in this study were carried out according to the Directive (2010/63/EU) and Royal Decree 1201/2005 of the Ministry of Presidency relating to the protection of the animals used for experimentation and other scientific purposes, and the study was approved by the local committee for animal studies (Oviedo University).

Procurement of experimental models

The animals were randomly distributed into two groups: portal hypertension (PH group, n=12) and sham-operated

(SHAM group, n=12). The experimental models that require surgery were anesthetized by i.m. injection of ketamine (100 mg/kg) and xylacine (12 mg/kg). With regard to postsurgical care, rats were kept near a heat source (10– 15 min) until they regained consciousness to prevent postoperative hypothermia. They were then introduced into individual polycarbonate cages for 15 days and subsequently grouped into cages of four animals until their behavioral evaluation.

#### Portal hypertension

A midline abdominal incision was performed and a section of the intestinal loops was gently shifted to the left and covered with saline-moistened gauze. The portal vein was isolated and three ligatures, fixed on a sylactic guide, were performed in its superior, middle and inferior portions. The stenoses were calibrated by simultaneous ligation (4–0 silk) around the portal vein and a 20-gauge blunt-tipped needle. The abdominal incision was closed in two layers with catgut and 2–0 silk. The postoperative period started immediately after the intervention and lasted until the behavioral evaluation 45 days later.

#### Sham-operated

A bilateral subcostal laparotomy with prolongation to the xyphoid apophysis, followed by isolation of the portal vein, was performed. The operative field was irrigated with saline solution during the intervention as the portal hypertension ones. Finally, the laparotomics were closed by continuous suture on the two layers with catgut and 2–0 silk. The postoperative period started immediately after the intervention and lasted until the behavioral evaluation 45 days later.

#### Portal vein pressure measurement

Splenic pulp pressure, an indirect measurement of portal pressure (PP), was measured by the method described by Aller et al. (2006).

Determination of neurological alterations and motor functions

#### Neurological test

Before intervention and after the postoperative period, all the animals were handled during 10 min and evaluated by neurological tests such as flexion reflex, startle reactions, head shacking, righting and equilibrium reflex, placing reactions, grasping and some equilibrium tests. No alteration was displayed by any animal.

#### Rotarod-accelerod test

This test of motor performance consists of a motor-driven rotating rod that enables us to assess motor coordination and resistance to fatigue (Jones and Roberts 1968). The accelerating rotarod 7750 of Ugo Basile (Ugo Basile Biological Research Apparatus, Italy) was used for the rats. The procedure followed has two parts. In the first one, the animals were placed in the apparatus and the speed was maintained constant at 2 rpm for 60s. In the second part, the rats were evaluated for 5 min in the accelerod test session, in which the rotation rate constantly increased until it reached 20 rpm. Latency to fall off the rod and the actual rotation speed were recorded.

#### Evaluation of spatial reference memory

Spatial reference memory was evaluated in the circular pool designed by Morris (Morris 1984) also called the Morris water maze (MWM), one of the experimental paradigms most widely used to evaluate spatial memory. The MWM was made of fibreglass and measured 150 cm in diameter with a wall 40 cm high. The water level was 30 cm and its temperature  $22\pm2^{\circ}$ C. The platform used corresponded to a cylinder 10 cm in diameter and 28 cm high, of which 2 cm was below the surface of the water. The MWM was in the centre of a 16 m<sup>2</sup> lit room (two halogen lamps of 4000 lx) and was surrounded by panels on which the spatial clues were placed. The pool was divided into four imaginary quadrants (quadrant A, B, C and D, or right, left, across and correct, respectively). The behaviour of the animal in the MWM was recorded by a video camera (Sony V88E) connected to a computer equipped with an EthoVision Pro programme.

The learning protocol consisted of the first day being destined for habituation of animals to the task, in which the animals carried out four trials with a visible platform that jutted out 4 cm from the water and was located in the centre of the pool. During the following 5 days, the animals were required to locate a hidden platform that remained in the centre of quadrant D in relation to the external visual cues on training days. Training was performed in blocks of six trials per day. To begin each trial, the rats were placed in the water, facing the maze wall, at one of four quadrants, and the daily order of entry into these quadrants was randomized. Each trial ended once the animal had found the hidden platform or when 60 s had elapsed. If the animal had not reached the hidden platform after this time, it was placed on the platform for 15 s. During the inter-trial interval, the animals were placed in a black bucket for 30 s. The time and distance swum in each trial were recorded. At the end of the session, a probe test was applied in which the pool platform was removed and the rat was introduced into the pool in the quadrant opposite to where the platform had been located in previous trials for 25 s in order to check whether the animal remembers the position of the platform (Méndez et al. 2010). Immediately after the probe test, the animals were subjected to an additional trial with the hidden platform placed in its usual position to avoid any possible interference with the probe test. Latencies were recorded during the acquisition and also the time of permanence in each quadrant during the probe test.

#### Cytochrome oxidase histochemistry

Ninety min after the last training day animals were decapitated. Brains were removed, frozen rapidly in N-methylbutane (Sigma-Aldrich, Madrid, Spain) and stored at -40°C until processing with quantitative COx histochemistry, described by González-Lima and Cada (1994). To quantify enzymatic activity and to control staining variability across different baths, sets of tissue homogenate standards from Wistar rat brain were cut at different thicknesses (10, 30, 40 and 60 µm) and included with each bath of slides. The sections and standards were incubated for 5 min in 0.1 phosphate buffer with 10% (w/v) sucrose and 0.5 (v/v) glutaraldehyde, pH 7.6. After this, baths of 0.1 M phosphate buffer with sucrose were given for 5 min each. Subsequently, 0.05 M Tris buffer, pH 7.6, with 275 mg/l cobalt chloride, sucrose, and 0.5 (v/v) dimethylsulfoxide was applied for 10 min. Then, sections and standards were incubated in a solution of 0.06 g cytochrome c, 0.016 g catalase, 40 g sucrose, 2 ml dimethylsulfoxide and 0.4 g diaminobenzidine tetrahydrochloride (Sigma-Aldrich, Madrid, Spain), in 800 ml of 0.1 M phosphate buffer, at 37°C for 1 h. The reaction was stopped by fixing the tissue in buffered 4% (v/v) formalin for 30 min at room temperature. Finally the slides were dehydrated, cleared with xylene and coverslipped with Entellan (Merck, Germany).

Quantification of COx histochemical staining intensity was done by densitometric analysis, using a computerassisted image analysis workstation (MCID, Interfocus Imaging Ltd., Linton, England) made up of a high precision illuminator, a digital camera and a computer with specific image analysis software. The mean optical density (OD) of each region was measured on bilateral structures, using three consecutive sections in each subject. In each section, four non-overlapping readings were taken, using a squareshaped sampling window that was adjusted for each region size. A total of twelve measurements were taken per region by an investigator blind to the groups. These measurements were averaged to obtain one mean per region for each animal. OD values were then converted to COx activity units, determined by the enzymatic activity of the standards measured spectrophotometrically (González-Lima and Cada 1994).
The regions of interest were anatomically defined according to Paxinos and Watson's atlas (2005) (Paxinos and Watson 2005). The regions of interest and the distance in mm of the regions counted from bregma was: +3.20 mm for the infralimbic cortex (IL), prelimbic cortex (PL), the cingulate cortex (CG); -1.20 mm for the CA1, CA3 and the dentate gyrus (DG) subfields of the dorsal hippocampus; -3.12 mm for the basolateral (Bas), medial (MeA), lateral (Lat) and central (Cen) amygdala.

# Statistical analysis

#### Behavioral data

Motor data were analyzed by t-test for independent samples. For the velocity and distance covered, we used a two way repeated measures ANOVA (between factor: group; within factor: day, five levels) as well as to analyse the latencies to reach the hidden platform for each day (average of four trials) during the training phase of the reference memory task. The time spent in each of the four quadrants during the probe test was analysed separately for each group and day, using a one way ANOVA design (factor: quadrants, four levels). Post hoc multiple comparisons analysis was carried out, when allowed, using the Holm-Sidak method. Moreover, a non-parametric Mann–Whitney U test (H) for independent samples was carried out when normality or equal group variances failed.

#### COx activity

Group differences in COx activity measured in each brain region were evaluated by Student's t-test for independent samples. Because training experience in spatial learning might manifest as neural changes in functional connectivity, the possible functional relationships among the regional brain activity data were analysed in terms of pairwise correlations within each experimental group. For the interregional correlation analysis, Pearson's product-moment correlations between pairs of brain regions in each experimental group were computed. COx activity values were normalized by dividing the measured activity of each brain region by the average COx activity value for all regions measured in each animal. This was done to reduce variation in the intensity of the COx staining not resulting from the experimental manipulation. In addition, in order to avoid errors due to an excessive number of significant correlations using small sample sizes, a "jackknife" procedure was used (Shao and Dongsheng 1995). This procedure is based on the calculation of all possible pairwise correlations resulting from removing one subject each time, and taking into consideration only those correlations that remain significant (p < 0.05) across all possible combinations.

#### Results

Portal vein pressure measurement

Portal pressure increases in rats with PH which showed mean values of  $14.844\pm2.08$  respect to the SHAM group where portal pressure values were  $5.903\pm0.43$ .

#### Rotarod-accelered test

A t-test for independent samples revealed no significant differences between groups in the rotarod/accelerod. The PH group did not differ from the SHAM group in the rpm reached by the rotarod (t(22)=1.243, p=0.227) or in time in seconds the animals managed to withstand at fast speed (t(22)=1.294, p=0.209) (Fig. 1).

#### Reference memory

The two-way repeated measures ANOVA revealed no differences in distance covered (F(1,88)=2.490, p=0.129) and



Fig. 1 Rotarod test. Bars charts represent rotation speed a and time spent on the rod b in the rotarod/accelerod test. There were no statistically significant differences between the groups (mean±SEM)

velocity (F(1,88)=1.321, p=0.263) between PH and SHAM. The variable day, however, did have a significant effect (F(4,88)=26.460, p < 0.001) and (F(4,88)=4.040, p=0.005), respectively (Fig. 2).

The two-way repeated measures ANOVA showed no differences in escape latencies between the PH and SHAM groups (F(1,88)=0.462, p=0.504). However, significant differences until day three (p=0.006) in the escape latencies to reach the hidden platform were found in SHAM group respect to the PH group which showed a one-day delay in the task acquisition (p=0.007) (Fig. 3).

Analysis of the time spent in the target quadrant during the probe tests showed an improvement in learning over the 5 days of training for all groups. But, whereas the SHAM group acquired the task in only a few trials, only from Day 3 did permanence in the reinforced quadrant prevail (Day 1: F (3,44)=4.323, p=0.009; Day 2: H(3)=10.122, p=0.018; Day 3: F(3,44)=23.764, p<0.001; Day 4: H(3)=26.423, p < 0.001; Day 5: H(3)=26.716, p < 0.001). Post hoc tests revealed significant differences between Quadrant D and the rest of quadrants (p≤0.005) on these days. However, the PH group showed a predominant permanence in Quadrant D on



Fig. 2 Distance moved and Velocity. Bars charts (mean±SEM) represent distance moved a and velocity b. There was a significant effect of the variable day (\* $p \le 0.05$ )



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Fig. 3 Escape latencies and probe tests in the spatial referencememory test during 5 days learning (mean±SEM). The escape platform was located in quadrant D. There was an improvement over days for all groups in escape latencies (\* $p \le 0.05$ ). PH group showed one-day delay in the correct execution of the transfer test respect to the SHAM group

the three first days (Day 1: F(3,44) = 7.231, p < 0.001; Day 2 : F (3,44)=7.024, *p*<0.001; Day 3: F(3,44)=11.368, *p*<0.001) and significant differences between the other quadrants were found on Days 4 and 5 (Day 4: H(3)=33.565, p<0.001; Day 5: F(3,44)=41.253, p<0.001). Post hoc tests for Day 4 revealed significant differences ( $p \le 0.005$ ) between Quadrants D-B, D-C and A-B. But Day 5 showed differences between Quadrant D and the rest of quadrants (p < 0.001) and between Quadrants A-B (p=0.010) and Quadrant A compared to Quadrant C (p=0.022) (Fig. 3).

#### COx activity

The group comparison of COx activity revealed lower COx activity in the PH group than in the SHAM group in the CA3 (U=166.000, n1=11, n2=12; p=0.039) and DG (U=171.000, n1=11, n2=12; p=0.018), Bas (U=165.000, n1=11, n2=12; p=0.045), Cat (U=165.000, n1=11, n2=12; p=0.045), Cen (U=168.000, n1=11, n2=12; p=0.029), and MeA (U=173.000, n1=11, n2=12; p=0.013). The groups did not differ in their COx activity in the PL (U=148.000, n1=11, n2=12; p=0,148), and CG (U=153.000, n1=11, n2=12; p=0.207) (Table 1).

#### Interregional within-group correlations of COx activity

Significant interregional correlations of COx activity were found both in the SHAM and the PH groups. A high crosscorrelation between medial amygdala and CA1 subfield (r=-0.853, p<0.001), between CA1 subfield and CA3 subfield (r=0.903, p<0.001) as well as between CA3 subfield and central amygdala (r=-0.794, p=0.004) were found in the SHAM group. In contrast, interregional correlations in the PH group were found between the medial and lateral amygdala (r=0.766, p=0.004), the lateral amygdala and CA1 subfield (r=-0.685, p=0.014), the CA1 subfield and CA3 subfield (r=0.720, p=0.008), as well as between the prelimbic cortex and infralimbic cortex (r=0.735, p=0.006), the infralimbic cortex and central amygdala (r=-0.693, p=0.012), the central and cingulate cortex (r=-0.817, p=0.001) (Fig. 4).

Table 1 Shows the COx values (mean  $\pm$  SEM) in SHAM and PH groups for all structures studied

Structures	SHAM group	PH group	
Cornu ammonis 3	21,74±1,88	16,32±0,49	
Dentate gyrus	33,45±3,11	23,41±0,50	
Basolateral amygdala	29,68±2,79	$20,82{\pm}0,38$	
Lateral amygdala	22,25±1,90	15,64±0,39	
Central amygdala	24,19±1,92	$17,58 \pm 0,53$	
Medial amygdala	22,31±1,57	$16,62{\pm}0,37$	
Prelimbic cortex	22,49±1,75	18,36±0,46	
Infralimbic cortex	23,11±1,73	$18,47\pm0,50$	
Cingulate cortex	22,99±1,83	18,20±0,49	

#### Discussion

Our work shows that spatial learning in the MWM is delayed in rats with acute prehepatic PH, also significant changes could be observed in the COx activity between groups. These differences cannot be justified by motor problems, as indicated in the tests carried out specifically for this purpose.

Patients with cirrhosis show a neuropsychiatric disorder called minimal hepatic encephalopathy (MHE) which, while clinically (neurologically) free of symptoms, manifest abnormalities in central nervous system function where assesed using psychometric tests or electrophysiological techniques. Significant impairment of selective attention and executive function has been reported in MHE, together with abnormalities in prolongation of cognitive evoked potentials, visuomotor activity, response inhibition, slowing of the electroencephalogram and psychomotor speed (Amodio et al. 2004). These deficits have been associated with alterations in the functional connections within the basal ganglia-thalamo-cortical loop (Timmermann et al. 2002; Timmermann et al. 2003). In contrast, in the experimental model of PH employed our results from the rotarodaccelerod test revealed that these alterations were not reflected. Our groups presented a balanced motor coordination and similar displacement speed and distance, in agreement with others authors (Méndez et al. 2008). Indeed, it can be explained by the different neuronal circuits which are activated in normal rats and in rats with HE to modulate motor activity (Cauli et al. 2009). This modulation is due to the sequential alterations in glutamatergic (Canales et al. 2003) and GABAergic neurotransmission in different brain areas involved in these circuits.

Although SHAM and PH groups reached the behavioral criterion, the PH group tended to perform worse than the SHAM group in the reference memory task. In fact, there was a one-day delay in the reduction in the escape latencies to a hidden platform during the learning process for the PH group. Analysis of the probe tests, in which the platform was removed from the pool, revealed that, although there were no group differences in the time of permanence in the target quadrant, the SHAM group increased the time of permanence in the reinforced quadrant during the last 3 days of the acquisition tasks, whereas the PH group showed significant differences between the other quadrants on the last 2 days evaluated. These results are in agreement with those obtained by Méndez and cols (2008) in the study of the working memory. Working memory is a representation of an object, stimulus or spatial location that is typically used within a testing session (Dudchenko 2004), which requires a degree of cognitive flexibility and short-term storage. The data of this study could indicate that the PH group presented more deficits in short-term memory than in long-term spatial memory. In fact, several studies with

Fig. 4 Schematic diagram showing the significant interregional correlations of COx activity calculated in the studies groups (SHAM and PH). Solid and dotted lines represent respectively highly positive and negative pair-wise Pearson's correlations (r > 0.8, P < 0.02)

#### SHAM group

Lateral amygdala



r=0.766

p=0.004

different experimental models of HE confirms that spatial working memory is damaged in cirrhotic rats due to the weekly administration of thioacetamide. This experimental group was unable to remember the location of platform in the retention trial, displaying difficulties in recalling new spatial information for a short period of time (Méndez et al. 2009a). Moreover, studies that enable us to evaluate the typical avoidance response, where an increase in the number of avoidances on the different acquisition days can be attributed to processes of consolidation and recall, showed that the portacaval shunt group and thioacetamide group had a clear deficit compared to control groups (Méndez et al. 2009b). All these data led to the hypothesis that massive exposition to the acquisition task during the 5 days on which the reference memory is carried out is probably the cause of the absence of differences between groups.

Histochemical labelling of COx revealed an absence of changes between groups in the mPFC area (PL, IL and CG) which is in agreement with the lack of motor dysfunctions, planning (prospective coding) and decision making (Kesner and Churchwell 2011). Dorsal regions of mPFC such as CG have been implicated in various motor behaviours, while ventral regions of mPFC (PL and IL) have been associated with diverse emotional, cognitive and mnemonic processes (Heidbreder and Groenewegen 2003).

The same pattern of activity could be observed in the CA1 subfield of the hippocampus. Previous studies have revealed projections from the hippocampus (CA1/subiculum) to the ventral mPFC (IL/PL) (Carr and Sesack 1996; Jay and Witter 1991), stronger to IL than to PL and to the dorsal mPFC (Hoover and Vertes 2007). It is known that hippocampal neurons encode newly-learnt goal locations through the reorganization of assembly firing patterns in the CA1 region but not in CA3. This suggests specialization within the hippocampus in order to solve spatial problems:

Medial amygdala whereas CA1 place representations are flexible because it encodes goal locations and the successful retrieval of goalassociated spatial memories, CA3 are stable, providing invariant representations of the whole environment indepen-

dently of task demand (Dupret et al. 2010). In addition, Dupret and cols. (2011) have shown that enhanced reactivation of newly-established goal representations is NMDA receptor-dependent. These findings highlight the important role of NMDA receptor-dependent hippocampal plasticity during learning and are consistent with other behavioral studies showing that formation of spatial memories involves NMDA receptor (Nakazawa et al. 2004; McDonald et al. 2005).

Regarding the hippocampus, we observed that the dentate gyrus (DG) and CA3 subfield of the hippocampus were under-activated in the PH group. This could be related to the moderate spatial deficit showed in the task. Indeed, in experimental models where the advanced stages of the HE were studied, it has been found that an increase of the ammonia levels impairs induction of NMDA receptordependent long-term potentiation in the hippocampus (Méndez et al. 2010) and also alters the function of the glutamate-NO-cGMP pathway, resulting in reduced formation of cGMP (Montoliu et al. 2010). The changes in extracellular cGMP are parallel to changes in learning ability, supporting a role for cGMP levels in learning ability (Llansola et al. 2007). Moreover, behavioral studies suggest that spatial learning both of entire environments and discrete places involves NMDA receptor-dependent plasticity to update the hippocampal representation of space according to task demands and/or environmental changes. But these NMDA receptors are not only located in the hippocampus. Recent research has shown the importance of glutamate receptors in the basolateral complex of the amygdala (Bas) and in the central nucleus of the amygdala (Cen) (Llansola et al. 2007).

r=0.735 p=0.006 Furthermore, significant reciprocal inhibitory connections have been described between the ventral prefrontal cortex and amygdala (Womer et al. 2009).

The neurobehavioral correlations identified different patterns of interactivity in networks of brain regions between groups. In the SHAM group, which showed more metabolic activity than the PH group, we could observe a simple circuit that involves limbic regions associated with the hippocampal formation (CA1 and CA3) and included in Papez' s circuit, such as the amygdala (Cen and MeA). Others studies revealed CA3-CA1 uncoupling in 5-day trained animals, and these regions correlated with behavioural learning scores in 3-day trained animals (Conejo et al. 2010). It is known that the learning process is reached near Day 3, so we hypothesized that this CA3-CA1 coupling indicates a delay in the brain activity in both groups, which is not reflected in the behavioural learning. In fact, other authors have highlighted that the performance of a laparotomy may cause some alterations that are still uncertain (López et al. 1997).

The PH group showed two more complex networks. The first one included relationships between CA1 and CA3 subfields of the hippocampus with other nuclei of amygdala such as MeA and Lat. The second network involved regions of the mPFC (CG, PL and IL) and Cen nuclei of the amygdala. This amount of structures could indicate metabolic brain efforts in the PH group, which are necessary to acquire the spatial reference memory in the same time as the SHAM group.

The PH rats showed no spatial learning impairment but there were differences in brain metabolic activity. This study is important because it revealed a metabolic deficit associated with some learning impairment at early phases of PH. These results support the complicated diagnosis related to the MHE which are unavailable to most clinicians. In fact, MHE patients have increased risk of developing and a lower survival rate (Romero-Gómez et al. 2007). Thus, others studies could be aimed at early detection of these symptoms in humans in order to prevent the chronic stages of the illness.

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IV

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# Different brain network function during shifts in learning strategies in portal hypertension animals

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# 14 Abstract

15 Patients with minimal hepatic encephalopathy exhibit early impairments in their ability to shift attentional set. We employed a task-switching protocol to evaluate brain 16 network changes. Strategy switching requires the modification of both the relevant 17 stimulus dimension and the required memory system. Rats were trained in an allocentric 18 (A) and a cue-guided (C) task using a four-arm maze. To examine priming, we changed 19 the order in which the tasks were presented. Five groups of animals were used: a SHAM 20 21 (sham-operated) A-C group (n=10), a SHAM C-A group (n=8), a PH (portal hypertension) A-C group (n=8), PH C-A group (n=8), and a naïve group (n=10). The 22 triple portal vein ligation method was used to create an animal model of the early 23 24 evolutive phase of PH. The animals were tested in the four-arm radial water maze (RAWM) in a single 10-trial session each day for six days (three days for the allocentric 25 task and three days for the cue-guided task). The metabolic activities of the brains were 26 studied with cytochrome oxidase histochemistry, and brain network changes were 27 assessed with principal component analysis (PCA). The behavioural results revealed 28 significant increases in the numbers of correct choices across training days in all groups 29 studied, and facilitation of the acquisition of the second task was present in the C-A 30 groups. Moreover, different brain network activities were found; in the experimental 31 groups, the performance of A-C switch involved the prefrontal cortex, and the key 32 structures involved in the C-A switch in the other groups were the dentate gyrus of the 33 34 dorsal hippocampus and the basolateral and central amygdala. These networks have a common nucleus of structures (i.e., the parietal cortex and the dorsal and ventral 35 striatum), whereas other structures were specifically involved in each type of strategy, 36 37 suggesting that these regions are part of both circuits and may interact with one another during learning. 38

# 39 Keywords

40 cue-guided task, allocentric task, rat, cytochrome c-oxidase, brain networks, portal
41 hypertension, behavioural flexibility.

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# 43 **1. Introduction**

Behavioural flexibility refers to the ability to learn a new strategy while 44 45 inhibiting the execution of a previous strategy under changing environmental contingencies (Ragozzino et al., 1999). Behavioural flexibility is the general concept 46 behind switching tasks that require modifying both the relevant stimulus dimension 47 (e.g., from allocentric to cued-learning and vice versa) and the required memory system 48 (White and McDonald, 2002). In this regard, different brain regions have traditionally 49 been considered to mediate allocentric- and cued-learning. The hippocampus and the 50 51 striatum (STR) have conventionally been considered to be key brain regions that are associated with independent memory systems. The hippocampus has been linked to 52 53 spatial strategies (Bartsch et al., 2010) that require the development of a spatial 54 cognitive map from an internal representation of the relationships between distal landmarks (de Bruin et al., 2001). In contrast, the striatum is involved in the acquisition 55 of stimulus-response (S-R) memories (Fidalgo et al., 2011). More specifically, the 56 striatum appears to be necessary for the formation of associations between cues and 57 rewarded responses (cued-learning) (Miyoshi et al., 2012). This type of flexibility 58 requires the reassignment of brain systems to perform the task correctly and is mediated 59 by the prefrontal cortex in humans and non-human primates (Owen et al., 1990; Dias et 60 al., 1997). 61

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Over the years, studies have shown that different learning strategies require 63 different neural networks that may could partially overlap (Rubio et al., 2012). Indeed, 64 65 it is well known that the prelimbic area is involved in strategy shifting and that the infralimbic region is involved in the formation of new choice patterns. Furthermore, the 66 interaction between these structures and the striatum during the execution of dynamic 67 68 behaviour has been shown (Ragozzino, 2007). Indeed, dorsomedial striatal inactivation 69 impairs shifts between visual cues and an egocentric response strategies (Ragozzino et al., 2002) that are revealed when the prelimbic area is inhibited. Furthermore, has been 70 71 reported that the hippocampus and prefrontal cortex operate in parallel during the acquisition of spatial information (Rogers and Kesner, 2006), which highlights the 72 existence of interactions between learning and memory structures. 73

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Therefore, it is not difficult to understand that damage to one of these structures could cause impairments of cognitive flexibility similar to those that are present in numerous human conditions, including Huntington's disease, Alzheimer's disease (Menzie et al., 2012), and hepatic encephalopathy (HE) (Amodio et al., 2005).

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The treatment of HE patients is not uniform and requires precise development of several new tools that enable the assessment of cognitive performance (Córdoba, 2011). Because HE is a continuum, understanding the early stages, known as minimal HE (mHE), is necessary from a preventive point of view. Several studies of animal models of mHE have shown delays in the acquisition of reference memory (Arias et al., 2012) and deficits in spatial working memory (Méndez et al, 2008). Thus, we used a model of triple stenosing ligation of the portal vein (Aller et al., 2005) to create a set of
neuropsychological methods that can provide a more objective assessment and be useful
in researching the effect of new treatments.

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To accomplish this goal, histochemical labelling of cytochrome c-oxidase (COx) 90 was performed. COx is a mitochondrial enzyme that reflects changes in tissue metabolic 91 92 capacity that are induced by the sustained energy requirements of the nervous system associated with learning (Poremba et al., 1998) and spatial memory in the Morris water 93 maze (MWM) (Conejo et al., 2007). The aim of this study was to examine the brain 94 networks that are involved in the encoding and retrieval of spatial information required 95 for these switching strategies and, specifically, to determine how the selected rat brain 96 regions differed in their activation when the allocentric- and cued-task order was 97 changed. During the *allocentric task*, the rats had to acquire long-term memories of the 98 location of the submerged platform based on their orientation relative to the positions of 99 distal stimuli in the experimental room. In the *cue-guided task*, the rats were trained to 100 locate the platform based on a yellow globe that was situated 20 cm above the platform. 101 This version of the task requires the execution of the same response (cue-guided), rather 102 than navigation to the same place. 103

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# 105 **2. Material and Methods**

# 106 **2.1. Subjects**

Forty-four adult male Wistar rats (250-300 g.) from the animalarium of the University of Oviedo were housed four per cage in a temperature- and humiditycontrolled vivarium under a 12-h light/dark cycle (lights on 8.00 A.M.). Experimental procedures were performed in accordance with the Directive of the European Commision (20120/63/EU) and Spanish legislation (R.D. 1201/2005) and approved by the local committee for animal studies.

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The rats were randomly distributed into the following five groups: portal hypertension allocentric-cue guided (PH A-C group, n = 8), portal hypertension cue guided-allocentric (PH C-A group, n = 8), sham-operated allocentric-cue guided (SHAM A-C group, n = 10), sham-operated cue guided-allocentric (SHAM C-A group, n = 8), and a group of rats that received only gentle handling (naïve group, n = 10). The microsurgical procedures were carried out as described in Arias et al. (2012).

# 120 2.2. Behavioural procedures

121 The rats were trained in a black fiberglass radial arm water maze (RAWM, each 122 arm was 80 cm×12 cm) that was placed 50 cm above floor level. The maze had four 123 arms in the shape of a cross. The water depth was 30 cm, and the temperature was 124  $22\pm2^{\circ}$ C. The RAWM was in the centre of a 16 m<sup>2</sup> lit room (two halogen lamps of 4000 125 lx) and was surrounded by panels on which the spatial clues were placed. The 126 behaviour of the rat in the RAWM was recorded by a video camera (Sony V88E) 127 connected to a computer equipped with the EthoVision Pro program (EthoVision 3.1;128 Noldus Information Technology, Leesburg, VA).

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130 The learning protocol consisted of single 10 trial-sessions each day over 6 days in which the first 3 days were designated for the acquisition of one task, and the last 3 131 days were used for the acquisition of the other task (e.g., 3 days were allotted for the 132 133 allocentric task, and the next 3 days were allotted for the cue-guided task). In each trial, 134 the rats were placed in the centre of the pool facing a different arm and allowed to swim to the platform. If the animal failed to find the platform, it was guided to the platform. 135 Once the rat reached the platform, it remained there for 15 s. Between trials, the rat was 136 placed in a small square box for 30 s. The platform was in the same arm throughout the 137 entire 10 trial-session each day, but the location of the platform each training day. 138

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Every day at the end of each 10 trial-session, a probe test was applied in which the pool platform was removed, and the rat was introduced into the pool for 25 s. Immediately after the probe test, the rats were subjected to an additional trial in which the hidden platform was replaced to avoid any possible interference from the probe test.

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145 All subjects in the experimental groups reached the learning criterion of 146 choosing the correct arm in 70% of trials.

# 147 **2.3.** Cytochrome oxidase histochemistry

The protocol used was the same as that described by Arias et al. (2010). Ninety 148 149 minutes after the last training day, brains were removed, frozen rapidly in N-150 methylbutane (Sigma-Aldrich, Madrid, Spain) and stored at -40°C until processing for quantitative COx histochemistry. To quantify enzymatic activity and to control for 151 152 staining variability across different baths, sets of tissue homogenate standards from Wistar rat brains were cut at different thicknesses (10, 30, 40 and 60 µm) and included 153 with each bath of slides. Quantification of COx histochemical staining intensity was 154 performed with densitometric analysis using a computer-assisted image analysis 155 workstation (MCID, Interfocus Imaging Ltd., Linton, England) composed of a high-156 precision illuminator, a digital camera, and a computer with specific image analysis 157 158 software (Leica Q-Win, Germany). The mean optical density (OD) of each region was measured in the bilateral structures using three consecutive sections in each subject. In 159 each section, four non-overlapping readings were taken using a square-shaped sampling 160 window that was adjusted for the size of each brain region. A total of twelve 161 measurements were taken per region by an investigator who was blind to group 162 membership. These measurements were averaged to obtain one mean value per region 163 for each rat. OD values were then converted to COx activity units that were determined 164 165 by the spectrophotometrically measured enzymatic activities of the standards (González-Lima and Cada, 1994). The different limbic brain regions studied, which are 166 included in the Papez circuit, have frequently been implicated in memory processes. 167 These regions of interest were anatomically defined according to Paxinos and Watson's 168 atlas (2005). The regions of interest and their distances (in mm) from bregma were as 169

follows: +3.20 mm for the infralimbic cortex (IL), prelimbic cortex (PL), and cingulate cortex (CG); +1.20 mm for the dorsal striatum, accumbens core, accumbens shell and parietal cortex; -1.20 mm for CA1, CA3 and the dentate gyrus (DG) subfields of the dorsal hippocampus; -3.12 mm for central and basolateral amygdala; and +4.52 mm for the supramammillary nucleus (SuM) and the medial mammillary bodies.

# 175 **2.4. Statistical analysis**

Portal pressure data were evaluated with student's t-tests for independent samples and are expressed as the mean  $\pm$  the SEM.

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To analyse the behavioural results, a longitudinal randomised controlled trial 179 design was applied. Likelihood-based mixed-effects models provide appropriate general 180 analytic frameworks to determine whether changes in response profiles over time differ 181 between groups of animals (Vallejo et al., 2011). The mixed-effects model repeated 182 measures (MMRM) analysis implemented herein included an unstructured (UN) 183 modelling of time and a within-subject error correlation structure. In the version of 184 MMRM used herein, time is considered as a classification rather than a continuous 185 variable. Following rejection of an omnibus hypothesis, we next determined the 186 contrasts between the populations in which the means were not equal to zero. To control 187 188 the family-wise error (FWE) rate for all possible pairwise comparisons, the Hochberg (1988) step-up Bonferroni inequality was applied using the ESTIMATE statement in 189 SAS PROC MIXED and the HOC option in SAS PROC MULTTEST. The dataset was 190 analysed using MRM with REML estimation as implemented in SAS Version 9.3 (SAS 191 Institute, 2011) PROC MIXED. 192

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194 A principal components analysis (PCA) was applied to identify networks of correlated COx values. First, we performed Bartlett's sphericity test to confirm a the 195 relationship between variables and the Kaiser-Meyer-Olkin (KMO) test to evaluate the 196 extent to which the variable scores could be predicted from the other scores (Ferrando 197 and Anguiano-Carrasco, 2010). The second step was to determine the number of 198 199 components (networks) to be obtained. Lastly, a non-orthogonal oblimin rotation was 200 performed, and the scores of each of the components were analysed by separate ANOVAs with between-subject components (three levels). Statistical analyses were 201 performed with SAS Version 9.3 (SAS Institute, 2011) PROC MIXED. 202

# **3. Results**

# 204 **3.1. Portal pressure values**

Portal pressure increased in animals with PH; the mean value of the PH animals was  $13.9 \pm 0.8$ , and that SHAM group was  $5 \pm 0.4$ . Significant differences were found between the groups (t(18)=-8.258, p<0.001).

# 208 **3.2. Behavioural results**

209 MMRM analysis revealed statistically significant differences [F(3,30) = 3.45, p = 0.029] between the comparison groups in their task performances averaged across training days. Additionally, there was a significant increase [F(5,30) = 26.29, p < 0.029]

212 0.0001] in the mean response over time after averaging across the treatment groups. 213 Interestingly, there were no significant differences [F(15,30) = 1.82, p = 0.078] between 214 the comparison groups over time. Therefore, rat performance changed over time; 215 however, the PH A-C, PH C-A, SHAM A-C, and SHAM C-A groups showed similar 216 patterns of change.

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After the overall null hypotheses regarding the groups and trials were rejected, we next determined the contrasts among the population for which the means was not equal to zero. For the group factor, post hoc analysis showed significant differences (t30 = 3.30, p = 0.015) between the SHAM A-C and the PH C-A groups. No differences were found in the five remaining pairwise comparisons (Fig. 2). For the training-days factor, all possible pairwise comparisons were statistically significant at the 5% familywise significance level, with the exception of the comparison of day 3 to day 4.

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226 In contrast, the results of the repeated measures ANOVAs for each group (i.e., 227 PH A-C, PH C-A, SHAM A-C, and SHAM C-A) with Hochberg post-hoc pairwise comparisons were as follows: PH A-C [F(5,35) = 10.35, p < 0.0001] with significant 228 differences between day 1 and the other days (p<0.01) and between day 2 compared to 229 230 day 6 (p = 0.047); SHAM A-C [F(5,45) = 5.35, p = 0.0006] with differences between day 1 and day 6 (p = 0.012), and day 2 and day 6 (p = 0.0012); PH C-A [F(5,35) = 8.95, 231 p < 0.0001 with differences between day 1 and days 5 (p = 0.0002) and 6 (p=0.00006), 232 and between day 2 and days 5 (p = 0.007) and 6 (p = 0.0022); and SHAM C-A [F(5,35)] 233 = 14.32, p < 0.0001 with significant differences were between day 1 compared to the 234 235 other days ( $p \le 0.00006$ ) and between day 2 compared to the other days ( $p \le 0.01$ ).

# 236 **3.3. PCA of COx**

The changes in COx values induced by both learning tasks were studied using PCA. Thus, we established possible functional groups among the brain regions examined in this study. The final structure obtained is represented in Table 1.

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In the cue-guided task (A-C groups), component 1 plays a principal role in the learning task and involves most of the brain regions in each of the three experimental groups. These regions are the prefrontal and parietal cortex and striatum and accumbens. The average component scores for this component differed between groups [F(2,48)=21.811, p<0.001], and post hoc analysis revealed significant differences between the naïve group and the PH A-C (p<0.001) and SHAM A-C (p<0.001) groups.

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Principal components 2, 3 and 4 seemed to include a more limited number of brain areas. Component 2 only included the mammillary bodies and the basolateral amygdala; component 3 involved the amygdala (basolateral and central), and component 4 is involved the hippocampus. No significant group differences were found in any of these components. 254 In contrast, three components were required to explain the allocentric task (C-A group). Principal component 1 involved 7 brain areas with high component loadings 255 (>0.7). Component loading indicates the extent to which each structure is related to 256 each component. A higher component loading indicates greater importance of the 257 258 associated structure and, thus, the lower error influence. Component 1 loaded highest on the parietal cortex, striatum, accumbens, dentate gyrus of the hippocampus, and 259 260 amygdala. Significant group differences [F(2,42)=3.797, p<0.05] were found, and post hoc analyses revealed significant differences between the naïve group and the SHAM 261 262 C-A group (p < 0.05). No differences were found in the second network in which only 263 the medial mammillary nucleus showed a strong positive loading. However, for component 3, significant group differences [F(2,42)=3.812, p<0.05] were found, and 264 post hoc analyses revealed significant differences between the naïve and SHAM C-A 265 groups (p<0.05) and between the naïve and PH C-A groups (p<0.05). The prefrontal 266 cortex, striatum, accumbens and dentate gyrus of the hippocampus showed high 267 loadings on component 3. Additionally, some of these areas (i.e., the striatum, 268 accumbens and dentate gyrus) loaded strongly on components 1 and 3, suggesting that 269 these regions were part of both circuits and may have interacted with each other during 270 271 the learning process.

# **4. Discussion**

Strategy switching requires animals to distinguish different relationships 273 between the same stimuli and responses to perform well (Rich and Shapiro, 2009), and 274 strategy switching was required in the alternation between cued and allocentric learning. 275 276 In this study, we combined both strategies while varying the order of acquisition to determine whether one strategy could facilitate the other. To achieve this aim, we used 277 tasks that required the same effort and were matched for the numbers of trials. The 278 279 RAWM was used as a dual-solution task in which rats can be forced to use one type of 280 learning strategy by pairing the location of the platform with a single cue that guides the rat or with several distal visual cues that allow the rat to locate the target in the spatial 281 282 environment; the latter solution is associated with increased memory load across trials (Camp et al., 2012). This is an effective procedure for studying behavioural flexibility 283 that can differentiate acquisition strategies. 284

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253

286 A previous studies by de Bruin et al. (2001) showed that, at the beginning of training, rats attempt to locate the platform in the pool using a strategy that implies the 287 processing of spatial location information. When the rats fail to succeed, they change 288 289 their strategy and eventually learn the route to the platform using a taxon strategy (i.e., cue-guided learning). These findings are similar to our results. Compared to the A-C 290 groups (Figure 1), the SHAM group's performances on days 1 to 3 (in the allocentric 291 task) exhibited a high number of correct choices from the beginning of task acquisition. 292 However, when the change to the cue-guided task was made, the new learning process 293 294 and the new strategy was revealed by the decreases in the numbers of correct choices shown on day 5; although rats eventually reach the criterion by the end of training. 295

296

297 Conversely, in the C-A groups, the low numbers of correct choices of the SHAM 298 group in the cue-guided task reflected the rats' preferences for using spatial information 299 first. Indeed, when the allocentric task was initiated, no decreases in the numbers of 300 correct choices were observed, which supports previous research and shows that 301 beginning with a favoured strategy may facilitate the acquisition of more difficult tasks.

302

303 However, the identity of the first task presented to the PH groups was not 304 important because the number of correct choices made was always low. This lack of flexibility has been shown in previous studies (de Bruin et al., 1994) in which rats with 305 medial prefrontal lesions were observed to be less flexible than control animals and 306 required more time to adapt their strategy as reflected by transient impairments that 307 occurred upon strategy changes (from allocentric to visually cued). Indeed, lower 308 309 metabolic activities in the prefrontal cortices of PH groups compared to SHAM groups and the recruitment of increased numbers of brain regions that reflect the metabolic 310 311 requirements of the brains of PH groups during the performance of spatial reference tasks have been reported previously (Arias et al., 2012). 312

The absence of changes in both experimental groups during acquisition allowed 313 us to compare the groups in terms of metabolic brain activity. This comparison is 314 important because, in hepatic encephalopathy and other metabolic encephalopathies, 315 decreases in neuronal function decrease energy requirements (García-Martinez and 316 Córdoba, 2011). We assessed brain metabolism using COx as a reliable index of 317 neuronal oxidative metabolism (Fidalgo et al., 2011). Our data revealed a decrease in 318 COx activity in the PH group compared to the SHAM group. Although this decreased 319 was small and non-significant, the lack of group difference could be due to the 320 321 metabolic efforts of portal hypertension brains during the early stages of hepatic 322 encephalopathy. Thus, other brain structures might be used to achieve the goals of 323 learning tasks.

324

325 Next, we used the COx values to form networks to explain the brain differences between the strategies. There were common structures in both principal networks (both 326 first components in the A-C groups and C-A groups), such as the parietal cortex, dorsal 327 328 striatum and accumbens. Traditionally, the striatum (STR) has been divided into dorsal and ventral subdivisions. The ventral subdivision contains the nucleus accumbens 329 (ACC), which consists of core and shell sub-regions (Zahm, 2000). The STR is known 330 331 to assist the navigational system by helping to define future actions that are appropriate for the current context (Mizumori et al., 2009). This role of the STR is reflected in the 332 features that STR head direction cells share with place cells; i.e., preferred orientations 333 shift by an amount that corresponds to shifts in the visual environment, and preferences 334 335 can shift randomly if the visual cues are altered sufficiently (Mizumori et al., 2000). Thus, STR neuron types exhibit partial reorganisation after changes in allocentric 336 context, and these findings are consistent with the fact that the STR evaluates the 337 338 consequences of behaviours in a context-dependent manner (Mizumori et al., 2009). In the rodent, the lateral part of the dorsal striatum receives input from frontal and parietal 339 cortices (Wise and Jones, 1977), which are involved in preparing the animal to execute 340 appropriate behavioural responses (Mizumori et al., 2009). Thus, although the learning 341 strategies we examined should have developed in different networks, they shared a 342

common foundation. Taken together, these data support the idea that, rather than 343 operating as completely segregated neural networks that process and store different 344 types of information as proposed by the multiple memory systems theory, these circuits 345 partially overlap and share at least some structures (Rubio et al., 2012). Accordingly, 346 accumulating recent experimental evidence suggests that the different memory systems 347 348 may interact under some conditions (Byrne et al., 2007; Fidalgo et al., 2012). The existence of a common set of structures involved in both strategies suggests a central 349 system that facilitates alternations between the requirements of the two different 350 351 strategies.

352

In the A-C group, component 1 showed the involvement of the prefrontal cortex 353 (prelimbic, infralimbic and cingulate cortices), which may be critical for the generation 354 355 of a new strategy (Ragozzino, 2007). Connections between different prefrontal cortical areas and the striatum have been documented (Rich and Shapiro, 2007), and these 356 connections may facilitate the execution of a response pattern that is appropriate for a 357 particular newly generated strategy. According to this supposition, the switch from 358 allocentric to cued-learning should reduce the relative influence of hippocampal 359 processing on on-going behaviour. and increase that of dorsal striatal processing. 360

361

Corbit and Balleine (2003) suggested that prelimbic neurons may facilitate 362 switching by integrating multiple task contingencies during goal-directed learning. 363 Furthermore, studies using dopamine receptor blockade or NMDA receptor blockade in 364 this region shown impairments in shifts between egocentric response and visual cue 365 strategies (Floresco et al., 2006; Ragozzino, 2002; Stefani et al., 2003). Together, these 366 findings indicate that the prelimbic area enables shifts in strategy across a variety of 367 stimulus dimensions; specifically, the prelimbic area may support the initial inhibition 368 of a previously learned strategy and/or the ability to generate a new strategy 369 (Ragozzino, 2007). 370

371

However, rats with infralimbic lesions have been shown to have difficulty forming new relevant choice patterns (Chudasama and Robbins, 2003). Supporting these findings, Rich and Shapiro (2009) revealed that the infralimbic cortex establishes new stable codes only after performance nears proficiency, which demonstrates the critical role of the infralimbic region in habit formation (Ragozzino, 2007).

377

The mammillary bodies form the principal functional route through which 378 spatially relevant information passes from the hippocampus to the anterior thalamic 379 nuclei (Neave et al., 1997). However, the role of the mammillary bodies of rats is not 380 limited to allocentric spatial processing. Several studies have shown that the 381 mammillary bodies are required for the rapid encoding of new spatial information 382 (Vann and Aggleton, 2004). Indeed, damage to the mammillary bodies causes encoding 383 deficits during the learning of new spatial tasks that result in suboptimal performance 384 (Neave et al., 1997). The appearance of the supramammillary nucleus could be due to 385

the projections from the mammillary bodies. This connection suggests the combined involvement of both the supramammillary and the mammillary bodies in spatial memory (Santín et al., 2003).

389

The absence of the amygdala and hippocampus in the first principal component can be understood to result from the cortically enhanced inhibition of the infralimbic cortex that is produced in amygdalar circuits (Quirk et al., 2003) and from the modulatory role that the prelimbic area has on the hippocampus (Rich and Shapiro, 2007).

395

The opposite effects were observed in the C-A groups; both the hippocampus 396 and amygdala appeared in the first component. The remarkable plasticity of the 397 hippocampal network has been suggested to underlie several neural processes, including 398 new acquisition of spatial memory (Goodrich-Hunsaker et al., 2008), matching to 399 position tasks (Méndez-López et al., 2010), and novelty exploration (Lever et al., 2006) 400 401 (via comparison of previously encountered information with novel information (Lisman 402 and Otmakhova, 2011)). The dentate gyrus is the ascending neuronal pathway to the hippocampus. Saab et al. (2009) showed that selective manipulation of dentate gyrus 403 404 neurons in a mouse line promotes exploratory behaviour and enhances hippocampal synaptic plasticity and the rapid acquisition of spatial memory. Recent reports suggest 405 that the dentate gyrus is strongly activated during the initial stages of spatial learning 406 (Poirier et al., 2008) in response to novel spatial conditions (Jenkins et al., 2004). 407 However, limitations in the application of PCA should be taken into account especially 408 409 those related to sample sizes and the subjects-to-item ratios (Osborne and Costello, 2004); this limitation highlights the exploratory nature of our findings. 410

411

To conclude, the present study showed facilitation in the acquisition of the 412 allocentric task when the cue-guided task had previously been applied and progressive 413 improvement in task performance when the switch between tasks occurred in the 414 opposite order. These data, along with the findings that the experimental groups shared 415 416 a common set of brain structures and that other structures were specifically involved in only one strategy, will be helpful in promoting the understanding of the specific 417 subregional contributions that depend on task demands and other factors, such as the 418 419 amount of training and type of strategy (Rubio et al., 2012).

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- 588

# 589 Figure Captions

Figure 1: Cytochrome oxidase (COx) histochemistry in the sampled regions in which
the squares used to take the measures were presented: cingulate (CG), prelimbic (PL)
and infralimbic (IL) cortex, parietal cortex (Par), dorsal striatum (STR), accumbens core
(Acc) and shell (Acs), dorsal hippocampus (CA1, CA3 and DG), basolateral (Bas) and
central (Cen) amygdala, supramammillar (Supra) and medial mammillary nucleus
(MM). Scale bar: 400µm.

Figure 2: Numbers of correct choices across training days for the A-C groups (A) and the C-A groups (B). Solid and dotted lines represent sham and hypertensive groups, respectively. \* p<0.05 the numbers of correct choices were compared across training days.

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# Figure 2







В

Table 1: Circuits of COx values activated in response to the experimental conditions as revealed by PCA. A: representative COx values for the A-C groups. B: representative COx values for the C-A groups.

	COMPONENT				
A-C GROUPS	1	2	3	4	
Prelimbic cortex	0.94	0.19	0.41	0.50	
Infralimbic cortex	0.93	0.30	0.41	0.59	
Cingulate cortex	0.94	0.22	0.41	0.56	
Parietal cortex	0.74	0.62	0.14	0.31	
Dorsal striatum	0.93	0.33	0.19	0.70	
Accumbens core	0.91	0.40	0.22	0.66	
Accumbens shell	0.93	-0.02	0.25	0.62	
CA1	0.60	0.20	0.27	0.97	
CA3	0.54	0.17	0.30	0.98	
Dentate gyrus	0.63	0.13	0.33	0.91	
Basolateral amygdala	0.49	0.90	0.93	0.33	
Central amygdala	0.23	-0.30	0.96	0.30	
Supramammillar	0.53	0.79	0.04	0.56	
Medial mammillary nucleus	0.10	0.93	0.02	0.07	

A

-	-
	-

	COMPONENT			
C-A GROUPS	1	2	3	
Prelimbic cortex	0.47	-0.13	0.96	
Infralimbic cortex	0.54	-0.14	0.94	
Cingulate cortex	0.55	-0.12	0.96	
Parietal cortex	0.91	0.03	0.61	
Dorsal striatum	0.91	0.09	0.75	
Accumbens core	0.89	-0.02	0.75	
Accumbens shell	0.84	-0.10	0.81	
CA1	0.68	0.59	0.72	
CA3	0.60	0.63	0.67	
Dentate gyrus	0.77	0.38	0.81	
Basolateral amygdala	0.79	0.28	0.37	
Central amygdala	0.74	0.54	0.22	
Supramammillar	0.57	0.15	0.28	
Medial mammillary	0.07	0.81	-0.21	
nucleus				

V

# DIFFERENTIAL CONTRIBUTION OF THE HIPPOCAMPUS IN TWO DIFFERENT DEMANDING TASKS AT

# EARLY STAGES OF HEPATIC ENCEPHALOPATHY

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# HIPPOCAMPAL CONTRIBUTION IN EARLY HEPATIC ENCEPHALOPATHY

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# ABSTRACT

The hippocampus has been established as a site of plasticity during the acquisition of spatial memory. The memory for spatial locations is impaired in patients who develop hepatic encephalopathy (HE). We wondered how the hippocampus can deal with different levels of difficulty in hippocampal dependent tasks in a type B model of early evolutive phases of HE induced by triple portal vein ligation. We used a one-trial object-place recognition task which implies making judgments about whether a stimulus was encountered before in that location and a reversal learning, which involves reward-guided behavior and decision making, was also performed testing spatial learning in the Morris water maze. Our behavioral results showed impairment in the acquisition of both tasks by the portal hypertension group compared to sham-operated group. To label brain areas related to these tasks, we marked the expression of c-Fos protein, showing high c-Fos immunoreactivity in CA1, CA3 and Ent of the PH group compared to the SHAM group in the object-place recognition task, and a decrease in c-Fos positive cells in the reversal task, in the CA1, CA3, DG, CG, PL and IL in the PH group compared to the SHAM group. Concluding, the study corroborated the pivotal role of the hippocampus in spatial memory and pointed out its differential contribution in each of the tasks, not only in terms of recruitment different brain regions but also in terms of impairment of its function at early stages of type B HE when the requirements of the tasks are higher.

#### INTRODUCTION

The hippocampus has been established as a site of plasticity during the acquisition of spatial memory and its modification upon retrieval (Li *et al.*, 2013). The existence of individual place cells which are active not only to a first approximation to the environment, but even to ongoing dimensions of the rat's purposive behavior (Ainge *et al.*, 2007) indicate that the hippocampus may mediate spatial and episodic dimensions of the animal's experience (Ainge *et al.*, 2007). The implication of the hippocampus in the spatial memory has been revealed through numerous studies in working and reference memory tasks in a radial-arm maze (Olton & Papas, 1979; Poirier *et al.*, 2008), in Morris water maze (Morris *et al.*, 1982; Méndez-López *et al.*, 2010; Arias *et al.*, 2012), and even it supports spontaneous associative object-location and object-context information (Save *et al.*, 1992; Dix & Aggleton, 1999), indicating its involvement in the integration of multimodal information (Rajji *et al.*, 2006; Langston & Wood, 2010).

However, there is a different involvement of the hippocampal subfields during these spatial memory tasks. The dentate gyrus (DG) plays important role in spatial navigation (Derrick, 2007; Kesner, 2007), pattern separation (Leutgeb *et al.*, 2007; Clelland *et al.*, 2009), context-related functions (Lee & Kesner, 2004; Hernandez-Rabaza *et al.*, 2008) and encoding of spatial information (Lee & Kesner, 2004). Projections between DG, CA3 and hippocampal field CA1 have been broadly demonstrated. CA1 is critical for consolidation and retrieval of spatial information (Rolls & Kesner, 2006; Vago *et al.*, 2007), whereas CA3 has been more related to the process of encoding (Hunsaker *et al.*, 2008). This region also enables rapid associations between spatial locations or places and objects (Rolls, 2013).

The memory for spatial locations can be operationalized by stimuli (e.g., objects, platforms) encountered by animals and the occasion (contextual or temporal) in which the event took place (Eacott & Norman, 2004; Babb & Crystal, 2006). Impairments to this memory have been shown in numerous neurodegenerative diseases such as Korsakoff's syndrome (Kessels & Kopelman, 2012), Alzheimer's disease and patients who develop hepatic encephalopathy (HE) (Felipo *et al.*, 2012). HE can arise across

a spectrum of clinical severity, encompassing subtle loss of cognitive function, lethargy, depressed consciousness and coma (Tranah *et al.*, 2013). As the disease progresses, intellectual abilities deteriorate and patients show neuropsychological disturbances that affect orientation (Weissenborn *et al.*, 2003). In liver cirrhosis, between 60% and 80% of patients are estimated to suffer with minimal hepatic encephalopathy (Ortiz *et al.*, 2005). The functional neuroimaging of the brain in these patients shows alterations in the hippocampus and related regions that seems to be responsible for the clinical characteristics such as deficits in attention and spatial orientation (Weissenborn *et al.*, 2004).

In the current study, we wondered how the hippocampus can deal with different levels of difficulty in hippocampal dependent tasks in a model of early evolutive phases of HE. To address this issue, we used a simple spatial task where the animals have to recognize that an object they had experienced before had changed location (one-trial object-place recognition task), which implies making judgments about whether a stimulus was encountered before in that location. A more complex task assessing the ability to associate a reinforcement with previously neutral stimuli in the environment and to subsequently change this association (reversal learning), which, in turn, involves reward-guided behaviour and decision making, has also been used (Rudebeck & Murray, 2008).

To label the hippocampal regions and other brain areas, we marked the expression of c-Fos protein. The study of c-Fos can provide information about neuronal plasticity required for memory processes (Kaczmarek, 1993). The expression of c-Fos protein is induced after learning and is indicative of a change in neuronal activity (Kaczmarek, 1993; Radulovic *et al.*, 1998; Santín *et al.*, 2003). This technique allows the simultaneous examination of the activity of populations of neurons in multiple brain regions (Wan *et al.*, 1999). Because the hippocampus does not act as an isolated structure in these spatial learning tasks, other cerebral regions involved in them were studied. These were the prefrontal cortex and adjacent cortical areas within the temporal lobe, such as the entorhinal cortex and perirhinal cortex, based on the evidence that either ablation, electrophysiological lesions or dysfunctions suggest

that these structures might be involved in these spatial tasks (Zhu *et al.*, 1995; Schoenbaum *et al.*, 2003; Stalnaker *et al.*, 2007; Méndez *et al.*, 2010).

# MATERIAL AND METHODS

# 1. Animals

We used 30 male Wistar rats from the vivarium of University of Oviedo, weighing 250-270 gm at the beginning of the study. Rats were housed in groups of three to five, three weeks prior to the beginning of the experiments and maintained under standard laboratory conditions (20-22 °C, 65-70% relative humidity and a 12h light/dark cycle). Food and water were available ad libitum throughout the course of all experiments and sessions were performed during the light phase, between 9:00 a.m. and 13:00 p.m. All procedures were carried out according to the European Parliament and the Council of the European Union 2010/63/UE and were approved by the Oviedo University committee for animal studies. The animals were randomly distributed into two groups: portal hypertension (PH group, n = 14) and sham-operated (SHAM group, n = 16). Then, animals were distributed into a reversal task (SHAM group, n=10 and PH group, n=9) and a one-trial object-place recognition task (SHAM group, n=6 and PH group, n=5).

# 2. Procurement of experimental models

The experimental models that required surgery were anesthetized by i.m. injection of ketamine (100mg/kg) and xylazine (12 mg/kg). With regard to postsurgical care, rats were kept near a heat source (10-15 minutes) until they regained consciousness to prevent postoperative hypothermia. They were then introduced into individual polycarbonate cages for 15 days.

# 2.1. Portal hypertension

Portal hypertension was produced by triple stenosing ligation (Diéguez *et al.*, 2002). A midline abdominal incision was performed and a section of the intestinal loops was gently shifted to the left and covered with saline-moistened gauze. The portal vein was isolated and three ligatures, fixed on a sylactic

guide, were performed in its superior, middle and inferior portions. The stenoses were calibrated by simultaneous ligation (4-0 silk) around the portal vein and a 20-gauge blunt-tipped needle. The abdominal incision was closed in two layers with catgut and 2-0 silk. The postoperative period started immediately after the intervention and lasted until the behavioural evaluation 45 days later.

#### 2.2. Sham-operated

A bilateral subcostal laparotomy with prolongation to the xyphoid apophysis, followed by isolation of the portal vein, was performed. The operative field was irrigated with saline solution during the intervention, like the portal hypertension ones. Finally, the laparotomics were closed by continuous suture on the two layers with catgut and 2-0 silk. The postoperative period started immediately after the intervention and lasted until the behavioural evaluation 45 days later.

# 2.3. Portal vein pressure measurement

In order to confirm the portal hypertension, splenic pulp pressure, an indirect measurement of portal pressure (PP), was measured by the method described by Aller *et al.* (2006) in 13 subjects randomly selected from the two groups (PH group, n = 7 and SHAM group, n = 6).

# 3. Apparatus

Object exploration was assessed in an square open field (100 x 100 x 40 (height) cm) with an open roof, placed in a rectangular room with several distal cues and a proximal cue, a small circular white sticker located on the east wall of the open field. The open field was made of grey fibreglass and two diffuse white lights, placed at sides of the room, provided an illumination density of approximately 50 lux at the centre of the open field. Two ventilation fans produced background noise at the east and west sides of the room. A video camera, connected to a video recorder, was mounted above the field to store sample and test trials on video files for off-line analysis. After each trial, the apparatus was thoroughly cleaned with a 75% ethanol solution. Four different objects were constructed from combinations of plastic pieces, varying in colour and size from 13 x 13 x 16 cm to 16 x 16 x 19 cm. All

objects had sufficient weight to ensure that the rats could not displace them and were counterbalanced within each group to avoid possible effects of preference. After each trial, objects were thoroughly cleaned with a 75% ethanol solution in order to remove odour cues.

#### 3.1. Experiment 1: Object-Place recognition task

# 3.1.1. Habituation

Prior to testing, all the animals were handled for four consecutive days during 10 min/day and habituated to the empty arena for three consecutive days. On the first day of habituation, groups of between three and five rats were placed together in the open field and allowed to explore it for 6 min without objects. On the following day, rats were given another 6-min session of exploration but this time, only one rat at a time was placed in the open field. On the third and last habituation day, rats received three daily sessions of 6 min duration with 20 min of inter-trial interval with the presence of the two habituation objects placed opposite to each other, 10 cm, on two of the walls of the open field. This time, rats were also individually brought to the testing room and placed in the open field facing the centre of the wall where no objects were located. Habituation objects were not used in the assessment of memory for object place recognition.

# 3.1.2. Test phase

In the assessment for one-trial object-place recognition, these groups also received a sample and a test trial, both of 4 min duration, with an inter-trial interval of 50 min. In the sample trial condition, two copies of the same object were present. Nonetheless, in the test trial, one of the two copies was placed in a different corner of the open field. This task is used to determine whether rats can detect a mismatch between the past and present location of a familiar object.

#### 3.2. Experiment 2: Reversal learning

Reversal learning was evaluated in the MWM (Morris, 1984). The MWM was made of fibreglass and measured 150 cm in diameter with a wall 40 cm high. The water level was 30 cm and its temperature was 22±2°C. The platform used corresponded to a cylinder 10 cm in diameter and 28 cm high, of which 2 cm were below the surface of the water. The MWM was in the centre of a 16-m2 lit room (two halogen lamps of 4000 lx) and was surrounded by panels on which the spatial clues were placed. The pool was divided into four imaginary quadrants (quadrant A, B, C and D, or right, left, across and correct, respectively). The behaviour of the animal in the MWM was recorded by a video camera (Sony V88E) connected to a computer equipped with an EthoVision Pro programme.

The learning protocol consisted in the first day being destined for habituation of animals to the task, in which the animals carried out four trials with a visible platform, which juts out 4 cm from the water and is located in the centre of the pool. During the following 6 days, the animals were subjected to four acquisition trials in which the platform was hidden in the centre of quadrant D. After this training, the platform was moved to the opposite quadrant, C.

In each trial, the rats were allowed to swim to locate the platform, or were placed on it after 60 s, where they remained for 15 s before they were placed in the cage for 30 s. Latency to find the platform, defined as escape latency, were recorded during habituation and learning sessions. Daily, at the end of each learning session, rats were given a 25-second probe test, and selective quadrant search was evaluated by measuring the percentage of time spent in each quadrant of the pool. After this training, the rats were tested for reversal learning, for one day, using the same procedure. The animals were given four acquisition trials, where the hidden platform was located in the quadrant opposite from its previous location, quadrant C. As before, rats were given a probe test that was conducted at the end of the session.

#### 4. c-Fos immunocytochemistry

To determine c-Fos activity, subjects that performed the reversal learning task (SHAM group n=6, PH group n=6) and object-place recognition task (SHAM group n=6, PH group n=5) were processed ninety minutes after the end of both tasks. The animals were decapitated, brains were removed intact,

frozen rapidly in isopentane (Sigma-Aldrich, Germany), and stored at -40 °C. Coronal sections (30  $\mu$ m) of the brain were cut at -20 °C in a cryostat (Leica CM1900, Germany). The sections were mounted on gelatinized slides, which were post-fixed in buffered 4% paraformaldehyde (0.1M, pH 7.4) for 30 min and rinsed in phosphate-buffered saline (PBS) (0.01 M, pH 7.4). They were subsequently incubated for 15 min with 3% hydrogen peroxidase in PBS to remove endogenous peroxidase activity and were washed twice in PBS. After blocking with PBS solution containing 10% Triton X-100 (PBS-T) (Sigma, USA) and 3% bovine serum albumin for 30 min, sections were incubated with a rabbit polyclonal anti-c-Fos solution (1:10,000) (Santa Cruz Biotech, sc-52, USA) diluted in PBS-T for 24 h at 4° C in a humid chamber. Slides were then washed three times with PBS, and incubated in a goat anti-rabbit biotinylated IgG secondary antibody (Pierce, USA; diluted 1:200 in incubating solution) for 2 h at room temperature. They were washed three times in PBS and were reacted with avidin-biotin peroxidase complex (Vectastain ABC Ultrasensitive Elite Kit, Pierce) for 1 h. After two washes in PBS, the reaction was visualized treating the sections for about 3 min in a commercial nickel-cobalt intensified diaminobenzidine kit (Pierce). The reaction was finalized by washing the sections twice in PBS. Slides were then dehydrated through a series of graded alcohols, cleared with xylene and coverslipped with Entellan (Merck, USA) for microscopic observation. All immunocytochemistry procedures included sections that served as controls where the primary antibody was not added. Slides containing sections of a specific brain region were stained at the same time. Slides were coded so the investigator who performed the entire analysis would have no knowledge of the treatment of the individual subjects.

The number of c-Fos positive nuclei was quantified in two alternate sections 30 µm apart containing prelimbic (PL), infralimbic (IL) and cingulate (CG) cortex, septal hippocampus: cornu ammonis 1 (CA1), cornu ammonis 3 (CA3) and dentate gyrus (DG), the perirhinal cortex (Prh) and the entorhinal cortex (Ent). Coronal sections of these brain regions were located using the stereotaxic atlas of Paxinos and Watson (2005). Distance in mm of brain regions counted from bregma was: +3.0 mm for PL, IL and
CG cortex, -3.24 mm for septal hippocampus, -3.96 mm Prh and Ent. Quantification was done by systematically sampling each of the regions selected using counting frames superimposed over the region. Sizes of the counting frames range varied from 18000 µm2 (DG) to 121500 µm2 (CA1). The total area sampled by these frames per region in each section was: 214200 µm2 in PL, IL and CG cortex, 121500 µm2 in septal CA1, 58500 µm2 in septal CA3 and 18000 µm2 in septal DG, 40500 µm2 in Prh, Ent. Cell counts were conducted using a microscope (Olympus BH-2, Japan) coupled to an analogue camera (Sony XC-77, Japan) and a TV monitor (300x total magnification). c-Fos positive nuclei were defined based on homogenous gray-black stained elements with a well-defined border. Finally, the mean count of three sections was calculated for each subject and region.

#### STATISTICAL ANALYSIS

#### 1. Object-Place recognition data

Exploration of an object was defined as directing the nose towards the object at a distance of less than 2 cm and actively exploring the object. Sitting and turning around on the object were not considered exploratory behaviour. For each rat, the time spent exploring the objects in both sample sessions and the test session were scored off-line from video files using stopwatches. Two researchers blind to the groups coded each video. A number of measurements were taken in order to examine exploration and discrimination. The proportion of exploration in the sample and test phases was considered as e1 and e2 respectively, and it was calculated by dividing the exploration of all objects in the phase divided by the total time available for exploration. Two measures of discrimination were taken: discrimination index (d1) and discrimination ratio (d2) (Ennaceur & Delacour, 1988). The discrimination index, d1, consisted of the difference in time spent exploring the two objects in the test phase, the object that changed position minus the object that remained in the same position. The discrimination ratio, d2, was also calculated in the test phase. This is the difference in the exploration time of the two objects divided by the total time spent exploring the objects, that is, d1/ time spent 10 exploring both objects. Exploration in the sample and the test phase (e1 and e2) was compared with a paired t-test. In addition, t-tests were performed on d1 and d2 to determine whether the mean scores differed from zero (chance performance indicating equal exploration of the two objects). This would indicate that the rats could successfully discriminate between the objects.

#### 2. Reversal learning data

For the initial hidden platform test, the latencies to reach the hidden platform for each day (average of four trials) were analyzed using two-way repeated measures ANOVA (session x group). When a significant session effect was found, a further repeated measures ANOVA was conducted for each group. The time spent in each of the four quadrants during the probe test was analyzed separately for each group and day using a one-way ANOVA design, and Tukey's test was applied as a post hoc test. All data were analyzed in the Sigma-Stat 3.2 program (Systat, Richmond, USA) and were expressed as means $\pm$ SEM. The results were considered as statistically significant if *P* < 0.05.

#### 3. c-Fos data

For statistical analysis, cell counts from the three selected sections for a given brain region in each animal were averaged, and the mean was used for statistical analysis. Student *t*-test for independent samples was used to assess whether the number of c-Fos positive nuclei was different between experimental groups in each condition (reversal learning and object-place recognition) in each brain region. Moreover, a non-parametric Mann-Whitney *U* test (*H*) for independent samples was carried out when normality or equal group variances failed.

#### RESULTS

#### 1. Portal vein pressure measurement

Portal pressure increases in rats with PH, showing mean values of 13.784 ± 1.45 compared to the SHAM group, where portal pressure values were 6.921 ± 0.52 ( $t_{11}$  = -4.156, P = 0.002).

#### 2. Behavioural results

#### 2.1. Experiment 1: Object-Place Recognition

The results showed that whereas SHAM rats were able to discriminate between an object that had remained in a constant position and one that had changed position, the PH group was not. Significant group differences were found in d1 and d2 (d1: H = 15.000,  $n_1 = 5$ ,  $n_2 = 6$ , P = 0.004; d2:  $t_9 = -$ 3.681, P = 0.005; Fig.1A). There was no significant group difference in the exploration index (e1:  $t_9 =$ 0.591, P = 0.569; e2:  $t_9 = 1.104$ , P = 0.298; Fig.1B).

#### 2.2. Experiment 2: Reversal learning

There were no differences between groups in the habituation session. They show similar latencies to reach the visible platform (PH: 39.5 ± 3.807 and SHAM: 38.475 ± 3.184)  $t_{17}$ = -0.208, *P* = 0.838). Regarding the spatial learning task, the two-way repeated measures ANOVA showed no differences in escape latencies between the PH and SHAM groups ( $F_{(1,17)}$  = 1.074, *P* = 0.315). Test day or session had a significant effect ( $F_{(5,85)}$  = 14.398, *P* < 0.001). No interaction between factors (session and Group) was found ( $F_{(5,85)}$  = 1.035, *P* = 0.403). The repeated measures ANOVA that was conducted for each group revealed that both SHAM and PH groups improve over sessions (SHAM:  $F_{(5,45)}$  = 13.292, *P* < 0.001 and PH:  $F_{(5,40)}$  = 4.297, *P* = 0.003). The SHAM group presented shorter latencies to platform since the second session (2, 3, 4, 5 and 6) compared to the first (*P* < 0.001). However, the PH group improves in the last two sessions (5 and 6), where we can see a reduction in the escape latencies compared to the first one (*P* ≤ 0.041) (Fig. 2). In the reversal session, both groups presented a similar performance ( $t_{17}$  = 1.536, *P* = 0.143) (Fig. 2).

In the probe test, the PH group learned the location of the platform on day 4. On this day, the PH group spent more time in the quadrant where the platform was located, quadrant D, than in the other quadrants ( $F_{(3,32)}$  = 13.535, P < 0.001). In days 5 and 6, this preference was also maintained ( $F_{(3,32)}$  = 27.411, P < 0.001 and  $F_{(3,32)}$  = 45.331, P < 0.001, respectively). In the SHAM group, spatial learning was demonstrated from day 2 ( $F_{(3,36)}$  = 8.473, P < 0.001). Tukey's test showed that quadrant D was preferred 12

by the SHAM rats over quadrants A, B and C (P < 0.05). The preference persisted during day 3 ( $F_{(3,36)} = 20.180$ , P < 0.001), day 4 ( $F_{(3,36)} = 35.420$ , P < 0.001), day 5 ( $F_{(3,36)} = 12.775$ , P < 0.001) and day 6 ( $F_{(3,36)} = 58.593$ , P < 0.001) (Fig.3).

As it was explained above, there were no differences between the PH and SHAM groups in the latencies to reach the hidden platform during the acquisition trials of the reversal test (Fig. 2). However, the groups differed in the probe test (Fig. 3). Regarding the SHAM group, the time of permanence in the four quadrants of the pool differed ( $F_{(3,36)} = 58.593$ , P < 0.001). The SHAM group preferred the new location of the platform position (quadrant C) versus the other quadrants, A, B and D ( $P \le 0.017$ ). However, the PH group did not learn the new location of the platform. In this group, the time of permanence in the quadrants of the pool also differed ( $F_{(3,32)} = 5.973$ , P = 0.002), but PH group preferred quadrant C over B (P = 0.003) and A (P = 0.008), but not D (P = 0.151) (Fig. 3).

#### 3. c-Fos results

#### 3.1. Experiment 1: Object-Place Recognition

There were group differences in the CA1 ( $t_9 = 2.323$ , P = 0.045), CA3 ( $t_9 = 2.473$ , P = 0.035) and Ent ( $t_9 = 3.148$ , P = 0.012). In these regions, the number of c-Fos positive nuclei was higher in the PH group than in the SHAM group. No group differences were found in PL ( $t_9 = 1.046$ , P = 0.323), IL ( $t_9 = 0.947$ , P = 0.368), CG ( $t_9 = 0.481$ , P = 0.642), DG ( $t_9 = 0.991$ , P = 0.348) or Prh ( $t_9 = 1.453$ , P = 0.180; Fig.4A).

#### 3.2. Experiment 2: Reversal learning

The number of c-Fos positive nuclei was different in the two behavioural groups: lower in the PH group in the PL ( $t_{10}$  = -2.918, P= 0.015), IL ( $t_{10}$ = -3.280, P = 0.008) and CG (H = 25.500,  $n_1$  = 6,  $n_2$  = 6, P = 0.026); CA1 subfield of the septal hippocampus (H = 45.000,  $n_1$ =5,  $n_2$ =6, P = 0.004), septal CA3 ( $t_{10}$  = -6.124, P < 0.001) and septal DG ( $t_{10}$ = -5.426, P < 0.001; Fig.4B).

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#### DISCUSSION

Our work revealed the presence of cognitive alterations in the processes of object-place recognition and reversal learning, and for the first time, these data demonstrated the differential contribution of hippocampal subfields and extrahippocampal structures associated with these spatial memories in a rat model of type B hepatic encephalopathy induced by triple portal vein ligation. We used different requirements of two spatial tasks, an object-place recognition task in which only involves the judgment of where an object was previously encountered, and a more complex task such as reversal learning, which implies contextual information, temporally extended behaviour, in which prospective and retrospective behaviour are implicit, and the integration of the journeys with reward expectancy to produce path selection (Young & Shapiro, 2011).

Experiment 1 showed that, unlike the SHAM group animals, the animals in the PH group were unable to remember the change of position of the displaced object. To solve the object-place recognition task, the animals must combine visual and spatial information and recognize the topographical location of the objects (Goodrich-Hunsaker *et al.*, 2005). The behavioural results indicated that this spatial memory was impaired in the PH animals, as they did not discriminate the new location of the object. It is important to note that the decreased discrimination ratio observed for the PH rats was not a consequence of decreased exploration, because the PH group exhibited no differences in total times of exploration when compared to the SHAM group.

Such judgment is supported by several regions. Traditionally, ablation or electrophysiological evidence have suggested that hippocampal formation, entorhinal cortex and adjacent cortical areas within the temporal lobe such as the perirhinal cortex might be involved in recognition memory (Zhu *et al.*, 1995). Detailed studies with bilateral hippocampal lesions revealed significantly impaired memory for object-place recognition, whereas lesions in the perirhinal cortex had no effect on it (Barker *et al.*, 2007; Barker & Warburton, 2011). These data highlighted the role of both structures in terms of the 14

type of information required for recognition memory judgment, with the hippocampus being more involved in recognition memory for places (Barker & Warburton, 2011). Furthermore, these lesion results were supported by other studies related to the recognition of familiar spatial arrangements in which significant increases in Fos levels in CA1 and significant decreases in the dentate gyrus were found (Aggleton *et al.*, 2012).

Regarding the entorhinal cortex, which is the major cortical input to the hippocampus (Warburton and Brown, 2010), its involvement in item and contextual novelty detection through object recognition paradigms has been broadly corroborated (Hunsaker *et al.*, 2013). Therefore, the involvement of the hippocampus and entorhinal cortex in one-trial object-place recognition are expected, as this is in agreement with previous studies reflecting the activation of these structures in the performance of object-place recognition tasks.

Experiment 2 revealed the inability of the PH group to remember the new location of the platform during the probe test of the reversal task, compared to SHAM group. Although portal hypertension animals take one day longer to reach the behavioral criterion during the performance of the spatial memory task, this delay is minimal and both groups acquire the spatial reference memory task. In fact, the portal hypertension animals show an excellent performance of the probe test that is maintained during the last three training days. This revealed a good consolidation and maintenance of the spatial information by this experimental group. However, when performing the reversal task, the PH group showed preference for the previous platform position, in contrast to SHAM group, which rapidly learned the new location. In this experiment, the demands to solve the task are higher than in Experiment 1, as the task involves complex processes such as the formation of associative paths, the maintenance in memory of sequences of goal-directed actions, withholding previously rewarded responses and producing previously unrewarded ones. Consequently, as the complexity of the task increases, the number of brain regions involved is higher.

The pivotal role of the hippocampus in reversal performance has been demonstrated when partial lesions of the hippocampus impair the task, revealing it as a component of a memory system necessary for the flexible use of relations between stimuli to guide behaviour (Eichenbaum & Cohen, 2001) and its implication in the ability to inhibit responses that the animal is predisposed to make (Kimble, 1968). Moreover, different subfields of the hippocampus have distinct functions in this learning. Whereas the dentate gyrus is more important for encoding spatial information, CA3 and especially CA1 are more critical for consolidation or retrieval processes (Rolls & Kesner, 2006; Vago *et al.*, 2007).

This strategy switching is impaired in rats with lesions to prelimbic-infralimbic cortex (Young & Shapiro, 2009). The relationship between both structures has also been reported by local field potential studies, suggesting the potential for mutual information exchange (Buzsáki & Draguhn, 2004), and revealing coherence between the hippocampus and rat PL-IL activity. This relationship has also been observed to correlate with spatial behaviour (Hyman *et al.*, 2005).

Taking together, the results of both experiments highlighted impairment in the performance of both hippocampal-dependent tasks of animals at early stages of HE. But more interesting data were revealed when we examined c-Fos results, which showed high c-Fos immunoreactivity in CA1, CA3 and entorhinal cortex of the PH group compared to the SHAM group in the object-place recognition task. However, the opposite pattern was found in the reversal learning task, where a decrease in c-Fos positive cells appeared in the CA1, CA3, DG, CG, PL and IL in the PH group compared to the SHAM group. Patients with minimal HE due to liver cirrhosis show neuropsychological disturbances that affect to spatial orientation (Weissenborn *et al.*, 2003). The functional neuroimaging of the brain in these patients shows alterations in the hippocampus and related regions that seems to be responsible for these deficits (Weissenborn *et al.*, 2004). Animal models of HE show slightly alterations of spatial memory at early stages of the disease and a clear impairment when macronodular cirrhosis progress 16 (Méndez *et al.*, 2008a; Arias *et al.*, 2012). It has been also demonstrated that spatial working memory is also affected in a model of liver cirrhosis and this deficit was related with less c-Fos protein expression in the hippocampus (Méndez *et al.*, 2008b).

In light of the results of the present study of the animal model of minimal HE, a differential contribution of the hippocampus has been revealed, depending on the requirement of the task. When the requirement is low, such as in the object-place recognition task, in which the structures recruited to perform the task form a "short neural circuit", increases in c-Fos positive nuclei have been normally found in the animals that perform the task correctly (SHAM group). However, when the SHAM group is compared to a group that has not reach the behavioural criterion (PH group), but is still trying to perform the task successfully, the number of c-Fos positive nuclei of the latter group is increased compared to the SHAM group. This reflects the need to recruit hippocampal subfields, such as CA1 and CA3, and entorhinal cortex in the acquisition of object-place recognition task (Aggleton *et al.*, 2012).

Conversely, an increase in the difficulty of a spatial task, reflected in reversal learning, is revealed by a recruitment of additional structures, forming a "long neural circuit". The entire subfields of the hippocampus and prefrontal cortex are needed to perform the task, which is shown in the SHAM group. But a deficit both in the acquisition of the task and the level of gene expression was found in the PH group compared to the SHAM group, as previously shown by Méndez *et al.* (2010) in cirrhotic rats.

At the same time, it is well-known that the hippocampus and prelimbic cortex are connected, and CA1 is the major efferent of prelimbic cortex projections (Jay *et al.*, 1989; 1991). Normal neurotransmission at hippocampal to prefrontal cortex synapses is AMPA glutamate receptordependent (Jay *et al.*, 1992; Gigg *et al.*, 1994). Different subfields of the hippocampus have distinct memory functions supported by the trysinaptic pathway, which is glutamate-dependent and distinguished by its one-way information flow, from entorhinal cortex to DG, to CA3, to CA1, and onto

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the subiculum (Amaral & Witter, 1989; O'Reilly & McClelland, 1994; McClelland *et al.*, 1995; Eichenbaum, 2000; Witter *et al.*, 2000).

Seamans *et al.* (1998) suggest that dopamine can modulate the information flow between hippocampus and the prefrontal cortex. Both neurotransmission pathways are affected under HE. AMPA receptor densities were significantly increased in the cortex and decreased in the hippocampus (Oja *et al.*, 1996), together with the fact that a decrease in dopaminergic tone in HE has been reported (Albrecht & Jones, 1999). So, this dysfunction in the hippocampal-prefrontal pathway in the PH group is probably reflected not only in the inability to reach the behavioural criterion, but even in a lower c-Fos expression than in the SHAM group.

Concluding, the study corroborated the pivotal role of the hippocampus in spatial memory, highlighting three main findings: (i) Considerable variation in the expression of c-Fos in hippocampal subfields to reach and maintain distinctive levels of difficulty in spatial memory. (ii) Different recruitment of structures related to hippocampus based on the different requirements of the tasks, revealing short and long neural circuits (iii) The existence of brain damage at early stages of a model of type B hepatic encephalopathy, leading to behaviour and memory impairment through a hippocampal affectation.

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#### **FIGURE LEGENDS**

**Figure 1.** Performance of the experimental groups in the object-place recognition task. **A**, Discrimination ratios (d1 and d2) for SHAM and PH groups. **B**, Exploration index (e1 and e2) for SHAM and PH groups, the asterisks indicate group differences (\*P < 0.05).

**Figure 2.** Escape latencies (mean  $\pm$  SEM) of the sham-operated group (SHAM) and the portal hypertension group (PH) during the training sessions of the spatial learning (day 1- day 6) and reversal learning (day 7). There is a reduction of escape latencies with respect to day 1 (*P* < 0.05, \$ SHAM group and \* PH group).

**Figure 3.** Probe test results from the spatial reversal task (mean  $\pm$  SEM), showing the percentage time spent in each quadrant of the Morris water maze by both experimental groups (SHAM and PH groups) during the spatial learning (day 1- day 6) and reversal learning (day 7). \* Significant difference in the time spent between quadrants (*P* < 0.05). The target or reinforced quadrant during the spatial learning was D (day 1- day 6). However, during reversal learning, C was reinforced (day 7).

**Figure 4.** Number of c-Fos immunoreactive cells for the two different groups (SHAM and PH) in the different brain regions: CG, PL, IL, CA1, CA3, GD and Ent. **A**, Fos counts in the animals who performed the object-place recognition task. **B**, represents the number of c-Fos positive nuclei in the animals under reversal task condition. Data are shown as mean  $\pm$  SEM and significant differences between trained groups are represented as \*(*P* < 0.05).

Figure 1



В

**EXPLORATION INDEX e1** 

**EXPLORATION INDEX e2** 









## Figure 3

### SHAM GROUP REVERSAL







## **REVERSAL LEARNING**



VI

# Main target of minimal encephalopathy: morphophysiological, inflammatory and metabolic view

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#### ABSTRACT

Although often not considered clinically relevant and, therefore, not diagnosed or treated, minimal hepatic encephalopathy (MHE) has been shown to affect daily functioning, quality of life, driving and overall mortality. To discover early impairments involved in MHE, we studied one of its precipitating factors, portal hypertension. Rats were trained on a stimulus-response task using the Morris water maze. Two groups of animals were used: a SHAM (sham-operated) group (n=13) and a portal hypertension (PH) group (n=13). The triple portal vein ligation method was used to create an animal model of the early developmental phase of HE. The metabolic activity of the brains was studied with cytochrome c-oxidase histochemistry (C.O.). Neuronal nuclear volume was assessed by nucleator probe; the number of glial fibrillary acidic protein-immunoreactive astrocytes (GFAP-IR) and proinflammatory mediators were measured. The behavioural results revealed that the PH group was not able to reach the behavioural criterion, in contrast to the SHAM group. The metabolic brain consumption revealed decreased C.O. activity in the ventral striatum. The PH group showed decreased GFAP-IR and an increase in the tumour necrotic factor- $\alpha$  (TNF- $\alpha$ ). The PH group showed decreased in neuronal nuclear volume in dorsal striatum and increased in ventral striatum. For the first time, a relationship has been established between inflammation, astrocytic and neural damage, and brain metabolism impairment in a model of MHE. Disruption of the striatum and related structures was highlighted as the main target in early stages of HE. Finally, a simple task is presented to evaluate the subtle impairments found in the clinic, which could provide fresh insights into the development of new tools for the assessment of MHE.

**Keywords:** minimal hepatic encephalopathy, stimulus-response task, cytochrome c-oxidase, inflammation, GFAP-IR, nucleator, striatum.

#### Introduction

Hepatic encephalopathy (HE) is a neuropsychiatric disorder that involves alterations in cerebral function resulting from liver failure, but HE is not an isolated syndrome. Liver disease (acute or chronic liver failure, as in cirrhosis), portal hypertension, and hyperammonemia appear to be the main contributing factors that lead to different cerebral and neurological alterations and to the occurrence of HE (Arias et al. 2013).

Patients with clinical HE show various neuropsychiatric symptoms, including impairments in the sleep-wake cycle, cognitive and intellectual function, and motor activity and coordination, as well as alterations in personality and consciousness that can get progressively worse. Before showing these symptoms, patients develop minimal HE (MHE), which can advance to clinical HE with the progressive deterioration of neurological function (Felipo 2013). By definition, it has no obvious clinical manifestation and is characterized by neurocognitive impairments in attention, vigilance and integrative function. Although often not considered clinically relevant and, therefore, not diagnosed or treated, MHE has been shown to affect daily functioning, quality of life, driving and overall mortality. The diagnosis of MHE has traditionally been achieved through neuropsychological examination, psychometric tests, or the newer critical flicker frequency test (Stinton and Jayakumar 2013).

Several animal models have been developed to study the pathogenic mechanism that may be responsible for HE symptoms (Butterworth et al. 2009; Arias et al. 2012). Difficulties in learning diverse types of tasks have been shown in these experimental models, such as classical conditioning (Aguilar et al. 2000), conditional discrimination (Erceg et al. 2005; Monfort et al. 2007), reference (Arias et al. 2012) and working memory (Méndez et al. 2009).

One way to assess the understanding of this pathology is to try to isolate each of the components. In fact, the presence of portal hypertension and ascites is frequently encountered in HE patients, followed by surgical portal decompression as a treatment (Butterworth 1994). Thus, a model of triple portal vein ligation, which reproduces portal hypertension in the early stages of development, can be studied to detect the early impairments present in MHE.

Another inconvenience when trying to study impairments in early stages of HE (MHE) is the existence of compensation mechanisms. For example, in the portal hypertension model, as in clinical MHE, portosystemic collaterals develop as a consequence of high pressure in the portal vein and ameliorate the increased resistance (Miñano and García-Tsao 2010; Méndez-López et al. 2007). Generally, portal hypertension can be accompanied by esophageal varices. Most studies have shown that platelet count and spleen diameters are directly or indirectly linked to the presence of esophageal varices (Baig et al. 2008). On the other hand, metabolic brain activity changes measured by cytochrome coxidase have been related to slight differences in the acquisition of reference memory by portal hypertension animals (Arias et al. 2012). Moreover, the presence of inflammatory factors such as chemokines could suggest that inflammation plays an important role in the pathogenesis of portal hypertensive encephalopathy in rats (Merino et al. 2011), which is in line with correlations found between

serum levels of pro-inflammatory interleukins and physically-related general health and mental abilities in the pathogenesis of patients with MHE (Wunsch et al. 2013).

However, less is known about the integrative role of all these factors in MHE. The aims of the present study are to: (i) Determine whether the acquisition of the stimulus-response (S-R) habit task could be an assessment tool for learning impairments in the MHE model; (ii) Measure portal hypertension and organ weight as an index related to MHE; (iii) Verify a hypothetic inflammatory etiopathogeny of MHE through the study of some mediators involved in an inflammatory response in the main brain areas related to the S-R task; and (iv) Try to link these learning impairments and brain inflammatory processes with morphologic changes in glial and neuronal cells and brain metabolic activity throughout the limbic system.

#### Material and methods

#### Subjects

We used 26 male *Wistar* rats from the vivarium of the University of Oviedo that weighed 290-330 gm at the beginning of the study. Rats were housed in groups of three to five, three weeks prior to the beginning of the experiments and maintained under standard laboratory conditions (20-22 °C, 65-70% relative humidity and a 12h light/dark cycle). Food and water were available ad libitum throughout all of the experiments, and sessions were performed during the light phase, between 9:00 a.m. and 13:00 p.m. All procedures were carried out according to the European Parliament and the Council of the European Union 2010/63/UE, and approved by the Oviedo University committee for animal studies. The animals were randomly distributed into two groups: portal hypertension group (n=13) and sham-operated group (n=13). Their body weight, liver weight, adrenal weight, kidney weight, spleen weight and testis weight were determined.

#### Procurement of experimental models

The experimental models that required surgery were anesthetized by i.m. injection of ketamine (100mg/kg) and xylacine (12 mg/kg). With regard to postsurgical care, the rats were maintained close to a source of heat until they recovered consciousness (10-15 min) to avoid postoperative hypothermia. They were then introduced into individual polycarbonate cages for 15 days and subsequently grouped in cages of four animals each until their behavioural evaluation.

#### Portal hypertension

A midline abdominal incision was performed, and a section of the intestinal loop was gently shifted to the left and covered with saline-moistened gauze. The portal vein was isolated, and three ligatures, fixed on a sylactic guide, were performed in its superior, middle and inferior portions. The stenoses were calibrated by simultaneous ligation (4-0 silk) around the portal vein and a 20-gauge blunt-tipped needle. The abdominal incision was closed in two layers with vicryl and 2-0 silk. The

postoperative period started immediately after the intervention and lasted until the behavioural evaluation 45 days later.

#### Sham-operated

A bilateral subcostal laparotomy with prolongation to the xyphoid apophysis, followed by isolation of the portal vein, was performed. The operative field was irrigated with saline solution during the intervention, as in the portal hypertension interventions. Finally, the laparotomics were closed by continuous suture on the two layers with vicryl and 2-0 silk. The postoperative period started immediately after the intervention and lasted until the behavioural evaluation 45 days later.

#### Portal vein pressure measurement

Splenic pulp pressure, an indirect measurement of portal pressure (PP), was measured using the method described by Aller et al. (2006).

#### Determination of inflammatory response mediators

#### Processing the spleen to obtain mononuclear cells

After decapitation, the spleen was removed under sterile conditions and immediately processed to determine the in vitro T and B cell proliferative response to mitogenic stimulation. The spleen was immediately placed in sterile RPMI-1640 (Sigma-Aldrich, Madrid, Spain) and then passed through a sterile wire mesh to make single cell suspensions. The resulting cells were washed three times in a sterile medium, and viable cells were counted using the Trypan blue dye (0.5 % v/v) exclusion technique. Viability was always greater than 80%. Mononuclear cells were isolated from the splenic cell suspension using Ficoll-Paque<sup>TM</sup> PLUS (GE Healthcare Bio Sciences, Uppsala, Sweden) centrifugation (400g for 30 min) at room temperature. The density gradient established using Ficoll-Paque enabled the sedimentation of erythrocytes, granulocytes and dead cells underneath, with mononuclear cells (lymphocytes and monocytes) being distinguished as an interface between Ficoll-Paque and the RPMI-1640 medium. Cells collected in the interfaces were washed three times, their viability was re-determined, and their concentrations were adjusted to 2.5 x 10<sup>6</sup> cell/ml with RPMI-1640 supplemented with 10% fetal calf serum (Gibco, Life Technologies, MD, USA) 25mM HEPES, 2mM L-glutamine, 5 x 10<sup>-5</sup>M 2mercaptoethanol (Sigma-Aldrich, Madrid, Spain), and 2 g/l sodium bicarbonate (Sigma, Madrid, Spain). Once the spleen mononuclear cells had been obtained, cell cultures were prepared to determine in vitro the proliferative capacity of both the B and T lymphocytes.

#### Determination of the proliferative capacity of B and T lymphocytes in spleen

On a flat 96-well Falcon plate (Becton-Dickinson, Meylan, France), 15 wells per subject were injected with 100  $\mu$ l of the medium in which the cells obtained in the extraction process were suspended. Next, in 5 of these wells, 100  $\mu$ l of the RPMI-1640 medium supplemented with 20% fetal calf serum and without mitogenic stimulation were added; another five wells received 100  $\mu$ l of the RPMI-1640 medium

supplemented with 20% fetal calf serum and 5  $\mu$ g/ml of Concanavalin-A (Con-A, Sigma-Aldrich, Madrid, Spain), in order to stimulate the proliferation of T lymphocytes; and finally, the five remaining wells were injected with 100  $\mu$ l of the RPMI-1640 medium supplemented with 20% fetal calf serum and 1 mg/ml of Lipopolysaccharides from Salmonela (LPS, Sigma-Aldrich, Madrid, Spain), in order to stimulate the proliferation of B lymphocytes. Once collected, all these samples were cultured for 72 h in a 95% air / 5% CO<sub>2</sub>, humidified atmosphere in an incubator (Jouan, Saint Herblain, Cedex, France) at 37 °C. Cell proliferation was determined using a II (XTT) colorimetric cell proliferation assay kit, according to the manufacturer's instructions (Roche, Mannheim, Germany), and measured with an Synergy HT colorimetric plate reader (BioTek Instruments, Inc., Winooski, VE., USA) using a 450 nm absorption filter. Data were expressed as a proliferative index (PI) score. PI of T lymphocytes = optic density (OD) of media of wells stimulated with ConA/OD of media of wells without mitogenic stimulation; PI of B lymphocytes = optic density (OD) of media of wells stimulated with LPS/OD of media of wells without mitogenic stimulation.

#### Real time RT-PCR measurement of mRNA expression of IL-1β, IL-6 and TNFa

Immediately after the spleen extraction, the brain was quickly removed, and the whole striatum, hippocampus, amygdala and prefrontal cortex were dissected. All dissections were performed under sterile conditions and under stereomicroscopic observation with reference to the rat brain atlas (Paxinos and Watson 2005). The samples were stored at -80 °C to measure mRNA expression of IL-1B, IL-6 and TNF- $\alpha$  in the prefrontal cortex, amygdala, hippocampus and striatum. Brain tissue was homogenized using the Trizol reagent (Invitrogen, Madrid, Spain), and total RNA was isolated utilizing a standard method of phenol: chloroform extraction (Chomczynski and Sacchi 1987). A UV spectrophotometric analysis of nucleic acid was performed at 260 nm to determine RNA concentrations, and the 260:280 absorption ratio was utilized to assess nucleic acid purity. Samples were DNase-treated (DNase I, Invitrogen, Madrid, Spain) to remove contaminating DNA prior to cDNA synthesis. The total RNA was then reverse-transcribed using the PrimeScript <sup>TM</sup> RT reagent kit (Takara Bio Inc., Madrid, Spain), and resulting cDNA levels were quantified by SybrGreen-based (SYBR® Premix Ex Taq<sup>TM</sup>Takara Bio Inc., Madrid, Spain) real time PCR. Formation of PCR products was monitored in real time using the Applied Biosystems 7500 Real Time PCR System. The sequences of cDNA were obtained from Genbank at the National Center for Biotechnology Information (NCBI; www.ncbi.nlm.nih.gov). Glyceraldehyde-6phosphate dehydrogenase (GAPDH) served as a housekeeping gene. Primer sequences were designed using the Primer Express Software v3.0 (Applied BioSystems). Primers were obtained from Applied Biosystems (Madrid, Spain). Prime specificity was verified by melt curve analysis. Relative gene expression was determined using the  $2^{-\Delta\Delta t}$  method (Livak and Schmittgen 2001).

Primer sequences used for PCR were as follows (primer sequence direction is 5'....3'): IL-1 $\beta$ , forward: GCAACTGTCCCTGAACTAACTG, reverse: CTCATCTGGACAGCCAAGTC; IL-6, forward: CCACCAGGAAAGTCAAC, reverse: CTTGCGGAGAGAAACTTCATAGC; TNF- $\alpha$ , forward: GCCACCGGCAAGGATTC, reverse: TCGACATTCCGGGATCCA; GAPDH, forward: GCTCTCTGCTCCTCCTCGTTC, reverse: GAGGCTGGCACTGCACAA.

Stimulus-response "habit" task

The water maze was made of fibreglass and measured 150 cm in diameter, with a 40-cm high wall. The water level was 30 cm, and its temperature was  $22\pm2$ °C. The platform used corresponded to a cylinder that was 10 cm in diameter and 28 cm high, of which 2 cm was below the surface of the water. The water maze was in the centre of a 16 m<sup>2</sup> lit room (two halogen lamps of 4000 lx), surrounded by panels on which several extra-maze cues were placed. The pool was divided into four starting positions (north, south, east and west). For the visible water maze task, a white plastic glass was attached to the top of the submerged platform and protruded above the water surface. The platform could be used as a step to mount the glass to escape the water. The behaviour of the animal in the MWM was recorded by a video camera (Sony V88E) connected to a computer equipped with an EthoVision Pro programme.

Rats were trained in one session (which took on average of 10 min) to a pre-set criterion where sham-operated rats had to achieve two consecutive trials with retention latencies under 8 s, and it could take them no more than 10 trials to reach this criterion, as in previous studies (Teather et al. 2005). For each cued training trial, the animal was placed into the tank facing the wall at one of four designated start points (N, S, E and W) and allowed to escape onto the visibly cued platform. The visible escape platform was located in a different quadrant on each trial. A different starting point was used on each trial. Each trial ended once the animal had found the platform, or when 60 s had elapsed. If the animal had not reached the hidden platform after this time, it was placed on the platform for 15 s. During the inter-trial interval, the animals were placed in a black bucket for 30 s. The latency in reaching the escape platform was recorded and used as a measure of task acquisition.

#### Cytochrome oxidase histochemistry

The protocol used was the same one described by Arias et al (2010). 90 min after the last training day, the animals were decapitated. Brains were removed, frozen rapidly in N-methylbutane (Sigma-Aldrich, Madrid, Spain), and stored at -40°C until processing with quantitative C.O. histochemistry. To quantify enzymatic activity and control staining variability across different baths, sets of tissue homogenate standards from the Wistar rat brain were cut into different thicknesses (10, 30, 40 and 60  $\mu$ m) and included with each bath of slides. Quantification of C.O. histochemical staining intensity was done by densitometric analysis, using a computer-assisted image analysis workstation (MCID, Interfocus Imaging Ltd., Linton, England) made up of a high precision illuminator, a digital camera, and a computer with specific image analysis software. A total of twelve measurements were taken per brain region. These measures were averaged to obtain one mean per region for each animal, and they were expressed as arbitrary units of optical density (OD).

In all the animals, we measured the neuronal metabolic activity in the prefrontal cortex: infralimbic (IL), prelimbic (PL) and cingulate cortex (CG); the thalamus: anterodorsal (ADT), anteroventral (AVT) and mediodorsal (MDT); the striatum: anterodorsal (EAD), anterolateral (EAL) and anteromedial (EAM); the accumbens core (ACC) and shell (ACS); the septal hippocampus: dentate gyrus (DG), CA1 and CA3 areas; the central (Cen), medial (MeA) and basolateral (Bas) amygdala; the

mammillary bodies: supramammillary (SUPRA), medial (MM) and lateral (ML); the temporal hippocampus: dentate gyrus (tDG), tCA1 and tCA3 areas; and the temporal cortex: parietal (PAR), enthorinal (Ent) and perhirinal (Prh). The selected brains regions were anatomically defined according to the atlas by Paxinos and Watson (2005).

#### Brain histological methods

Six animals from the SHAM group and six from the PH group were used to quantify the density of GFAP-IR and neuronal nuclei volume in the septal hippocampus and dorsal striatum. They were anesthetized with sodium pentobarbital (100 mg/Kg) (Sigma-Aldrich) and intracardially perfused with phosphate buffer saline at 0.9% (PBS) (0.1 M; pH 7.4), followed by paraformaldehyde at 4% in PBS (0.1 M; pH 7.4). The brains were extracted and introduced in paraffin. Systematic cuts were performed on both regions with a microtome (Leica, Germany) in 30-µm thick serial sections at a known interval from the beginning to the end.

#### Staining procedures

#### GFAP Immunohistochemistry

We performed GFAP-IR immunocytochemistry on the sections of 12 animals (six SHAM and six PH), according to the method described by Blanco et al. (2006), which briefly consists of washing the previously deparaffinized sections in Tris Buffer saline (TBS) with Triton X-100 at 0.1% and blocking them with human serum. Afterwards, these were incubated for one day with rabbit polyclonal primary antibody anti GFAP (1:800 in bovine albumin, BSA). The next day, after rinsing in TBS with Triton X-100, we incubated with a biotinylated secondary antibody (goat anti-rabbit IgG, 1:30 in BSA) and applied the avidin-biotin horseradish peroxidase complex (Vectastain Ultra-Sensitive ABC Staining Kit), developed with diaminobenzidine tetrahydrochloride, and cover-slipped the sections.

#### Cresyl violet

Cresyl violet staining was performed on 12 animals (six SHAM and six PH), incubating the previously deparation finised and hydrated sections in cresyl violet (Sigma-Aldrich) (0.5% in H<sub>2</sub>O) for 3 min. Afterwards, the sections were washed with H<sub>2</sub>O, dehydrated, and cover-slipped under Entellan.

#### Quantification

#### GFAP-IR

The entire quantification process was carried out using a Leica LAS Live Image Builder (Leica, Microsystems). To estimate the density of GFAP-IR, we used at least three equidistant sections in the selected structures from each animal. Quantification was done by placing four equidistant counting frames (frame size  $0.150 \text{ mm} \times 0.150 \text{ mm}$ ) on the screen. Only the cell bodies within each quadrant or dissector focused on in the section were quantified. The total thickness measured on each slide was 30 µm, leaving out 5 µm of thickness above and below the *Z*-axis to avoid possible overestimations.

#### Neuronal Nuclei Volume

The volume of neuronal nuclei in EAD, EAM, EAL, CA1, CA3 and DG (subfields of the septal hippocampus) were quantified with the CAST2 System (Olympus, Denmark) in an Olympus-BX61 microscope. We only quantified the right side of every structure. Images of the cells were acquired with a ×100 oil objective. We used morphological criteria to identify neurons and glial cells. Neurons show clearly defined nucleolus, euchromatin in the nucleus, and visible surrounding cytoplasm, whereas glial cells show heterochromatin in the nucleus, no nucleolus, and no cytoplasm.

Our aim is to assess the neuronal nuclear volume in these structures in two rat groups: PH and SHAM. The nucleator method (Méndez et al. 2008) was applied to compare them. The nucleator probe was done by marking a unique point inside the neuron nucleus, the nucleolus. The system then created 6 test lines intersecting the point. We marked the intersections of the test lines with the membrane. Only defined cellular nuclei within the 1141  $\mu$ m<sup>2</sup> dissector area that came into focus as the optical plane moved through the height of the dissector were sampled. Four sections of each animal and nucleus were sampled with a constant and known distance between them (30  $\mu$ m). Twenty five cell measurements were performed on each section, obtaining a total of 100 sampled neurons.

#### Statistical analysis

All data were analyzed in the Sigma-Stat 3.2 program (Systat, Richmond, USA) and expressed as the mean  $\pm$  SEM. The results are considered statistically significant if p<0.05.

A *t*-test for independent samples was used for the statistical comparison of portal pressures, physiological determinations, C.O. activity values, density of GFAP-IR, and neuronal nuclei volume results. The mean path length, the swimming speed, and the latencies to reach the visibly cued platform during the training phase of the stimulus-response "habit" task were analysed with a one-way ANOVA. Post hoc multiple comparison analyses were carried out when allowed, using pair-wise Tukey tests. Moreover, a non-parametric Mann–Whitney U test (H) for independent samples was carried out when normality or equal group variances failed.

#### Results

#### Portal pressure

Portal pressure in all the animals with portal hypertension increased ( $t_{14} = -7.152$ ; p < 0.001) in comparison with that of the control animals (Table 1).

#### Organ Weight

Adrenal weight was lower ( $t_{12}$  = 3.358; p = 0.006) in PH rats compared to control rats (Table 1). Moreover, portal hypertension caused splenomegaly ( $U_7$  = 36.00, p = 0.038). Although body weight in the PH group was slightly lower, no significant differences were found when compared to the SHAM group. Physiological determinations

The expression of TNF- $\alpha$  increased (p = 0.024) in the striatum of the portal hypertensive rats compared to the levels in the SHAM rats (Table 2). No differences were found between groups in the prefrontal cortex, hippocampus and amygdala in the expression of IL-1 $\beta$ , IL-6, TNF- $\alpha$  and cell proliferation of T and B cells in the spleen.

#### Stimulus-response "habit" task

The one-way ANOVA did not reveal differences in velocity ( $F_{(1,12)} = 0.821$ , p = 0.383), but significant differences were found in distance covered ( $F_{(1,12)} = 9.806$ , p = 0.009) between PH and SHAM. At the same time, the one-way ANOVA showed differences in escape latencies between the PH and SHAM groups ( $F_{(1,12)} = 7.809$ , p = 0.016). When a one-way repeated measures ANOVA was carried out in both groups, the PH group did not show differences in the escape latencies during trials ( $F_{(6,54)} = 1.201$ , p = 0.313). However, there were significant effects of the variable trial ( $F_{(6,54)} = 4.563$ , p < 0.001) in the SHAM group. This interaction revealed a progressive latency reduction on the training days in both groups, with the SHAM group showing significant differences until trial two (p = 0.034) (Fig.1).

#### C.O. activity

The group comparison of C.O. activity revealed lower C.O. activity in the PH group than in the SHAM group in the ACC ( $t_{11} = 2.613$ ; p = 0.024), DG ( $t_{12} = 2.222$ ; p = 0.046), Bas ( $t_{12} = 2.759$ ; p = 0.017), Lat ( $t_{12} = 2.870$ ; p = 0.014) and Cen ( $t_{12} = 3.266$ ; p = 0.007). The groups did not differ in their C.O. activity in the PL ( $t_{12} = 0.661$ ; p = 0.521), IL ( $t_{12} = 1.408$ ; p = 0.185), CG ( $t_{12} = 0.298$ ; p = 0.771), ADT ( $t_{10} = -1.065$ ; p = 0.312), AVT ( $t_{10} = 0.527$ ; p = 0.610), MD ( $t_{10} = 0.114$ ; p = 0.911), ACS ( $t_{11} = 1.410$ ; p = 0.186), EAD ( $t_{11} = 1.388$ ; p = 0.193), EAL ( $t_{11} = 0.850$ ; p = 0.414), EAM ( $t_{11} = 0.572$ ; p = 0.579), CA1 ( $t_{12} = 1.036$ ; p = 0.321), CA3 ( $t_{12} = 0.684$ ; p = 0.507), MeA ( $t_{12} = 1.764$ ; p = 0.103), tCA1 ( $t_{12} = 1.241$ ; p = 0.238), tCA3 ( $t_{12} = 1.975$ ; p = 0.072), tDG ( $t_{12} = 1.779$ ; p = 0.101), SUPRA ( $t_{12} = 0.724$ ; p = 0.483), MML ( $t_{12} = 2.104$ ; p = 0.057), ML ( $t_{12} = 1.563$ ; p = 0.144), PAR ( $t_{11} = 0.357$ ; p = 0.728), Prh ( $t_{12} = 2.037$ ; p = 0.064) or Ent ( $t_{12} = 1.208$ ; p = 0.250). See Fig.2.

#### GFAP counting

Differences in the GFAP-IR astrocyte number were found between groups in EAD ( $t_8 = 4.393$ , p = 0.002) and EAM ( $t_8 = 4.365$ , p = 0.002), where the number was significantly greater in the SHAM group than in the PH group. However, no differences were found in EAL ( $t_8 = 2.010$ , p = 0.079), ACC ( $U_5 = 4.000$ , p = 0.095) or ACS ( $t_8 = 0.160$ , p = 0.877). See Fig.3.

#### Neuronal nuclear volume

There were differences between the SHAM and PH groups in terms of volume of the neuronal nuclei (Fig.4). Larger nuclei were found in the SHAM group in the EAD ( $t_9 = 2.645$ , p = 0.027), EAL ( $t_9$ )

= 2.845, p = 0.019), EAM ( $t_9$  = 3.113, p = 0.012), ACC ( $U_6$  = 3.000, p = 0.015) and ACS ( $U_6$  = 1.000, p = 0.004).

#### Discussion

Hepatic encephalopathy (HE) is characterized by cognitive impairment and usually seen in patients with advanced liver disease or porto-systemic shunts. The HE spectrum varies from minor cognitive dysfunction to lethargy, depressed consciousness and coma (Dhiman 2013). Minor cognitive dysfunction in patients with cirrhosis is termed minimal hepatic encephalopathy (MHE), which is estimated to affect up to 60% to 80% of patients with cirrhosis and may seriously impair a patient's daily functioning and health-related quality of life (Dhiman et al. 2010). Despite its importance, none of the neuro or psychophysiological methods used competitively has proven to be of greater use in diagnosing MHE (Weissenborn 2008).

Therefore, in our work we studied one of the main contributing factors to HE, portal hypertension, reproduced by a model of triple portal vein ligation (Arias et al. 2013). Differences in portal pressure between the PH and SHAM groups were found. Moreover, portal hypertension was confirmed by the presence of splenomegalia (Aller et al. 2005) and adrenal insufficiency, which is common in critically ill patients with cirrhosis and severe sepsis. However, we found its presence in early stages of HE, which could help to formulate a physiopathological definition of MHE. Adrenal insufficiency is related to functional liver reserve and disease severity and associated with hemodynamic instability, renal dysfunction and increased mortality (Tsai et al. 2006). In this study, we tried to develop a simple task that animals with PH (developing MHE) would be unable to perform successfully. For this purpose, we evaluated the performance of MHE animals on a stimulus-response task in which the visible platform was pseudo-randomly repositioned across trials to render its relation with distal cues trivial (Morris 1981).

In rats, behaviour may be guided by a double dissociation between the mnemonic functions of the hippocampal system and the dorsal striatum (caudate-putamen), with the acquisition of this visible platform task being more striatum-dependent (Packard and McGaugh 1992; McDonald and White 1994). Behavioural results showed the inability of PH animals to reach the behavioural criterion compared to the SHAM group. Several studies have reported that lesions in the caudate-putamen can impair acquisition of the hidden platform version of the task (Block et al. 1993; Dunbar et al. 1993), and these impairments are not due to gross deficits in motor performance (Furtado and Mazurek 1996; Arias et al. 2012). These results could suggest impairment in the striatum as one of the first targets in early stages of MHE.

Rapidly growing evidence has supported the role of inflammation in exacerbating the neurological manifestations in HE. Inflammation in the context of HE can arise directly within the brain itself, resulting in astrocytic, microglial and neuronal dysfunction and taking part in the development of brain failure (Tranah et al. 2013). Inflammation may also develop systemically and indirectly influence brain function, as Aller et al. (2005) showed. In this model there is an increase in pro-inflammatory factors in the liver, such as tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-1 $\beta$  (IL-1 $\beta$ ), among others, pointing out their systemic presence in early phases of HE. Regarding the role of inflammation in

developing MHE, we considered it interesting to determine the mRNA expression level in some mediators involved in inflammatory response, including TNF- $\alpha$ , IL-1 $\beta$  and IL-6, in order to verify a hypothetic inflammatory brain etiopathogeny of MHE. Our results showed no differences in IL-1 $\beta$  and IL-6 expression between the PH and SHAM groups in the main brain structures of the limbic system related to this learning task. No differences in the proliferation of T and B cells in the spleen were found. However, an increase in TNF- $\alpha$  mRNA was found in the PH group. TNF- $\alpha$  production often has synergistic activities on inflammatory pathways and processes, and it amplifies these responses by inducing secondary mediators (Moore et al. 2001).

With regard to these findings, we wanted to map the metabolic activity in the brain limbic structures involved in learning processes. To accomplish this goal, histochemical labelling of cytochrome c-oxidase (C.O.) was performed. C.O. is a mitochondrial enzyme that reflects changes in tissue metabolic capacity that are induced by the sustained energy requirements of the nervous system associated with learning (Poremba et al., 1998) and spatial memory in the Morris water maze (MWM) (Conejo et al. 2007). Decreases in C.O. in the PH group were found in the ventral striatum, hippocampus, and amygdala nuclei.

The low metabolic activity found in the ventral striatum correlates with the poor performance of the PH group during the stimulus-response task and the increase in TNF- $\alpha$  mRNA in these animals. In fact, TNF- $\alpha$  could decrease C.O. activity in two ways: directly, by inhibiting the mitochondrial oxidative phosphorylation, which involves C.O. as a mitochondrial enzyme involved in the phosphorylation process that generates ATP; and indirectly, because TNF- $\alpha$  could increase the synthesis of NO by iNOS up-regulation (Aller et al. 2005). Brain oxidative and nitrosative stress could cause neural mitochondrial damage and defective oxidative phosphorylation, which are reflected in impaired C.O. activity (Arias et al. 2013).

Through the years, evidence has suggested that different neural systems contribute to each form of learning (O'Keefe and Nadel 1978). In fact, lesions in the dorsal striatum and hippocampus have been found to have opposite effects on behaviour in cued and spatial versions of the radial maze task (White and McDonald 1993). These findings are consistent with the hypothesis that a corticostriatal system mediates stimulus-response habit formation, whereas a hippocampus-based system contributes to cognitive-spatial impairment (Petri and Mishkin 1994). However, Rubio et al. (2012) support a possible functional interaction between the two memory systems, as their structural convergence may facilitate functional cooperation in behaviours guided by more than one strategy (Rubio et al. 2012). Moreover, there is a well-known projection that the ventral striatum receives from the hippocampus, which is capable of modulating its firing (Pennartz et al. 2011). In fact, a model of the hippocampal-ventral striatum circuit has been proposed in which the hippocampus is primarily involved in the mental simulation of possible navigation paths, and the ventral striatum is involved in the evaluation of the value of locations (Chersi and Pezzulo 2012). Our C.O. results highlight this relationship between the dentate gyrus and ventral striatum, and they point out that it is unlikely that a single neural structure is solely responsible for processing information on a specific task; while one structure may be primarily involved, other structures may make some contributions. The lack of differences between groups in the dorsal striatum was expected, based on recent studies showing that the dorsal striatum may not be required when rats have to adopt a particular strategy (Botreau and Gisquet-Verrier 2010).

Furthermore, anatomical studies have shown that the dorsal striatum is a heterogeneous structure, both in terms of its intrinsic compartmentalization of neurochemical constituents (Groves et al. 1995) and its regionally diverse connectivity with other cortical/subcortical structures (Groenewegen et al. 1990). One example of this is the amygdala-striatal projection, which in the rat originates primarily in the basolateral nucleus of the amygdala and innervates ventral regions of the striatum such as the nucleus accumbens, as well as the dorsomedial, caudal, rostroventral, central and dorsolateral portions of the striatum (McDonald 1991; Fass et al. 1984).

Regarding the amygdala, studies have shown that the amygdala incidentally acquires information during the acquisition of a task (McDonald et al. 2004), suggesting that the basolateral and lateral nuclei of the amygdala are essential for the acquisition and storage of stimulus-reward associations (McDonald and White 1993). At the same time, recent results have pointed out that the central nucleus of the amygdala is involved in the acquisition of habits (Lingawi and Balleine 2012). Another important feature of the basolateral complex and the central nucleus of the amygdala is the presence of glutamate receptors (NMDA), which are presented along the projection from the basolateral amygdala to the nucleus accumbens (Lee et al. 2013). Animal models of HE reveal increased glutamate levels (Palomero-Gallagher and Zilles 2013), which are linked to a down-regulation of these receptors in the striatum (Oja et al. 1996).

Taking into account that we found impairment in the acquisition of a stimulus-response task, an increase in pro-inflammatory factors in the striatum, and a decrease in C.O. activity in the striatum and brain structures with connections to it, neural and glial impairments were studied in order to explore to what extent MHE cellular damage underlies learning impairments. We attempted to assess neural and glial damage through the study of brain neuronal nuclear volume changes and the cytoskeletal protein glial acidic fibrillary protein (GFAP). We found decreased GFAP-IR in the dorsal striatum in the PH group compared to the SHAM group. Among other functions, GFAP modulates astrocyte motility and shape by providing structural stability to astrocytic processes. GFAP represents the most specific astrocytic marker under normal and pathological conditions (Bélanger et al. 2002), and GFAP-immunoreactive astrocytes were found to be decreased in number in the cerebral cortex of rats with chronic liver failure resulting from portocaval anastomosis (Norenberg 1977). Similarly, decreased GFAP-IR was reported in human chronic liver failure in the basal ganglia, cerebral cortex and thalamic structures (Sobel et al. 1981). In fact, pro-inflammatory mediators (TNF- $\alpha$ ) even led to decreased GFAP expression (Chastre et al. 2010). This is the first study to reveal GFAP changes in the striatum and their relationship with learning impairment in MHE.

At the same time, there is evidence suggesting that, in acute liver failure, pro-inflammatory cytokines may act synergistically with brain ammonia to cause brain edema and its complications
(Chastre et al. 2010). An increased neuronal nuclear volume in the ventral striatum, the structure on which correct performance of the task rests, has been found.

Neuronal damage and death are documented in end-stage chronic liver failure, usually accompanied by astrocytic swelling. Increased neuronal nuclear volume in mammillary nuclei and hippocampus were identified in a thioacetamide-induced cirrhosis model (Méndez et al. 2008). However, this functional impairment of neurons is suggested to be secondary to damage in the astrocytes. This increased nuclear neuronal volume could be due to increased oxidative stress (Méndez et al. 2008), which can occur through the mediation of pro-inflammatory factors such as TNF- $\alpha$ , which produce NO in this region. Nuclear hypertrophy of neurons due to oxidative stress could cause mitochondrial damage and defective oxidative phosphorylation, and this has been reflected in the decreased C.O. activity found in the PH group.

To conclude, our work provides a novel insight into correlating impairment in performance on a stimulusresponse task with energetic and morphologic disturbances in the brain limbic system involved in learning processes in early stages of HE. Our results suggest that neuronal and astroglial alterations highlight the double action of astroglial dysfunction and neuronal disturbances, and that the consequent disruption in neuron-glia interactions might lead to the dysfunction of the striatum and related structures involved in learning. For the first time, we have established the relationship between inflammation, astrocytic and neural damage, and brain metabolism impairment in a model of MHE. We have highlighted the disruption of the striatum and related structures as the main target in early stages of HE. Finally, we presented a simple task to evaluate subtle impairments found in the clinic, which could provide fresh insights into the development of new tools for the assessment of MHE. Our research opens a new door to future treatments for the early targets of dysfunction in patients with minimal hepatic encephalopathy.

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## **Figure Captions**

**Fig.1** Latencies to reach the platform were calculated. \* represent differences in escape latencies between the PH and SHAM groups. # represent shorter latencies during trials 5, 8, 9 and 10 compared to trial 1, and during trial 2 compared to trial 10 for the SHAM group (p<0.05). Data represent mean±SEM.

**Fig.2** Cytochrome oxidase (C.O.) histochemistry in the sampled regions where significant differences were found: ventral striatum (ACC), hippocampus (DG) and amygdala nuclei (Cen, Bas, Lat).

**Fig.3** Number of GFAP-IR cells (mean±SEM) related to the studied structures. \*p<0.05 was significantly higher in the SHAM group compared to the PH group.

**Fig.4** Nuclear volume of neurons in dorsal and ventral striatum. Volume was measured by the nucleator probe. SHAM group showed an enlarged volume in dorsal striatum, and PH group showed an enlarged volume in ventral striatum. Asterisks indicate statistically significant differences between groups. Data represent mean values±SEM.

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Group	PP (mmHg)	FBW (g)	LW (g)	AW (g)	KW (g)	SW (g)	TW (g)
SHAM (n=7)	6,80±0,47 *	415,71±13,34	2,90±0,08	0,017±0,0024 *	0,28±0,0041	0,21±0,013 *	16,20±0,73
PH (n=7)	12,74±0,69	368,90±18,34	2,72±0,16	0,0087±0,0006	0,28±0,017	0,33±0,06	13,11±1,71

Table 1. Measurements in sham-operated and portal hypertension rats at 45 days of evolution.

PP: Portal Pressure, FBW: final body weight, LW: liver weight, AW: adrenal weight, KW: kidney weight, SW: spleen weight, TW: testis weight. Results are the mean  $\pm$  SEM \*p<0.05, statistically significant difference when compared to group portal hypertension.

Group	CPF IL1β	CPF IL6	CPF TNF	HC IL1β	HC IL6	HC TNF
SHAM (n=6)	2,718±0,596	4,015±1,712	2,293±0,670	3,442±1,139	2,217±0,719	1,587±0,169
PH (n=6)	$2,388\pm0,144$	6,685±2,671	$2,780\pm0,422$	1,737±0,163	$1,993 \pm 0,541$	1,613±0,183
Group	AMIG IL1β	AMIG IL6	AMIG TNF	STRI IL1β	STRI IL6	STRI TNF
SHAM (n=6)	3,318±0,792	2,517±0,652	7,097±2,213	2,050±0,586	2,344±0,480	2,354±0,147 *
PH (n=6)	2,160±0,398	2,207±0,681	3,980±0,976	$2,403\pm0,364$	2,973±0,374	4,805±0,810
						_
Group		T 450		B 450		
SHAM (n=6)		3,317±0,518		1,389±0,172		_
PH (n=6)		4,344±0,479		1,515±0,0973		_

**Table 2.** Prefrontal cortex, hippocampus, amygdala and striatum content of IL1 $\beta$ , IL6 and TNF in shamoperated and portal hypertension rats. Cell proliferation of T and B cells measured at 450 nm. Results are the mean±SEM. TNF: tumor necrosis factor; IL1 $\beta$ : interleukin 1 $\beta$ ; IL6: interleukin 6. \* p=0.024.





Figure 2



# Figure 3



Figure 4



# VII

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# Coupling inflammation with evo-devo

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### ABSTRACT

Inflammation integrates diverse mechanisms that are associated not only with pathological conditions, such as cardiovascular diseases, type 2 diabetes, obesity, neurodegenerative diseases and cancer, but also with physiological processes like reproduction i.e. oogenesis and embryogenesis as well as aging. In the current review we firstly propose that the inflammatory response could recapitulate the phylogenia. In this way, highly conserved inflammatory mechanisms that play a main role in the evolutive development of different animal species, both invertebrates as well as vertebrates, are identified. Therefore, we also hypothesize that inflammation could represent a key tool used by nature to modulate organisms according to the environmental conditions in which these develop. Thus, inflammation could be the pathway by which the environmental factors could be related to the evolutionary development. If so, the diverse human chronic inflammatory diseases that nowadays the Western society suffer would represent the way for adapting to the abrupt changes in their lifestyle. Nonetheless, the distribution of the different pathological conditions varies in terms of intensity and magnitude among Western country populations depending on their genetic polymorphism. In this case, it should be considered that this set of diseases, distributed between all the individuals that constitute the Westernized society, would represent a true Social Inflammatory Syndrome whose final result is its remodeling. In this context, the use of inflammation by the Western society could represent the camouflaged expression of efficient mechanisms of evolution and development. In addition, if the different types of the inflammatory response involved in these diverse chronic pathological conditions could trace the biochemical origins of life, perhaps inflammation could represent an archaeological tool of unsuspected usefulness for understanding our own origin. © 2012 Elsevier Ltd. All rights reserved.

Introducing the inflammation

Nowadays, inflammation is considered a highly beneficial and adaptive response triggered by noxious stimuli and conditions, such as infection and tissue injury [1,2]. However, this standard view of inflammation as a reaction to injury or infection might need to be expanded to account of the inflammatory processes induced by other types of adverse conditions [1]. The human diseases that are associated with these conditions, including atherosclerosis, asthma, type 2 diabetes and neurodegenerative diseases, are all characterized by chronic low-grade inflammation. Since these chronic pathological processes are intermediate between basal and inflammatory states, they have been termed para-inflammation [1].

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Furthermore, human aging can be explained by the emerging concept of para-inflammation-driven inflammageing, i.e. a combination of inflammation and aging [3–5]. Inflammageing seems to favor the onset of typical age-related diseases like atherosclerosis, dementia, osteoporosis and cancer [6,7] (Fig. 1).

However, a key adaptive characteristic of inflammation, whether acute, chronic or acute-on-chronic, is that it produces tissue, organ or even organism remodeling [8,9]. In this way, it has been proposed that the inflammatory response has features in common with tissue development, which requires involution of pre-existing tissue elements [8–10]. The ability of the tissues to involute or dedifferentiate could represent a return to early stages of development [11]. Particularly, involution or dedifferentiation could form an effective defense mechanism to escape death after injury. In this way, this mechanism could make retracing an ancient, efficient and well-known route possible for repairing the injured tissue, just like the initial phases of embryonic development [8,10,11]. The correlation that can be established between the embryonic and the inflammatory events suggests that the

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**Fig. 1.** The different physiological and pathological processes in which inflammation is involved are represented in superimposed areas with different forms. The central common area would integrate the basic mechanisms that are shared by all these processes. At the same time, the different forms try to represent the different expression types that the inflammatory response can adopt as time and space change when they take place.

results obtained from research into both great fields of knowledge would favor each other and promote their development [11].

In the adult body, many pathways that play an essential role during embryological development are inactivated later in life, although some of them may be transiently expressed during adult repair processes [11,12]. This ability of the tissues to involute or dedifferentiate could constitute an effective solution against whatever type of injury. Through differentiation, tissues can have the chance to reform and remodel themselves according to the new environmental situations imposed on them.

Nonetheless, it can be hypothesized that the inflammatory response also seems to compile mechanisms that have a great value from a phylogenetic point of view. It would be explained how similar mechanisms are shared in essence by the diverse types of the inflammatory response, from acute high-grade to chronic lowgrade inflammation.

### The hypothesis: inflammation as a very useful tool for evo-devo

There are highly conserved inflammatory mechanisms that play a main role in embryonic development as well as in the evolution of different animal species, both invertebrates as well as vertebrates. Consequently, we propose that inflammation could represent a key tool used by nature to modulate organisms according to the environmental, both intra- and extrauterine, conditions in which these develop and therefore could be the pathway by which the environmental factors could drive evolutionary development.

In this context, multiple chronic inflammatory conditions that are suffered by Western society today could represent mechanisms that are used by the organism to successfully adapt to the environmental changes imposed by the lifestyle in developed countries. With this idea in mind, we hypothesize that inflammation, in addition to being a recapitulating tool of ontogeny and phylogeny, would be useful to living beings to adapt to the new environmental changes created by nature. Therefore, and even though these inflammatory mechanisms are considered pathological, they could in fact represent adaptive pathways for remodeling the organism according to the environmental changes where the organism lives, so it can survive the continuous changes imposed upon it. In summary, we propose that inflammation, a recapitulating tool of ontogeny and phylogeny, could also involve the mechanisms by which diverse chronic pathological conditions adapt the organism to survive in view of the abrupt environmental changes caused by Western lifestyle. In addition, if the different types of inflammatory responses involved in diverse chronic pathological conditions could trace the biochemical origins of life, perhaps inflammation could represent an archaeological tool of unsuspected usefulness for understanding our own origin.

### **Evaluation of the hypothesis**

Inflammation, an essential process in the production of diseases in living beings, could be defined as a succession of phases during which increasingly complex mechanisms are expressed. The final aim of these mechanisms could be trophism of the injured body and, finally its remodeling [13]. In the evaluation of our proposed hypothesis, one also will have to consider that these inflammatory mechanisms are also essential pathways for the embryonic development and the phylogenetic evolution of the living beings. Actually, the inflammatory mechanisms would orchestrate the pathogenesis as well as the ontogeny and phylogeny of the living beings while their common objective in all these processes would be to remodelate the organism according to the different environmental factors leading to them. This is the reason why we carried out a comparative description of these mechanisms in order to evaluate if they would be valid to participate in the inflammatory response as well in evolution and development.

# Essential mechanisms of the inflammatory response and their involvement in ontogeny and phylogeny

The inflammatory pathology shares fundamental mechanisms with evo-devo, which in turn could entail that these mechanisms would be the real mediators of the remodeling of living beings during all these processes, whether physiological, like embryogenesis and phylogenesis, or pathological, like the inflammatory diseases. This is the reason why we have proposed the hypothesis that the inflammatory response could recapitulate phylogeny and ontogeny. The systemic inflammatory response that is induced in the injured organism could be described as a succession of three overlapped phases, during which the phenotypes of metabolic progressive complexity related to the use of oxygen are expressed [14].

In the first phase of the inflammatory response, hydroelectrolytic alterations stand out, with edema secondary to the ischemia-reperfusion phenomenon and nutrition by diffusion, which predominates [13,14]. In the following phase, the metabolic response is characterized by hypermetabolism and enzymatic stress associated with immunological and endocrinological responses [14]. In this phase, oxygen is not correctly used since the inability to obtain energy through the electron transport chain persists and the systemic alterations produced are referred collectively as the acute response (APR) [15]. Enzymatic stress favors extracellular (fermentation) and intracellular (phagocytosis) digestion [8]. The last phase of the inflammatory response is characterized by the capacity to use the oxygen in oxidative metabolism, i.e. oxidative phosphorylation. In this phase, patients recover their capillary function, and therefore, nutrition is mediated by them. This type of metabolism is characterized by a large production of ATP, which is fundamentally used by epithelial cells and fibroblasts to drive specialized multiple cellular processes. In the case of epithelial cells, or parenchymatous cells, the substrates interchange with the environment and, in the case of fibroblasts, or stromal-resident cells, extracellular matrix production. These specialized functions would finally determine the onset of the healing [8,14].

Since these trophic mechanisms are of increasing complexity, progressing from anoxia to total specialization in the use of oxygen to obtain usable energy, it could be speculated that they represent the successive reappearance of the stages that took place during the evolution of life without oxygen on earth from ancient times. In this sense, the inflammatory response not only could recapitulate ontogeny [11], but also phylogeny, through the successive expression of phenotypes that have a trophic meaning. However, this "constructive" concept of the inflammatory response does not rule out its noxious or "destructive" effect. In particular, during the evolution of the inflammatory response some of the abovementioned mechanisms can induce cellular death. Thus, oxidative and nitrosative stress, acidosis, edema, enzymatic stress or a dysfunctional or excessive acute phase response can induce the destruction of the injured tissue.

The great ubiquity in the body of the basic mechanisms that manage the inflammatory response suggests that they are inseparably linked to cell survival and development and possibly to cell evolution as well. In this way, these said mechanisms could bury their roots in the origin of eukaryotic life.

Some of the fundamental mechanisms of inflammation, both acute and chronic, can be recognized in the origin and evolutive development of different animal species and, for this reason, in the current paper we are aiming at establishing a corresponding relation between both of them. Thus, the functions that characterize each phase of the inflammatory response of the body to the injury or to stress could represent regression to remote stages of the species evolution.

### Ischemia and hydration: the common beginning

The inflammatory response irrespective of the specific cause, i.e. allergic, infections, ischemia, toxins or stress, could have basic mechanisms shared by all of these types of diseases. We have previously proposed that whatever the cause of the inflammatory response its principal objective could be to provide nutrition to the injured tissues [8,16]. Particularly, the inflammatory response related to surgery and trauma, also named surgical inflammation, seems to recapitulate diverse trophic mechanisms, like interstitial diffusion, enzymatic (intra and extracellular) digestion, leukocytemediated nutrition or blood capillaries-vehiculed substrates, all of which are related to developmental processes [11].

The interstitial space always seems to be the battlefield for inflammation, whether it is due to trauma [8,10], infection [17] or tumors [18,19]. The successive pathophysiological mechanisms that develop in the interstitial space of tissues when they undergo surgical inflammation are considered increasingly complex trophic functional systems for using oxygen because they go from ischemia to the development of an oxidative mechanism [16]. Therefore, a correlation between the increased organism complexity and the development of the use of the atmospheric oxygen could be established [20,21]. This correlation seems to exist in the evolutive phases of the surgical inflammatory response since progressive cellular and tissue complexity occur parallel to a gradual oxygenation process from ischemia after severe traumatic injury, to progressive reoxygenation until the correct revascularization by capillarogenesis occurs in the injured organism [14].

A successive molecular and cellular infiltration of the interstitial space is produced during the evolution of the surgical inflammatory response [10,14]. This space is occupied by the extracellular matrix that is not an inert substance [22]; rather it plays a significant role in different physiological processes and disease conditions [22–24]. Phylogenetic data generated from recently completed genome sequencing projects have shown that the molecules of the extracellular matrix, i.e. collagen, laminins, integrins, nidogens, perlecan, especially those related to cell-matrix adhesion, are ancient and exquisitely conserved in multicellular matrix performs a key role in both the evolution of surgical inflammation and the early stages of animal development.

The inflammatory mechanisms that drive the successive molecular and cellular infiltration of the interstitial space recall some of the fundamental mechanisms of the distinct steps of the early stages of invertebrate and vertebrate development. Perhaps, first we should highlight hydration because it is a prime and initial step of inflammation [14], and development as well [26–29].

#### Interstitial and cellular edema

Hydration is a fundamental step of inflammation and development, probably because it favors nutrition by diffusion in both cases. In injured tissue hydration, i.e. cellular and interstitial edema, is secondary to ischemia-revascularization phenomenon with oxidative and nitrosative stress [14]. Increased degradation of the extracellular matrix with accumulation of fragments of glycosaminoglycans has been proposed as an important mechanism for edema formation because of the hydrophilic properties of glycosaminoglycans and particularly of hyaluronan [30]. Glycosaminoglycans attract and entrap water and ions, thereby forming hydrated gels, while permitting the flow of cellular nutrients and favoring edematous infiltration of the tissue as well as the interstitial fluid flow and the tissue lymph pressure gradient [31].

In several animal species hydration is one of the initial events in development. Thus, in lepidoptera dormant follicles, which include a single oocyte and 7 nurse cells encased in an epithelium of follicle cells, they become active when they suffer an increase in turgor pressure and are visibly swollen [26]. The follicle cells, as an epithelium, have a system of intercellular channels containing a matrix of sulfated glycosaminoglycans that enable hemolymph to reach the oocyte [26]. In fish, fertilized eggs are activated by exposure to fresh water. After activation, the zona radiata or acellular eggshell, takes up water, gains resistance to breakage and can support up to 100 times more weight than oviductal eggs [32]. In marine fish, the final volume of the egg is acquired during oocyte maturation due to water uptake. During oocyte hydration and

maturation, amino acids derived of yolk protein hydrolysis are osmotic effectors but hydration of oocyte also depends on the accumulation of ions like  $K^+$ ,  $Mg^{2+}$ ,  $Ca^{2+}$ ,  $Cl^-$  and ammonium ( $NH_4^+$ ) [28]. Early preimplantation mouse embryos also require intracellular accumulation of glycine to provide osmotic support and thus control cell volume [27].

The liquid that is accumulated in the interstitial space of the inflamed tissues or organs, and which infiltrates the extracellular matrix, progressively adopts some of the properties that have been described in the hemolymph [33,34]. The circulatory system depending on whether blood and interstitial fluid mix, can be divided into two major groups: the open circulatory system and the closed circulatory system. In this last system, the blood circulates only within the internal lumen of the blood vessels and does not mix with interstitial fluid. On the contrary, in the phyla with the open circulatory system such as Arthropoda, the blood can freely blend into the interstitial fluid to generate hemolymph [35]. The internal organs in these animals are submerged within an internal cavity called the hemocoel and directly receive oxygen and nutrients, and deposit metabolic wastes [35,36]. Therefore, it could be considered that in the inflamed tissue the closed circulatory system suffers a switch to acquire some of the characteristics of the open circulatory system and perhaps of the hemolymph. In this way, the inflammatory exudates bathes the cells of the injured tissues and organs, thanks to the quick infiltration of the extracellular matrix [14].

The open circulatory system in the inflamed tissue, like in insects, permits coagulation to be more exuberant to quickly seal wounds, limit fluid loss, entrap microbes at wound sites and restore the tissue [34]. The great increase of the endothelial permeability in the inflamed organs also allows selective diffusion through the interstitial space of circulating substances in the blood that have been released as a neuroendocrine system response to stress i.e. the hypothalamic–pituitary-adrenal and sympatheticadrenal medullary or sympathetic nervous system [14]. This selectivity in the localization of the inflammatory response that induces interstitial edema could also favor the interstitial accumulation of substances derived from the acute phase response (APR) [37].

However in this initial phase of the systemic inflammatory response in mammals, it could be considered that hypometabolism, anaerobic glycolisis, low temperature and a decrease in energy expenditure [14] reduces the expression of specialized functions with a high energetic expense for the traumatized organism, drives the catabolism and therefore, favors its deconstruction.

# Vitellogenesis and acute-phase response: the lipoprotein-related metabolism

In oviparous animals, accumulation of yolk materials into oocytes during oogenesis and their mobilization during embryogenesis are key processes for successful reproduction [26,28,32]. All oviparous species, including insects, provide their eggs with vitellin as a major yolk storage glycolipoprotein [38]. The precursor vitellogenin is synthesized by the fat body, the main organ of the intermediate metabolism of insects [38]. Moreover, the majority of proteins of the hemolymph are synthesized in the fat body. In this organ vitellogenin is modified to facilitate the transport of carbohydrates, lipids and other nutrients into oocyte during vitellogenesis. In fact it has been suggested that the lipid would be used as an energy source for oogenesis, in addition to being incorporated with the oocytes in the vitellogenesis process [38] (Table 1).

Vitellogenins are stored in oocytes as vitellin, a reserve foodsource for the future embryo [38]. In the insects, vitellogenins are involved in the regulation of hormonal dynamics, antioxidant activity, immune defense, longevity and social behavior [38,39].

#### Table 1

Related factors involved in the inflammatory response and in development.

Inflammatory response	Development		
Innate immune response	*Oviparous animals:		
Acute phase response	Vertebrate and invertebrate-		
	vitellogenin genes		
Pentraxins	Pentraxins		
C-reactive protein-bound to LDL			
Fibrinogen	Vitellogenesis		
Serum amiloid A increases the ability	Fat body		
of HDL to accept cholesterol			
Hydrolisis of adipose tissue			
Increased lymph production	Hemolymph lipids from the		
	fat body		
Coagulation	Melanin		
Factor XIIIa	Transglutaminase		
IL-6-induced changes in the lipoprotein profile	Vitellin (glycolipoproteins)		
Mast cell activation	Granulocyte/hemocyte		
	activation		
Apolipoprotein-B-containing particles LDL VI.DI.	Apolipoprotein B		
Corticoids-aldosterone: oxidative stress fibrogenesis	Steroid producing follicle cells		
	Oenocyte accumulation of lipid droplets		
	*Mammalian embryos:		
Atherogenic dyslinidemia	Accumulation of cholesterol		
Hepatic steatosis	Accumulation of lipids into		
	the volk sac		
Insulin resistance	Embryo environment rich in		
	glutamine and glucose:		
	Lipid synthesis		
	Cell growth and division		
Obesity			
Metabolic syndrome			

IL: interleukin; LDL: low density lipoprotein; HDL: high density lipoprotein; VLDL: very low density lipoprotein.

Furthermore, it has been postulated, based on phylogenetic studies, that the entire vitellogenin sequence should be used when searching for phylogenetic inferences [38]. Vitellogenins are part of a multi-gene family that includes insect apolipophorins and human apolipoprotein B [38].

Vitellogenesis in fishes also describes the incorporation of vitellogenin protein carbohydrates and lipids by the oocyte. The lipids accumulating within the oocyte originate from plasma – very low density lipoproteins (VLDL) and from vitellogenins [28]. Lipids could be sequestered from the plasma VLDL by the binding to apolipoprotein B [28,32]. The ability to transport fat, in the form of lipoprotein, through the circulatory system by eukaryotes is one of their most significant functions right from the beginning of existence [32].

The reason and functional basis for why the vitellogenin transport system initially evolved provides information about how energy in the form of fat can be distributed. Thus, the evolutionary advancement of storing energy in the form of fat has provided organisms with an enormous advantage in adapting to environmental and developmental changes [32]. Comparative studies based on amino acid sequences and gene organization support the hypothesis of a common evolutionary origin of the vertebrate and invertebrate vitellogenin genes [32,40]. The immune phenotype associated with the evolution of the inflammatory response is coupled with the development of dyslipidemia [11]. Hence, we suggest that the inflammatory response seems to be associated with an alteration of the lipid metabolism. In turn, the pathophysiological basis of this lipid metabolic impairment could be compared to the accumulation of lipids in the yolk sac during the early phases of embryo development.

An isoform of apolipoprotein B and apoB48 particles are chylomicrons, which transport dietary cholesterol and triglycerides from the intestine to the site of storage for use within the body, such as the adipose tissue, skeletal and cardiac muscle and the liver [41]. This is one of the reasons why considering digestion as a physiologic inflammatory state is allowed. However, during cardiovascular inflammation, cholesterol esters may be transferred from high density lipoprotein (HDL) to apolipoprotein B-containing particles, such as low-density lipoprotein (LDL cholesterol) or VLDL [42]. Trapping of apolipoprotein B containing particles within the arterial wall is unquestionably the essential initiating event for the development of complex atherosclerotic lesions [41]. Hepatic steatosis also results from increased hepatic uptake of free fatty acids derived mainly from the hydrolysis of adipose-tissue triglycerides, increased because of insulin resistance, but also from dietary chylomicrons and hepatic biogenesis [43]. Hepatic steatosis in turn might play a key role in the pathogenesis of cardiovascular disease through the systemic release of several inflammatory mediators and/or through the production of insulin resistance and atherogenic dyslipidemia [43,44] (Table 1).

# The acute phase response and the inflammatory lipoprotein metabolism

The acute phase response is a core part of the innate immune response and produces changes in more than 200 proteins grouped as either positive or negative acute phase proteins [37,45]. Positive acute phase proteins include C-reactive protein, fibrinogen, haptoglobin,  $\alpha_2$ -macroglobulin,  $\alpha_1$ -acid glycoprotein, transferrin, ceruloplasmin and serum amyloid A [45]. IL-6, produced in response to tissue damage and infection, is a central mediator of the immune system inducing the liver acute-phase response [46].

Inflammation and the concomitant acute phase response induce marked changes in the lipoprotein profile [47]. Likewise, IL-6 and acute phase proteins, particularly C-reactive protein, have been correlated to disease severity and outcome in most of the chronic low-grade inflammatory conditions like cardiovascular diseases, obesity, metabolic syndrome, type-2 diabetes, non-alcoholic fatty liver disease (NAFLD), which includes a large spectrum that ranges from fatty liver, non-alcoholic steatohepatitis and cryptogenetic cirrhosis and depression [43,44,48–51].

There are conflictive results as to whether IL-6 is a beneficial or harmful cytokine [52]. There is, however, increasing evidence to show that IL-6 has a protective role during neural and liver injury [46]. In this context, it has been suggested that IL-6 favors hepatic secretion of VLDL triglyceride by increasing the availability of apoB and thereby, reducing hepatic lipid content by increased triglyceride export [52]. In addition, acute phase proteins, particularly the lipoprotein serum amyloid A, increase the ability of acute phase HDL to serve as an acceptor for cellular cholesterol efflux promoting the removal of excess cholesterol from macrophages as well as increasing the availability of cellular free cholesterol [47,53].

The predominance of the lipid metabolism with accumulation of cholesterol within embryos [26,32] as well as in the chronic inflamed tissue [43,44], could also be attributed to it being a precursor molecule of many hormones, like aldosterone, corticoids, progesterone, androgens and estrogen [28]. Particularly, endogenous sex steroids have been implicated in the pathology of, and protection against, a limited number of inflammatory disorders. Indeed estrogens limit while androgens could increase wound inflammation [54]. Also mineralocorticoids, i.e. aldosterone and glucocorticoids, are involved in the pathophysiology of inflammation because they produce oxidative stress, insulin resistance and fibrogenesis, as well as the promotion of adipogenesis [55]. Maybe due to the imperious need of this hormone potential, the developing oocyte is located in the center of the follicle and is surrounded by steroid producing follicle cells [32]. It has also been considered that endogenous lipid stores in fish oocytes and early embryos could be used as an energy substrate [56].

Mammalian embryos, however, have a changing pattern of energy metabolism, i.e. ATP generation, during early development. The most abundant energetic substrates in the embryo environment are glucose, pyruvate, lactate and glutamine [57]. For most mammalian proliferative cells the fundamental molecules catabolized are glucose and glutamine. This means that glucose and glutamine supply most of the carbon, nitrogen and free energy and reducing equivalents necessary to support cell growth and division [58]. Particularly interesting is the fact that some glucose is diverted to macromolecular precursor such as acetyl-CoA for fatty acids. Glutamine uptake also appears to be critical for lipid synthesis in that it supplies carbon in the form of mitochondrial oxalacetate to maintain citrate production in the first step of tricarboxylic acid [58].

The close relationship between lipid metabolism and inflammation has been emphasized in obesity. Thus, it has been suggested that current life style trends in modern society, characterized by excess of nutrients, reduced physical activity and increased lifespan could alter immune signaling and produce a chronic lowgrade inflammatory state [59]. Because obesity-associated inflammation is considered a novel form of inflammation triggered by metabolic cues, the term of "*metaflammation*" has been proposed for its name [59,60].

The acute phase response is a pervasive physiological response of the body to injury [61]. Acute-phase changes may be divided into changes in the concentrations of many plasma proteins, known as the acute phase proteins and a large number of behavioral, physiologic, biochemical, and nutritional changes [37]. In nearly all animal species, albumin represents the major negative acute-phase protein which, during the acute phase response, decreases in blood concentration and increases in the inflammatory interstitial space [45]. Proteolysis during the acute phase response favors a protein-switch, because the resulting increase of amino acids is used for the synthesis of positive acute phase proteins, between which pentraxins i.e. serum amyloid P and C-reactive protein, stand out [61,62].

Pentraxins are a super-family of multifunctional conserved proteins, some of which are components of the humoral arm of innate immunity and behave as functional ancestors of antibodies [62,63]. Pentraxins are ancient proteins with high phylogenetic conservation. They are considered highly conserved acute phase innate immune proteins [61–64]. The classic short pentraxins, C-reactive protein and serum amyloid P component, are produced in the liver in response to inflammatory signals, most prominently IL-6 [62].

C-reactive protein circulates as a disc shaped pentamer and is dissociated by exposure of bioactive lipids on activated cell membrane and exhibit anti-inflammatory properties [63]. After dissociation, monomeric C-reactive protein could deposit in the inflamed tissue and represent an *"activation signal"* for inflammatory cells [63], but may also be able to minimize inflammation while enhancing apoptotic cell clearance [64]. Serum amyloid-P is closely related to C-reactive protein [64] and could promote anti-inflammatory responses [65].

C-reactive protein and serum amyloide-P are considered the main acute-phase reactants in humans, but also in arthropods different forms of C-reactive protein and serum amyloid-P are abundant constituents of the hemolymph [62]. In addition, it's noteworthy that C-reactive protein can bind to LDL and co-localize with LDL in human atherosclerotic lesions [62].

The long pentraxin PTX3 may play a regulatory role in inflammation but also is required for cumulus matrix organization [66]. Cumulus cells are closely connected to the oocyte during follicular development and ovulation, forming a reciprocal functional interconnection [67,68]. Therefore, PTX3 that allows the formation of a highly organized hyaluronan-rich cumulus matrix favors ovulation and subsequent fertilization [62,66,68,69]. It has been proposed that PTX3 could have a similar function in hyaluronic acidenriched inflammatory tissues [62,69].

PTX3 also plays an important role in humoral innate immunity and inflammation [70]. Levels of circulating PTX3 increase in humans during systemic inflammatory response syndrome, myocardial infarction or septic shock [71]. In this inflammatory context the high levels of PTX3 found associated with macrophages and endothelial cells in atherosclerotic plaques acting as a natural angiogenic inhibitor stand out [71,72].

Because both invertebrates and vertebrates detect and respond to microbial antigens, it is likely that a system recognizing these epitopes emerged at a very early stage in the evolution of animal species. In invertebrates, hemolymph coagulation and melanin formation are prominent as such responses [33]. Transglutaminase appears to be a common coagulation factor because it is involved in coagulation in arthropods and also acts as vertebrate clotting factor XIIIa [34]. The clots of large insects first form as a soft, initial, "primary" clot that is subsequently melanized [34]. Coagulation in insects contributes to wound healing and both processes depend on hemocytes, also called granulocytes [33,34]. In humans, activation of factor XIII is involved in atherogenesis and polymorphisms are related to the risk of coronary artery disease, atherothrombotic ischemic stroke and peripheral artery disease [73]. Procoagulant factors, i.e. plasminogen activator inhibitor I (PAI-1), fibrinogen and factor VII are also highest in patients with NAFLD, which explains the risk of the incidence of cardiovascular events among these patients [43].

### Mast cells and the adipose-liver axis

Granulocytes may be close to the most ancient precursor of mast cells in invertebrates. In ascidians, the basophil/mast cell like granular hemocyte have been considered a potential mast cell progenitor and some granular hemocytes in *arthropoda* also closely approximate the ultrastructure of modern mast cells [74]. During evolution mast cells might have lost their potential to circulate in the blood once differentiated into sessile, tissue-homing cells [74].

Mast cells, strategically located in the interstitial space, could be among the first responders to orchestrate mechanical and biochemical stimuli that initiate inflammation [75]. Mast cells have the ability to produce vasoactive amines, enzymes i.e. proteases, cytokines, chemokines and growth factors through degranulation. This plasticity of the mast cells, which is the base for the called "mast cell heterogeneity" [75] suggest that mast cells can also show diverse responsiveness during the successive phases of the inflammatory response [11]. Mast cells stimulated through Toll-like receptors (TLRs) express "surgical" cytokine responses consisting mainly of IL-4, IL-8, tumor necrosis factor (TNF)- $\alpha$  and IL-6 [74,76]. In turn, IL-6, not only is the major mediator for the hepatocyte secretion of most of the acute phase proteins, but actually is also considered the major cytokine produced by activated mast cells, as well as a key factor that can trigger mast cell differentiation [77]. The acute phase response in the intestine resembles that seen in the liver. Indeed IL-6 mRNA is increased in the intestine during inflammation [78-80]. Among the cytokines produced during inflammation in the gut-liver axis, IL-6 is particular important because of its multiple biological effects both in the liver, intestine and other organs and tissues, including thermogenesis, stimulation of the hypothalamic-pituitary-adrenal axis and stimulation of growth hormone secretion [80]. Moreover, the pro-inflammatory cytokine IL-6 is critically involved in the pathophysiology of various aspects of NAFLD. Particularly, serum levels of this cytokine

correlate remarkably well with the presence of insulin resistance and IL-6 has been shown to regulate hepatic insulin resistance [81].

Mast cells have well established roles as mediators of allergic, fibrotic and angiogenic diseases [14] and increasing evidence has implicated these cells in atherosclerotic plaque development [82,83]. Mast cells also produce serine proteases that could activate metalloproteinases leading to extracellular matrix remodeling [74,82]. The tissue distribution of mast cells containing serine proteases varies across animal species and the large number of functions described to these enzymes clearly indicates their importance in mast cell biology [74]. In this way, serine proteases appear to be a major link between innate immunity and tissue remodeling [74]. This is one of the reasons why it has been proposed that the mast cell phylogenetic progenitor evolved from a primitive local innate immunity cell into a tissue regulatory and remodeling cell [74].

White adipose tissue may represent an important source of mast cells in physiological and pathological situations [84]. Moreover, homeostasis of lipid metabolism is essential for mast cell survival and dysregulation of lipid metabolism, with oxysterols accumulation, the derivates of cholesterol, which may induce mast cell apoptosis [85].

Oenocytes, specialized hepatocyte-like cells [86], are found in a wide range of insects [87]. The presence of densely packed smooth and rough endoplasmic reticulum, together with other morphological features characteristic of mammalian steroidogenic cells and hepatocytes, indicates that oenocytes are cells with specialized secretory functions [87]. Oenocytes accumulate large numbers of lipid droplets specifically during fasting. As this is a hallmarks of hepatocytes, the possibility is raised that insect oenocytes might process lipids in a similar way to the mammalian liver [86]. Analogous to the mammalian adipose-liver axis, lipid mobilization from the fat body, the main organ of the intermediate metabolism of insects [39] during starvation produces lipid droplet accumula-tion in *Drosophila* oenocytes, a metabolic change resembling hepatic steatosis [86,88] (Table 1).

Increasingly sophisticated approaches are required to understand the critical roles of energy homeostasis. Several disorders have comparable syndromes in lower metazoans, like worms, flies and zebrafish [88]. Unbiased methods have been used to identify more genes where mutation in lower metazoans leads to phenotypes that are comparable to human syndromes of altered energy homeostasis like NAFLD, diabetes mellitus and obesity [88]. In this context, it has been speculated that *Drosophila* may prove useful, not only for modeling hepatic steatosis, but also the regulation of body fat content [89]. Indeed multiple genes have been identified that either positively or negatively regulate whole fly triglyceride levels when targeted specifically in neurons, the fly liver i.e. oenocytes, the fat body or muscle cells. Since more than 60% of the candidate genes with strong lipid storage regulatory potential linked with regulation of adiposity is conserved across animal phyla to humans, screening genes is considered a unique starting point to the elucidation of novel regulatory modalities in mammals [89].

### Metamorphosis and remodeling: gastrulation dictates their rules

When talking about metamorphosis the caterpillar-to-butterfly and tadpole-to-frog transition immediately come to mind. However, most metazoan phyla metamorphose: for example, in cnidarians, flat worms or mollusks [90]. It has been also considered that all chordates have a homologous metamorphosis stage during their post-embryonic development. If vertebrate metamorphosis has been molecularly well characterized only in anurans, which could be probably for historical, anthropocentric, epistemological as well as technical reasons [90]. We have hypothesized that the phylogenetic evolution of our body is written in the successive phases which make up the acute inflammatory response. Therefore, it could be considered that when evolution of a serious traumatized patient is favorable, he could first undergo a dedifferentiation followed by a process of differentiation or specialization which would represent a complete metamorphosis program, as which occurs with lepidoptera [91]. This specialization would require the return of the prominence of oxidative metabolism and angiogenesis in the affected tissues and epithelial organs to create the capillary bed that would make the repair possible [8].

Nutrition mediated by blood capillaries is established because of angiogenesis. The new functional properties of microcirculation include the exchange of oxygen, nutrients and waste products. This oxygen support induces oxidative metabolism, an efficient method for extracting energy from blood molecules, which begins with the Krebs cycle and ends with oxidative phosphorylation [8]. Oxygen and oxidative metabolism are an excellent combination through which cells can obtain an abundant energy supply for tissue repair by specialized cells, but this combination has the inconvenience that it produces energetic stress [14].

Nonetheless, little is known about the capacity of eukaryotic cells to monitor the redox state for supporting specialized functions [92]. Although NF-kB acts mainly as an initiator of inflammation, recent studies suggest that it also functions in the equally complex process of resolution of inflammation [93]. Obviously, the mechanisms that promote tissue structure and restoring of functions also include the mechanisms involved in the resolution of inflammation [94]. In particular, endogenous pro-resolving lipid mediators, i.e. lipoxins, resolvins and protectins, have been the most studied. In essence, pro-resolution factors revert back the pro-inflammatory phenotype to its prior physiological state and therefore the microcirculatory functions of tissues and organs return to homeostasis [95].

A common complication of the post-natal inflammatory response in mammals is fibrosis. Scar formation and fibrosis are processes incompatible with tissue regeneration [96]. It has been suggested that molecular and cellular mechanisms involved in gastrulation during embryo development return when the activation of a fibrotic process occurs, whether by local remodeling i.e. wound repair, or systemic remodeling i.e. aging [11].

Gastrulation is a developmental phase that delineates the three embryogenic germ layers: ectoderm, endoderm and mesoderm [97]. Although the details of gastrulation differ among different species, the cellular mechanisms involved in gastrulation are common to all animals ([97]. The molecular and cellular contribution to gastrulation may be made by the two halves of the egg and early embryo structures: The amnion or "animal" side, thus named because it suggests movement and activity, which has the egg nucleus and the central nervous system form from "animal" cells; and the yolk sac or "vegetal", because it suggests sluggishness, which is filled with yolk material (vitellum) for nutrition [98]. Moreover, the amnion could be considered as an embryonic functional axis with the ability to manage the embryo interstitial fluid, as well as with a strong neurogenic phenotype [99,100]. In regards to the yolk sac, it could be considered, not only a yolk deposit for nutrition but also a hematopoietic-angiogenic axis with a strong immune-endocrine potential phenotype [11,101].

In essence, during gastrulation it could be considered that the extraembryonic mesoderm with its amniotic and yolk-sac-derived functions internalizes to form the primary mesenchyma [11]. Afterward this is followed by mesenchymal-epithelial transition to create secondary epithelium [102]. The vast arrangement of the mesenchyma around and between the developing amniotic and yolk sac cavities suggests an important role of the mesenchyma in orchestrating embryo development. Mesenchymal stem

cells are a versatile group of cells derived from mesodermal progenitors and can be found in several fetal and adult tissues [103]. The concept that fibroblasts are simple residual embryonic mesenchymal cells explains the incorrect and often interchangeable substitution of the term "fibroblast" for "mesenchymal" [104]. However, this hypothetical internalization process of the plurifunctional extraembryonic mesoderm to form the mesenchyma could explain the amazing quick capacity of the fibroblast not only to transform in epithelial cells but also to create a heterogeneous population capable to express neuro (contractile cells i.e. smooth muscle cells and pericytes), immune (myofibroblasts), endocrine (adipocytes) as well as remodeling (profibrogenic cells) phenotypes [11].

This amazing property of the mesenchymal or stromal cells to conserve a memory of its early embryonic origin undergoing reprogramming events could allow them post-injury to re-enter the embryonic programs of tissue formation and obtain regeneration [105]. Generally, while the monopotent and tissue-committed stem cells were described in the adult tissue, stem cells with a pluripotent/multipotent capacity were thought to be restricted to the early embryonic stages. However, recent evidence challenged this idea by confirming the presence of pluripotent/multipotent stem cells in adult tissues and organs [106]. Particularly, adult human mesenchymal cell populations contain distinctly multipotent stem cells. These stem cells have the ability to generate the multiple cell types of the three germ layers, like ectodermal, endodermal and mesodermal lineage cells [107]. Therefore, it is accepted that after the tissue is damaged, the inflammatory response induces the activation and mobilization of stem cells capable of regenerating the tissue, or by default, of repairing it by fibrosis, a process whose essential mechanisms have been compared to those that are responsible for gastrulation during embryonic development [11].

During vertebrate gastrulation, the cell behavior is strictly coordinated in time and space by various signaling pathways. In vertebrates, the non-canonical Wnt/planar cell polarity (Wnt/PCP) pathway is a key regulator of convergence and extension movements also involved in the internalization of mesodermal cells and their migration [108]. The Wnt/ßcatenin pathway is a developmental pathway that is highly conserved throughout evolution. Wnt signaling is initiated by the binding of secreted Wnt ligands to frizzled receptors, leading to the activation of canonical and non-canonical signaling. Canonical signaling activates ß-catenin mediated gene transcription [109]. Wnt signaling regulates many aspects of vertebrate development and adult stem cells. During development, non-canonical Wnt signaling is required for tissue formation and also is required for maintenance of adult stem cells [110].

Wnt represents a large morphogenic family of secreted lipidmodified glycoproteins that during embryogenesis controls multiple developmental processes [108,111] and during adult life regulates tissue maintenance and remodeling [110,112]. These physiological, developmental and oncogenic effects are mediated by the regulation of different specific programs, the nature of which depends on temporal and spatial factors and the tissues in question [109]. Binding of Wnts to their receptors activates the disheveled scaffolding protein, which in turn inhibits a "destruction complex" including Axin, Adenomatous Polyposis coli, and the serine/threonine kinase GSK-3beta. The destruction complex promotes the phosphorylation of beta-catenin/Armadillo, which is then targeted for proteasome-dependent degradation. Inhibition of this complex by Wnt signaling leads to a rise in cytoplasmic beta-catenin levels, and in its translocation into the nucleus, where it associates with the transcription factor TCF/LEF/Pangolin to regulate Wnt target genes [113].

At the cellular level, Wnt signals coordinate changes in cellular metabolism favoring either a "quiescent metabolism" or a "proliferating metabolism" [111,112]. This is why it is tempting to

speculate that common alterations in metabolic programming may accompany embryonic and/or stem cell differentiation and these may also be involved in adult tissue development and/or remodeling [111].

Moreover, synthesis and deposition of extracellular matrix are key features of fibroblasts [114]. Components of the extracellular matrix, including fibronectin, laminin and collagen are essential regulators of cell migration, adhesion and polarity during both normal vertebrate development [115] and the progression of diseases, such as age-related diseases [116]. It has been suggested that the extracellular matrix network should be present during zebrafish gastrulation and that this network should be positioned to affect, at a minimum, the polarized behavior of mesodermal cells [115]. Furthermore, age-related changes in cell behavior and morphology of fibroblasts appear to be linked to alterations [116]. In chronically inflamed tissues there is increasing evidence to suggest that aberrant expression of extracellular matrix molecules and fragments of the extracellular matrix that are derived from tissue-remodeling processes can influence immune cell activation, differentiation and survival [22].

Taken all together the exposed mechanisms mentioned above, it would be concluded that the inflammatory pathology is supported by evo-devo sharing mechanisms. Moreover, these mechanisms represent a very useful and universal tool for remodeling organisms in harmony with continuous environmental changes. If so, the inflammatory mechanisms would be effective mediating pathways between the environment and the genetic potential of living beings, which in turn give them an epigenetic character.

### Consequences of the hypothesis and discussion

Today, evo-devo research is characterized by a dialectical approach that, on the one hand, looks at how developmental systems have evolved and, on the other hand, probes the consequences of these historically established systems for organism evolution [117]. A further question is how evolutionary developmental interactions relate to environmental conditions [117]. In this context, it

could be hypothesized that, since inflammation could be a hypothetical recapitulator response of the fundamental mechanisms that govern the phylogeny and the ontogeny, it would constitute a useful tool of nature to integrate both processes.

The inflammatory response, thanks to its supposed ability to recreate primitive or ancestral evolutive states of animal life, could also exploit the possibility to induce genetic and epigenetic regulations to create a new developmental context in accordance with today's environmental factors. In this way, under the inflammatory influence, chromatin can undergo changes in its conformation in response to various cellular metabolic demands [118], but can also undergo epigenetic regulation, in which case, the same genotype can produce different phenotypes in response to the actually external conditions [117,118]. Therefore, maybe the plasticity that animal organisms have for achieving a successful adaption to the environmental changes could be related to inflammation.

### Chronic low-grade metabolic inflammation-related Evo-Devo

Through the recovery of ancient evolutive phenotypes during the inflammatory response, the body could have the chance of choosing the most appropriate phenotype to survive according to the harmful circumstances that fall upon it. The equivalent pathological response of a population could be explained by this mechanism when similar harmful factors fall upon it, such as chronic psychosocial stress, sedentary lifestyle, a Western diet, alcohol intake or smoking [119]. The metabolic syndrome refers to the clustering of cardiovascular risk factors that include dyslipidemia, obesity, diabetes and hypertension [119,120]. However, youths that are overweight and obesity in youth is also a worldwide public health problem. Overweight and obesity in childhood and adolescents affects many systems, resulting in clinical conditions such as metabolic syndrome, early atherosclerosis, dyslipidemia, type 2 diabetes and hypertension [121,122] (Fig. 2). Due to the high incidence of this complex syndrome, both among children and adolescents as well as in aging people, it has been proposed that this disease spreads like an epidemic and, therefore it indeed must be scrutinized for an infectious cause [123].



**Fig. 2.** The distribution of the different pathological conditions, i.e. hypertension, diabetes, non-alcoholic fatty liver disease (NAFLD) that integrate the metabolic syndrome varies in terms of intensity and magnitude among Western country populations. Such a varying manifestation depends on the different expressions of the basic inflammatory mechanisms that govern each one of the pathologies making up the metabolic syndrome due to human genetic diversity. Therefore, there is ischemia-revascularization in the case of arterial hypertension, the vitellogenic phenotype in cases where obesity with NAFLD predominate, and the remodeling phenotype when atherosclerosis or premature aging stand out.

Furthermore obesity may exaggerate the inflammatory response to stressors [124] and there is also a robust association between metabolic syndrome, obesity, NAFLD and encephalopathyassociated depression [13,124]. The inflammatory mediators have the capacity to induce depression, sleep and appetite disturbances and fatigue, which characterize depression, and they are part of a cytokine-triggered syndrome termed "sickness behavior", that also occurs when an organism has a wound or an infection [51,124].

In turn, this vicious cycle, that seems to be generated around inflammation, seems to close when it is assumed that the psychophysiological stress- originating from the masterfully created human mind with biological, social, behavioral, psychological, transcendental and religious components - is a risk factor for cardiovascular and metabolic diseases [125]. In this way, it has been suggested that inflammation could be a psychophysiological biomarker in chronic psychosocial stress, generated by example by low socioeconomic status, job stress/burnout, childhood adversity and life event stress, caregiver stress and loneliness [126]. In this way, the individual with an inflammatory pathology secondary to the conditions of life imposed upon him by the Western society could be considered an "inflammacitizen". If so, it's possible that the society, composed of these people sensitized by inflammation, cannot avoid their modulator influence. Particularly, obesity is considered from a global population perspective as an epidemic condition [127].

### The hypothetical distribution of the pathophysiological mechanisms related to low-grade inflammatory response in the Western society

Chronic low-grade inflammation, also named metabolic inflammation or "metaflammation" [60] is believed to be a key pathogenic mechanism of several metabolic disorders including metabolic syndrome and their related diseases mentioned above [50,59]. The metabolic inflammation hypothesis has gained popularity with the demonstration of the central involvement of the stress-activated protein kinases c-Jun N terminal Kinase 1 (JNK1) and I Kappa Kinase beta (IKK $\beta$ ) in their pathogenesis [48,50]. These enzymatic complexes in turn are situated at the focal points of different signaling pathways involved in innate immunity, inflammation and stress [50].

Nonetheless, the association of diseases shared by the same key pathogenic mechanism, i.e. inflammation, in the metabolic syndrome, could be also the result of the tissue, organic and systemic recapitulation of ancestral phylogenic ontogenic mechanisms. Through the expression of old mechanisms regulating: (1) the interstitial hydroelectrolytic distribution with cell hydration; (2) vitellogenesis related to the acute-phase-response and (3) gastrulation with mesenchymal remodeling, the basic physiopathological mechanisms leading to the development of an array of pathological conditions would be established in the animal body. In addition, the expression of these three pathological phenotypes could be distributed between all the individuals that constitute the Westernized society depending on their genetic polymorphism. If so, these three basic mechanisms could be related with the development of the pathological conditions associated with the metabolic syndrome, such as hypertension, type 2 diabetes, dyslipidemia, NAFLD, sickness behavior, depression, atherosclerosis, and agingrelated diseases, i.e. profibrotic degenerative and tumoral diseases (Fig. 2).

In this context, the use of inflammation by the body could represent the camouflaged expression of efficient mechanisms of evolution and development that would allow survival although the drastic environmental conditions are imposed upon it. Maybe this is the reason that the body attempts to inhibit certain types of the inflammatory response, particularly when chronic, are very difficult or unsuccessful, since their mechanisms would take root in their own mechanisms of adaptive survival.

If the different types of inflammatory response, both acute and chronic, marking the life of human beings, integrate evolutive ancestral mechanisms, it could also be considered that through research we could "trace" the biochemical origins of our life, as if we were carrying out an archaeological dig. On the contrary, we could ask ourselves with respect to the role that inflammation could play in our future evolution. Since the final objective of this amazing response always seems to be a remodeling of the organism in harmony with the correlative environmental conditions, which are partially modulated by the human being, we are indeed sculpting the future of our species.

It is most likely that this set of diseases suffered by our Western society represents the distribution of the abovementioned phenotypes among those individuals who form part of this society. In this case, it should be considered that this set of diseases would represent a true "Social Inflammatory Syndrome". This hypothetical social approach of the inflammatory response would imply the existence of individual groups who suffer a similar pathology, and at the same time, this common pathological characteristic would define them as true pathological bodies of the society they belong to. Therefore, the set of pathologies considered, if grouped based on the different and hypothetical phylogenic or ontogenic phenotype expressed (i.e. hypertensive, arterial, pulmonary or portal pathology with sodium and water retention, oxidative stress, increased hydrostatic pressure and hemodynamic alterations; lipoprotein related pathology with hyperinsulinemia, due to resistance to insulin, dyslipidemia, enzymatic stress, obesity, NAFLD and early atherosclerosis; and age-related pathology with profibrotic alterations, degenerative stress and tumoral pathology) would represent three social pathological phenotypes.

### Conclusion

In summary, if the different types of the inflammatory response, both acute and chronic, that mark the life of the human being integrate ancestral evolutive mechanisms, it is possible that through careful and intentional research, we could trace the biochemical origins of life. The biochemical impairments that play the leading role in the early phases of the inflammatory response could be a reflection of those primitive mechanisms that began life on our planet. In this way, maybe inflammation represents an archaeological tool of unsuspected usefulness. On the contrary, we could also ask ourselves in terms of the role that inflammation could be playing regarding our future evolution as an animal species. Since the final objective of this amazing response seems to be to make the organism survive, whether individual or socially, in harmony with the correlative environmental conditions, perhaps we could try to foresee our immediate future if we were able to discover the laws that govern the predominating expression of the different phenotypes composing the inflammatory response.

### **Conflict of interest statement**

The authors declare that they have not conflict of interest.

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